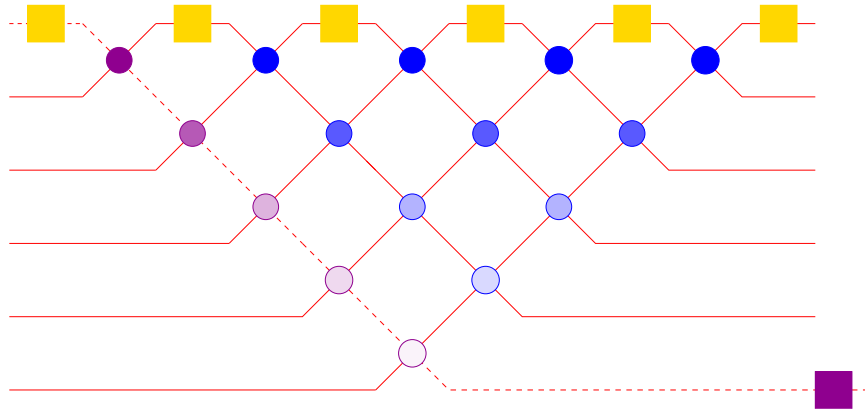


What has quantum mechanics to do with factoring?
Things I wish they had told me about Shor's algorithm



Stockholm, 23 April, 2009

Question:

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Answer:

Nothing!

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Answer:

Nothing!

But quantum mechanics is good at diagnosing periodicity, which (for purely arithmetic reasons) helps in factoring.

FACTORIZING AND PERIOD FINDING

You can **factor** $N = pq$, with p, q huge (e.g. 300 digit) primes, if, for integers a having no factors in common with N , you can find the smallest r with $a^r = 1 \pmod{N}$

$$b = c \pmod{N} \Leftrightarrow b \text{ and } c \text{ differ by a multiple of } N$$

$a^x \pmod{N}$ is periodic with period r .

Example:

$$\begin{aligned} 5^x \pmod{7}: 5^1 = 5, 5^2 = 4, 5^3 = 6, \\ 5^4 = 2, 5^5 = 3, 5^6 = 1, 5^7 = 5. \end{aligned}$$

Pick random a . Use *quantum computer* to find r .

Pray for two pieces of good luck!

Quantum computer gives *smallest* r with $a^r - 1$ divisible by $N = pq$

First piece of luck: r even.

Then $(a^{r/2} - 1)(a^{r/2} + 1)$ is divisible by N , but $a^{r/2} - 1$ is *not*,

Second piece of luck: $a^{r/2} + 1$ is *also* not divisible by N .

Then product of $a^{r/2} - 1$ and $a^{r/2} + 1$ is divisible by both p and q although neither factor is divisible by both.

Since p, q primes, one factor divisible by p and other divisible by q .

So one factor is greatest common divisor of N and $a^{r/2} - 1$;

other factor is greatest common divisor of N and $a^{r/2} + 1$.

FINISHED!

Finished, because:

1. Can find **greatest common divisor** of two integers using method known to ancient Greeks: **Euclidean algorithm**.
2. If a is picked at random, an hour's argument* shows that the probability is at least **50%** that both pieces of luck will hold.

* N. D. Mermin, *Quantum Computer Science* (2007), Appendix M

Amazing! (*but wrong*):

[After the computation] the solutions — the factors of the number being analyzed — will all be in superposition.

— George Johnson, *A Shortcut Through Time*.

[The computer will] try out all the possible factors simultaneously, in superposition, then collapse to reveal the answer.

— *Ibid.*

Unexciting *but correct!*

A quantum computer is efficient at factoring because it is efficient at period-finding.

Next question: What's so hard about period finding?

Given graph of $\sin(kx)$ it's easy to find the period $2\pi/k$. Since no value repeats inside a period, $a^x \pmod N$ is even simpler.

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Given graph of $\sin(kx)$ it's easy to find the period $2\pi/k$. Since no value repeats inside a period, $a^x \pmod N$ is even simpler.

What makes it hard:

Within a period, unlike the smooth, continuous $\sin(kx)$, the function $a^x \pmod N$ looks like *random noise*.

Nothing in a list of r consecutive values gives a *hint* that the next one will be the same as the first.

PERIOD FINDING WITH A QUANTUM COMPUTER

Represent n bit number

$$x = x_0 + 2x_1 + 4x_2 + \cdots + 2^{n-1}x_{n-1} \quad (\text{each } x_j \text{ 0 or 1})$$

by product of states $|0\rangle$ and $|1\rangle$ of n 2-state systems (*Qbits*):

$$|x\rangle = |x_{n-1}\rangle \cdots |x_1\rangle |x_0\rangle$$

Classical or *Computational* basis.

Computer acts on states with unitary transformations **U** that can be built from 1-Qbit and 2-Qbit unitary *gates* acting on single Qbits or on pairs of Qbits.

QUANTUM COMPUTATIONAL ARCHITECTURE

Represent function f taking n -bit to m -bit integers
by a linear, norm-preserving (unitary) transformation \mathbf{U}_f
acting on n -Qbit *input register* and m -Qbit *output register*:

$$\begin{array}{c} \text{input register} \\ \downarrow \quad \downarrow \\ \mathbf{U}_f |x\rangle |0\rangle = |x\rangle |f(x)\rangle. \\ \uparrow \quad \uparrow \\ \text{output register} \end{array}$$

QUANTUM PARALLELISM

$$\mathbf{U}_f|x\rangle|0\rangle = |x\rangle|f(x)\rangle$$

Put input register into *superposition of all possible inputs*:

$$\begin{aligned} |\phi\rangle &= \left(\frac{1}{\sqrt{2}}\right)^n \sum_{0 \leq x < 2^n} |x\rangle \\ &= \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle) \cdots \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle). \end{aligned}$$

Applying linear \mathbf{U}_f gives

$$\mathbf{U}_f(|\phi\rangle|0\rangle) = \left(\frac{1}{\sqrt{2}}\right)^n \sum_{0 \leq x < 2^n} |x\rangle|f(x)\rangle.$$

QUANTUM PARALLELISM

$$\mathbf{U}_f(|\phi\rangle|0\rangle) = \left(\frac{1}{\sqrt{2}}\right)^n \sum_{0 \leq x < 2^n} |x\rangle|f(x)\rangle.$$

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Has *one* invocation of \mathbf{U}_f computed $f(x)$ for *all* x ?

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No. Given a single system in an unknown state,
there is no way to learn what that state is.

Information is acquired only through measurement.

Direct measurement of input register gives random x_0 ;
Direct measurement of output register then gives $f(x_0)$.

APPLICATION TO PERIOD FINDING

$$\mathbf{U}_f(|\phi\rangle|0\rangle) = \left(\frac{1}{\sqrt{2}}\right)^n \sum_{0 \leq x < 2^n} |x\rangle|f(x)\rangle.$$

Special form when $f(x) = a^x \pmod{N}$:

$$\sum_{0 \leq x < 2^n} |x\rangle|a^x\rangle = \sum_{0 \leq x < r} \left(|x\rangle + |x+r\rangle + |x+2r\rangle + \dots \right) |a^x\rangle$$

Measuring output register leaves input register in state

$$|x\rangle + |x+r\rangle + |x+2r\rangle + \dots$$

for random $x < r$.

Given n Qbits in the state $|x\rangle + |x+r\rangle + |x+2r\rangle + \dots$

If you could learn what the state was you would know r .

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Question: How can one learn *anything* about r ?

Given n Qbits in the state $|x\rangle + |x+r\rangle + |x+2r\rangle + \dots$

If you could learn what the state was you would know r .

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If you could make exact copies of an unknown state you could learn several random multiples of r .

But there is no way to duplicate an unknown state.

Question: How can one learn *anything* about r ?

Answer: *Through quantum Fourier analysis!*

THE QUANTUM FOURIER TRANSFORM

$$\mathbf{V}_{FT}|x\rangle = \left(\frac{1}{\sqrt{2}}\right)^n \sum_{0 \leq y < 2^n} e^{2\pi i xy/2^n} |y\rangle$$

Acting on superpositions, \mathbf{V}_{FT} Fourier-transforms amplitudes:

$$\mathbf{V}_{FT} \sum \alpha(x)|x\rangle = \sum \beta(x)|x\rangle$$

$$\beta(x) = \left(\frac{1}{\sqrt{2}}\right)^n \sum_{0 \leq z < 2^n} e^{2\pi i xz/2^n} \alpha(z)$$

If α has period r as in $|x\rangle + |x+r\rangle + |x+2r\rangle + \dots$
then β is sharply peaked at integral multiples of $2^n/r$.

Question: Is *that* all there is to it?

\mathbf{V}_{FT} is *boring*:

1. Just familiar transformation from position to momentum representation.
2. Everybody knows Fourier transform sharply peaked at multiples of inverse period.

But \mathbf{V}_{FT} is *not* boring because:

1. x has nothing to do with position, real or conceptual.

x is arithmetically useful but physically meaningless:

$$x = x_0 + 2x_1 + 4x_2 + 8x_3 + 16x_4 + \cdots,$$

where $|x_j\rangle = |0\rangle$ or $|1\rangle$ is state of j -th 2-state system.

2. *Sharp* means sharp compared with resolution of apparatus. But the period r is *hundreds* of digits long.

Need to know r *exactly* — every single digit.

Error in r of 1 in 10^{10} *messes up almost every digit*.

Under \mathbf{V}_{FT} shifts become phase factors:

$$\begin{aligned} & \mathbf{V}_{FT} \left(|x\rangle + |x+r\rangle + |x+2r\rangle + \dots \right) = \\ & = \left(\frac{1}{\sqrt{2}} \right)^n \sum_{0 \leq y < 2^n} \left(1 + \alpha + \alpha^2 + \alpha^3 + \dots \right) e^{2\pi i x y / 2^n} |y\rangle, \\ & \alpha = \exp \left(2\pi i y / (2^n / r) \right). \end{aligned}$$

Sum of powers of α sharply peaked at values of y as close as possible to (i.e. within $\frac{1}{2}$ of) integral multiples of $2^n / r$.

Question: *How sharply peaked?*

Answer: Probability of measuring such a $y > 40\%$!

So we have a significant ($> 40\%$) chance of learning an integer y within $\frac{1}{2}$ of a (more or less) random integral multiple of $2^n/r$.

Then $y/2^n$ is within $1/2^{n+1}$ of j/r .

Question: Does this pin down a unique rational number j/r ?

We have a significant ($> 40\%$) chance of learning an integer y within $\frac{1}{2}$ of $j(2^n/r)$ for some (more or less) random integer j .

Then $y/2^n$ is within $1/2^{n+1}$ of j/r .

Question: Does this pin down a unique rational number j/r ?

Answer: It depends. Suppose $j'/r' \neq j/r$. Then

$$|j'/r' - j/r| \geq 1/rr' \geq 1/N^2$$

Answer is yes, if $1/N^2 > 1/2^n$: $2^n > N^2$

Input register must be large enough to represent N^2 .

Then **have 40% chance of learning a divisor r_0 of r .**

(r_0 is r divided by factors it shares with (random) j)

(j and r given from continued-fraction expansion of $y/2^n$)

A comment:

When $N = pq$, easy to show period r necessarily $< N/2$.

So

$$\left| \frac{j'}{r'} - \frac{j}{r} \right| > \frac{4}{N^2}$$

and therefore don't need y *as close as possible* to integral multiple of $2^n/r$.

Second, third, or fourth closest do just as well.

Raises probability of learning divisor of r from 40% to 90%.

Have 90% chance of learning a *divisor* r_0 of r .

If j happens to share *no* factors with r , then $r_0 = r$.

Can try it out: Calculate $a^{r_0} \pmod{N}$. Is it 1?

If not, repeat the calculation. Get a *new* (probable) divisor r'_0 .

Try for r the *least common multiple* of r_0 and r'_0

(with help from ancient Greeks.)

*With several runs of the quantum computation,
and some detective work (on a classical computer),
one finds r and therefore (unless unlucky) factors N .*

Another comment:

Should the period r be 2^m , then $2^n/r$ is itself an integer, and probability of y being multiple of that integer is easily shown to be 1, even if input register contains just a single period.

A pathologically easy case.

Question: When must all periods r be powers of 2?

Answer: When p and q are both primes of form $2^j + 1$.

(Periods are divisors of $(p - 1)(q - 1)$.)

Therefore **factoring 15** = $(2 + 1) \times (4 + 1)$

— i.e. finding periods modulo 15 —

is not a serious demonstration of Shor's algorithm.

Some neat things about the quantum Fourier transform

$$\mathbf{V}_{FT}|x\rangle = \left(\frac{1}{\sqrt{2}}\right)^n \sum_{0 \leq y < 2^n} e^{2\pi i xy/2^n} |y\rangle$$

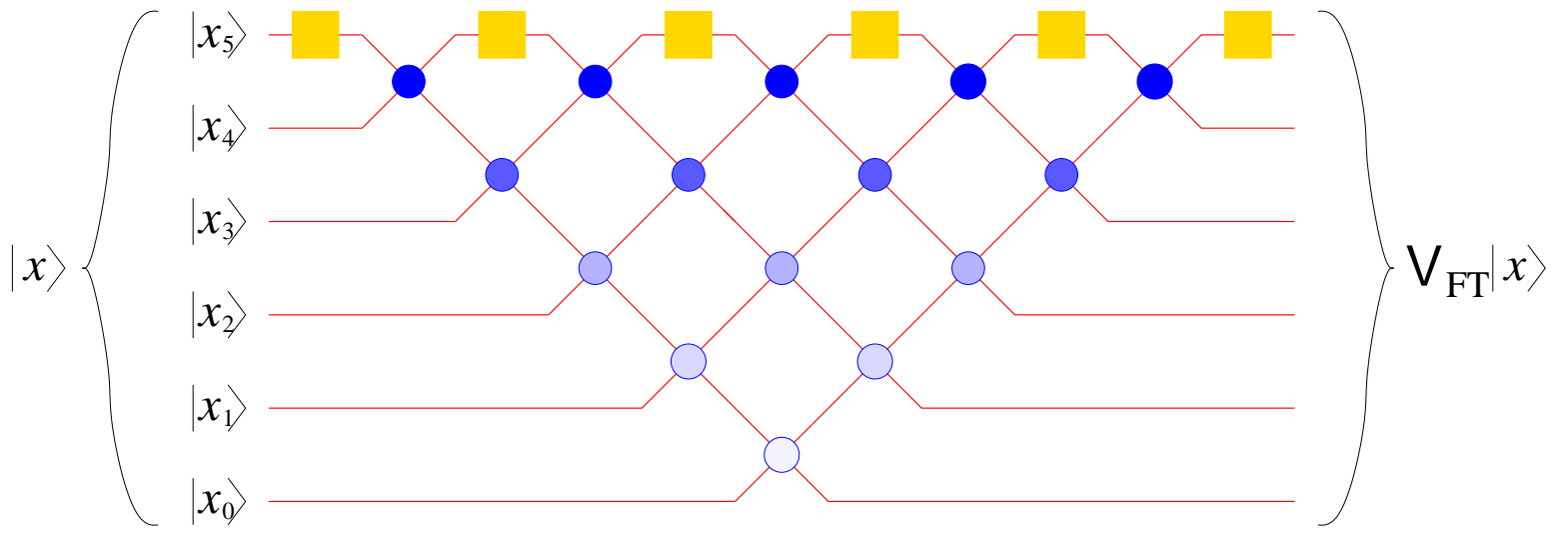
1. Constructed entirely out of **1-Qbit** and **2-Qbit** gates.
2. Number of gates and therefore **time** grows only as n^2 .
3. With just **one** application,

$$\sum \alpha(x)|x\rangle \longrightarrow \sum \beta(x)|x\rangle,$$

$$\beta(x) = \left(\frac{1}{\sqrt{2}}\right)^n \sum_{0 \leq z < 2^n} e^{2\pi i xz/2^n} \alpha(z)$$

In *classical* “Fast Fourier Transform” time grows as $n2^n$.

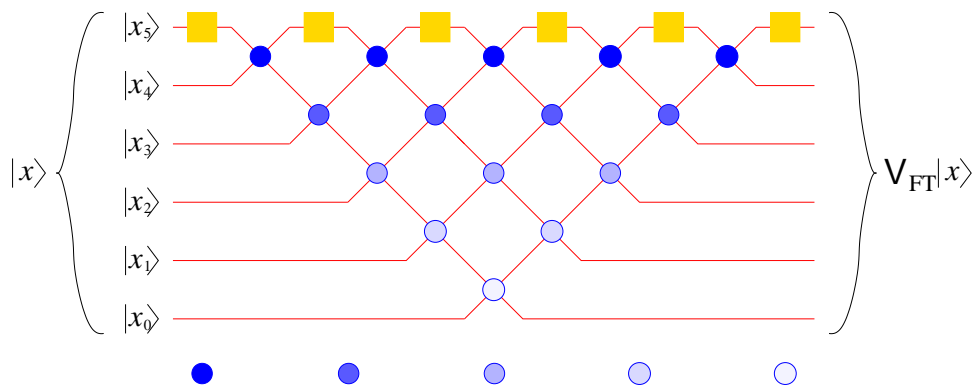
But classical FFT gives *all* the $\beta(x)$, while QFT gives *only* $\sum \beta(x)|x\rangle$.



$$\left. \begin{array}{l} |0\rangle \\ |1\rangle \end{array} \right\} \text{---} \text{---} \text{---} \text{---} \text{---} \text{---} \left\{ \begin{array}{l} \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle) \\ \frac{1}{\sqrt{2}}(|0\rangle - |1\rangle) \end{array} \right.$$

$$\begin{array}{cccccc}
 e^{\pi i \mathbf{nn}' / 2} & e^{\pi i \mathbf{nn}' / 4} & e^{\pi i \mathbf{nn}' / 8} & e^{\pi i \mathbf{nn}' / 16} & e^{\pi i \mathbf{nn}' / 32} \\
 \bullet & \bullet & \circ & \circ & \circ \\
 |0\rangle|0\rangle, |0\rangle|1\rangle, |1\rangle|0\rangle \text{ invariant; } & |1\rangle|1\rangle \longrightarrow e^{\pi i / 2^j} |1\rangle|1\rangle
 \end{array}$$

A PROBLEM?



Number n of Qbits: $2^n > N^2$, N hundreds of digits.

Phase gates $e^{\pi i \mathbf{nn}' / 2^m}$ impossible to make for most m ,
 since can't control strength or time of interactions
 to better than parts in $10^{10} = 2^{30}$.

But need to learn period r to parts in 10^{300} or more!

Question:

So is it all based on a silly mistake?

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Answer:

No, all is well.

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No, all is well.

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How can that be?

Answer:

Because of the quantum-computational interplay between [analog](#) and [digital](#).

Quantum Computation is Digital

Information is acquired *only* by measuring Qbits.
The reading of each 1-Qbit measurement gate
is only 0 or 1.

The 10^3 bits of the output y of Shor's algorithm
are given by the readings (0 or 1) of 10^3
1-Qbit measurement gates.

There is **no imprecision** in those 10^3 readings.
The output is a **definite 300-digit number**.

But is it the number you wanted to learn?

Quantum Computation is Analog

Before a measurement the Qbits are acted on by unitary gates with **continuously variable parameters**.

These variations affect the amplitudes of the states prior to measurement and therefore they affect the *probabilities* of the readings of the measurement gates.

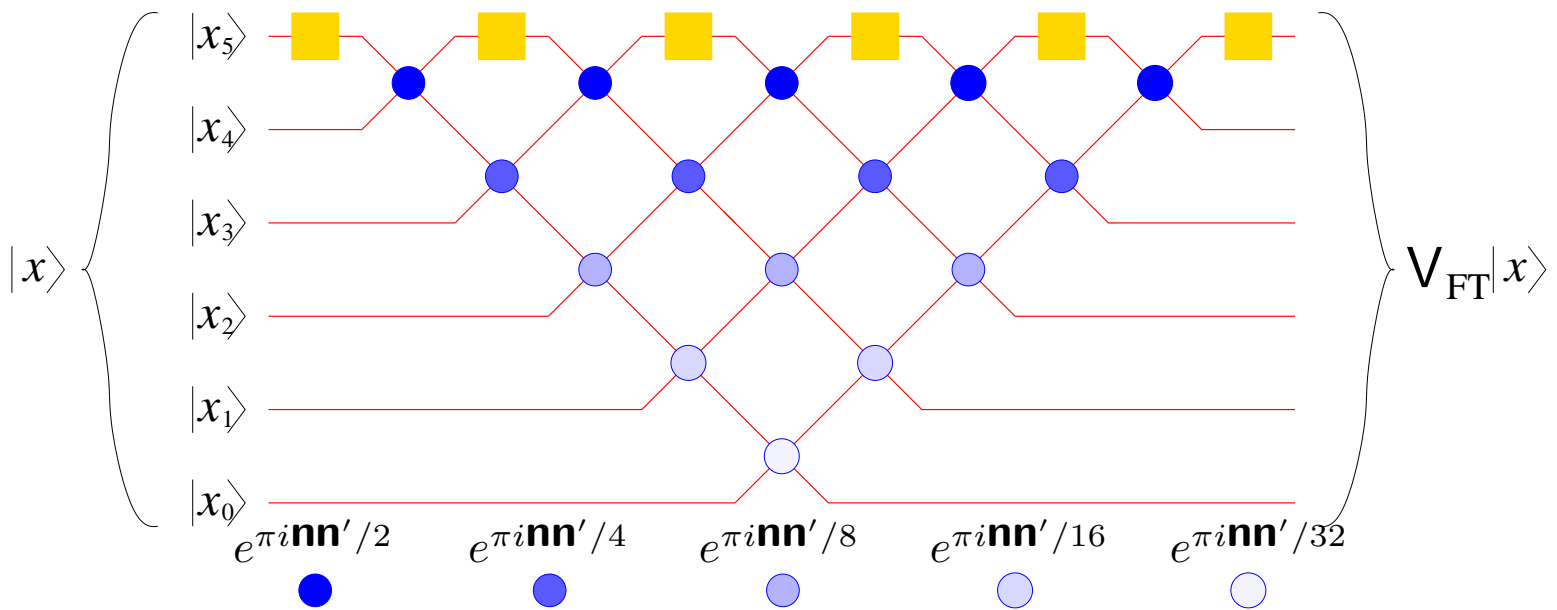
So all is indeed well

“Huge” errors (parts in 10^4) in the phase gates may result in comparable errors in the *probability* that the 300 digit number given *precisely* by the measurement gates is *the right* 300 digit number.

So the probability of getting a useful number may not be 90% but only 89.99%.

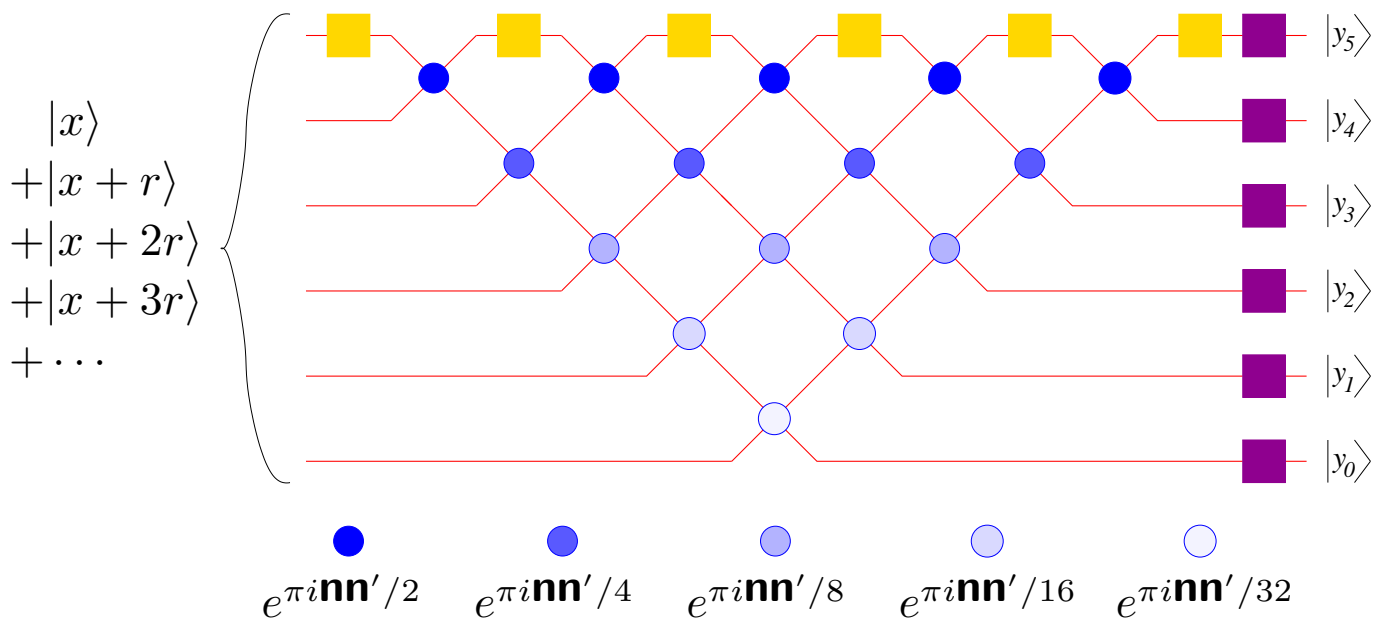
Since “90%” is actually “about 90%”
this makes no difference.

In fact this makes things even better

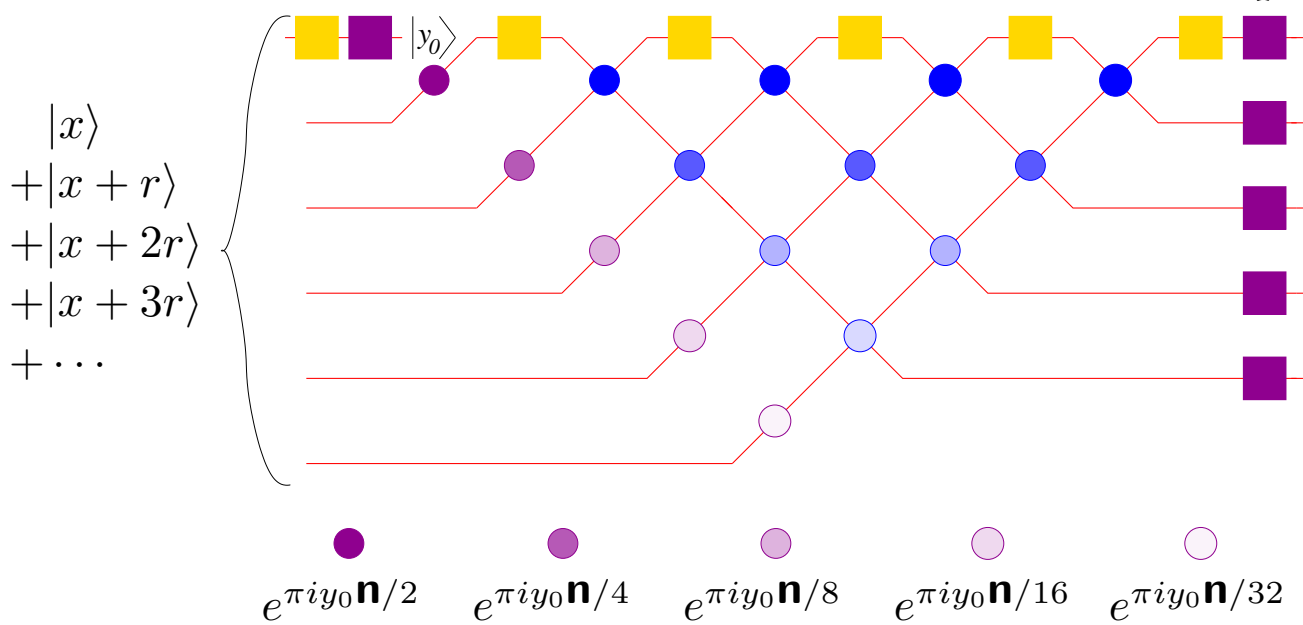


Since only top 20 layers of phase gates matter when $N > 2^{20} = 10^6$,
time for QFT scales not quadratically but linearly in number of Qbits.

Another Important Simplification 1-Qbit measurements



Another Important Simplification 1-Qbit measurements



To execute the Quantum Fourier transformation and then measure its output you only need 1-Qbit gates!

References:

Quantum Computer Science: An Introduction

N. David Mermin

Cambridge University Press

Physics Today, April and October, 2007

March, 2008

Quantum Versus Classical Programming Styles

Question: How do you calculate a^x when x is a 300 digit number?

Answer: Not by multiplying a by itself 10^{300} times!

How else, then?

Write x as a binary number: $x = x_{999}x_{998} \cdots x_2x_1x_0$.

Next square a , square the result, square *that* result ..., getting the 1,000 numbers a^{2^j} .

Finally, multiply together all the a^{2^j} for which $x_j = 1$.

$$\prod_{j=0}^{999} \left(a^{2^j} \right)^{x_j} = a^{\sum_j x_j 2^j} = a^x$$

Classical: Cbits Cheap; Time Precious

$$a^x = \prod_{j=0}^{999} \left(a^{2^j} \right)^{x_j}$$

Once and for all, make and store a look-up table:

$$a, a^2, a^4, a^8, \dots, a^{2^{999}}$$

A thousand entries, each of a thousand bits.

For each x multiply together all the a^{2^j} in the table for which $x_j = 1$.

Quantum: Time Cheap; Qbits Precious

Circuit that executes

$$a^x = \prod_{j=0}^{999} \left(a^{2^j}\right)^{x_j}$$

is not applied 2^n times to input register for each $|x\rangle$.
It is applied *just once* to input register in the state

$$|\phi\rangle = \left(\frac{1}{\sqrt{2}}\right)^n \sum_{0 \leq x < 2^n} |x\rangle.$$

So after each conditional (on $x_j = 1$) multiplication by a^{2^j}
can store $(a^{2^j})^2 = a^{2^{j+1}}$ **using same 1000 Qbits**
that formerly held a^{2^j} .

Some other things I wish they had told me:

Question:

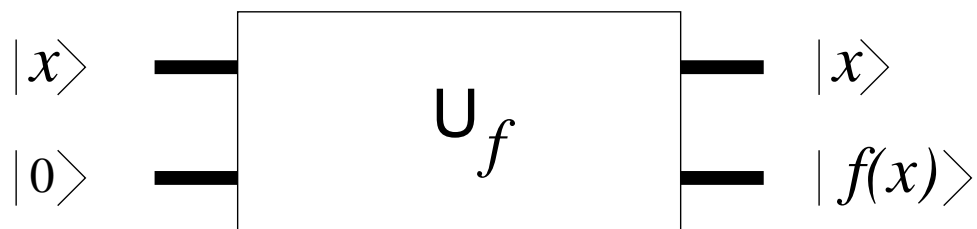
Why must a quantum computation be reversible (except for measurements)?

Superficial answer:

Because linear + norm-preserving \Rightarrow unitary and unitary transformations have inverses.

Real answer:

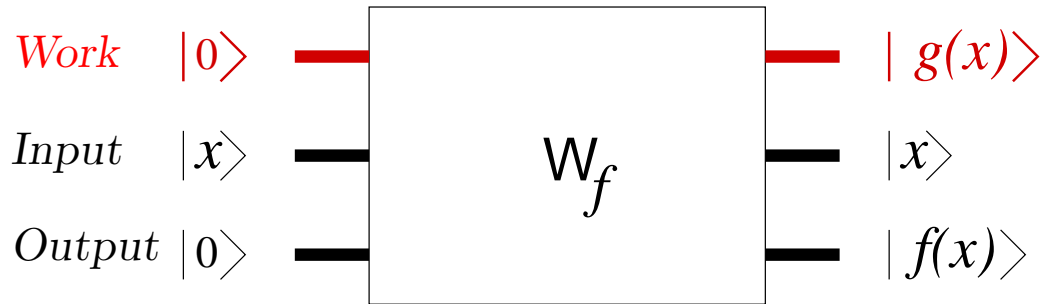
Because standard architecture for evaluating $f(x)$,



oversimplifies the actual architecture:

Need additional **work registers** for doing calculation:

Registers



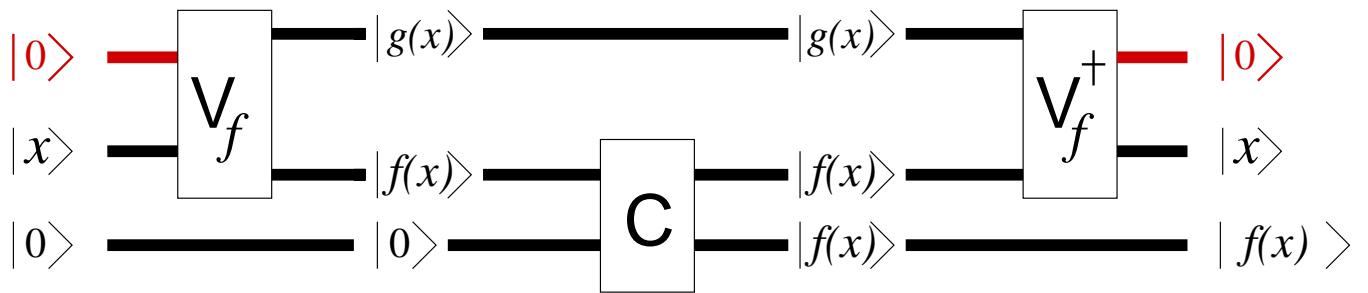
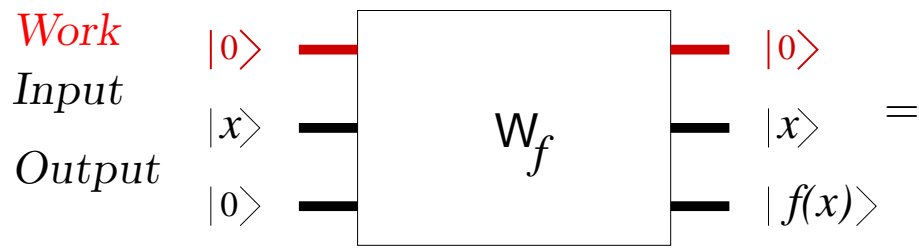
If input register starts in standard state $\sum_x |x\rangle$
then final state of all registers is $\sum_x |g(x)\rangle|x\rangle|f(x)\rangle$.

Work register **entangled** with input and out registers,
Quantum parallelism breaks down.

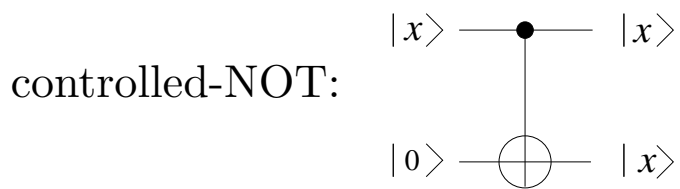
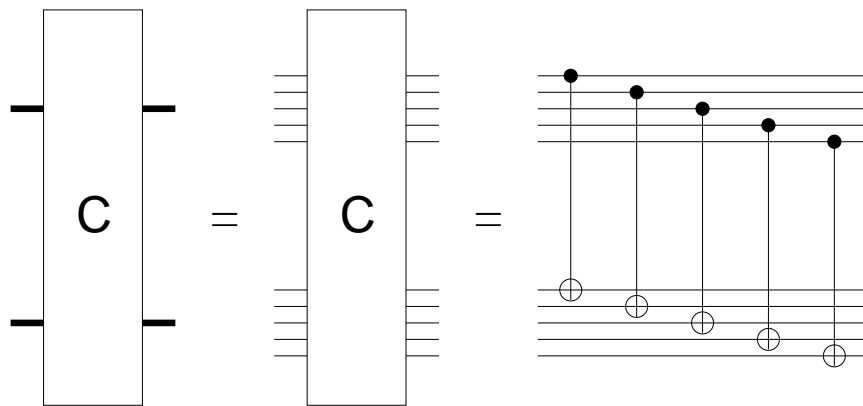
Quantum parallelism maintained if $|g(x)\rangle = |0\rangle$, for any x .

Final state is then $|0\rangle \left(\sum_x |x\rangle|f(x)\rangle \right)$.

How to keep the work register unentangled:



C is built out of 1-Qbit controlled-NOT gates:



Question:

How do you do **arithmetic** on a quantum computer?

Answer:

By copying the (pre-existing) classical theory of reversible computation.

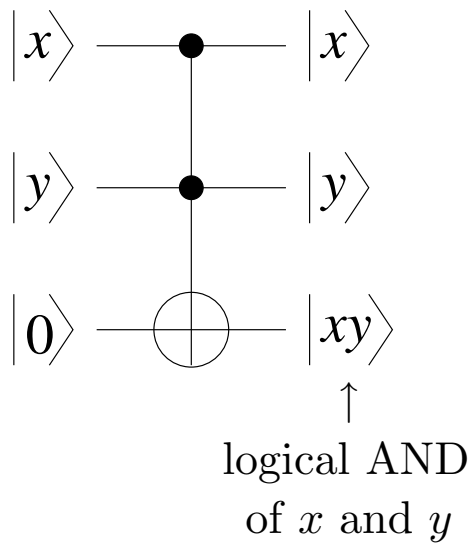
Question (from reversible-classical-computer scientist):

But that theory requires an irreducibly 3-Cbit doubly-controlled-NOT (Toffoli) gate!

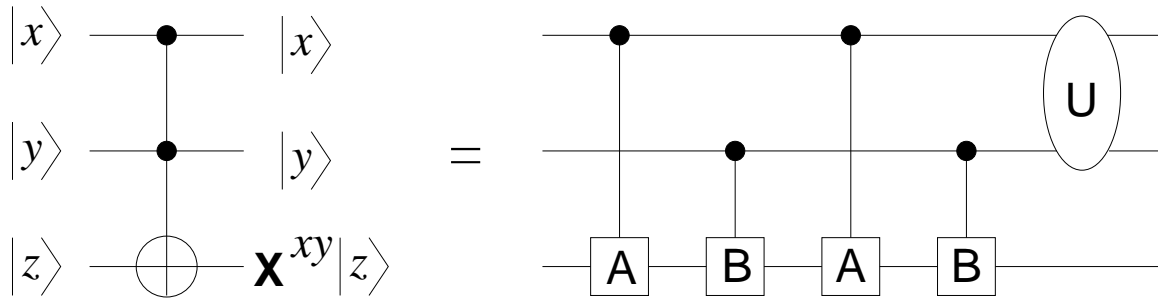
Answer:

In a quantum computer 3-Qbit Toffoli gate can be built from a few 2-Qbit gates.

The 3-Cbit Doubly-Controlled-NOT (Toffoli) gate:



Building 3-Qbit Doubly-Controlled-NOT gate from 2-Qbit gates:



$$\mathbf{X} = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} = \sigma_x \quad \mathbf{U} = e^{-\pi i \mathbf{nn}'/2}$$

$$\mathbf{A} = \hat{\mathbf{a}} \cdot \boldsymbol{\sigma} \quad \mathbf{B} = \hat{\mathbf{b}} \cdot \boldsymbol{\sigma} \quad \hat{\mathbf{a}} \times \hat{\mathbf{b}} = \hat{\mathbf{x}} \sin \theta \quad \mathbf{A}^2 = \mathbf{B}^2 = \mathbf{1}$$

$$\mathbf{AB} = \hat{\mathbf{a}} \cdot \hat{\mathbf{b}} + i \hat{\mathbf{a}} \times \hat{\mathbf{b}} \cdot \boldsymbol{\sigma} = \cos \theta + i \sigma_x \sin \theta$$

$$(\mathbf{AB})^2 = \cos 2\theta + i \sigma_x \sin 2\theta$$

If angle θ between $\hat{\mathbf{a}}$ and $\hat{\mathbf{b}}$ is $\pi/4$ then $(\mathbf{AB})^2 = i\mathbf{X} = e^{\pi i/2}\mathbf{X}$

References:

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