

# Challenges and Progress in Computational Materials Science



#### P. A. Korzhavyi

**Applied Materials Physics** 

Department of Materials Science and Engineering School of Industrial Engineering and Management Royal Institute of Technology (KTH), Stockholm



### Computational Physics (CP)



Source: R. H. Landau, Resource Letter CP-2: Computational Physics, *American Journal of Physics* **76**, 296 (2008).



# Challenges for Materials Science

- <u>Construction materials</u> for the newgeneration **energy** production plants and engines:
  - New (higher temperature) coal-burning plants;
  - Generation-IV (fast neutron) nuclear reactors;
  - Thermo-nuclear reactors;
  - Wave, wind, solar, *etc.* power stations.
     (lifetime ~ 10-50 years)
- Materials for safe immobilization and disposal of nuclear waste (industrial & military): (lifetime ~ 100 000 years)



# Challenge: Generation-IV nuclear power reactors (breeders)



The economical feasibility of Gen-IV reactors is directly related to the lifetime of structural materials. Desired materials lifetime ~ 30 - 50 y.



# Challenge: Safe disposal of nuclear waste



www.skb.se info@skb.se

Reliable predictions of materials' behaviour (spent  $UO_2$  fuel, iron insert, and copper canister) should be made for the whole period of storage. Storage period about 300 000 y.



# Challenges for Materials Science

- Materials engineers need novel design approaches: the new materials should be developed during a relatively short period (as compared to the materials' lifetime).
- Normal experimental testing of materials (during 1/3 of the expected lifetime) is not affordable.
- We have to rely on our understanding of materials as well as on computer models.
- Fundamental understanding and accurate models of materials are needed.



# Impact of XX<sup>th</sup> century physics on Materials Science



Sir Alan Cottrell

It was the century when we understood materials, scientifically, for the first time-what they are and how they perform. Two advances have been outstanding in making this possible: **X-ray analysis**, which showed us where the atoms are inside materials; and **quantum mechanics**, which explained how they interact and produce the bulk properties of matter.

Alan Cottrell

Centennial Report [MRS Bulletin 25, 43 (2000)]



### Computational experiments on "virtual" matter

#### INPUT



# 10 0 H 20 32 40 50 60 70 He I 20 32 40 50 60 70 He I 20 32 40 50 60 70 He I 20 32 40 50 60 70 10 20 Al si F 70 70 71 7



Physical laws Universal constants Methods Approximations

#### OUTPUT



FIG. 1. Theoretical (static) valence-electron density of TiC in the (100) plane obtained by LAPW calculations (Ref. 18). Contour intervals, 0.1e Å<sup>-3</sup>, cutoff at 1.7e Å<sup>-3</sup>.

Atomic numbers & coordinates.



#### HARDWARE

Electron density
 Total energy

Derivatives: forces, properties, *etc.* 



#### HERO-M: Hierarchic Engineering of Industrial Materials





# Schrödinger equation

$$\hat{H}\Psi(\mathbf{r,R}) = \left[\hat{H}_{\mathbf{r}} + \hat{H}_{\mathbf{R}} + \hat{H}_{\mathbf{r,R}}\right]\Psi(\mathbf{r,R}) = E\Psi(\mathbf{r,R})$$

Depends on the coordinates of <u>all</u> the electrons  $(\mathbf{r}_i)$  and nuclei  $(\mathbf{R}_I)$  in the system:

$$\Psi(\mathbf{r}, \mathbf{R}) \equiv \Psi(\mathbf{r}_{1}, \mathbf{r}_{2}, \mathbf{r}_{3}, ..., \mathbf{R}_{1}, \mathbf{R}_{2}, \mathbf{R}_{3}, ...) = \psi(\mathbf{r}_{1}, \mathbf{r}_{2}, \mathbf{r}_{3}, ...) \cdot \varphi(\mathbf{R}_{1}, \mathbf{R}_{2}, \mathbf{R}_{3}, ...) \equiv \psi(\mathbf{r}) \cdot \varphi(\mathbf{R})$$
  
electron wavefunction wavefunction wavefunction of nuclei  
$$\hat{H}_{\mathbf{r}} = \left[-\sum_{i} \nabla_{i}^{2} + \frac{1}{2} \sum_{i \neq j} \frac{1}{\left|\mathbf{r}_{i} - \mathbf{r}_{j}\right|}\right]; \quad \hat{H}_{\mathbf{R}} = \left[-\sum_{i} \nabla_{i}^{2} + \frac{1}{2} \sum_{i \neq j} \frac{Z_{i} Z_{j}}{\left|\mathbf{R}_{i} - \mathbf{R}_{j}\right|}\right]; \quad \hat{H}_{\mathbf{r},\mathbf{R}} = \left[-\sum_{i,j} \frac{Z_{j}}{\left|\mathbf{r}_{i} - \mathbf{R}_{j}\right|}\right]; \quad \hat{H}_{\mathbf{r},\mathbf{R}} = \left[-\sum_{i,j} \frac{Z_{j}}{\left|\mathbf{r}_{i} - \mathbf{R}_{j}\right|}\right]$$
  
el. kin. energy el.-el. interaction nuclei nuc. kin. energy nuc.-nuc. interaction el.-nuc. interaction

For a solid with ~ 10<sup>24</sup> charged particles the equation cannot be solved exactly!

 $m_{\rm p} \approx 1840 \cdot m$ 

<u>Adiabatic (Born-Oppenheimer) approximation</u> makes use of the fact that nuclei are much heavier (and, therefore, slower) than electrons. Therefore, electronic wave function can be determined first, while the nuclei are considered as static point-charges.

<u>Self-consistent field approach</u>: reduce the many body problem to a one-particle problem. Each electron moves in the effective potential created by the nuclei and *all the other* electrons. The problem is to be solved iteratively (*self-consistently*).



# Density functional theory (DFT)

Total energy of the ground state is a unique functional

$$E = T_{s}[\rho] + \int d^{3}r \, \mathbf{v}_{ext}(\mathbf{r})\rho(\mathbf{r}) + \frac{1}{2} \int d^{3}r' \int d^{3}r \frac{\rho(\mathbf{r})\rho(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} + E_{xc}[\rho]$$
  
of electron density,  $\rho(\mathbf{r}) = \sum_{i}^{occ} |\varphi_{i}(r)|^{2}$ , where  
 $T_{s}[\rho] = \sum_{i}^{occ} \int d^{3}r \, \varphi_{i}^{*}(\mathbf{r}) \left(-\frac{\nabla^{2}}{2}\right) \varphi_{i}(\mathbf{r})$  is the kin. energy,

 $\varphi_i(\mathbf{r})$  is a formal solution of Kohn-Sham equation:

$$\begin{pmatrix} -\frac{\nabla^2}{2} + \mathbf{v}_{ext}(\mathbf{r}) + \int d^3 r' \frac{\rho(\mathbf{r})}{|\mathbf{r} - \mathbf{r}'|} + \mathbf{v}_{xc}(\mathbf{r}) \end{pmatrix} \varphi_i(\mathbf{r}) = \varepsilon_i \varphi_i(\mathbf{r})$$
where  $\mathbf{v}_{xc}(\mathbf{r}) = \frac{\delta E_{xc}[\rho]}{\delta \rho(\mathbf{r})}$ 

Note that  $\varphi_i(\mathbf{r})$  is just an auxiliary function!



M. Born W. Kohn Interpretation and use of the electron density



FIG. 1. Theoretical (static) valence-electron density of TiC in the (100) plane obtained by LAPW calculations (Ref. 18). Contour intervals, 0.1e Å<sup>-3</sup>, cutoff at 1.7e Å<sup>-3</sup>.

P. Blaha and K. Schwarz, (1983).



# Exchange-correlation functionals $E_{xc}$

**LDA**<sup>\*</sup>:  $E_{xc}^{LDA} = \int d^3 r \rho(\mathbf{r}) \varepsilon_{xc}^{UEG}(\rho(\mathbf{r}))$ , where  $\varepsilon_{xc}^{UEG}(\rho)$  is the exchange-correlation energy per particle of a uniform electron gas (UEG) with density p. This quantity is known from quantum Monte Carlo calculations [D.M. Ceperley & B.J. Alder, Phys. Rev. Lett. 45, 566 (1980)]. **GGA**<sup>\*</sup>:  $E_{xc}^{GGA} = \int d^3 r \rho(\mathbf{r}) \varepsilon_{xc}^{GGA}(\rho, \nabla \rho)$ . Here  $\varepsilon_{xc}^{GGA}(\rho, \nabla \rho)$  is not uniquely defined, many different forms have been proposed, e.g. PBE [J.P. Perdew, K. Burke, & M. Ernzerhof, Phys. Rev. Lett. 77, 3865 (1996); Ibid. 78, 1396 (1997)]. **Meta-GGA:**  $E_{xc}^{MGGA} = \int d^3 r \rho(\mathbf{r}) \varepsilon_{xc}^{MGGA} (\rho, \nabla \rho, \nabla^2 \rho, \tau)$ , where  $\tau = \frac{1}{2} \sum_{i}^{occ} |\nabla \varphi_i(r)|^2$  is the kinetic energy density. E.g., PKZB [J.P. Perdew, S. Kurth, A. Zupan, & P. Blaha, Phys. *Rev. Lett.* **82**, 5179 (1999)].

**Hybride functionals, "exact exchange":**  $E_{xc}^{hyb}[\rho] = a \cdot (E_x - E_x^{GGA}) + E_{xc}^{GGA}$ , where some fraction, *a*, of the exact exchange energy,  $E_x$ , is admixed to the GGA XC energy.

$$E_{x} = -\frac{1}{2} \sum_{i,j}^{occ} \int d^{3}r \int d^{3}r' \frac{\varphi_{i}^{*}(\mathbf{r})\varphi_{j}^{*}(\mathbf{r}')\varphi_{i}(\mathbf{r}')\varphi_{j}(\mathbf{r})}{|\mathbf{r} - \mathbf{r}'|}$$

\* LDA: local density approximation; GGA: generalized gradient approximation



# Popular software packages for electronic structure calculations

- 1. Vienna Ab-initio Simulation Package (VASP) http://cms.mpi.univie.ac.at/vasp/
- 2. Quantum ESPRESSO http://www.pwscf.org/
- 3. ABINIT <u>http://www.abinit.org/</u>

http://www.abinit.org/about/presentation.pdf

4. SIESTA http://www.icmab.es/siesta/



*Ab initio* = from the beginning, from first principles



### Input to ab-initio calculations

#### Atomic numbers of the constituents



Crystal and magnetic structure

No experimental data





No adjustable parameters





# Output from *ab-initio* calculations

Volume

Crystal structure

 $\succ$  Total energy as a function of ...

Pure Fe, FP-LMTO, GGA





# Including atomic motion (molecular dynamics).



Article: J. J. Hoyt, M. Asta and A. Karma, *Method for Computing the Anisotropy of the Solid-Liquid Interfacial Free Energy,* Phys. Rev. Lett. **86**, 5530-5533 (2001). See also: http://cms.northwestern.edu/Intro.html

Potential energy for a system of N particles

$$U = U(\mathbf{r}_1, \mathbf{r}_2, \dots, \mathbf{r}_N) = U_0 + \sum_i U(\mathbf{r}_i)$$

$$+\frac{1}{2}\sum_{i,j}V^{(2)}(\mathbf{r}_{i},\mathbf{r}_{j})+\frac{1}{6}\sum_{i,j,k}V^{(3)}(\mathbf{r}_{i},\mathbf{r}_{j},\mathbf{r}_{k})+\dots$$

$$+\frac{1}{N!}\sum_{i,j,\dots,n}V^{(N)}(\mathbf{r}_{i},\mathbf{r}_{j},\dots,\mathbf{r}_{n})$$
(N-body potential)



### Atomic motion: Phonons.

Hamiltonian for atomic motion (harmonic approx.)

$$H = \frac{1}{2} \sum_{i} M_{i} [\dot{u}(i)]^{2} + \frac{1}{2} \sum_{i,j} u^{T}(i) \Phi(i,j) u(j),$$

Force constants

$$\Phi_{\alpha\beta}(i,j) = \frac{\partial^2 E}{\partial u_{\alpha}(i) \,\partial u_{\beta}(j)} \bigg|_{u(l) = 0 \,\forall l}$$

Dynamical matrix to diagonalize

$$D = \begin{pmatrix} \frac{\Phi(1,1)}{\sqrt{M_1M_1}} & \cdots & \frac{\Phi(1,N)}{\sqrt{M_1M_N}} \\ \vdots & \ddots & \vdots \\ \frac{\Phi(N,1)}{\sqrt{M_NM_1}} & \cdots & \frac{\Phi(N,N)}{\sqrt{M_NM_N}} \end{pmatrix}$$

Theory: X.Dai *et al.*, *Science* **300**, 953 (2003).

Expt: J. Wong *et al.*, *Science* **301** 1078 (2003).



**Fig. 2.** Phonon dispersions along high-symmetry directions in  $\delta$ -Pu–0.6 weight % Ga alloy. The longitudinal and transverse modes are denoted L and T, respectively. The experimental data are shown as circles. Along the  $[0\xi\xi]$  direction, there are two transverse branches,  $[011]\langle 011 \rangle$  (T<sub>1</sub>) and  $[011]\langle 100 \rangle$  (T<sub>2</sub>). The softening of the TA[111] branch toward the L point is apparent. The lattice parameter of our samples is a = 0.4621 nm. The solid curves are the fourth-nearest neighbor Born–von Kármán (B-vK) model fit. The derived phonon density of states, normalized to three states per atom, is plotted in the right panel. The dashed curves are calculated dispersions for pure  $\delta$ -Pu based on DMFT (6).

See A. van de Walle and G. Ceder, *RMP* **74**, 11 (2002) for a review.



### Phonon spectrum of Cu<sub>2</sub>O



P.A. Korzhavyi and B. Johansson, Technical Report TR-10-30, (SKB, Stockholm, 2010).

# Thermodynamic properties of Cu<sub>2</sub>O



$$E_{0} = U_{0} + \frac{1}{2} \int_{0}^{\infty} \hbar \omega g(\omega) d\omega \qquad \qquad C_{V} = k_{\rm B} \int_{0}^{\infty} \left(\frac{\hbar \omega}{2k_{\rm B}T}\right)^{2} \frac{g(\omega) d\omega}{\sinh^{2} (\hbar \omega/2k_{\rm B}T)}$$
$$E(T) = E_{0} + \int_{0}^{\infty} \frac{\hbar \omega g(\omega) d\omega}{\exp (\hbar \omega/k_{\rm B}T) - 1} \qquad F(T) = U_{0} + k_{\rm B}T \int_{0}^{\infty} \log \left[2\sinh\left(\hbar \omega/2k_{\rm B}T\right)\right] d\omega$$



# Bonding in simple metals

"Sea" of valence electrons (the outermost shell).



Ionic "core" (localized electrons belonging to inner shells).

Pair potential between two ions in a simple metal is the result of a direct Coulomb interaction between ions and of an *indirect* interaction due to scattering of valence electrons on ions.

The *indirect* interaction is a purely quantum-mechanical effect. Many ions can participate in the interaction process. This leads, in general, to multi-atom (many-body) interactions.



### Thermodynamics and magnetism of iron

PHYSICAL REVIEW

VOLUME 102, NUMBER 6

JUNE 15, 1956

Components of the Thermodynamic Functions of Iron

R. J. WEISS AND K. J. TAUER\* Ordnance Materials Research Office, Watertown Arsenal, Watertown, Massachusetts (Received January 23, 1956)

Hillert & Jarl (1978)

Magnetic energy,  $\alpha$ -Fe:

$$E_{\text{magn}} = \int_{0}^{\infty} C_{\text{magn}} dT \cong \mathbf{1103} \mathbf{K}$$



Magnetic entropy ,  $\alpha$ -Fe:

FIG. 1. Specific heat of  $\alpha$  (b.c.c.) Fe. Curve A is the calculated lattice specific heat for a Debye  $\theta = 420^{\circ}$ K. Curve B includes the electronic specific heat.

$$S_{\text{magn}} = \int_{0}^{\infty} \frac{C_{\text{magn}}}{T} dT \cong R \ln(2s+1) \cong 1.169 \cdot K$$



### First-principles approach to iron and steel

Pure Fe: EMTO, GGA





Levente Vitos Hans Skriver Andrei Ruban Igor Abrikosov

Exact Muffin-Tin Orbitals theory (O.K. Andersen 1994, L. Vitos, H.L. Skriver 2000)

**Coherent Potential Approximation** 

-chemical and magnetic disorder



#### **EMTO-CPA** method

L. Vitos, I.A. Abrikosov, B. Johansson, Phys. Rev. Lett. **87**, 156401 (2001).



# Coherent potential approximation (CPA)

P.Soven, Phys. Rev. **156**, 809 (1967). D.W.Taylor, Phys. Rev. **156**, 1017 (1967).



J.S. Faulkner: "The modern theory of alloys", Progr. Mater. Science **27**, 1 – 187 (1982). A.V. Ruban and I.A. Abrikosov: "Configurational thermodynamics of alloys from first principles: effective cluster interactions", Rep. Prog. Phys. **71**, 046501 (2008)



Reviews on O(N) Methods:

S. Goedecker, Rev. Mod. Phys. 71, (1999); <u>http://arXiv.org/abs/cond-m3at/980607</u>. P. Ordejón, Comp. Mat. Sci. 12, 157 (1998).

















#### Linear scaling methods (LSGF, SIESTA): access to large systems (disorder, defects).

Sulfur–segregated GB  $\Sigma = 5(310)[001]$ 















# Cu precipitation in Fe: a serious issue for nuclear reactor safety



Fig. 2. Dislocation line in the  $(1\bar{1}0)$  slip plane at the critical stress  $\tau_c$  for different precipitate sizes at 0 K.

- Nano-sized precipitates of bcc Cu form under irradiation of reactor pressure vessel (RPV) steels
- Cu particles impede dislocation motion by pinning the dislocation lines – strengthening effect
- Increase in yield strength correlates with the increase of brittle-to-ductile transition temperature – embrittlement

K.C. Russell and L.M. Brown: A dispersion strengthening model based on differing elastic moduli applied to the iron-copper system, Acta Metall. 20, 969 – 974 (1972).
D.J. Bacon and Yu.N. Osetsky: Hardening due to copper precipitates in α-iron studied by atomic-scale modelling, Journal of Nuclear Materials 329–333, 1233–1237 (2004).
N. Sandberg and P. Korzhavyi: Theoretical study of irradiation induced hardening and embrittlement in spent nuclear fuel holders, relevant for the Swedish long-term storage, SKB R-09-15 (2009).



# Energies of defect clusters from first-principles calculations

In order to make reliable long-term predictions, a firm knowledge of the driving forces and of the atomic mechanisms for precipitation at low temperatures. The challenging task is to deduce these mechanisms and to compute the relevant energies from first principles.





Fig. 2. Vacancy migration energy in a presence of one Cu atom: (a) ab initio, (b) potential FS-1, (c) potential EAM-1. The empty squares are vacancies, the empty circles are Fe atoms and the grey circles are Cu atoms. The bottom caption is the vacancy migration energy in pure Fe.

**C.S. Becquart and C. Domain:** *"Ab initio* contribution to the study of complexes formed during dilute FeCu alloys radiation", Nuclear Instruments and Methods in Physics Research B, 202, 2003, pp. 44 – 50



#### Solubility and diffusivity of Cu in Fe from first principles!

F. Soisson and C.-C. Fu: PHYSICAL REVIEW B 76, 214102 (2007)

Cu-PRECIPITATION KINETICS IN  $\alpha$ -Fe FROM...



FIG. 2. Vacancy jump frequencies ( $\Gamma_i$ ) around a copper atom (gray) and its neighboring iron atoms (white). The arrows indicate the direction of the vacancy jumps; the circled numbers are the order of neighbors to the copper atom.



FIG. 5. (Color online) Iron self-diffusion and copper impurity diffusion coefficients. Comparison between the experimental data (Refs. 1 and 36); the coefficients used in our AKMC simulations and the coefficients used in the cluster dynamics modeling of Christien and Barbu (Ref. 8) and Golubov *et al.* (Refs. 6 and 7).



### Monte Carlo study of vacancy ordering



Order of vacancies in the (111) layers of  $Ti_3C_2$  and  $Ti_6C_5$ :



P. Korzhavyi et al., Phys. Rev. Lett. 88, 15505 (2002).

Monte Carlo

- Simulation box: 32x32x32 (32768 carbon sites)
- 5000 time steps per site



# Combined ab initio and Calphad study of vacancy order in Ti(C,N)<sub>X</sub>

D.A. Andersson, P.A. Korzhavyi and B. Johansson, "First-principles based calculation of binary and multicomponent phase diagrams for titanium carbonitride", CALPHAD, **32**, 543 (2008) **BEST PAPER AWARD (CALPHAD 2009)** 





Distinguishing features of *ab-initio* calculations as a modern research tool

- Atomic-scale resolution
- > Access to the properties of metastable or unstable systems.
- > Access to phase equilibria at low temperatures.
- Excellent control of "experimental" conditions.
- > Ab intio calculations are restricted to simple structures, typically <1000 atoms. With O(N) up to 10<sup>5</sup> atoms.
- In order to access the properties of more realistic (complex) systems, ab initio calculations must be combined with statistical-mechanical or thermodynamic modeling (e.g., Molecular Dynamics, Monte Carlo, etc.).