



The University of Iceland

Black holes without firewalls

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K. Larjo, D. Lowe & LT - in preparation

D. Lowe & LT - PRD 73 (2006) 104027; PRD 60 (1999) 104012

Talk at Holographic Way program, October 8, 2012

Although there has been a lot of work in the last fifteen years [...], I think it would be fair to say that we do not yet have a fully satisfactory and consistent quantum theory of gravity.



Particle Creation by Black Holes

Stephen W. Hawking April, 1975 Although much work remains to be done there seem to be no insuperable obstacles to deriving all of known physics from the $E8 \times E8$ heterotic string.

HETEROTIC STRING THEORY (I): The free heterotic string

David J. Gross, Jeffrey A. Harvey, Emil Martinec and Ryan Rohm February, 1985









...the euclidean formulation of [quantum] gravity is not a subject with firm foundations and clear rules of procedure; indeed, it is more like a trackless swamp. I think I have threaded my way through it safely, but it is always possible that unknown to myself I am up to my neck in quicksand and sinking fast.



WHY THERE IS NOTHING RATHER THAN SOMETHING: A theory of the cosmological constant

Sidney Coleman May 1988

Black hole evolution



Black hole spacetime

- classical general relativity -



Schwarzschild black hole

There is a curvature singularity at r = 0

but

the geometry is non-singular at the event horizon

$$R_{\mu\nu\lambda\sigma} R^{\mu\nu\lambda\sigma} = \frac{48M^2}{r^6} \longrightarrow \begin{cases} \infty & \text{as} \quad r \to 0\\ \frac{3}{4M^4} & \text{as} \quad r \to 2M \end{cases}$$

Spacetime is almost flat at the horizon of a large black hole

$$R_{\mu\nu\lambda\sigma} R^{\mu\nu\lambda\sigma}\Big|_{r=2M} \longrightarrow 0 \quad \text{as} \quad M \to \infty$$

Particle emission from black holes

Hawking '74

Quantum mechanics: $\Delta E \Delta t \sim \hbar$

Virtual pair created in flat spacetime

- particle energies ${\it E}$ and {\it E}
- particle with -*E* cannot propagate
- pair annihilates within time $\sim \hbar/E$

Virtual pair created near a black hole

- particle with *-E* tunnels into black hole region
- partner with +*E* escapes to $r \to \infty$ --> Hawking radiation

Result follows from QFT in a classical black hole background

The black hole loses mass --> Black hole evaporation



Black hole evaporation

According to Hawking's semi-classical calculation, black hole radiation is thermal

Hawking temperature:

$$T_H = 6 \times 10^{-8} \left(\frac{M_{\odot}}{M}\right) \mathrm{K}$$

Black hole lifetime:

$$\tau \sim 10^{71} \left(\frac{M}{M_{\odot}}\right)^3 \mathrm{s}$$

Age of universe $\sim 5\times 10^{17}~{\rm s}$

Semi-classical black hole



Effective field theory



- assume that local effective field theory can be applied in regions of weak curvature, away from black hole singularity
- the explicit form of the effective field theory is not needed
- construct a convenient set of Cauchy surfaces
 - 'nice' time slices

Wald '93 Lowe, Polchinski, Susskind, LT, Uglum '95

- effective field theory Hamiltonian generates unitary evolution of states
- nice slice Hamiltonian is time-dependent
 - ---> Hawking emission

Formulation of the paradox



- prepare singlet pair (#1,#2)
- keep #2 outside and send #1 into black hole
- #1free and #1accel measure spin along z-axis
- #2 measures spin either along z-axis or x-axis
- local qft \Rightarrow independent measurements by
 - #1 free and #1 accel
- if they disagree they discover that #2 measured along x-axis \Rightarrow acausal signal from #2 to #1

Some suggested resolutions

- Non-unitary evolution Hawking '76
 - generalized quantum mechanics Hawking '82
- Black hole remnants Aharonov, Casher, Nussinov '87 Banks, O'Loughlin '93
- Information returned in Hawking radiation Page '80, 't Hooft '91
 - black hole complementarity Susskind, LT, Uglum '93 Kiem, Verlinde, Verlinde '93
 - eternal AdS black holes Maldacena '01
 - final state projection Horowitz, Maldacena '03
- Non-singular quantum geometry
 - supergravity fuzzballs Mathur, Saxena, Srivastava '03

Information loss

Purely thermal Hawking radiation implies non-unitary evolution Hawking '76

Generalized quantum mechanics Hawking '82

- replace states by density matrices
- replace S matrix by super-scattering operator \$

Energy not conserved - vacuum heats up to Planck temperature Banks, Susskind, Peskin '84 Ellis, Hagelin, Nanopoulos, Srednicki '84

Decoherence without dissipation Unruh, Wald '95; Unruh '12

Black hole remnants



Information about initial state stored in a stable remnant Aharonov, Casher, Nussinov '87

Need a Planck scale remnant for every possible initial black hole

- infinite degeneracy of states
- divergent contribution to quantum loops



Possible loophole: Remnants with large intrinsic geometry Banks, O'Loughlin '92 Hossenfelder, Smolin '09

Information return

Postulates: 't Hooft '90 Susskind, LT, Uglum '93 Kiem, Verlinde, Verlinde '93

- I. Black hole evolution, as viewed by a distant observer, is described by quantum theory with a unitary S-matrix relating the state of infalling matter to that of outgoing radiation.
- 2. Outside the stretched horizon of a massive black hole, physics can be described to good approximation by a set of semi-classical field equations.
- 3. To a distant observer, a black hole appears to be a quantum system with discrete energy levels. The dimension of the subspace of states that describe a black hole of mass M is

$$\exp\left(\frac{A}{4}\right) = \exp\left(4\pi M^2\right)$$

Black hole complementarity Susskind, LT, Uglum '93

There is no contradiction between outside observers finding information encoded in Hawking radiation and infalling observers entering a black hole unharmed.

- Apparent violation of no-cloning theorem of QM
- Low energy observers in any single reference frame cannot detect duplication of information
- Contradictions only arise when descriptions in very different reference frames are compared
- BHC is consistent with known low-energy physics but implies non-locality and a new degree of relativity in spacetime physics

Tests of black hole complementarity

Membrane paradigm Thorne, Price, MacDonald '82-'86

Replace black hole by a stretched horizon -- a membrane 'near' the event horizon

In astrophysical applications 'near' means close compared to f.ex. distance to companion in a binary system

Quantum mechanical stretched horizon Susskind, LT, Uglum'93

Minimal stretching: $A_{\rm sh} = A_{\rm eh} + 1$ Unspecified microphysics with# of states $= \exp(A/4)$

Gedanken eperiments Susskind, LT '93

Apparent violations of BHC can be traced to assumptions about physics at Planck energy (or higher)

Information paradox involves Planck scale in subtle ways

Firewall for infalling observers?

Revisit gedanken experiment



>> limited measurement accuracy

Ofar measures state of Hawking radiation to arbitrary accuracy

>> projects BH state into eigenstate of Hawking radiation

State of infalling observer is also projected

>> observation of Hawking radiation burns infalling observer at horizon D.Lowe, LT '06 A.Almheiri, D. Marolf, J. Polchinski, J. Sully '12

Limitations of local effective field theory

'Nice' time slices: Wald '93; Lowe, Polchinski, LT, Susskind, Uglum '95



Cauchy surfaces that intersect worldlines of both infalling matter and (most of) the outgoing Hawking radiation

Avoid the region of strong curvature near black hole singularity

Local extrinsic curvature of a nice slice is small everywhere

Global properties are, however, not so nice

Enormous relative boost between inside and outside of black hole

Gravitational back-reaction leads to a breakdown of local effective field theory when the relative boost gets large Giddings and Lippert '04; Lowe, LT '06

Boost bound

Rindler region $r - r_h \ll r_h$ of a large black hole is nearly flat

Consider effective field theory with cutoff Λ

Two wave-packets with energies of order Λ at arbitrary separation on a given time-slice should not produce large back-reaction Giddings and Lippert '04

Apply hoop conjecture (Thorne '72) to wavepackets in Rindler region

ightarrow boost bound $\Lambda\gamma_{
m max}=M$

The bound restricts bending of time slices

Nice slices run into boost bound at

$$t_{\rm bb} \sim r_h \log\left(\frac{M}{\Lambda}\right)$$

Lowe, LT '06





Timeslices satisfying the boost bound run into singularity before information is returned to outside observers

Lowe, LT '06

Input from string theory

Black hole entropy Strominger, Vafa '96

String theory provides a microphysical basis for the entropy of a certain class of (supersymmetric) black holes

$$S_{\rm bh} = \frac{A}{4} = \log\left(\# \text{ of microstates}\right)$$

-- leaves no room for black hole remnants

Gauge theory / gravity correspondence Maldacena '97 Nonpertubative string theory defined in terms of unitary quantum field theory

-- bounds on non-local effects in unitary black hole evolution in AdS/CFT Lowe, LT '99 & '06

AdS-Schwarzschild black holes

Hawking temperature:

Large AdS-S black hole:

$$T_{\rm H} = \frac{1}{4\pi r_h} \left(1 + \frac{r_h^2}{\ell^2} \right)$$
$$r_h \gg \ell \implies T_{\rm H} \gg \frac{1}{\ell}$$

-- dual to thermal state in the gauge theory.

- -- observers in free fall measure low T S.Hemming, LT '07; E.Brynjolfsson, LT '08
- -- does not evaporate (\rightarrow eternal AdS bh's Maldacena '01)

Consider small AdS black holes instead: $r_h \ll \ell$

-- unitary evolution of small black holes in AdS/CFT D.Lowe, LT '99 & '06

-- no explicit gauge theory calculations available