# Probing Higher Spin Black Holes

Per Kraus (UCLA)

1209.4937 P.K., Eric Perlmutter

#### Introduction

- Higher spin gravity is an (apparently) consistent theory that sits "midway" between low energy field theory and string theory (Vasiliev)
  - infinite towers of fields
  - nonlocal dynamics
  - huge enlargement of gauge symmetry
- Extra symmetry provides soluble examples of AdS/CFT correspondence (Klebanov/Polyakov; Gaberdiel/Gopakumar; ...)
  - can we gain insight into the big problems of quantum gravity?

## 3D HS Gravity

ightharpoonup Extension of Chern-Simons formulation of ordinary gravity with  $\Lambda < 0$  (Achucarro, Townsend / Witten)

vielbein 
$$e^a_\mu$$
, spin connection  $\omega^a_\mu = \frac{1}{2} \epsilon^a_{\ bc} \omega^{bc}_\mu$ 

SL(2,R) x SL(2,R) gauge fields 
$$A=(\omega^a+\frac{1}{l}e^a)J_a$$
 ,  $\overline{A}=(\omega^a-\frac{1}{l}e^a)J_a$  
$$[J_a,J_b]=\epsilon_{ab}^{\ \ c}J_c$$

$$R_{\mu\nu} = \frac{1}{l^2} g_{\mu\nu} \quad \longleftrightarrow \quad \frac{dA + A \wedge A = 0}{d\overline{A} + \overline{A} \wedge \overline{A} = 0}$$

$$S = \frac{k}{4\pi} \int \text{Tr}(AdA + \frac{2}{3}A^3) - \frac{k}{4\pi} \int \text{Tr}(\overline{A}d\overline{A} + \frac{2}{3}\overline{A}^3) \qquad k = \frac{l}{4G} = \frac{c}{6}$$

- Replacing SL(2) by a larger algebra that contains SL(2) yields a higher spin gravity theory
- Ordinary 3D gravity is a consistent subsector
  - example: SL(3) describes ordinary gravity
     coupled to a massless spin-3 field (Campoleonii et. al.)

$$g_{\mu\nu} \sim \text{Tr}(e_{\mu}e_{\nu}) , \quad \varphi_{\alpha\beta\gamma} \sim \text{Tr}(e_{\alpha}e_{\beta}e_{\gamma})$$

$$e \sim A - \overline{A}$$

Gauge symmetry includes coord. transformations under which  $g_{\mu\nu}$  and  $\varphi_{\alpha\beta\gamma}$  transform as tensors, as well as spin-3 gauge transformations under which  $g_{\mu\nu}$  transforms in novel way

e.g. Ricci scalar not gauge invariant

Just as SL(2) gravity has asymptotic Virasoro symmetry, HS theories have asymptotic Walgebras containing higher spin currents

(Henneaux/Rey; Campoleoni et. al.)

Pure HS theory contains no propagating degrees of freedom.

#### <u>Matter</u>

Attempt to introduce scalar matter via equation

$$dC + AC - C\overline{A} = 0$$

- For gauge group SL(2) (or other finite dimension group)
   this leads to an unfamiliar finite dimensional system
- For appropriate infinite dimensional gauge group get
   Klein-Gordon equation when evaluated in AdS
- Above construction appears in linearized version of full nonlinear Vasiliev equations (Prokushkin, Vasiliev)
  - Mass of scalar, and its interactions, fixed by gauge symmetry

#### Higher spin gauge algebra: hs(λ)

 $\bullet$  Introduce  $y_{1,2}$  and the Moyal product:

$$f(y_{\alpha}) * g(y_{\beta}) = e^{i\epsilon^{\alpha\beta}\partial_{\alpha}\partial_{\beta}'} f(y_{\alpha})g(y_{\beta}')\Big|_{y'=y}$$
$$[y_{1}, y_{2}]_{*} = 2i$$

- Elements of hs(1/2) are symmetric, even degree polynomials  $V_m^s \sim y_1^{s+m-1}y_2^{s-m-1}$ 
  - SL(2) generated by:

$$L_1 = -\frac{i}{4}y_1^2$$
,  $L_0 = -\frac{i}{4}y_1y_2$ ,  $L_{-1} = -\frac{i}{4}y_2^2$ 

• General case of  $hs(\lambda)$  obtained from deformed commutator:  $[y_1, y_2]_* = 2i(1 + \nu k), \quad \lambda = (1 + \nu)/2$ 

$$hs(N) = SL(N)$$

## Scalar wave equation

AdS:

$$A = e^{\rho} V_1^2 dz + V_0^2 d\rho$$

$$\overline{A} = e^{\rho} V_{-1}^2 d\overline{z} - V_0^2 d\rho$$

$$\Rightarrow ds^2 = d\rho^2 + e^{2\rho} dz d\overline{z}$$

$$V_{\pm 1}^2 = L_{\pm 1} , \quad V_0^2 = L_0$$

Scalar equation:  $dC + AC - C\overline{A} = 0$  $C = \sum_{ms} C_m^s(x^\mu) V_m^s$ 

• plug in and solve recursively. Lowest component is constrained to obey:

$$[\nabla^2 - (\lambda^2 - 1)]C_0^1 = 0$$

KG equation with:  $m^2 = \lambda^2 - 1$ 

Higher spin deformation of background leads to higher derivative scalar wave equation

e.g.: 
$$A=e^{\rho}V_1^2dz+V_0^2d\rho-\eta e^{2\rho}V_2^3d\overline{z}$$
 
$$\overline{A}=e^{\rho}V_{-1}^2d\overline{z}-V_0^2d\rho$$
 
$$\Rightarrow \ ds^2=d\rho^2+e^{2\rho}dzd\overline{z}\ , \quad \varphi_{\overline{z}\overline{z}\overline{z}}\sim\eta e^{4\rho}$$

- scalar equation:  $[\nabla^2 4\eta e^{-2\rho}\partial^3 (\lambda^2 1)]C_0^1 = 0$
- Solution of generalized wave equation leads to AdS 3-point correlation functions:

$$\langle \mathcal{O}_{\pm}(z_1)\overline{\mathcal{O}}_{\pm}(z_2)J^{(s)}(z_3)\rangle = \frac{(-1)^{s-1}}{2\pi}\frac{\Gamma(s)^2}{\Gamma(2s-1)}\frac{\Gamma(s\pm\lambda)}{\Gamma(1\pm\lambda)}\left(\frac{z_{12}}{z_{13}z_{23}}\right)^s\langle \mathcal{O}_{\pm}(z_1)\overline{\mathcal{O}}_{\pm}(z_2)\rangle$$

$$\mathcal{O}_{-} \sim \text{standard quantization} \qquad \qquad \text{(Ammon, P.K., Perlmutter)}$$

$$\mathcal{O}_{+} \sim \text{alternate quantization}$$

# **Duality**

• Gaberdiel and Gopakumar conjecture duality between bulk  $hs[\lambda]$  theory and  $W_N$  minimal model CFT

$$\frac{SU(N)_k \oplus SU(N)_1}{SU(N)_{k+1}} \quad k, N \to \infty , \quad \lambda = \frac{N}{k+N} \text{ fixed}$$

$$c \sim N(1 - \lambda^2)$$

various checks based on symmetries, spectrum, etc.e.g.:

$$\langle \mathcal{O}_{\pm}(z_1)\overline{\mathcal{O}}_{\pm}(z_2)J^{(s)}(z_3)\rangle = \frac{(-1)^{s-1}}{2\pi} \frac{\Gamma(s)^2}{\Gamma(2s-1)} \frac{\Gamma(s\pm\lambda)}{\Gamma(1\pm\lambda)} \left(\frac{z_{12}}{z_{13}z_{23}}\right)^s \langle \mathcal{O}_{\pm}(z_1)\overline{\mathcal{O}}_{\pm}(z_2)\rangle$$

 much of the challenge in proving duality involves defining the bulk theory at the fully quantum, nonperturbative level

#### Black Holes

- Black hole solutions carrying higher spin charge have been constructed (Gutperle, P.K; P.K., Perlmutter)
- Solutions contribute to generalized partition functions  $Z(\beta, \mu_i) = \text{Tr}\left[e^{-\beta(H+\sum_i \mu_i Q_i)}\right]$
- Partition function extracted from bulk matches that of dual CFT in high temperature regime

(P.K., Perlmutter; Gaberdiel, Hartman, Jin)

Main subtlety involves interpretation of spacetime solution. Non-obvious causal structure due to higher spin gauge invariance

## **Building HS Black Holes**

BTZ:

$$\frac{A = (e^{\rho}L_1 - \frac{2\pi}{k}e^{-\rho}\mathcal{L}L_{-1})dx^+ + L_0d\rho}{\overline{A} = -(e^{\rho}L_{-1} - \frac{2\pi}{k}e^{-\rho}\overline{\mathcal{L}}L_1)dx^- - L_0d\rho} \qquad BTZ$$

$$\mathcal{L} = \frac{M-J}{4\pi} \quad \overline{\mathcal{L}} = \frac{M+J}{4\pi}$$

Now add in spin-3 chemical potential. Ward identity analysis establishes:

$$A_- \sim \mu e^{2\rho} W_2 + \cdots$$
 chiral spin-3 chemical potential spin-3 generator

- Secondarial Expect this to induce spin-3 charge:  $A_+ \sim e^{-2\rho} WW_{-2}$ 
  - In  $hs(\lambda)$  case expect infinite number of charges to be induced, due to nonlinear symmetry algebra

#### Smoothness conditions

 ordinary gravity: relation between (M,Q) and their conjugate potentials (T,μ) fixed by smoothness at Euclidean horizon



Inapplicable for HS black holes, since even existence of event horizon is a gauge dependent statement. Need a new gauge invariant condition

## **Thermodynamics**

$$Z(\tau, \alpha) = \text{Tr}\left[e^{4\pi^2 i(\tau \mathcal{L} + \alpha \mathcal{W})}\right]$$

holonomy condition implies integrability:

$$\frac{\partial \mathcal{L}}{\partial \alpha} = \frac{\partial \mathcal{W}}{\partial \tau}$$

$$\ln Z(\tau,\alpha) = \frac{i\pi k}{2\tau} \left[ 1 - \frac{4}{3} \frac{\alpha^2}{\tau^4} + \frac{400}{27} \frac{\lambda^2 - 7}{\lambda^2 - 4} \frac{\alpha^4}{\tau^8} - \frac{1600}{27} \frac{5\lambda^4 - 85\lambda^2 + 377}{(\lambda^2 - 4)^2} \frac{\alpha^6}{\tau^{12}} + \cdots \right]$$

valid for: 
$$\tau \to 0$$
,  $\alpha \to 0$ ,  $\frac{\alpha}{\tau^2}$  fixed

 first few terms found to agree with CFT computation based on modular properties of partition function

## Holonomy condition

gauge invariant information captured by holonomies of CS gauge fields

$$H = Pe^{\oint A}$$
,  $\overline{H} = Pe^{\oint \overline{A}}$ 

- We demand that holonomy around Euclidean time circle should be in center of gauge group
- Gives precisely enough information to fix all charges in terms of the potentials, and allows for a consistent thermodynamic interpretation

#### Causal structure

Metric for non-rotating case takes form

$$ds^2=d
ho^2-F(
ho)dt^2+G(
ho)d\phi^2$$
 
$$F(
ho),\;G(
ho)\,>\,0\quad {\hbox{no event horizon!}}$$

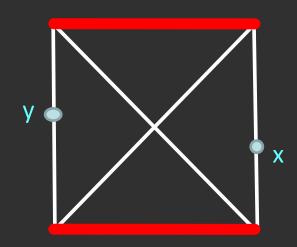
traversable wormhole:



But when holonomy conditions are obeyed, one can find a true black hole metric somewhere on this gauge orbit (Ammon, Gutperle, P.K., Perlmutter)

#### Probing causual structure

- Our "black hole" metrics either look like traversable wormholes or black holes, depending on choice of gauge
- To map out physical causal structure we can compute AdS/CFT two-point functions of probe scalars, and look for lightcone singularities



Black hole causal structure:G(x,y) nonsingular

## Scalar two-point function

Elegant approach to obtaining scalar bulk-boundary propagator: start from propagator at  $A = \overline{A} = 0$ , then gauge transform to physical solution c.f. (Giombi/Yin)

starting point: 
$$A=\overline{A}=0$$
,  $C=c$  no spacetime dependence gauge transform:  $A=g^{-1}\star dg$ ,  $\overline{A}=\overline{g}^{-1}\star d\overline{g}$  
$$C=g^{-1}\star c\star \overline{g}$$

bulk solution:  $G(x^M) = Tr(C)$ 

purely algebraic procedure

#### bulk-boundary propagator

- Construct universal A=0 gauge propagator
- highest weight state of  $hs(\lambda)$ :  $V_{m>0}^s \star c_{\pm} = 0$

$$V_0^2 \star c_{\pm} = -\left(\frac{1 \pm \lambda}{2}\right) c_{\pm}$$

dimension of CFT operator

$$\lambda = 1/2$$
:  $V_m^s = \left(\frac{-i}{4}\right)^{s-1} y_1^{s+m-1} y_2^{s-m-1}$ 

highest weight states:  $c_-=e^{-iy_1y_2}$  ,  $c_+=y_1*e^{-iy_1y_2}*y_2$ 

These give bulk-boundary propagator with source point at origin along boundary

Constructing physical gauge propagator now becomes exercise in star product gymnastics

19

# **Examples**

Pure AdS:  $G_{-}(\rho, z, \overline{z}) = \text{Tr}\left[e^{\frac{i}{4}ze^{\rho}y_{1}^{2}} * e^{-iy_{1}y_{2}} * e^{-\frac{i}{4}\overline{z}e^{-\rho}y_{2}^{2}}\right]$  $= \left(\frac{e^{-\rho}}{e^{-2\rho} + |z|^{2}}\right)^{1/2}$ 

heta AdS with spin-3 deformation  $arphi_{\overline{z}\overline{z}\overline{z}}\sim \mu e^{4
ho}$ 

$$G_{-}(\rho, z, \overline{z}) = \text{Tr}\left[e^{\frac{i}{4}ze^{\rho}y_{1}^{2} - \frac{\mu\overline{z}e^{2\rho}}{16}y_{1}^{4}} * e^{-iy_{1}y_{2}} * e^{-\frac{i}{4}\overline{z}e^{-\rho}y_{2}^{2}}\right]$$

$$= \left(\frac{e^{-\rho}}{e^{-2\rho} + |z|^{2}}\right)^{1/2} \times \sqrt{\frac{2y}{\pi}} e^{y} K_{1/4}(y)$$

$$y = -\frac{(1+z\overline{z}e^{2\rho})^{2}}{8\mu\overline{z}^{3}e^{4\rho}}$$

 yields scalar 2-point function for CFT deformed by dimension (3,0) operator

#### Black holes: thermal periodicity

establish general conditions for scalar propagator to exhibit thermal periodicity

define: 
$$\Lambda = A_z(\rho)z + A_{\overline{z}}(\rho)\overline{z} \qquad \text{(gauge parameters for stationary background)}$$
 
$$\overline{\Lambda} = \overline{A}_z(\rho)z + \overline{A}_{\overline{z}}(\rho)\overline{z} \qquad \text{stationary background)}$$

- scalar master field propagator:  $C(\rho, z, \overline{z}) \propto e^{-\Lambda} \star c \star e^{\overline{\Lambda}}$
- holonomies:  $H=e^{2\pi(\tau A_z+\overline{\tau}A_{\overline{z}})}$  ,  $\overline{H}=e^{2\pi(\tau\overline{A}_z+\overline{\tau}\overline{A}_{\overline{z}})}$

if  $\overline{H} = H$  and H lies in center of gauge group, then:

$$C(\rho, z + 2\pi\tau, \overline{z} + 2\pi\overline{\tau}) = C(\rho, z, \overline{z})$$

• for known black holes at  $\lambda = 1/2$ :  $H = -2\pi i \delta^{(2)}(y)$ 

## propagator for HS black hole

- Black holes of  $hs(\lambda)$  theory only known perturbatively in hs chemical potential
- Similarly, propagator must be worked out perturbatively. Divergences would indicate change in causal structure

first order correction:

$$\begin{split} &\frac{i\alpha e^{\rho/2}}{16\tau^2} \Big[\cosh^2(2\overline{Z}) \left( -4(Z+\overline{Z})(\cosh(4Z)-2) - \sinh(4Z) \right) \\ &\quad + 4e^{2\rho}\tau \overline{\tau} \sinh(4\overline{Z}) \left( -4(Z+\overline{Z})\sinh(4Z) + 2(\cosh(4Z)-1) \right) \\ &\quad - (4e^{2\rho}\tau \overline{\tau})^2 \sinh^2(2\overline{Z}) \left( 4(Z+\overline{Z})(\cosh(4Z)+2) - 3\sinh(4Z) \right) \Big] \\ &\quad \times \left( \cosh(2Z)\cosh(2\overline{Z}) + 4e^{2\rho}\tau \overline{\tau} \sinh(2Z)\sinh(2\overline{Z}) \right)^{-5/2} \;, \end{split}$$

$$Z = \frac{iz}{4\tau}$$

only singularities are on light cone for single sided correlator.

## Open issues

subleading corrections to entropy

phase structure

(Banerjee et. al.

effect of light states

black holes formed from collapse?

higher dimensions