

# **PARTICLE ACCELERATION AND THE ORIGIN OF COSMIC RAYS**

**Pasquale Blasi**

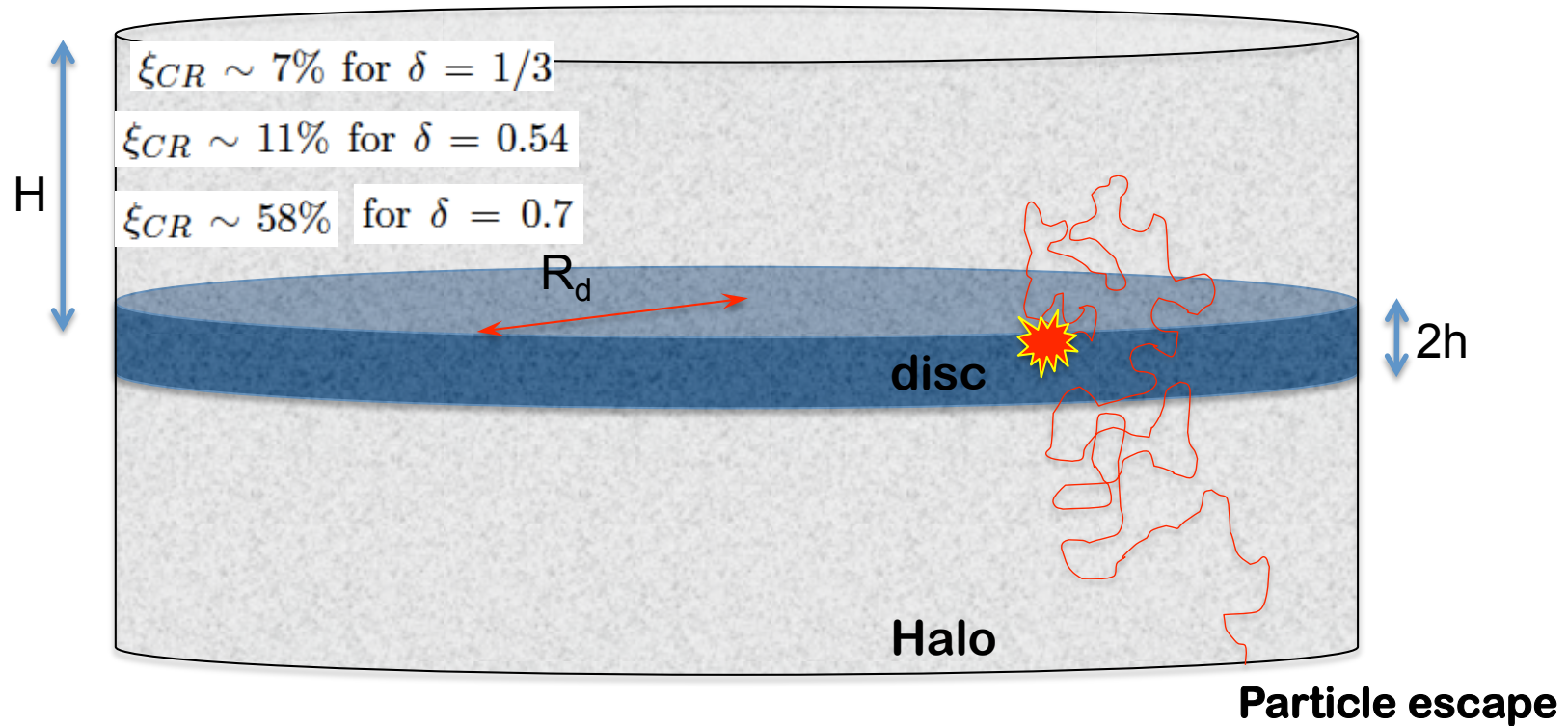
*INAF/Arcetri Astrophysical Observatory*

TeVPa – Stockholm, August 2011

# WHICH SOURCES OF COSMIC RAYS?

1. SOURCES OF PROTONS AND NUCLEI
2. ...WITH MAX ENERGY FOR PROTONS AT LEAST AS HIGH  $10^{15}$  eV
3. A THEORY EXPLAINING THE SPECTRA ...
4. COMPATIBLE WITH ANISOTROPY
5. SPECTRA OF PROPAGATED NUCLEI COMPATIBLE WITH DATA  
(spectra, B-field, etc)
6. ...THAT SATISFY MULTIFREQUENCY CONSTRAINTS (radio + X rays  
+ gamma rays + ...)

# Pillars of the SNR paradigm

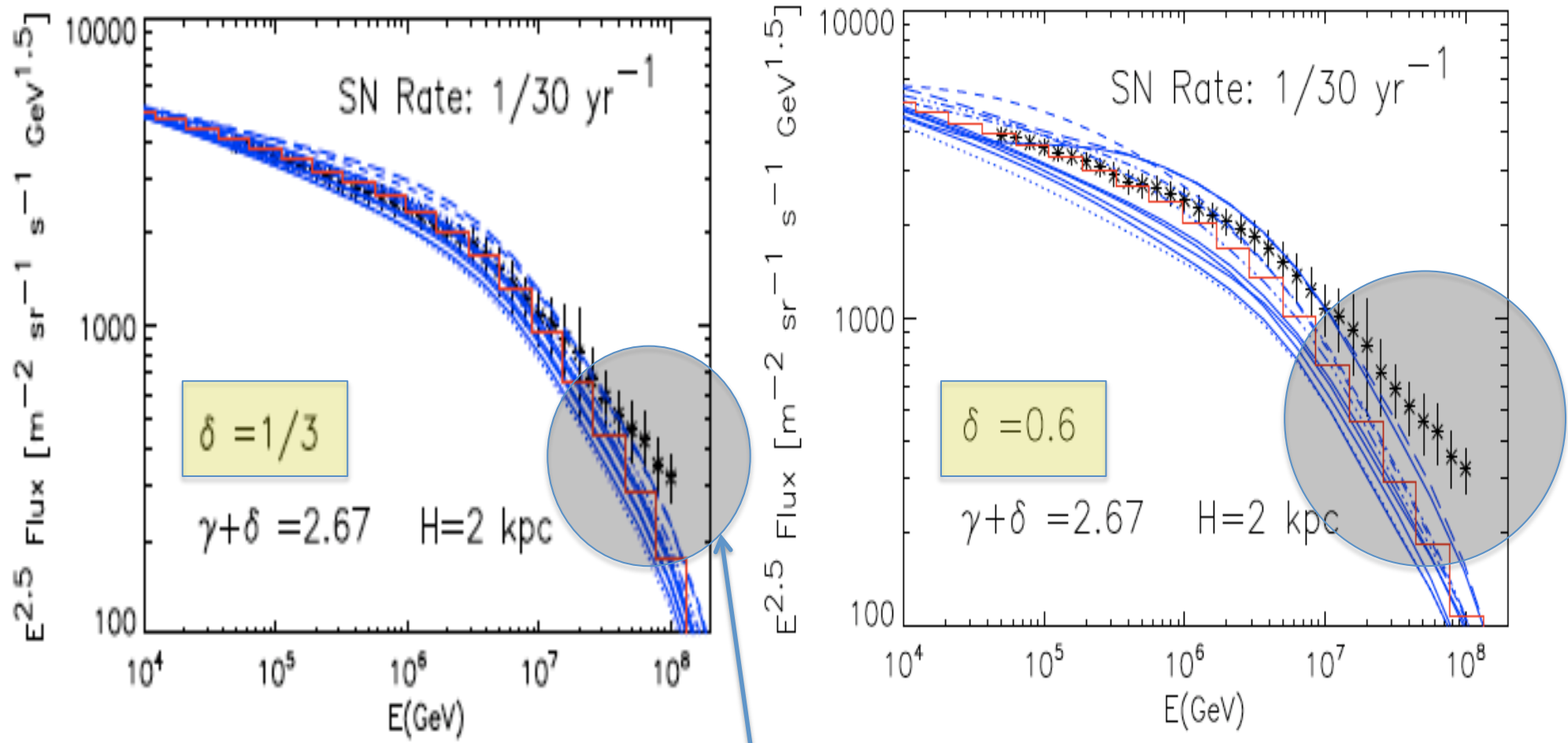


**CRs IN SNR  $\rightarrow$  DIFFUSIVE SHOCK ACCELERATION,  
 $Q(E) \sim E^{-\gamma}$**

**PROPAGATION OF CRs IN THE GALAXY with  $D(E) \sim E^{\delta} \rightarrow$   
 $n(E) \sim E^{-\gamma-\delta}$**

# CR spectra and SNRs

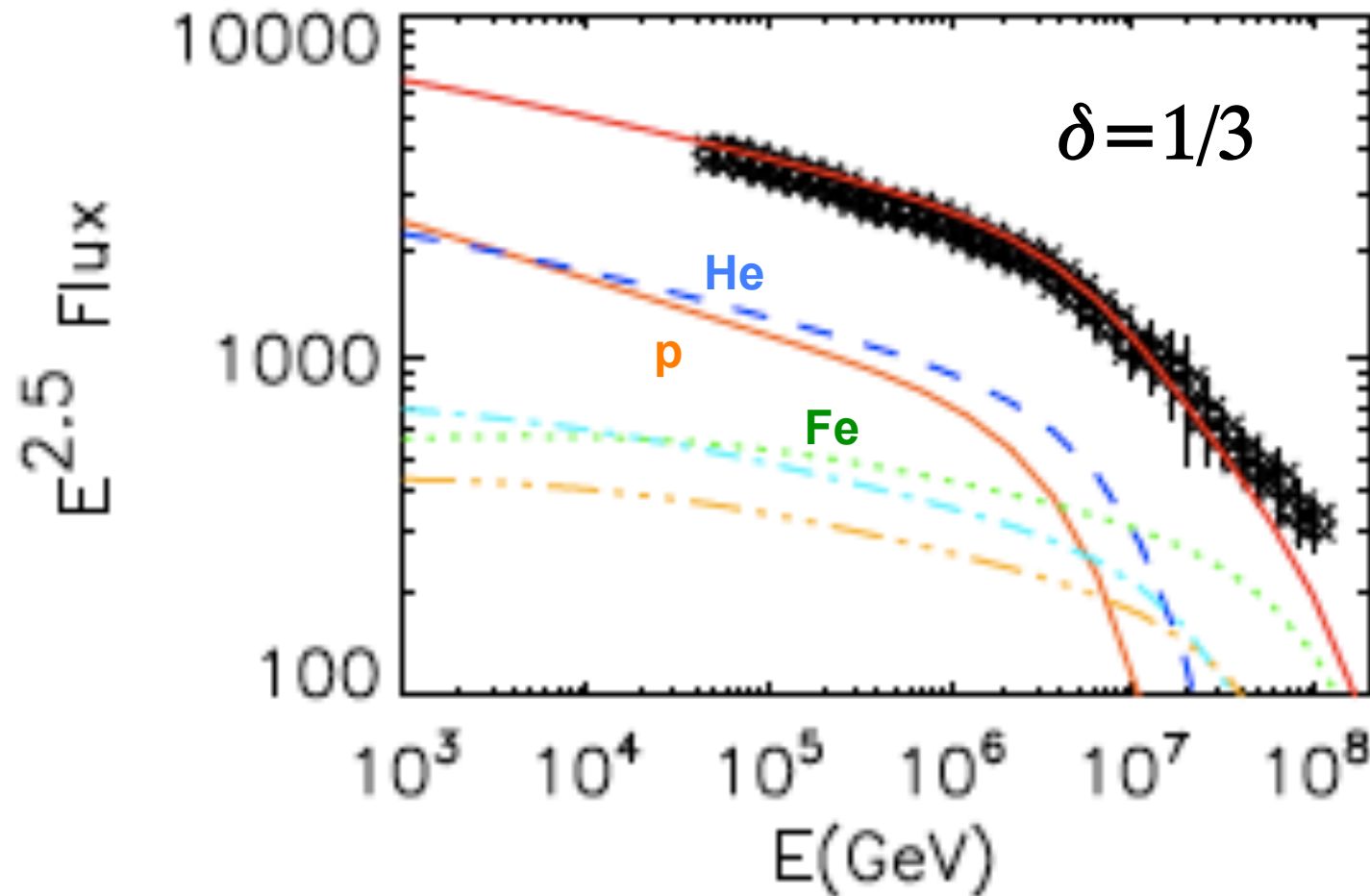
Blasi & Amato 2011



Deficit compensated  
by extragalactic CRs

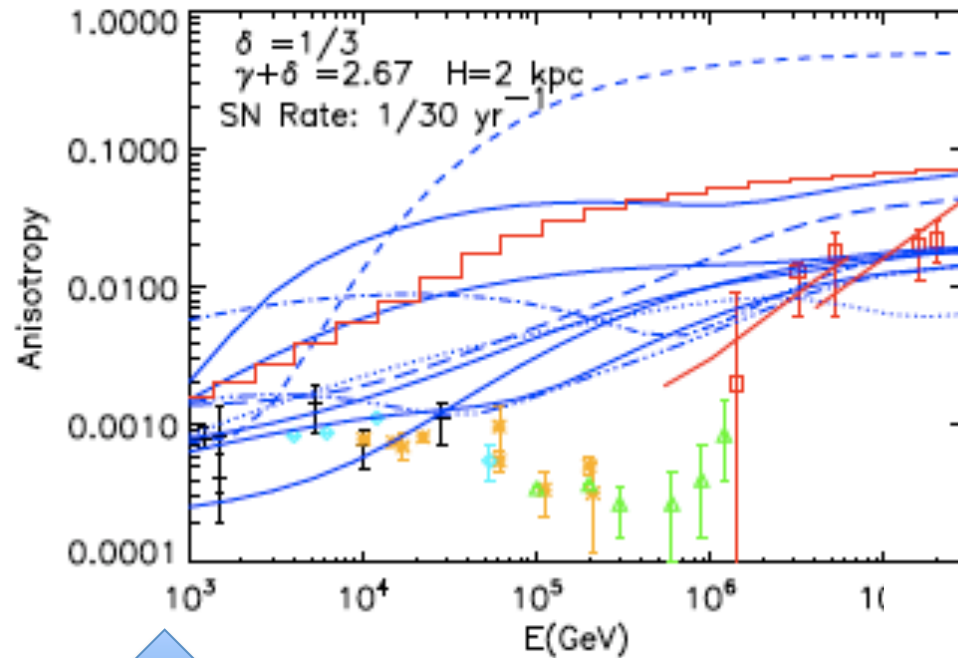
# Chemicals and the KNEE


Blasi & Amato 2011



**ONLY FOR  $\delta=1/3$  SPECTRUM OF He HARDER THAN SPECTRUM OF PROTONS  
AS A RESULT OF SPALLATION**

# CR Anisotropy



  
 $\delta = 1/3$

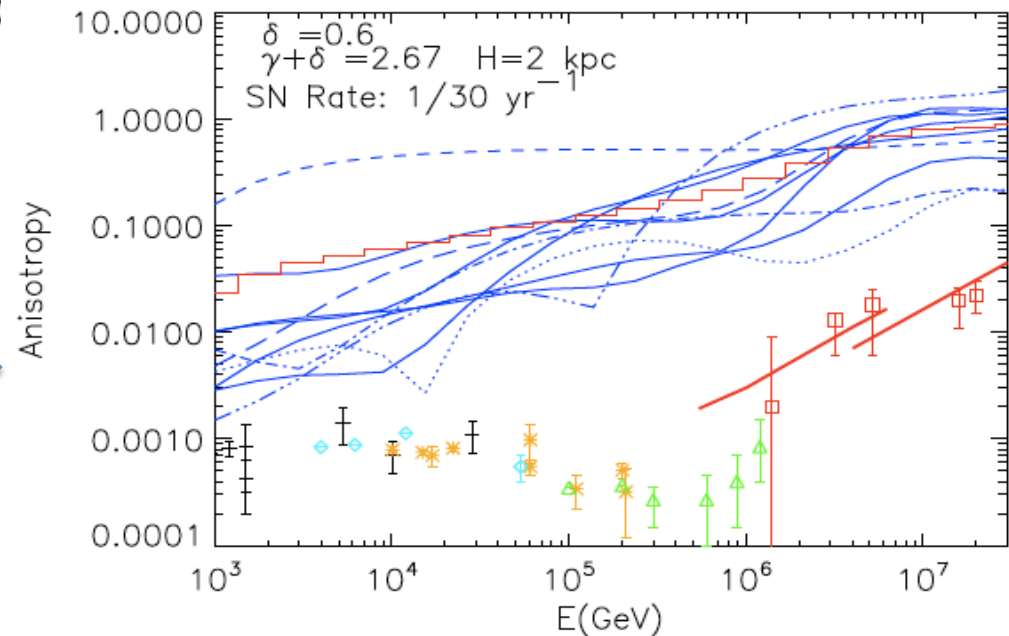
$\delta = 0.6$  

Blasi & Amato 2011

Naïve expectation:

$$\delta_A = \frac{3}{2^{3/2}} \frac{1}{\pi^{1/2}} \frac{D(E)}{Hc}$$

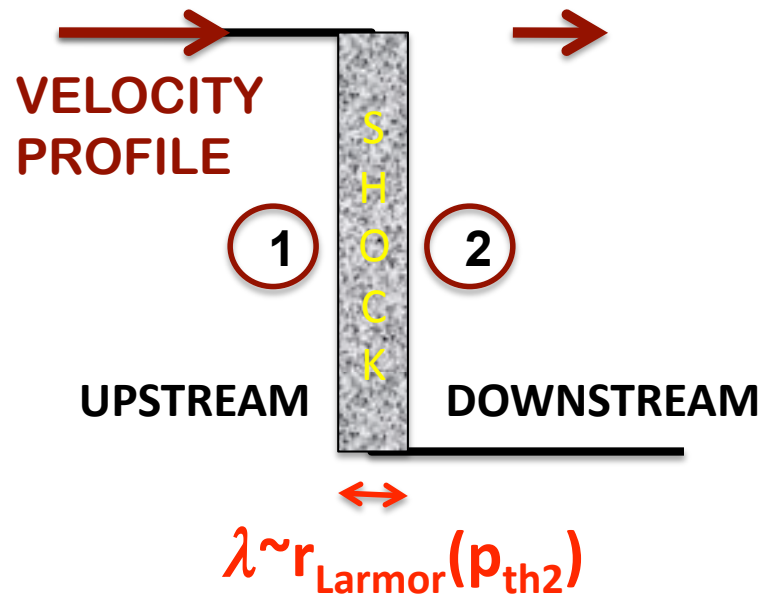
proportional to  $E^\delta$



# THEORY OF CR ACCELERATION IN SNRs

*Diffusive Shock Acceleration*

# DIFFUSIVE ACCELERATION AT COLLISIONLESS NEWTONIAN SHOCKS



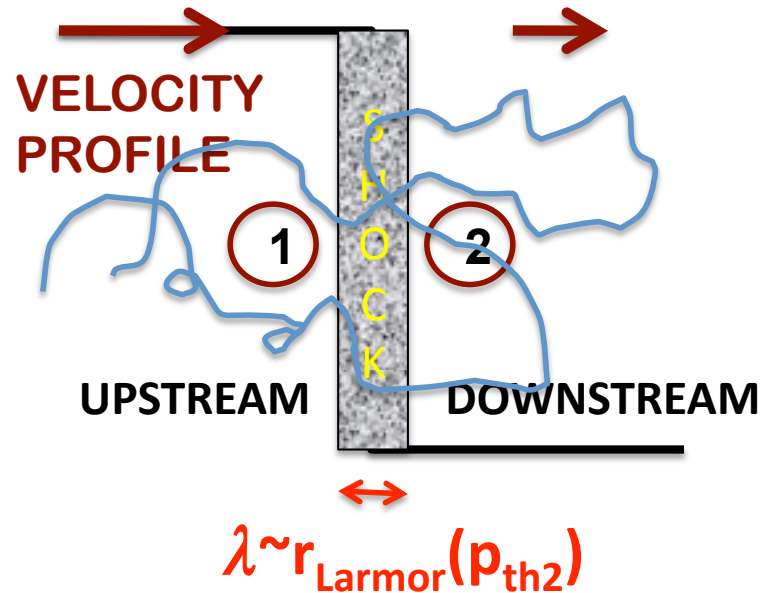
**COLLISIONLESS → MEDIATED BY  
ELECTROMAGNETIC  
INSTABILITIES**

**IN GENERAL ONE EXPECTS:**

- Different heating for e and p
- Finite thickness of the shock
- Instabilities responsible for the shock formation also responsible for first particles reflections (injection)



# DIFFUSIVE ACCELERATION AT COLLISIONLESS NEWTONIAN SHOCKS 'test particles'



In test particle theory, all approaches lead to:

-POWER LAW SPECTRA

-SLOPE ONLY FUNCTION OF COMPRESSION

-INDEPENDENT OF  $D(E)$

-NO CLEAR RECIPE FOR  $E_{\text{MAX}}$

-NO DESCRIPTION OF WHY PARTICLES  
RETURN TO THE SHOCK (SCATTERING)

-NO DESCRIPTION OF INJECTION

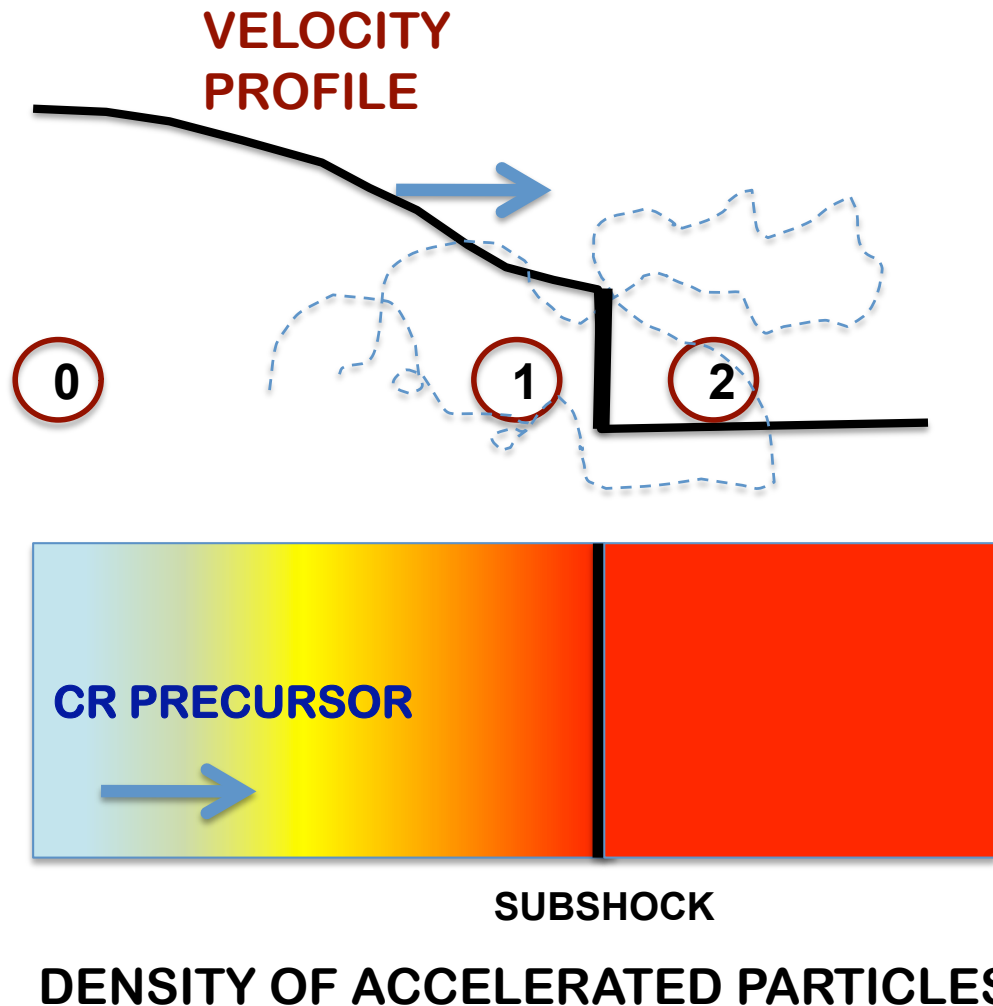
# NON LINEAR THEORY

*A theory of particle acceleration that allows one to describe:*

- 1. Dynamical reaction of accelerated particles*
- 2. Streaming instability CR-induced B-field*
- 3. Dynamical reaction of amplified fields*
- 4. Phenomenological recipe for injection (self-regulation of the system)*
- 5. Escape of particles from boundaries (Cosmic Rays)*

# DIFFUSIVE ACCELERATION AT COLLISIONLESS NEWTONIAN SHOCKS

*non linear theory*



$$\frac{\partial \rho}{\partial t} = - \frac{\partial (\rho u)}{\partial x}$$

**MASS  
CONSERVATION**

$$\frac{\partial (\rho u)}{\partial t} = - \frac{\partial}{\partial x} [\rho u^2 + P_g + P_c + P_W]$$

**MOMENTUM CONSERVATION**

$$\frac{\partial}{\partial t} \left[ \frac{1}{2} \rho u^2 + \frac{P_g}{\gamma_g - 1} \right] = - \frac{\partial}{\partial x} \left[ \frac{1}{2} \rho u^3 + \frac{\gamma_g P_g u}{\gamma_g - 1} \right]$$

**ENERGY  
CONSERVATION**

$$- u \frac{\partial}{\partial x} [P_c + P_W] + \Gamma E_W$$

$$\frac{\partial f(t, x, p)}{\partial t} + \tilde{u}(x) \frac{\partial f(t, x, p)}{\partial x} =$$

$$\frac{\partial}{\partial x} \left[ D(x, p) \frac{\partial f(t, x, p)}{\partial x} \right] + \frac{p}{3} \frac{\partial f(t, x, p)}{\partial p} \frac{d\tilde{u}(x)}{dx}$$

# Closing the system with waves and CR

$$u \frac{\partial P_g}{\partial x} + \gamma_g P_g \frac{du}{dx} = (\gamma_g - 1) \Gamma E_W$$

**GAS PRESSURE AND WAVES**

$$\frac{\partial}{\partial x} \left[ \frac{1}{2} \rho u^3 + \frac{\gamma_g P_g u}{\gamma_g - 1} + \frac{\gamma_c P_c \tilde{u}}{\gamma_c - 1} + F_W - \bar{D}(x) \frac{\partial E_c}{\partial x} \right] = 0$$

$$\frac{\partial F_W}{\partial x} = u \frac{\partial P_W}{\partial x} + \sigma E_W - \Gamma E_W$$

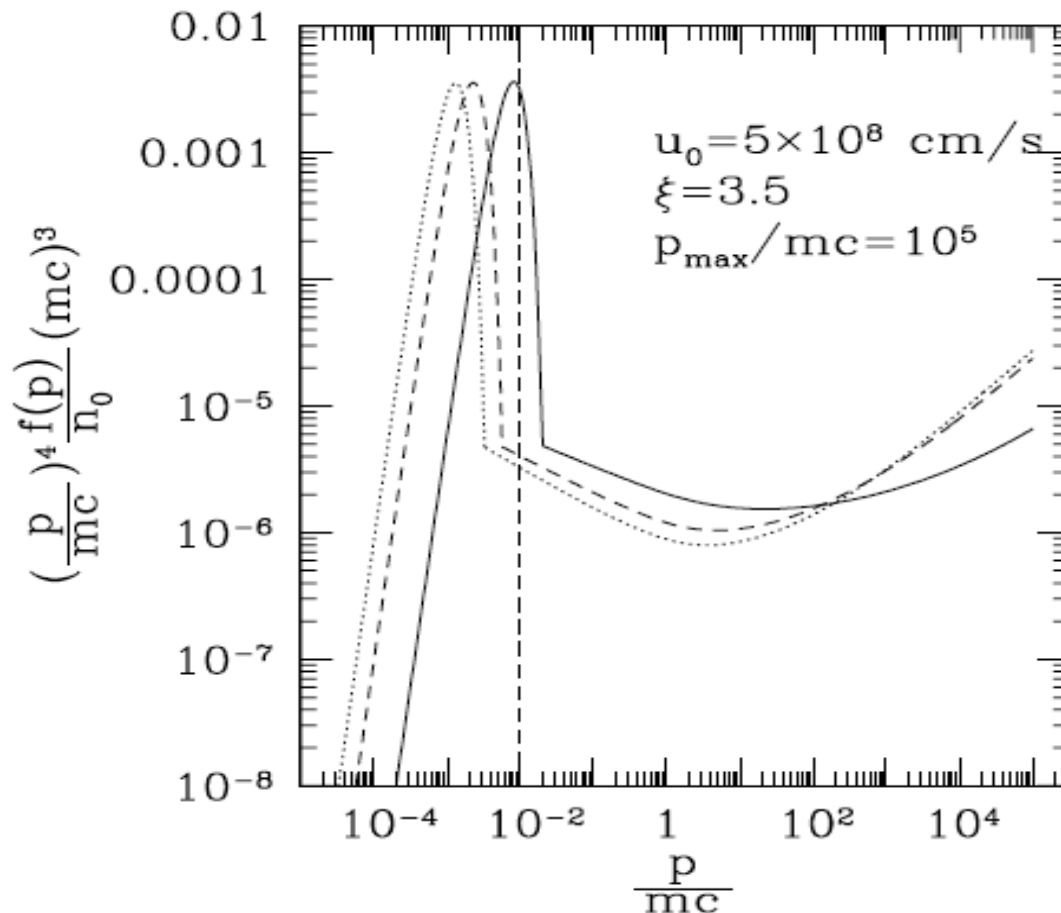
**ADVECTION, GROWTH AND DAMPING OF WAVES**

$$\sigma E_W = v_A \frac{\partial P_c}{\partial x}$$

**ONLY FOR ALFVEN WAVES!!!  
AMPLIFICATION OF B-FIELD AS DUE TO  
CR STREAMING INSTABILITY**

# DIFFUSIVE ACCELERATION AT COLLISIONLESS NEWTONIAN SHOCKS

## *non linear theory: BASIC PREDICTIONS*



**COMPRESSION FACTOR BECOMES  
FUNCTION OF ENERGY**

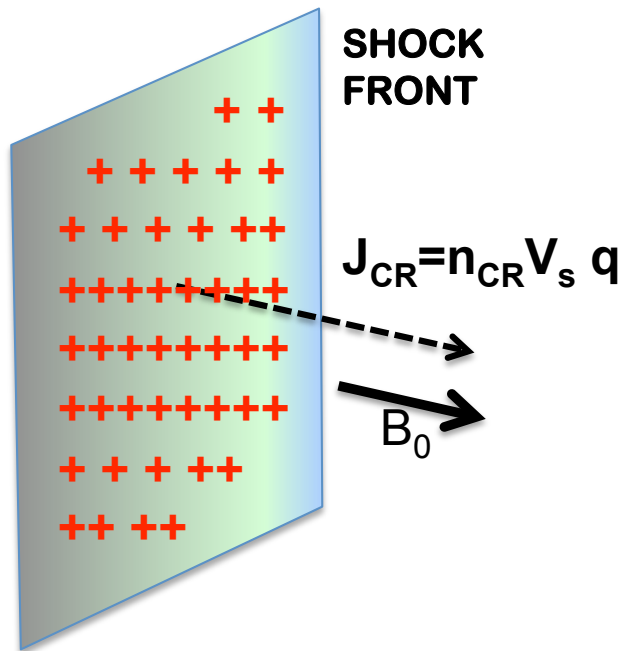
**SPECTRA ARE NOT PERFECT  
POWER LAWS (CONCAVE)**

**GAS BEHIND THE SHOCK IS  
COOLER FOR EFFICIENT SHOCK  
ACCELERATION**

**SYSTEM SELF REGULATED**

**EFFICIENT GROWTH OF B-FIELD  
IF ACCELERATION EFFICIENT**

# Basics of CR streaming instability



THE UPSTREAM PLASMA REACTS TO THE UPCOMING CR CURRENT BY CREATING A RETURN CURRENT TO COMPENSATE THE POSITIVE CR CHARGE

THE SMALL INDUCED PERTURBATIONS ARE **UNSTABLE** (ACHTERBERG 1983, ZWEIBEL 1978, BELL 1978, BELL 2004, AMATO & PB 2009)

CR MOVE WITH THE SHOCK SPEED ( $\gg V_A$ ). THIS UNSTABLE SITUATION LEADS THE PLASMA TO REACT IN ORDER TO SLOW DOWN CR TO  $< V_A$  BY SCATTERING PARTICLES IN THE PERP DIRECTION (B-FIELD GROWTH)

# Particle Diffusion $\leftrightarrow$ Wave Growth

$$n_{CR}mv_D \rightarrow n_{CR}mv_w \Rightarrow \frac{dP_{CR}}{dt} = \frac{n_{CR}m(v_D - v_w)}{\tau}$$

$$\frac{dP_w}{dt} = \gamma_W \frac{\delta B^2}{8\pi} \frac{1}{v_w}$$

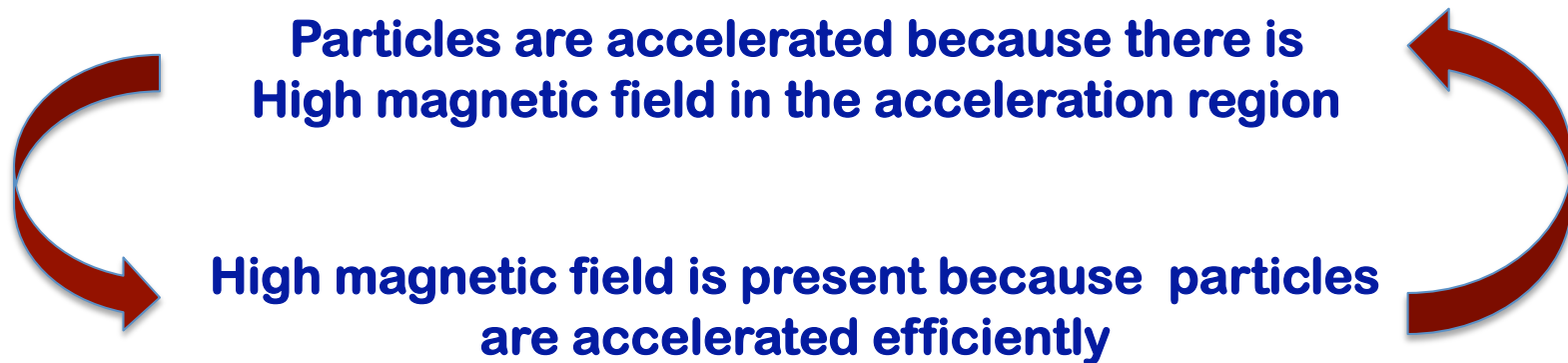
$$\gamma_W = \sqrt{2} \frac{n_{CR}}{n_{gas}} \frac{v_D - v_w}{v_w} \Omega_{cyc}$$

In the ISM this is  $\sim 10^{-3} \text{ yr}^{-1}$  but close to a shock front the growth can be much larger!!!

**$\delta B$  IS AMPLIFIED BY PARTICLES**

# MAGNETIC FIELD AMPLIFICATION

**SMALL PERTURBATIONS IN THE LOCAL B-FIELD CAN BE AMPLIFIED BY THE SUPER-ALFVENIC STREAMING OF THE ACCELERATED PARTICLES**



**Without this non-linear process, no acceleration of CR to High energies (and especially not to the knee!)**

**BUT...**



## **...MAGNETIC FIELD CAN BE AMPLIFIED BY**

### **1. RESONANT STREAMING (Bell 78, Achterberg 83, Zweibel 78)**

**Fast generation, fast scattering ... saturation?**

### **2. NON RESONANT STREAMING (Bell 04, Amato & PB 09)**

**Probably more efficient generation rate but inefficient scattering**

### **3. SHOCK CORRUGATION (DOWNSTREAM) Giacalone & Jokipii 07**

**Not CR induced!**

**It happens downstream only, it does not help with particle acceleration unless perpendicular shock**

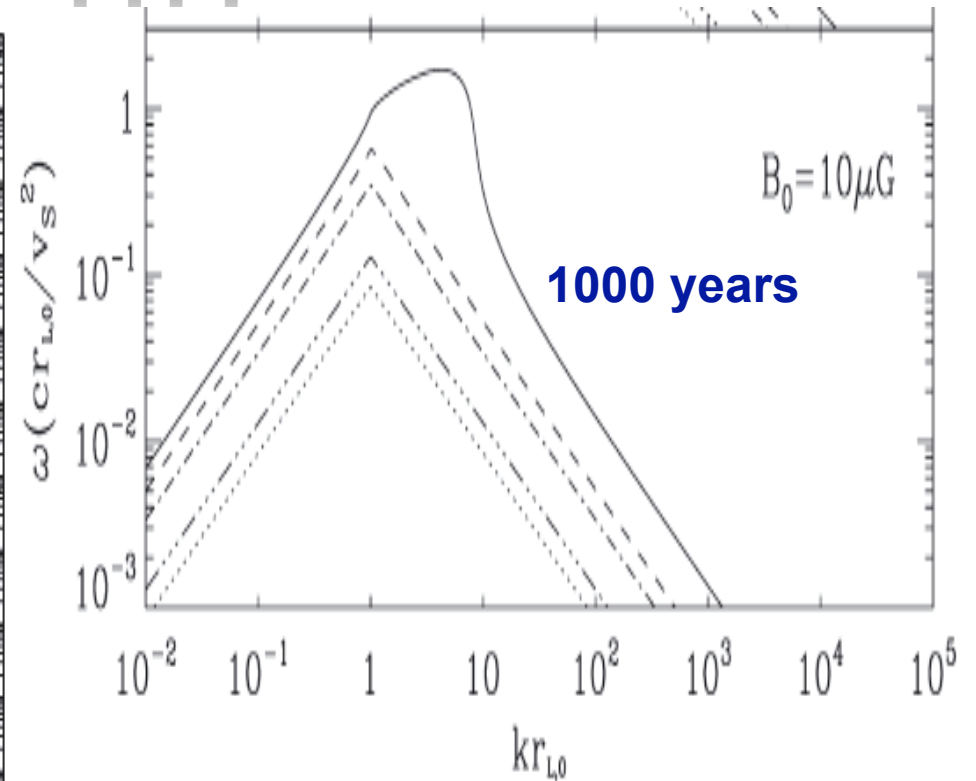
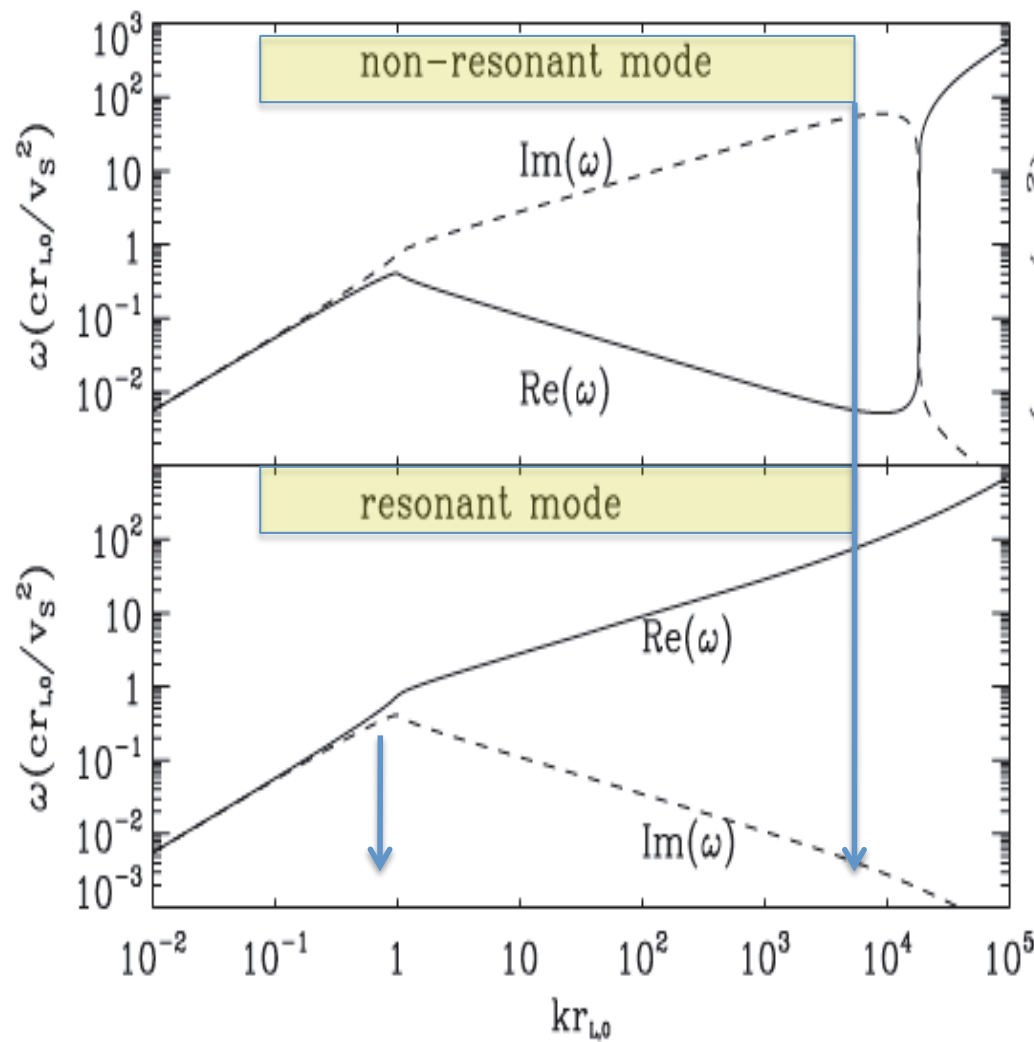
### **4. VORTICITY IN THE PRECURSOR (PB, Matthaeus, et al. 11)**

**Potentially very interesting, power on large scales**

### **5. FIREHOSE INSTABILITY (Shapiro et al. 98)**

**Potentially very interesting, power on large scales**

# GROWING MODES in CR STREAMING INSTABILITY



**NON RESONANT MODES GROW FASTER  
BUT THEY DO NOT SCATTER PARTICLES  
EFFECTIVELY UNLESS FAST INVERSE  
CASCADE**

# SATURATION OF GROWTH

Extremely uncertain. It depends on:

- a) Damping (type of waves?)
- b) Backreaction of fields on the CR current
- c) Coupling between large and small spatial scales

**A NAÏVE EXTRAPOLATION OF QLT WOULD LEAD TO:**

$$\frac{\delta B^2}{8\pi} = \frac{1}{M_A} \rho V_s^2 \xi_{CR}$$

**IN THE RESONANT CASE, UPSTREAM  
(OR POSSIBLY  $\delta B/B \sim 1$  BECAUSE  
RESONANCE GETS LOST)**

$$\frac{\delta B^2}{4\pi} = \frac{1}{2} \rho V_s^2 \xi_{CR} \frac{V_s}{c}$$


**ESTIMATED ANALYTICALLY FROM  
SATURATION CONDITION OF NON RESONANT  
MODES (BELL 2004)**

# X-ray rims and B-field amplification

TYPICAL THICKNESS OF FILAMENTS:  $\sim 10^{-2}$  pc

The synchrotron limited thickness is:

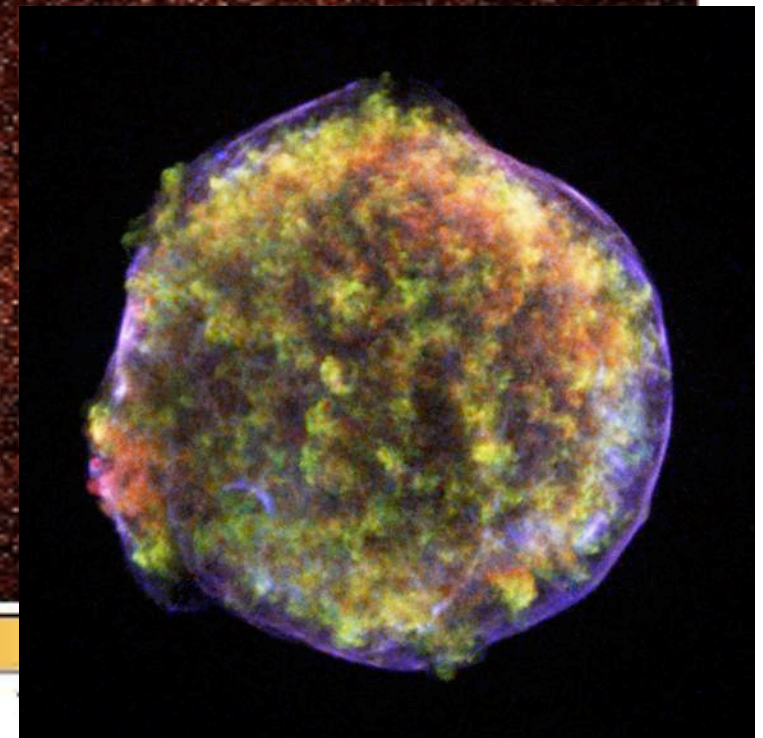
$$\Delta x \approx \sqrt{D(E_{max})\tau_{loss}(E_{max})} \approx 0.04 B_{100}^{-3/2} \text{ pc}$$


$$B \approx 100 \mu\text{Gauss}$$

$$E_{max} \approx 10 B_{100}^{-1/2} u_8 \text{ TeV}$$

$$\nu_{max} \approx 0.2 u_8^2 \text{ keV}$$

In some cases the strong fields are confirmed  
by time variability of X-rays  
Uchiyama & Aharonian, 2007





# SPECTRA

THE SPECTRA OF ACCELERATED PARTICLES ARE IN GENERAL CONCAVE AND FLATTER THAN  $E^{-2}$  AT HIGH ENERGY

THE MAXIMUM ENERGY WITH B-FIELD AMPLIFICATION REACHS UP TO  $\sim 10^{15}$  eV FOR PROTONS (Z TIMES HIGHER FOR NUCLEI)

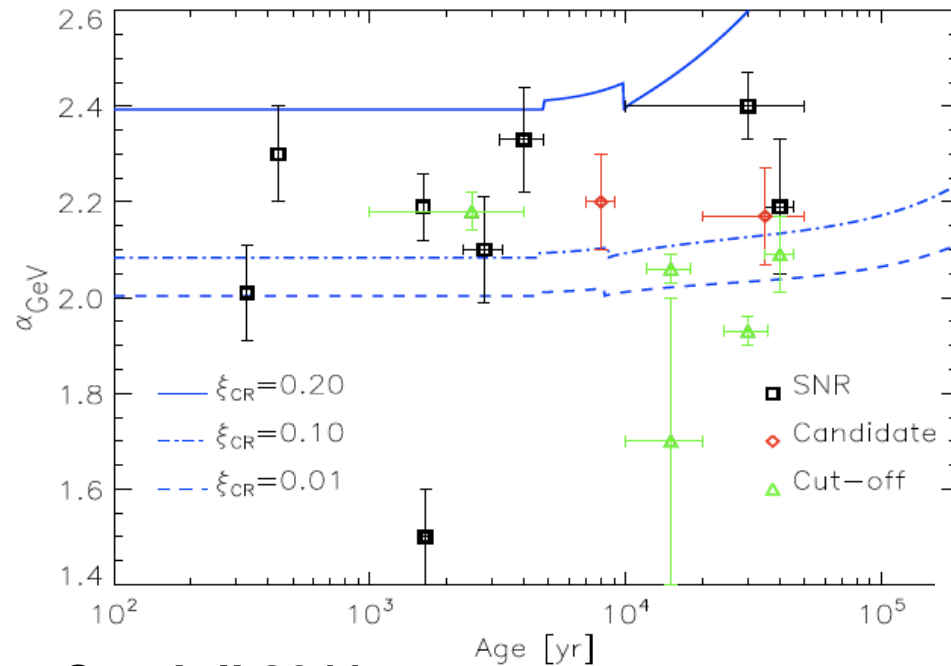
THESE SPECTRA SHOULD REFLECT IN THE GAMMA RAY SPECTRA (IF DUE TO PP SCATTERING) AND OF NEUTRINOS

**BUT THE OBSERVED SPECTRA OF GAMMAS ARE TYPICALLY  $\sim E^{-2.3}$**

**CLEARLY INCOMPATIBLE WITH LEPTONIC MODELS! BUT ALSO NOT COMPATIBLE WITH THE SIMPLEST PREDICTION OF NLDSA**

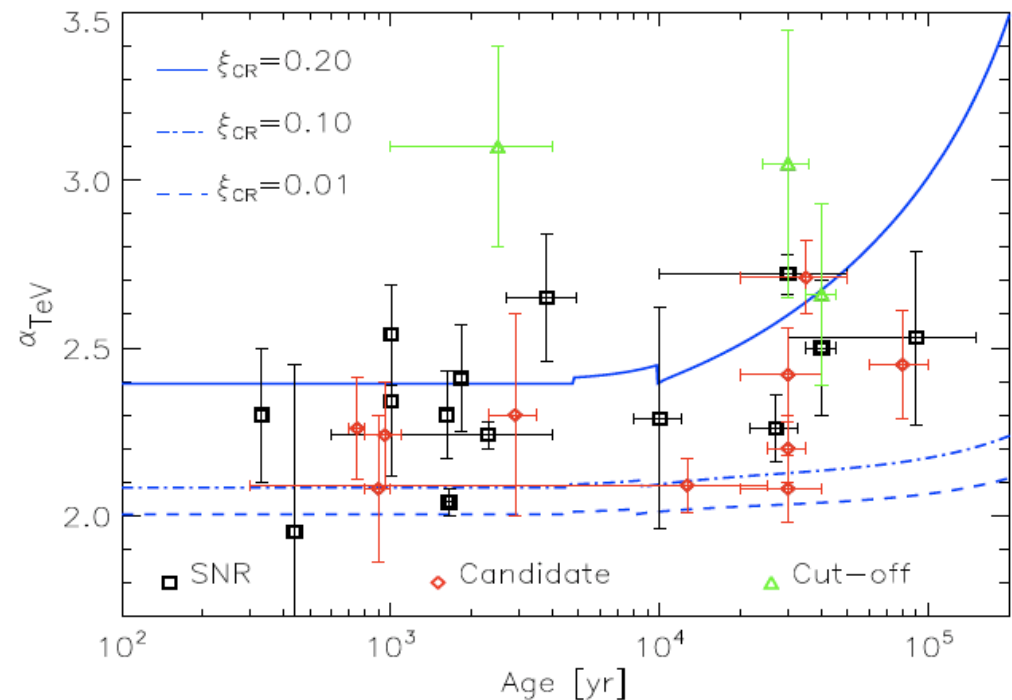
# TROUBLE WITH SLOPES ?

TROUBLE WITH SLOPES ?



Caprioli 2011

**VERY SURPRISING TO SEE THAT THE  
REQUIRED ACCELERATION EFFIC. ARE  
HIGH BUT THE SPECTRA ARE STEEP**



# BEYOND THE SIMPLEST APPROACH

## 1. DYNAMICAL REACTION OF THE B-FIELD

$P_w = B^2/8\pi > P_{\text{gas}}$  the eq. of state becomes dominated by B and  
The compression factor gets smaller  $\rightarrow$  steeper spectra (Caprioli, PB, Amato & Vietri 2008, 2009)

## 2. SCATTERING CENTERS WITH LARGE VELOCITY

All but trivial (spectra depend on type and helicity of waves) but  
if  $v_w \sim v_A(\delta B) \gg v_A$ , then:

$$\tilde{r} = \frac{u_1 + v_{A,1}}{u_2 + v_{A,2}} \quad \alpha = \frac{\tilde{r} + 2}{\tilde{r} - 1} > 2$$

## 3. ESCAPE FLUX OF CR IS DIFFERENT FROM THE SPECTRUM OF ACCELERATED PARTICLES (CAPRIOLI, PB, AMATO 2009)

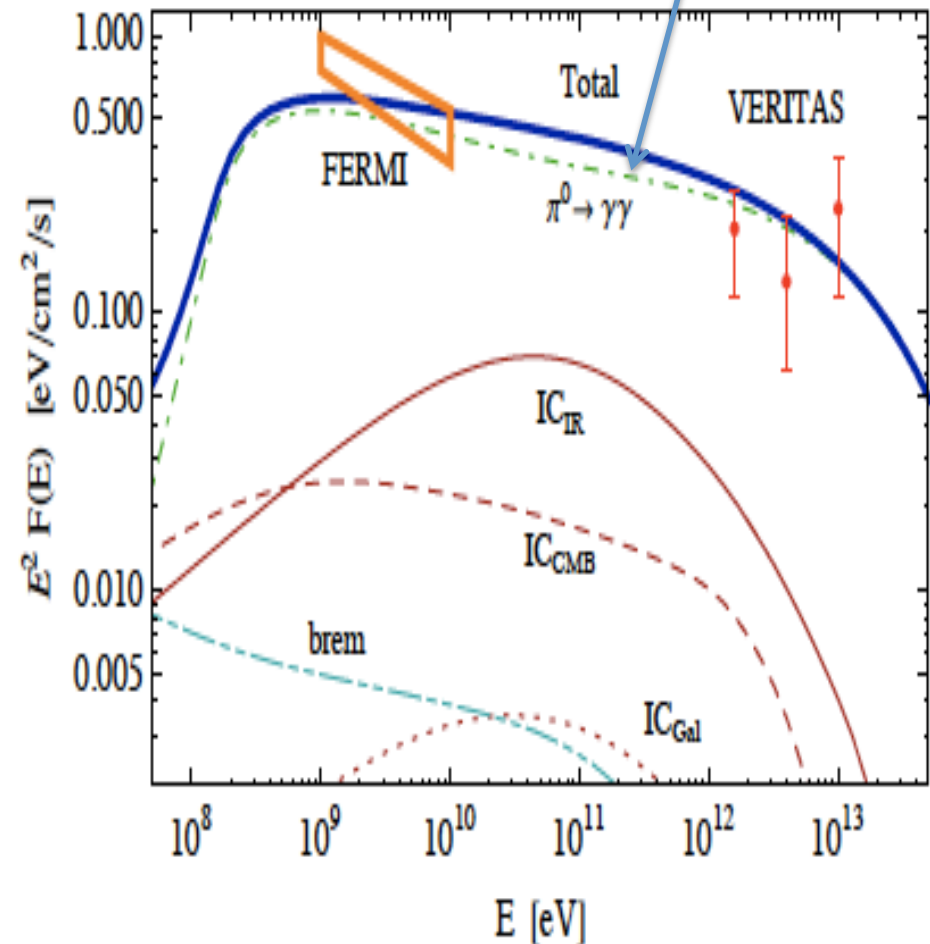
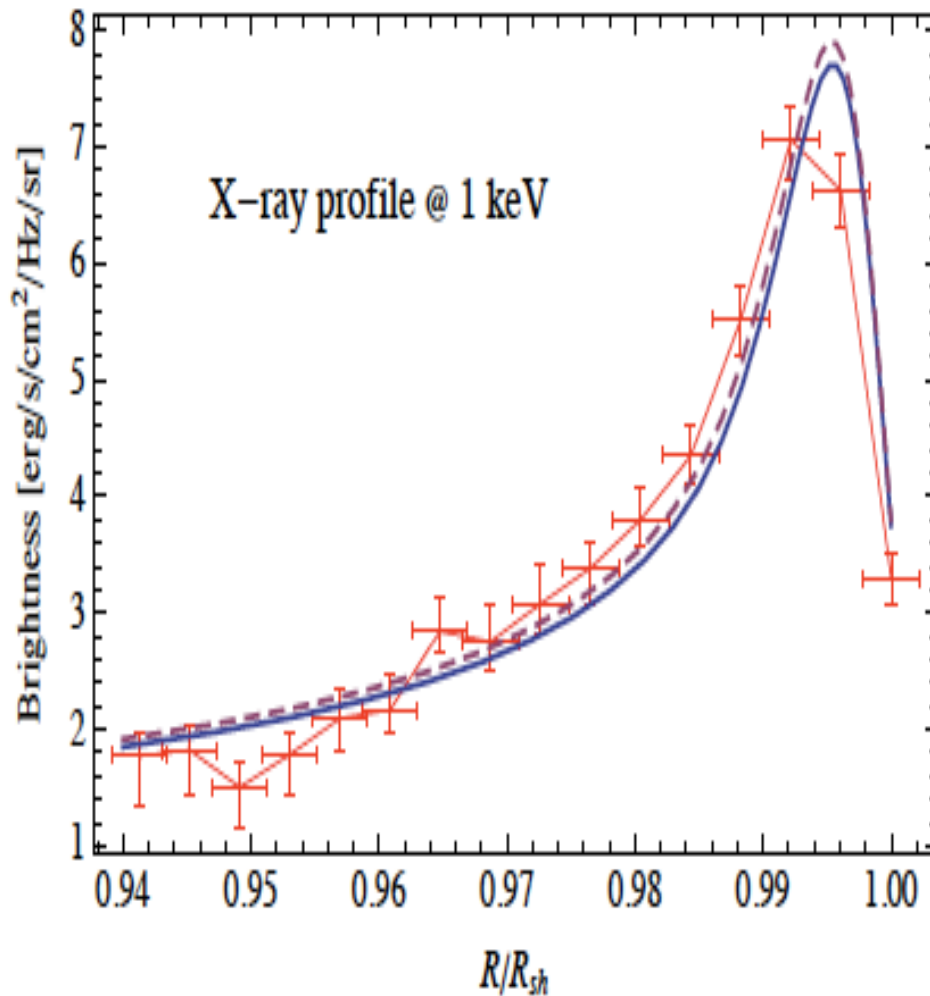
## 4. PRESENCE OF NEUTRALS

Charge exchange with ions leads to weakening of the shock  
Strength (PB et al. 2011)

# The case of Tycho

Morlino&Caprioli 2011

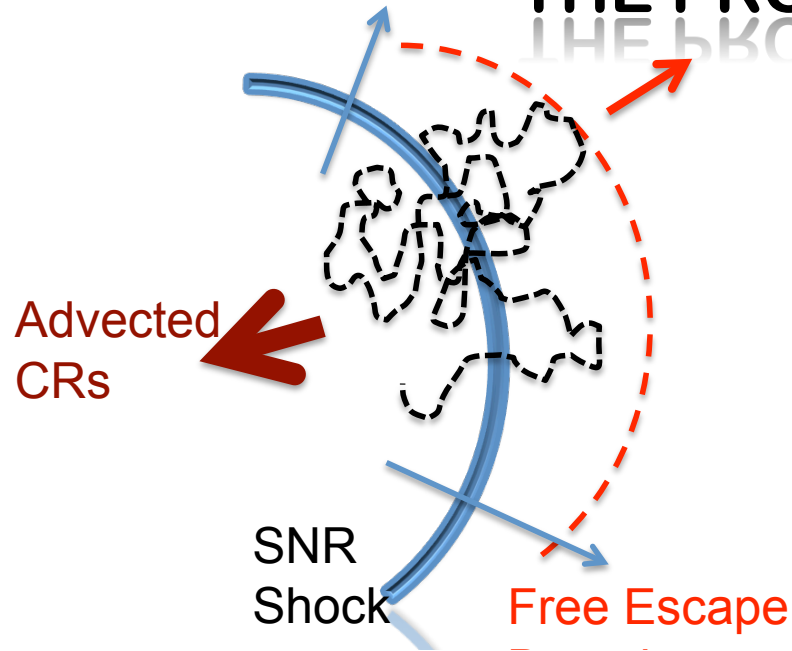
**STEEP SPECTRUM  
BASICALLY IMPOSSIBLE TO  
EXPLAIN WITH LEPTONS**





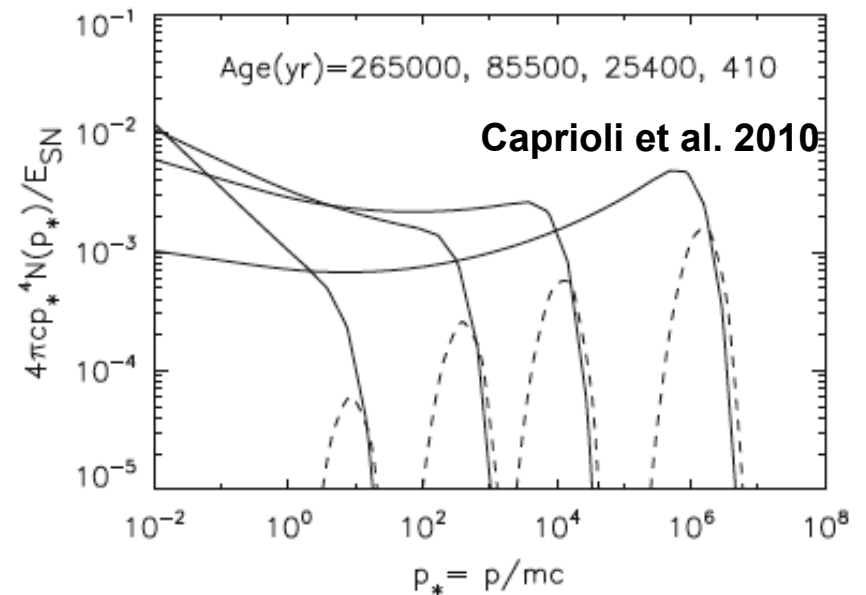
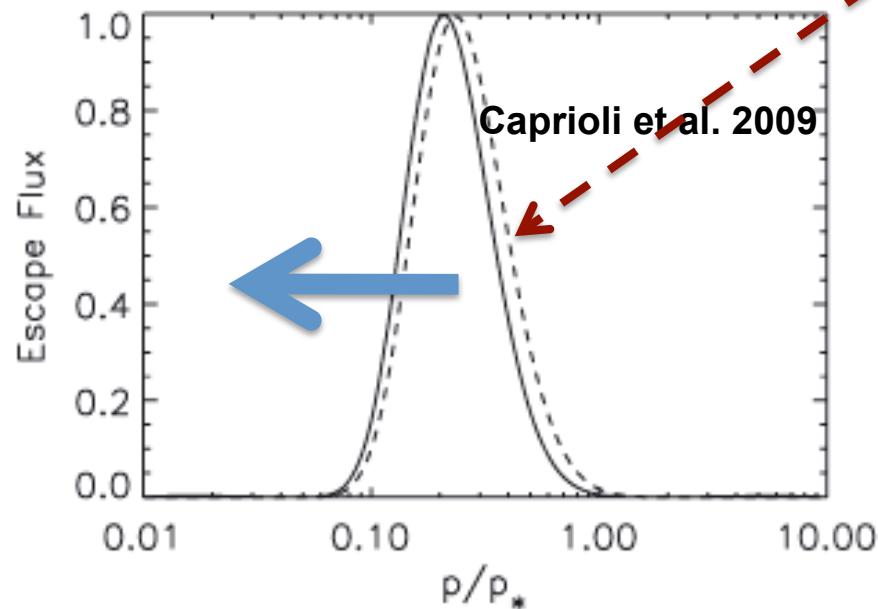
# HOW DO ACCELERATED PARTICLES BECOME CRs?

## THE PROBLEM OF ESCAPE



The escape flux can be calculated using the transport equation IF one assumes a free escape boundary surface (DURING ST PHASE)

$$\Phi_{esc}(E, x) = D(E) \left( \frac{\partial f(E, x)}{\partial x} \right)_{x=x_{fe}}$$



# CR ESCAPE AND CLOUDS

TWO SCENARIOS:

## **SNR SHOCK ENTERS THE MC**

Collisionless shock only involves the small fraction of Ions (low density)

Ion-neutral density kills waves  $\rightarrow$  low  $E_{\text{max}}$

## **MC IS ILLUMINATED BY CR FROM SNR**

The mc only acts as a target for pp

Gamma ray flux depends on

- Age of SNR
- Diffusion coefficient around the SNR
- Escape physics

# What about electrons?

Despite being the easiest to be 'seen', no clear understanding of their origin

High E electrons accelerated at about the same time of radiation (X-rays, Gamma rays), but radio electrons 'feel' the whole evolution of the SNR

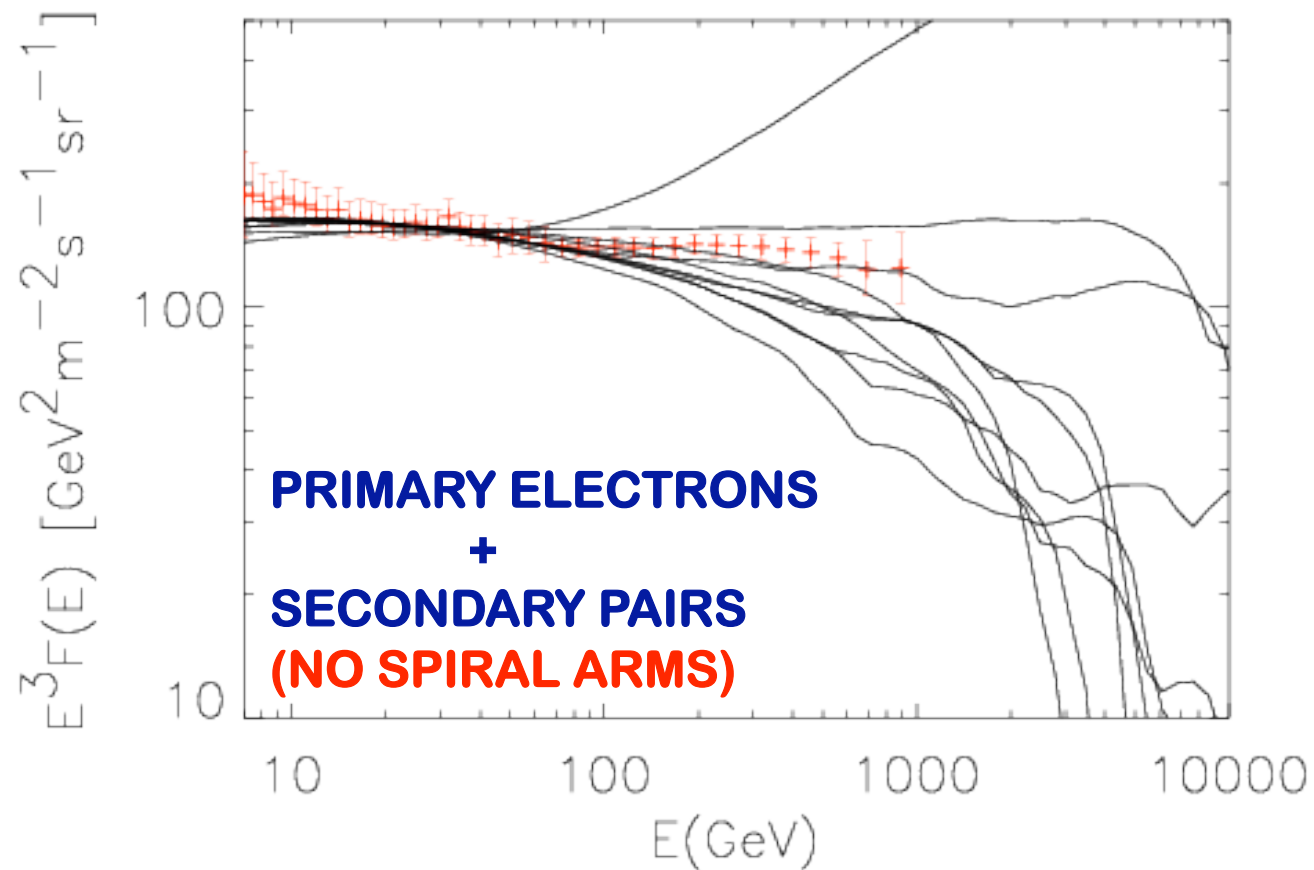
The e/p ratio at low energies (negligible E-losses) hard to predict – low energy electrons could mainly originate at late times

Important contribution to electrons from ionization of partially ionized atoms during their acceleration (Morlino 2009)

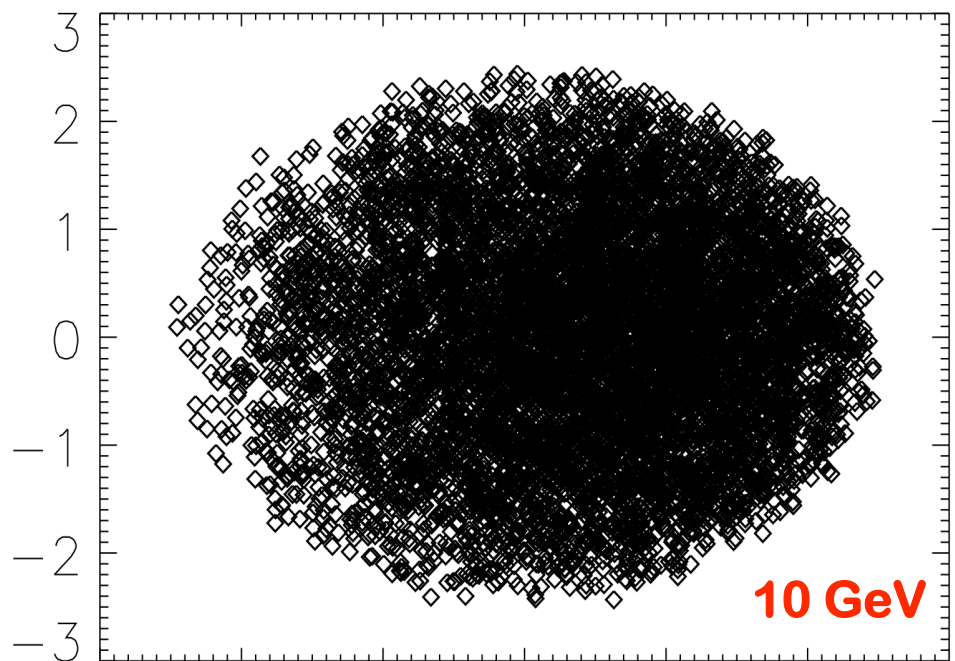
Unfortunately the spectrum of electrons at  $E \sim 10-100$  GeV is affected in a Substantial way by the intervention of a different source of leptons, as shown by the rising positron fraction (spectrum of leptons not useful to infer the Injection spectrum at the CR sources)

Injection of electrons still very problematic (in collisionless shocks dominated by protons, at zero order they should not even cross the shock and be Injected)

# What is the electron spectrum?

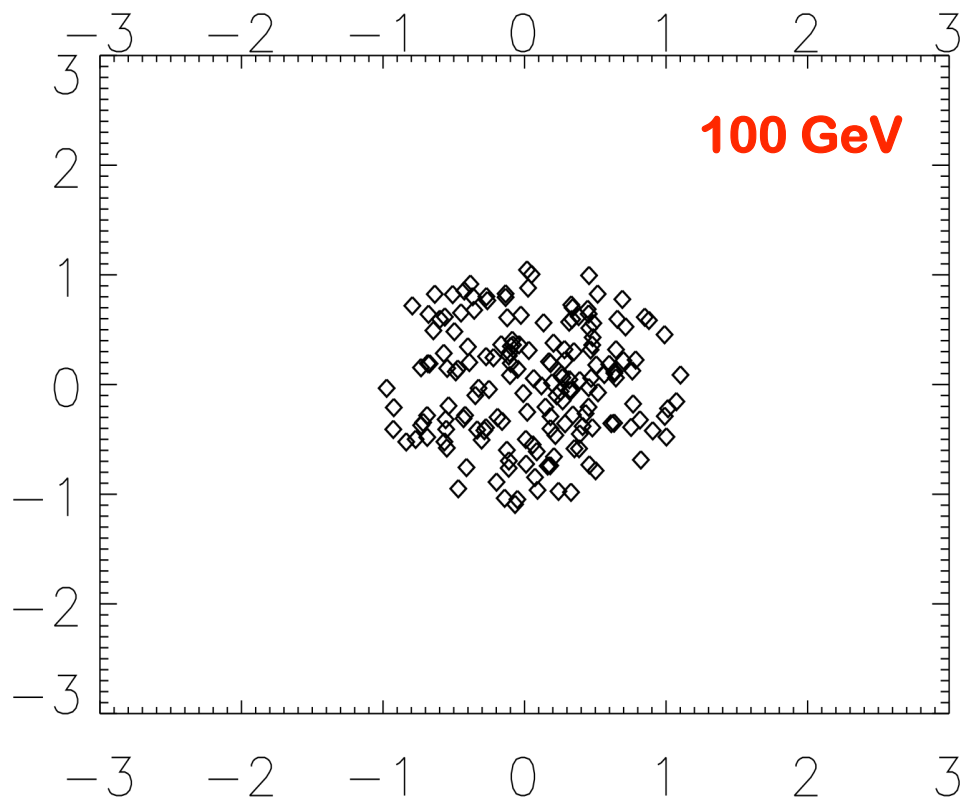


# NUMBER OF ELECTRON SOURCES CONTRIBUTING AT GIVEN ENERGIES

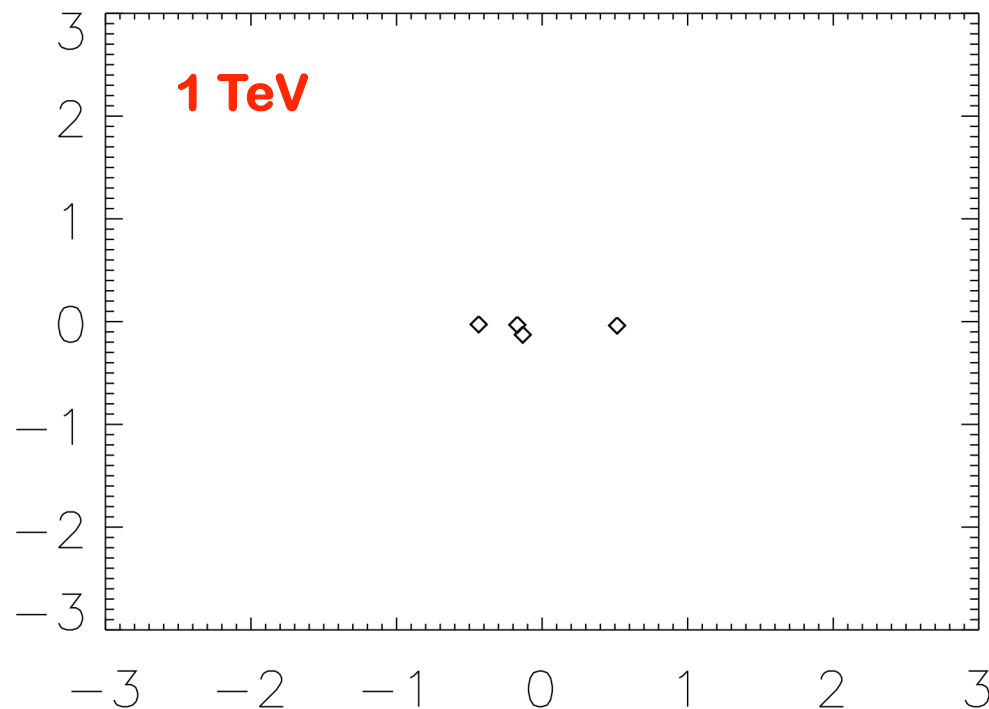


10 GeV

PB & Amato 2010



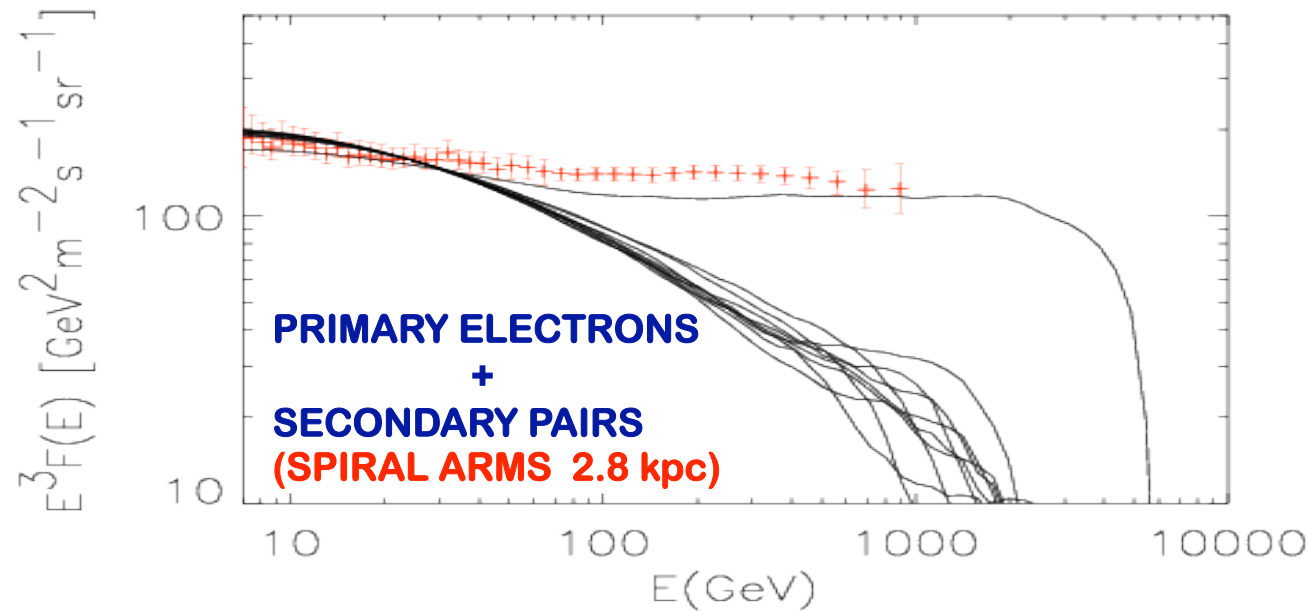
100 GeV



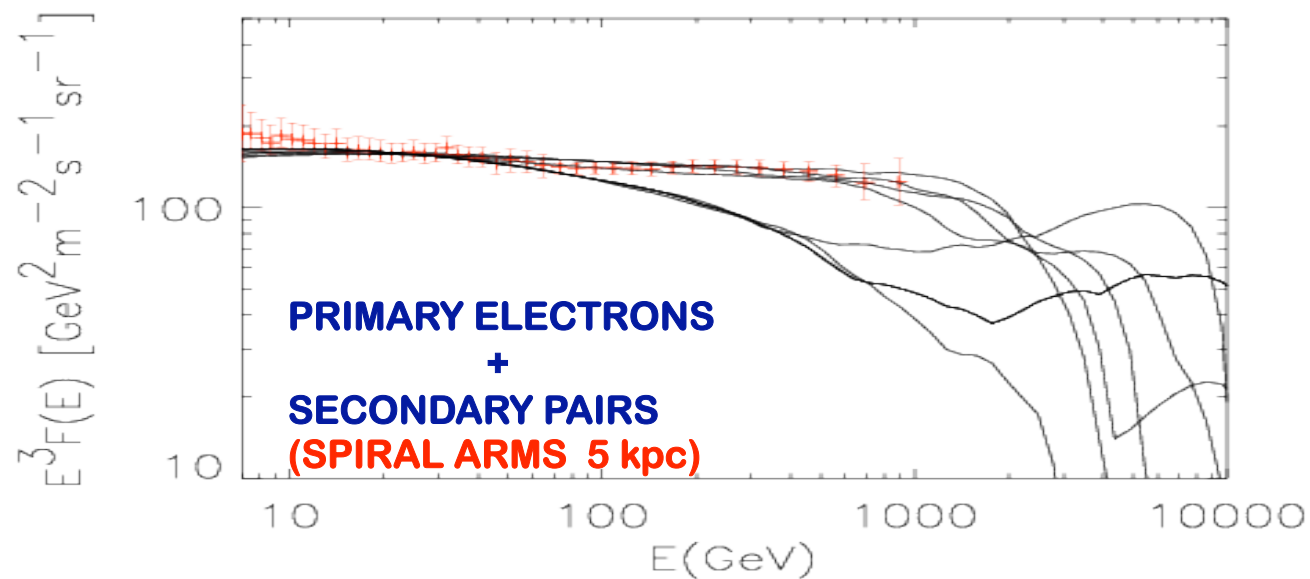
1 TeV

# The effect of spiral arms

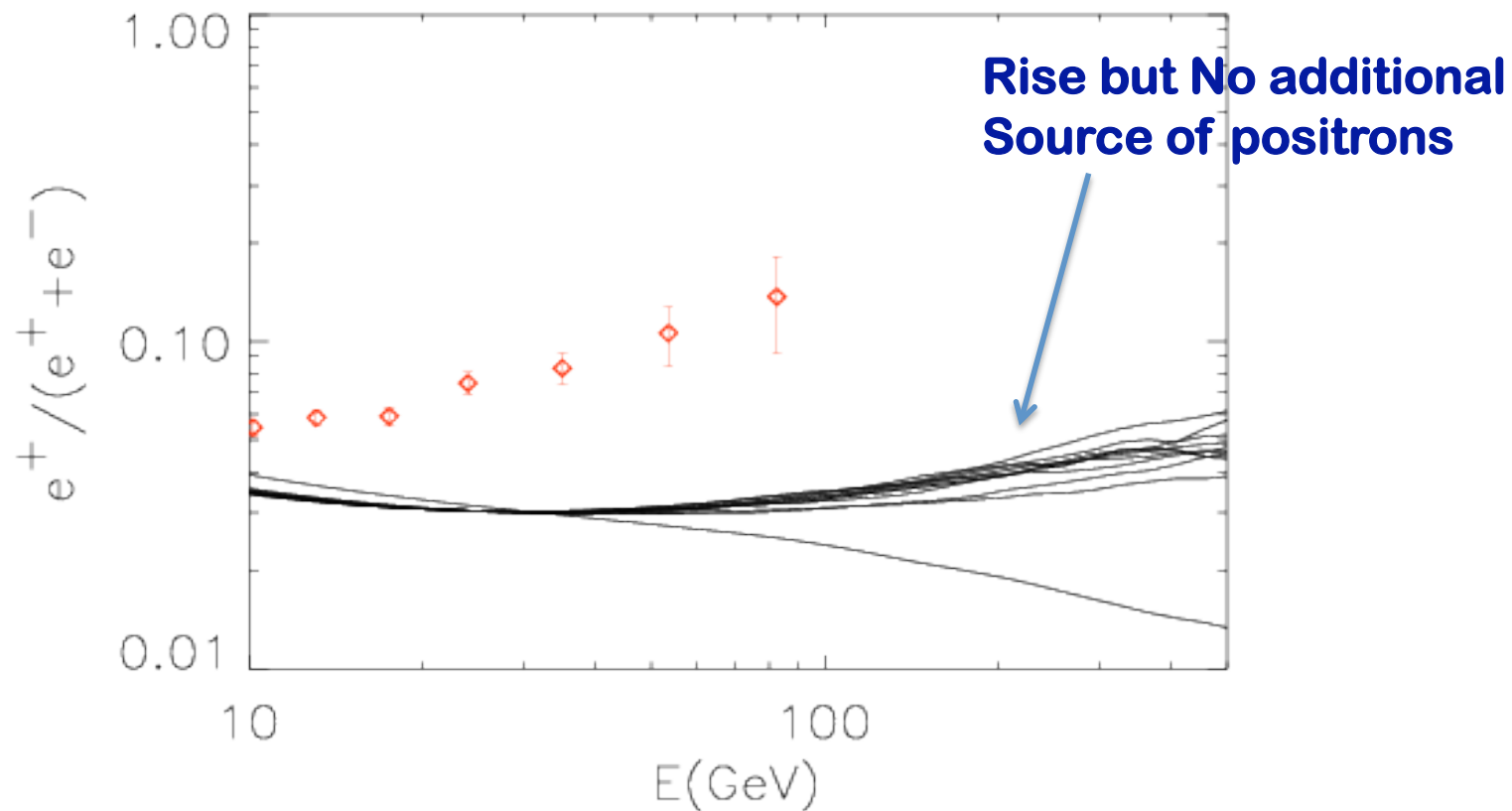
**TIGHT  
SPIRAL**



**BROAD  
SPIRAL**

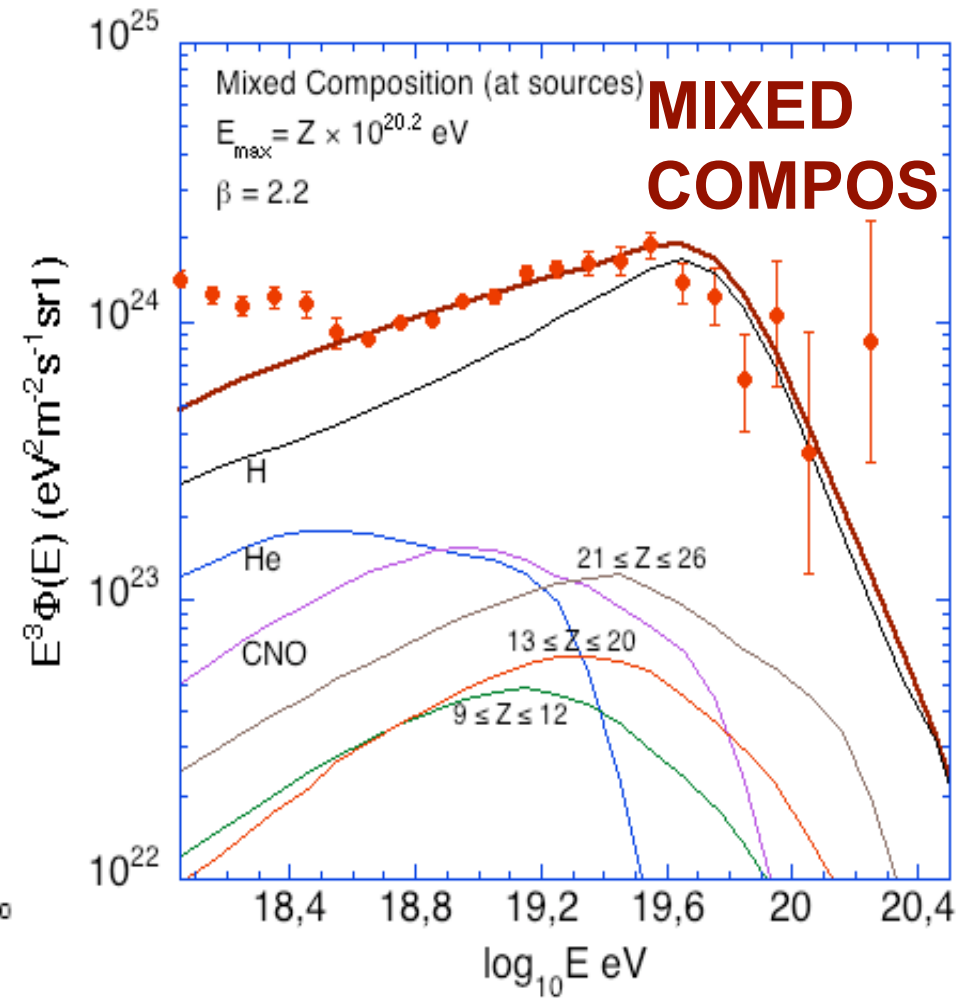
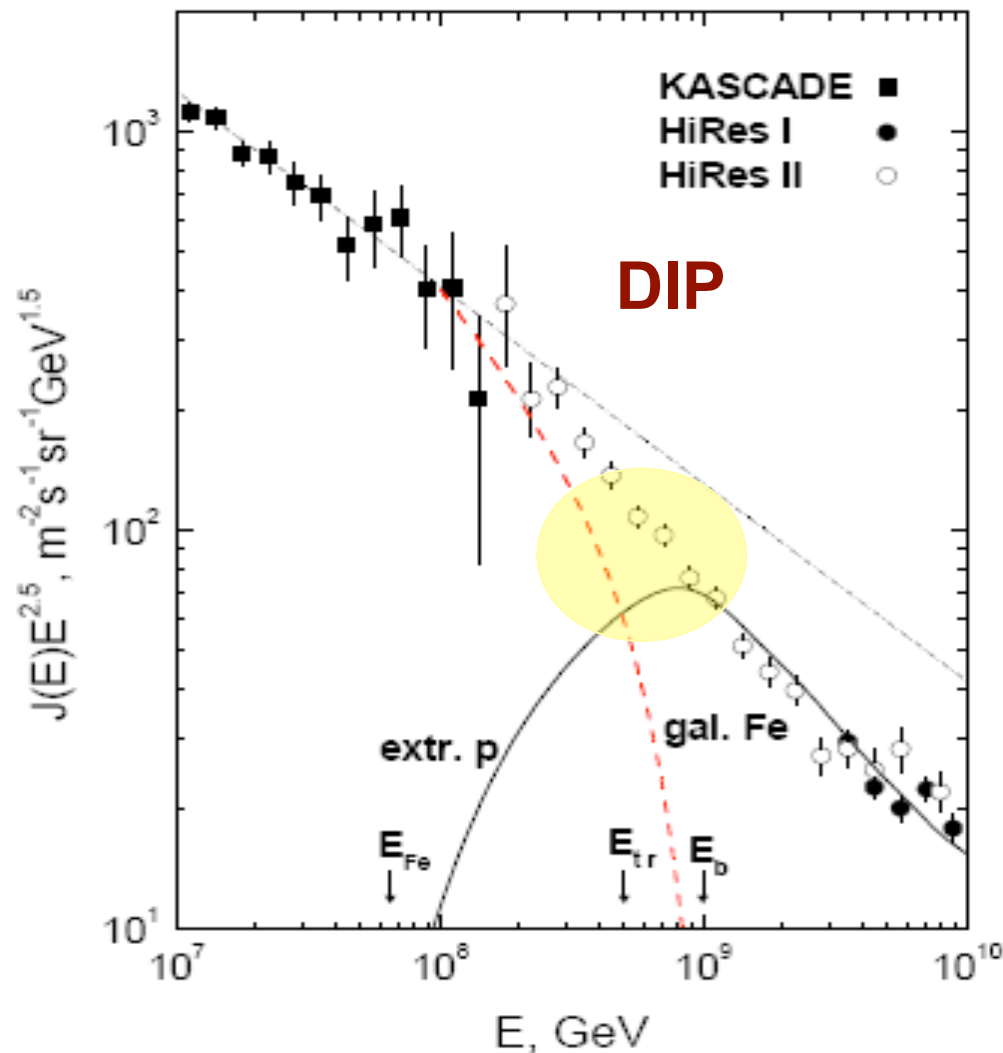


# THE POSITRON FRACTION FOR THE CASE OF TIGHT SPIRAL ARMS



**THIS SITUATION IS REMINISCENT OF THE PROPAGATION EFFECTS SUGGESTED BY Shaviv et al. 2009, but somewhat at odds with recent Fermi-LAT electron data**

# IMPLICATIONS OF THE SNR PARADIGM FOR THE TRANSITION



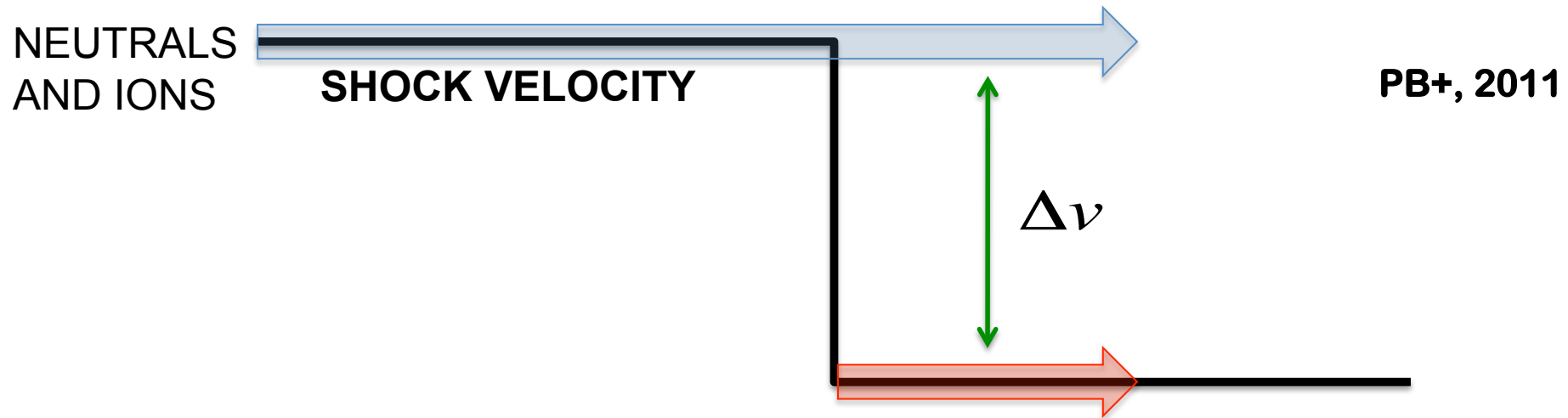


# SOME RECENT PROGRESS AND POSSIBLY FUTURE DEVELOPMENTS

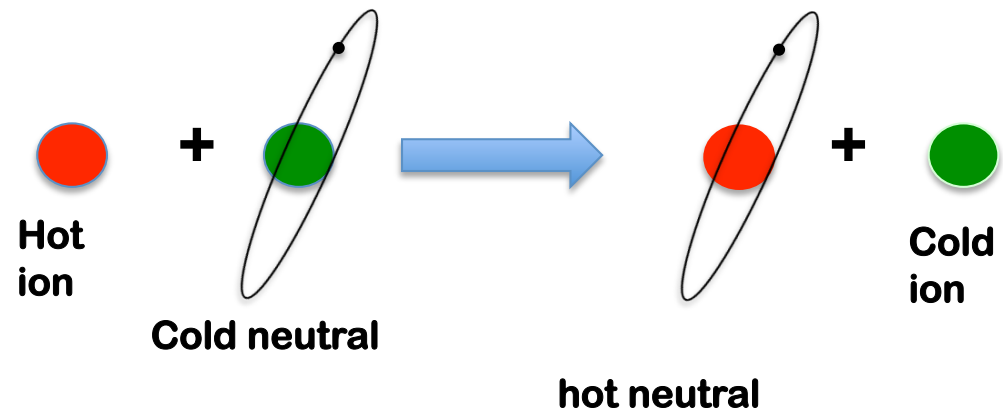
***COLLISIONLESS SNR SHOCKS IN  
PARTIALLY IONIZED MEDIA:***

***Anomalous width of Balmer lines***

# SUBTLE ASPECTS OF ACCELERATION AT A COLLISIONLESS SHOCK

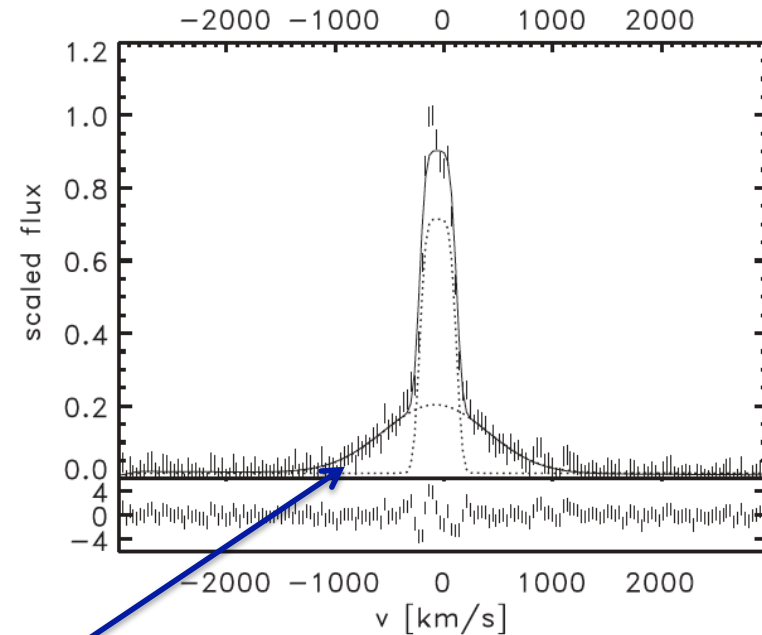
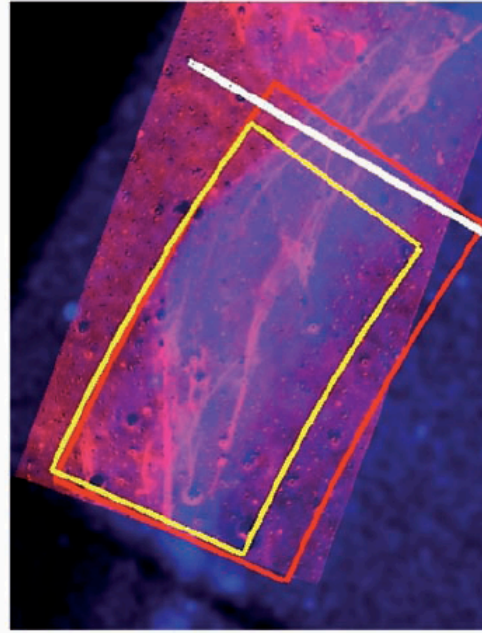
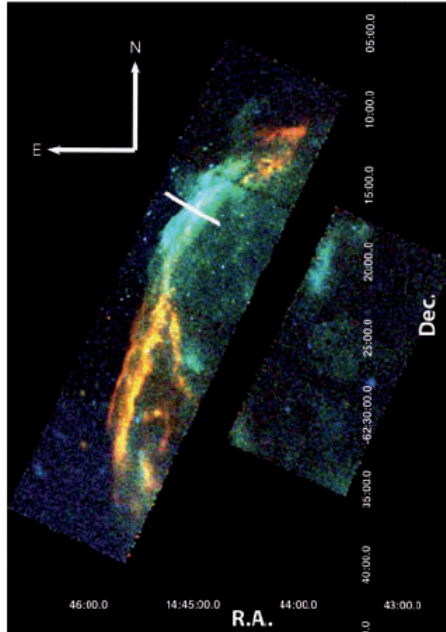


**CHARGE EXCHANGE → BROAD  
BALMER LINE (NEUTRALS  
THAT MADE CHARGE  
EXCHANGE) REFLECTING  
THE TEMPERATURE OF IONS...**



**BUT THE LATTER AFFECTED BY EFFICIENT CR ACCELERATION**

# BROAD BALMER LINES NARROWER THAN FOR UNMODIFIED SHOCKS



Helder et al. 2009

$$W_{broad} = \sqrt{8 \ln 2 \frac{kT_2}{m}} \approx 1.02 v_{sh}$$

$$W_{broad} = 1100 \pm 63 \text{ km/s} \rightarrow T_2 = 2.3 \pm 0.3 \text{ keV}$$

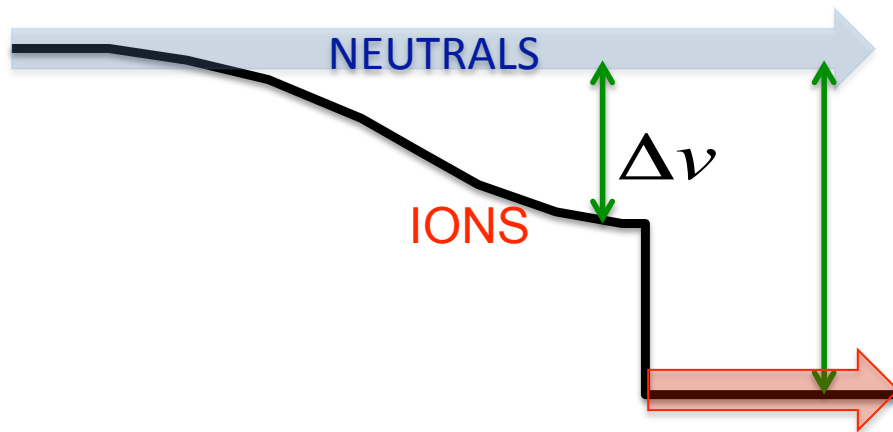
Shock speed from proper motion

$$v_{shock} = 6000 \pm 2800 \text{ km/s} \left( \frac{d}{2.5 \pm .5 \text{ kpc}} \right) \left( \frac{\dot{\theta}_{obs}}{0.5 \pm .2'' \text{ yr}^{-1}} \right) \rightarrow T_2 = \begin{array}{l} 20-150 \text{ keV (no equilibration)} \\ 12-90 \text{ keV (equilibration)} \end{array}$$

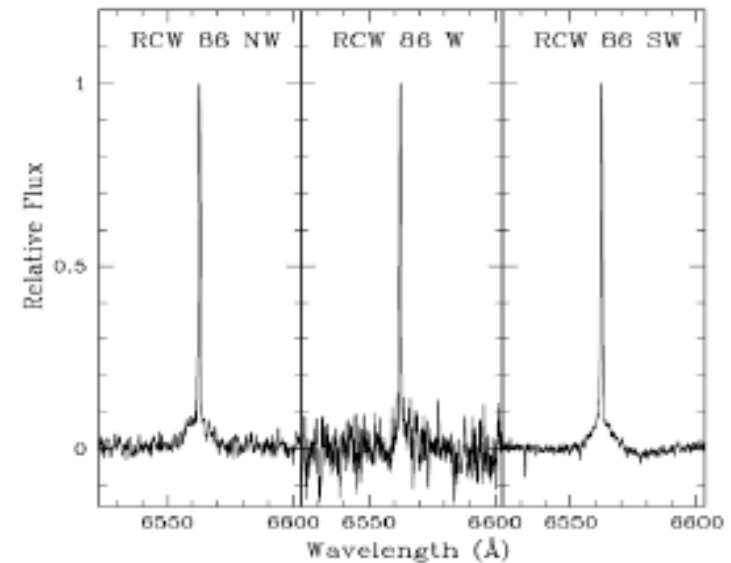
**INFERRED EFFICIENCY of CR ACCELERATION 50-60% !!! (BUT model dependent)**

# NARROW BALMER LINES BROADER THAN FOR UNMODIFIED SHOCKS

Sollerman et al. 2003



CHARGE EXCHANGE OCCURS  
NOW IN THE CR INDUCED  
PRECURSOR



$$W_{broad} = \sqrt{8 \ln 2 \frac{kT_0}{m}} \approx 21 \text{ km/s} \left( \frac{T_0}{10^4 \text{ K}} \right)^{1/2}$$

$$W_n \sim 30 - 50 \text{ km/s} \rightarrow T \sim 2 - 6 \cdot 10^4 \text{ K}$$

NARROW BALMER LINE BROADER  
THAN FOR AN UNMODIFIED SHOCK

# CONCLUSIONS

BASIC PRINCIPLES OF ACCELERATION IN SNR WELL POSED – HINT TO END OF GALACTIC CR AT  $\sim \text{FEW } 10^{17} \text{ eV}$

BUT HARD TO MOVE AHEAD IN THE DETAILS (WE OBSERVE LARGE SCALES BUT THEY ARE DETERMINED BY VERY SMALL SCALES)

EFFICIENT ACCELERATION  $\neq$  BRIGHT GAMMA OR NEUTRINO SOURCE  
(e.g. HIGH EFF. AND LARGE  $P_{\text{MAX}}$  FOR A SNII IN TENUOUS BUBBLE)

MAX ENERGY AT THE BEGINNING OF SEDOV: USUALLY INSIDE BUBBLE  
(NOT EASY TO SEE PEVATRONS UNLESS SNIa)

B-FIELD AMPLIFICATION BUT UNCLEAR DETAILS (SATURATION, SCALES – OBSERVATIONALLY HARD TO ACCESS)

STRONG EVIDENCE FOR STEEP SPECTRA (CAN'T BE LEPTONIC)  $\sim E^{-2.2}$   
(RECALL ESCAPE SPECTRUM  $\neq$  ACCELERATED SPECTRUM)

BIG DEVELOPMENTS FROM BALMER DOMINATED SHOCKS AS INDICATORS OF CR ACCELERATION EFFICIENCY