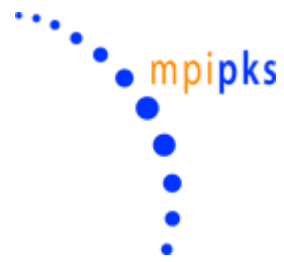


From Majorana to parafermion quantum wires

*Kirill Shtengel, UC Riverside
& MPIPKS, Dresden*

Joint work with: Jason Alicea, UC Irvine
David Clarke, UC Riverside → UC Irvine



Support:
DARPA QuEST



Ising model (of all things)

1D quantum Ising chain:

$$H = -J \sum_{j=1}^{L-1} \sigma_j^z \sigma_{j+1}^z - h \sum_{j=1}^L \sigma_j^x$$

Jordan–Wigner transformation:

$$\gamma_{2j-1} = \sigma_j^z \prod_{i<j} \sigma_i^x, \quad \gamma_{2j} = \sigma_j^y \prod_{i<j} \sigma_i^x$$

γ 's are *Majorana* operators:

$$\gamma_j^2 = 1, \quad \gamma_j^\dagger = \gamma_j, \quad \gamma_j \gamma_k = -\gamma_k \gamma_j$$

Ising model (of all things)

1D quantum Ising chain:

$$H = -J \sum_{j=1}^{L-1} \sigma_j^z \sigma_{j+1}^z - h \sum_{j=1}^L \sigma_j^x$$

Hamiltonian after Jordan–Wigner transformation:

$$H = -J \sum_{j=1}^{L-1} i\gamma_{2j}\gamma_{2j+1} - h \sum_{j=1}^L i\gamma_{2j-1}\gamma_{2j}$$

γ 's are *Majorana* operators:

$$\gamma_j^2 = 1, \quad \gamma_j^\dagger = \gamma_j, \quad \gamma_j \gamma_k = -\gamma_k \gamma_j$$

Ising model (of all things)

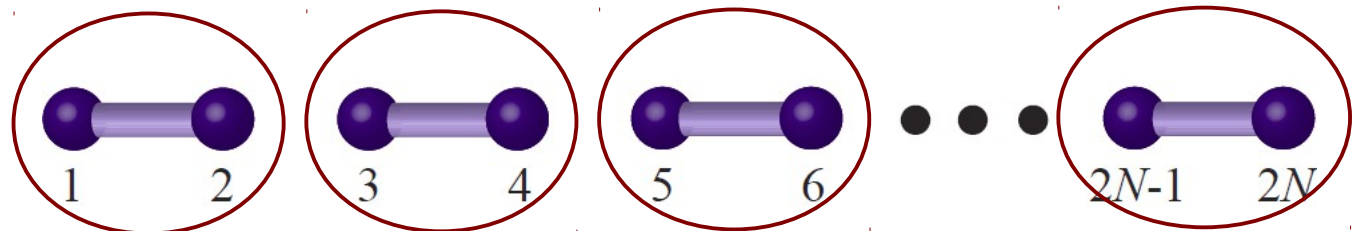
1D quantum Ising chain:

$$H = -J \sum_{j=1}^{L-1} \sigma_j^z \sigma_{j+1}^z - h \sum_{j=1}^L \sigma_j^x$$

Hamiltonian after Jordan–Wigner transformation:

$$H = -J \sum_{j=1}^{L-1} i\gamma_{2j}\gamma_{2j+1} - h \sum_{j=1}^L i\gamma_{2j-1}\gamma_{2j}$$

$J = 0$:



Ising model (of all things)

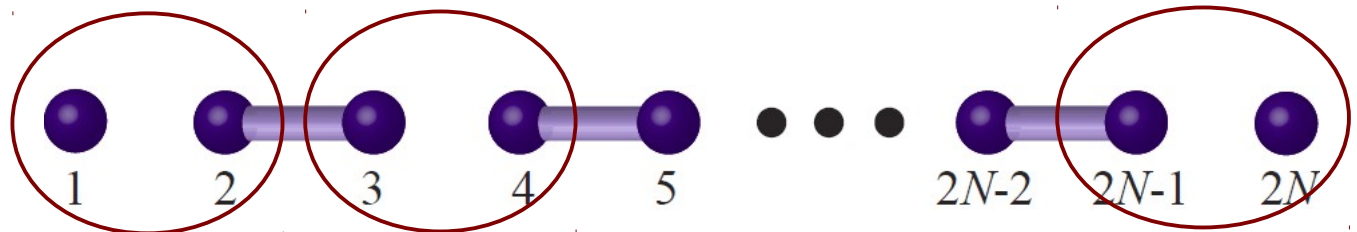
1D quantum Ising chain:

$$H = -J \sum_{j=1}^{L-1} \sigma_j^z \sigma_{j+1}^z - h \sum_{j=1}^L \sigma_j^x$$

Hamiltonian after Jordan–Wigner transformation:

$$H = -J \sum_{j=1}^{N-1} i\gamma_{2j}\gamma_{2j+1} - h \sum_{j=1}^N i\gamma_{2j-1}\gamma_{2j}$$

$h = 0 :$



Anyons in 1D: Majorana wires

1D spinless p-wave superconductor(Kitaev 2001):

$$H = \mu \sum_{x=1}^N c_x^\dagger c_x - \sum_{x=1}^{N-1} (t c_x^\dagger c_{x+1} + |\Delta| e^{i\phi} c_x c_{x+1} + h.c.)$$

$$\mu = 0$$

$$t = |\Delta|$$

$$c_x = \frac{1}{2} e^{-i\frac{\phi}{2}} (\gamma_{B,x} + i\gamma_{A,x})$$

Anyons in 1D: Majorana wires

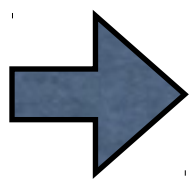
1D spinless p-wave superconductor(Kitaev 2001):

$$H = \mu \sum_{x=1}^N c_x^\dagger c_x - \sum_{x=1}^{N-1} (t c_x^\dagger c_{x+1} + |\Delta| e^{i\phi} c_x c_{x+1} + h.c.)$$

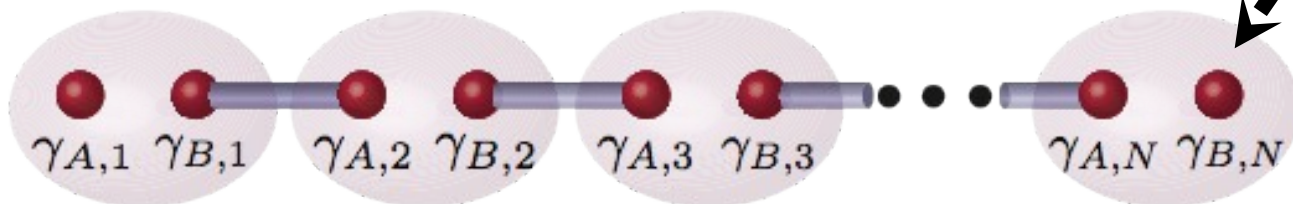
$$\mu = 0$$

$$t = |\Delta|$$

$$c_x = \frac{1}{2} e^{-i\frac{\phi}{2}} (\gamma_{B,x} + i\gamma_{A,x})$$

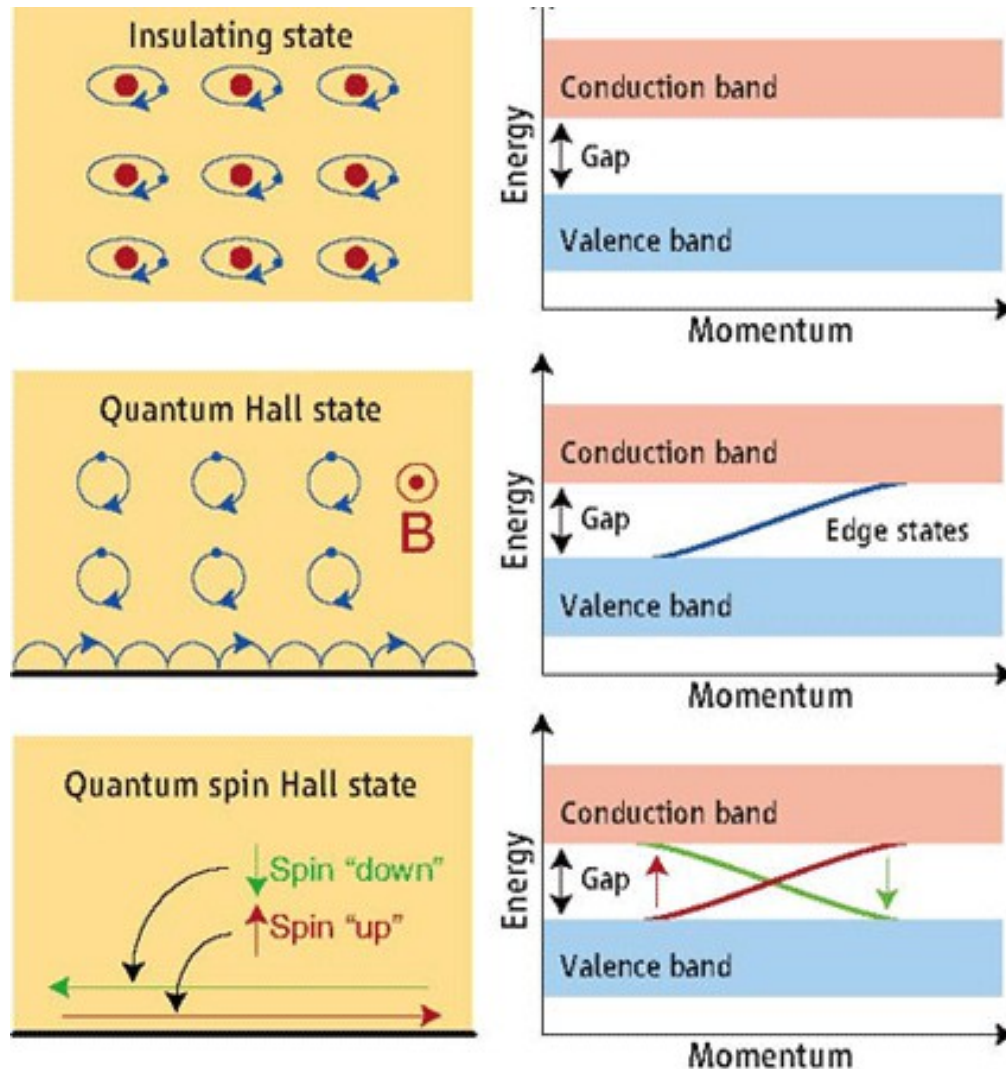


$$H = -it \sum_{x=1}^{N-1} \gamma_{B,x} \gamma_{A,x+1}$$



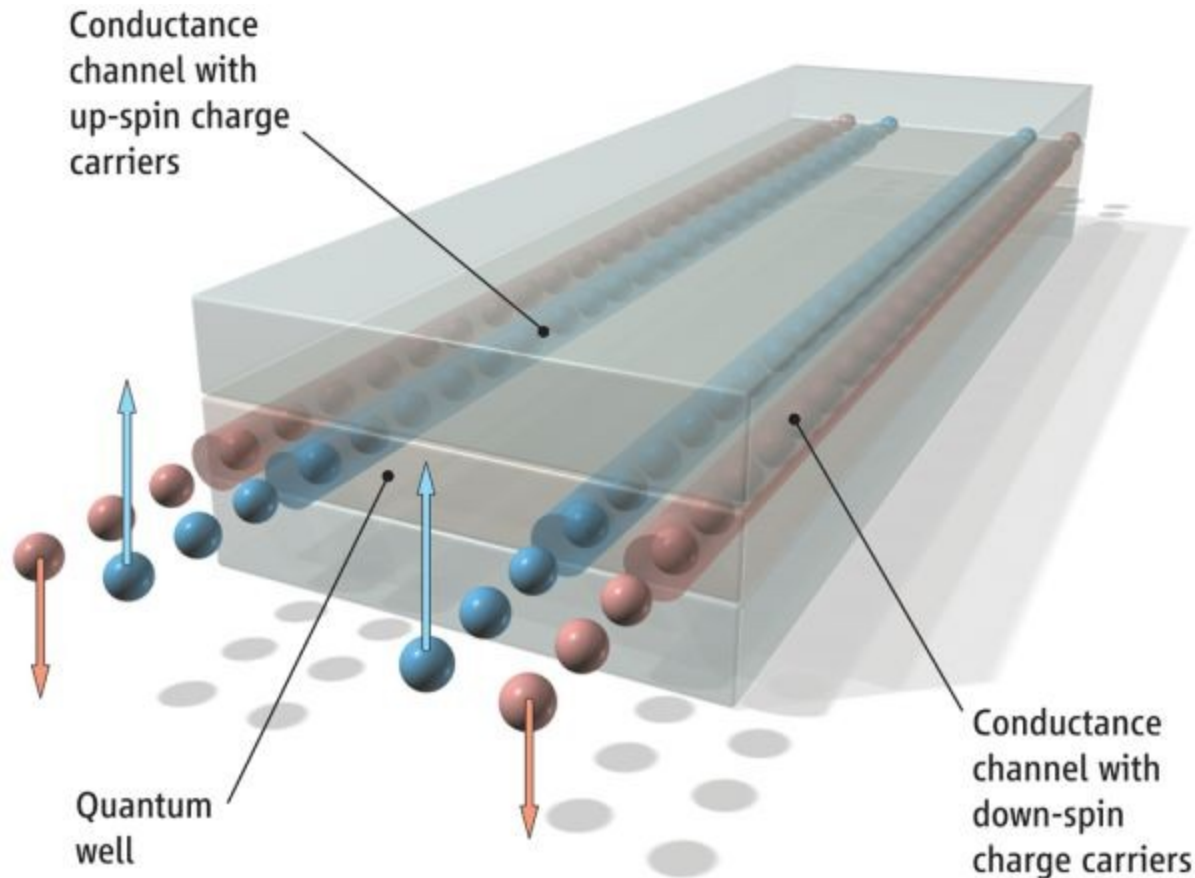
Unpaired
Majorana
fermions at
the ends!

Realization in topological insulator edges



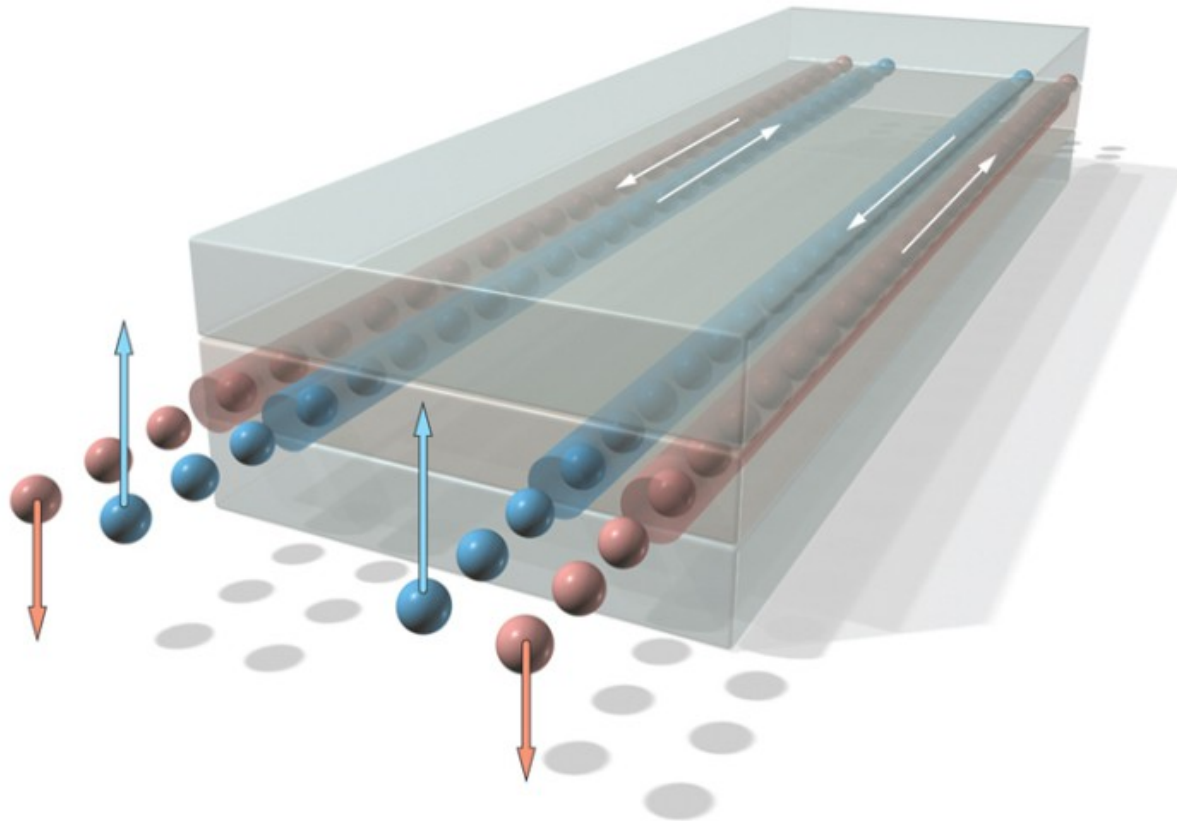
Kane & Mele, 2005; Bernevig, Hughes, Zhang, 2006; Fu & Kane, 2008

Realization in topological insulator edges



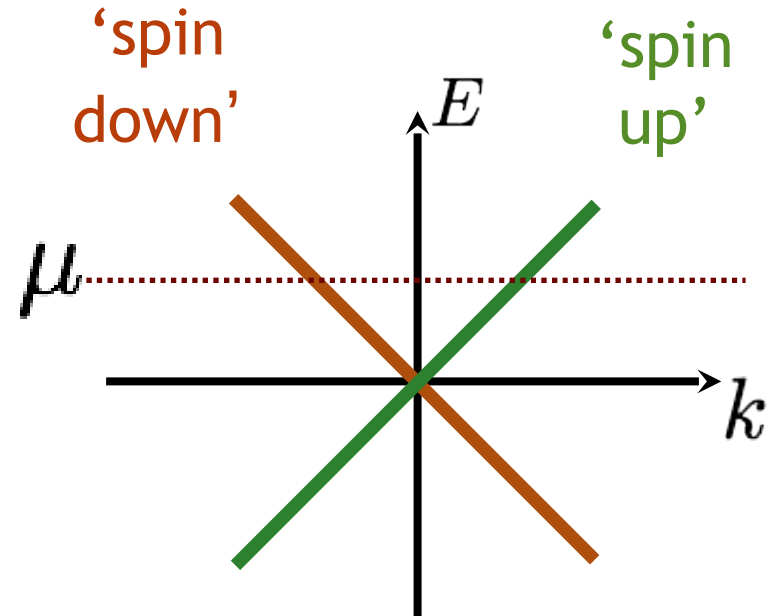
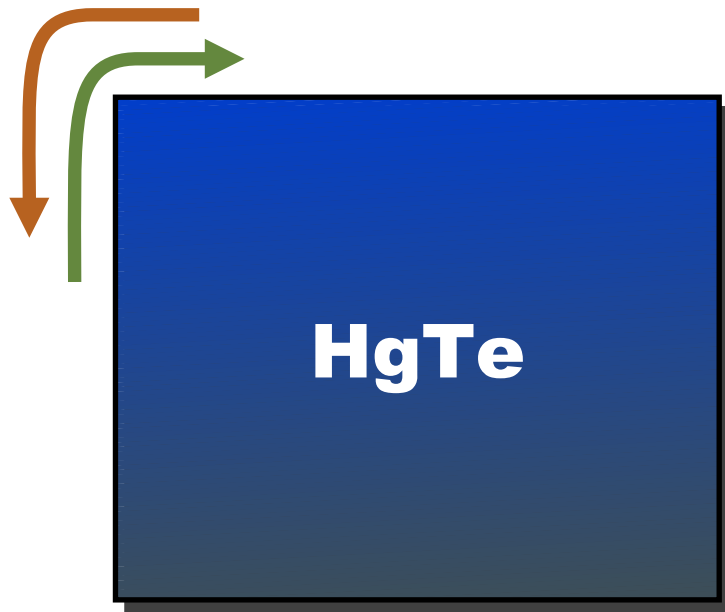
Kane & Mele, 2005; Bernevig, Hughes, Zhang, 2006; Fu & Kane, 2008

Realization in topological insulator edges



Kane & Mele, 2005; Bernevig, Hughes, Zhang, 2006; Fu & Kane, 2008

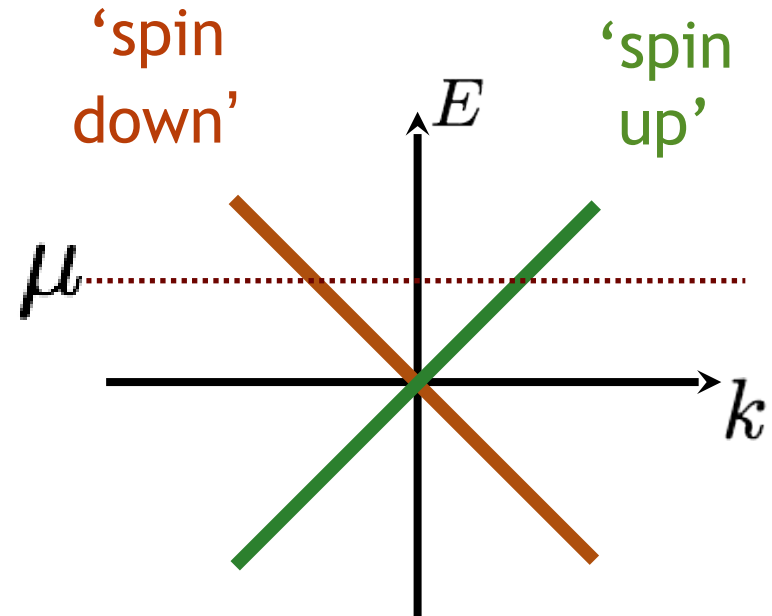
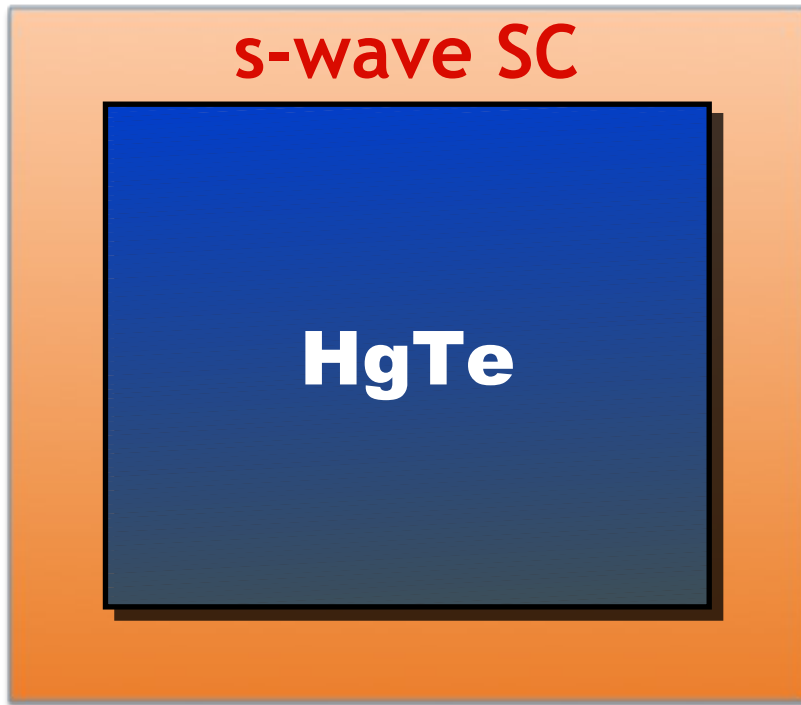
Realization in topological insulator edges



$$H_{\text{edge}} = \int dx [-\mu(\psi_R^\dagger \psi_R + \psi_L^\dagger \psi_L) - i\hbar v(\psi_R^\dagger \partial_x \psi_R - \psi_L^\dagger \partial_x \psi_L)]$$

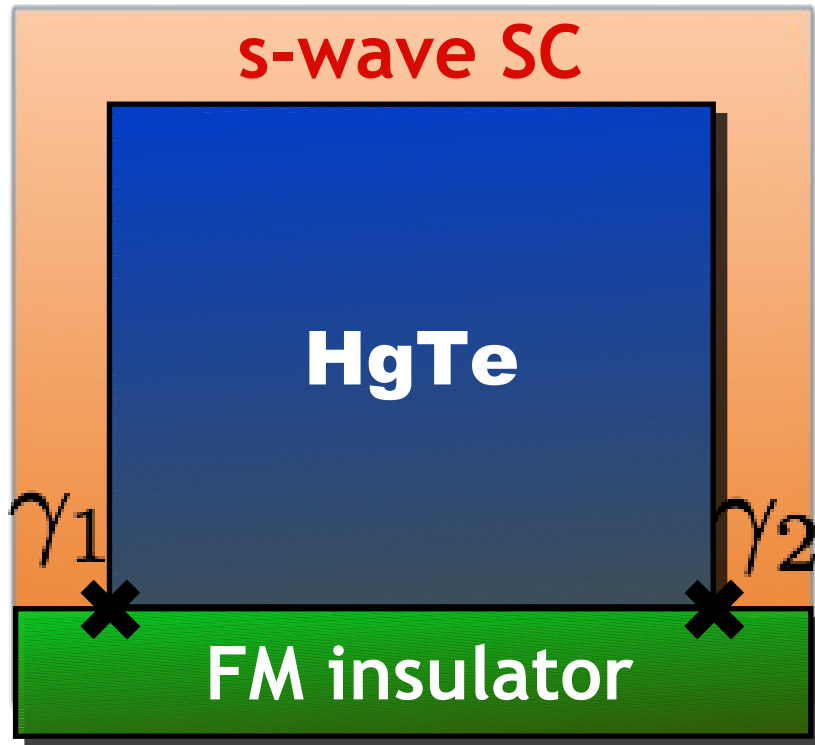
1D and effectively 'spinless'! Just need superconductivity...

Realization in topological insulator edges



$$H_{\text{edge}} = \int dx \left[-\mu(\psi_R^\dagger \psi_R + \psi_L^\dagger \psi_L) - i\hbar v(\psi_R^\dagger \partial_x \psi_R - \psi_L^\dagger \partial_x \psi_L) \right] + [\Delta \psi_R \psi_L + h.c.]$$

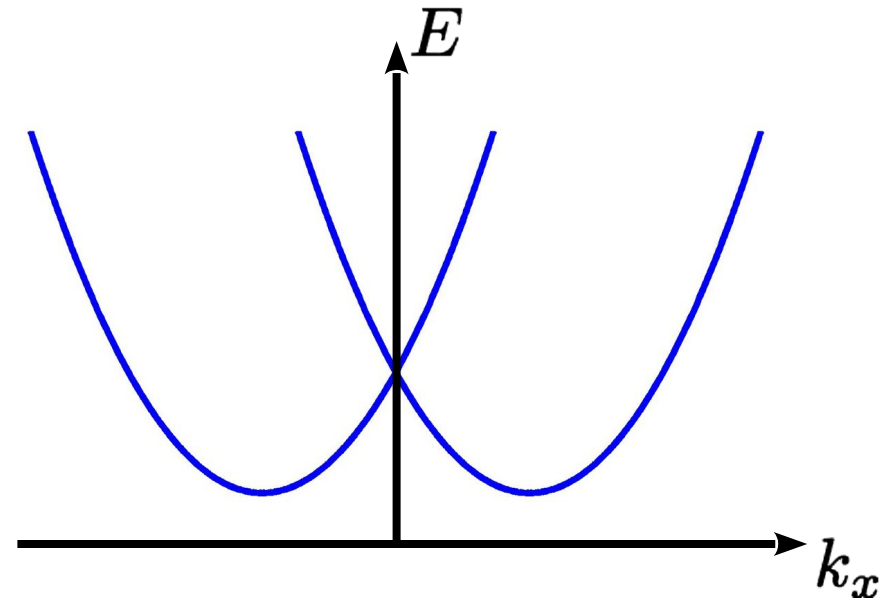
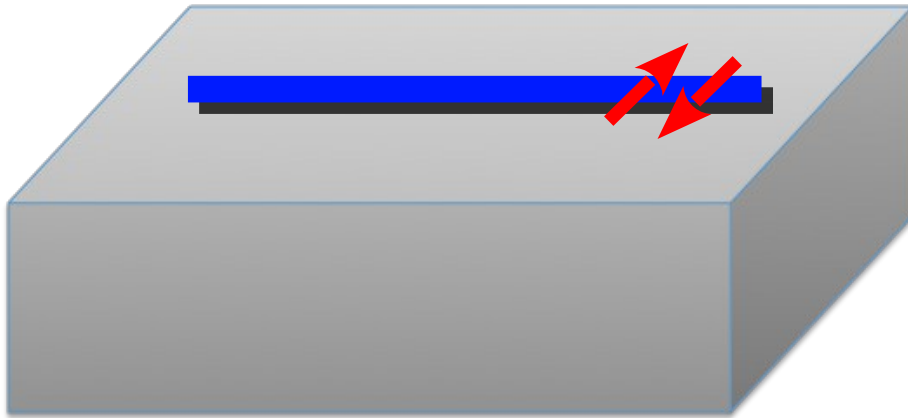
Realization in topological insulator edges



“Terminating” the SC wire by a magnetic gap: Majorana zero modes localised at the ends

Realization in 1D wires

1D spin-orbit-coupled
wire (e.g. InAs)

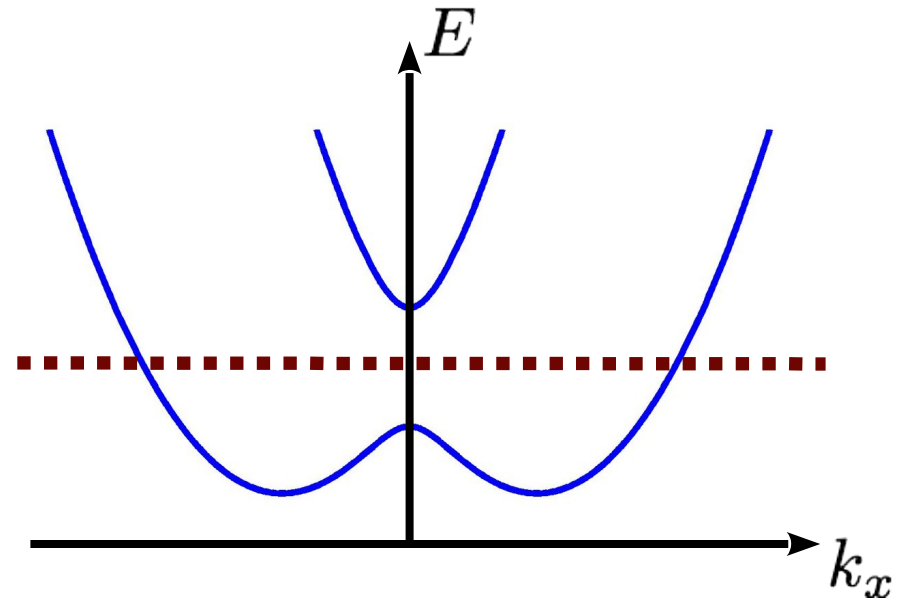
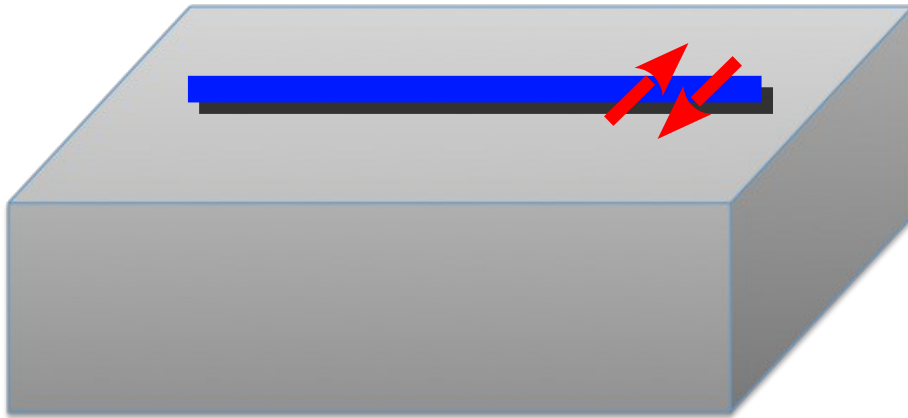


$$H = \int dx \psi^\dagger \left[-\frac{\partial_x^2}{2m} - \mu - i\hbar v \partial_x \sigma^y \right] \psi$$

(Lutchyn, Sau, Das Sarma 2010; Oreg, Refael, von Oppen 2010)

Realization in 1D wires

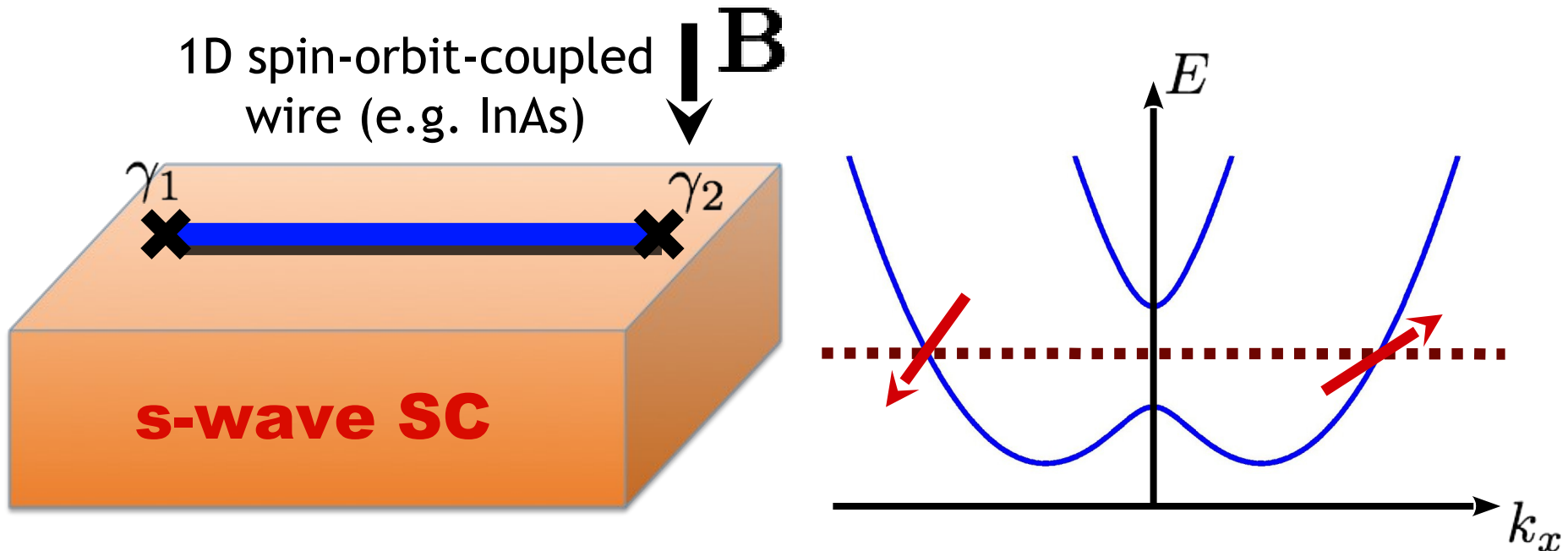
1D spin-orbit-coupled
wire (e.g. InAs)



$$H = \int dx \psi^\dagger \left[-\frac{\partial_x^2}{2m} - \mu - i\hbar v \partial_x \sigma^y - \frac{g\mu_B B}{2} \sigma^z \right] \psi$$

(Lutchyn, Sau, Das Sarma 2010; Oreg, Refael, von Oppen 2010)

Realization in 1D wires



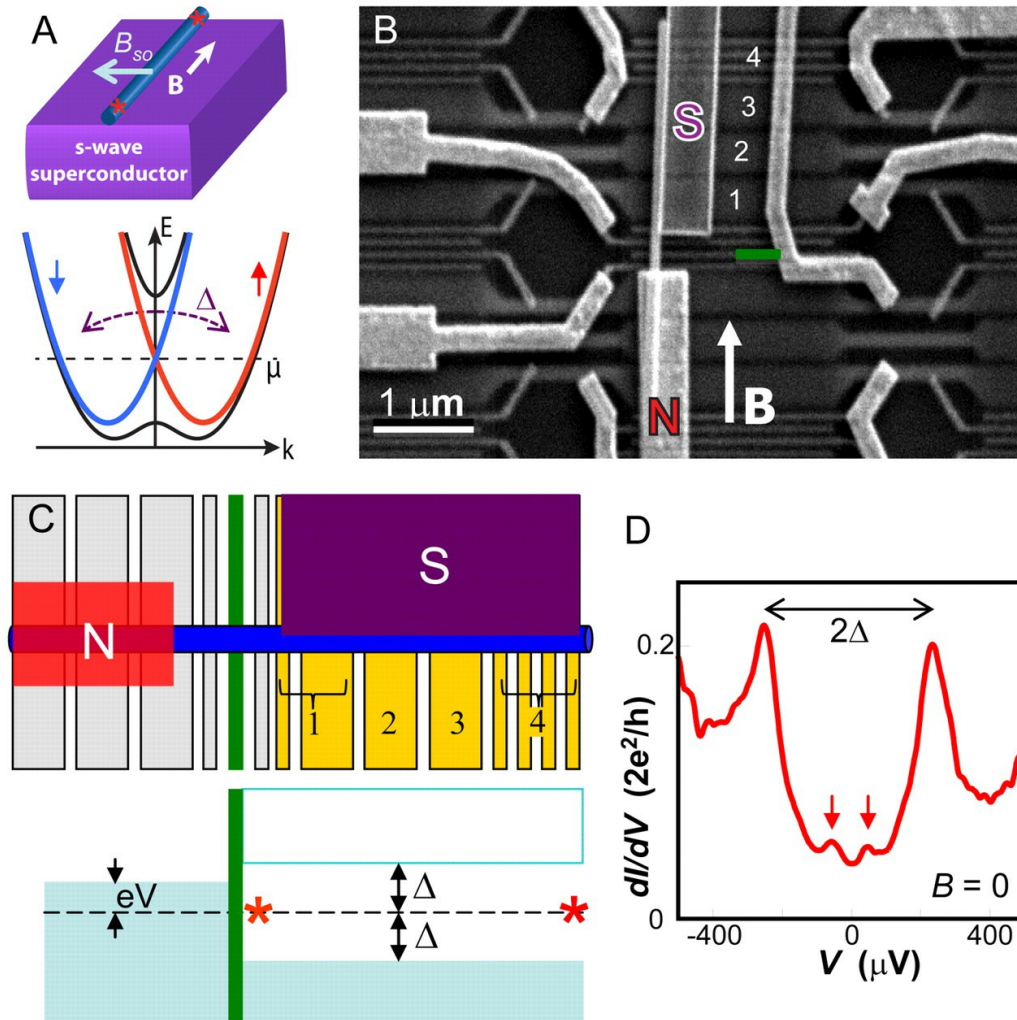
$$H = \int dx \psi^\dagger \left[-\frac{\partial_x^2}{2m} - \mu - i\hbar v \partial_x \sigma^y - \frac{g\mu_B B}{2} \sigma^z \right] \psi$$

$$+ (\Delta \psi_\uparrow \psi_\downarrow + h.c.)$$

Generates a 1D 'spinless' SC state
with Majorana fermions!

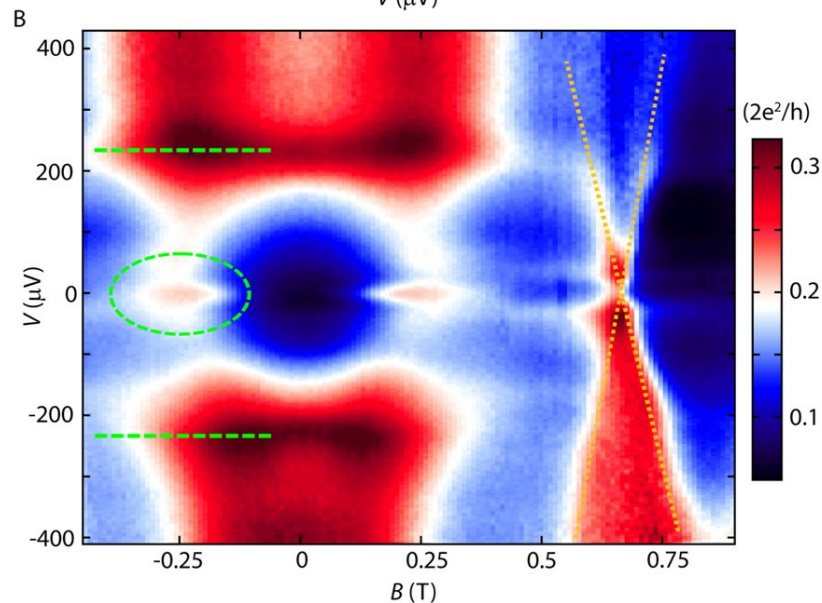
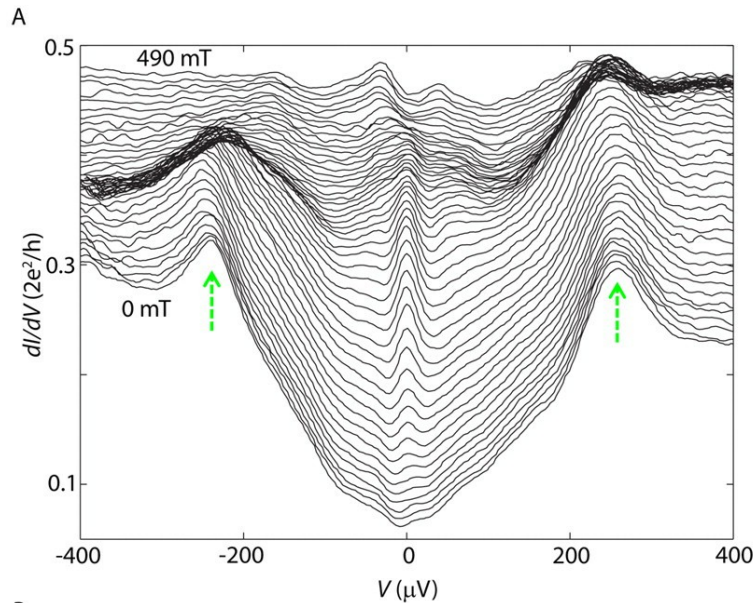
(Lutchyn, Sau, Das Sarma 2010; Oreg, Refael, von Oppen 2010)

First possible experimental realization



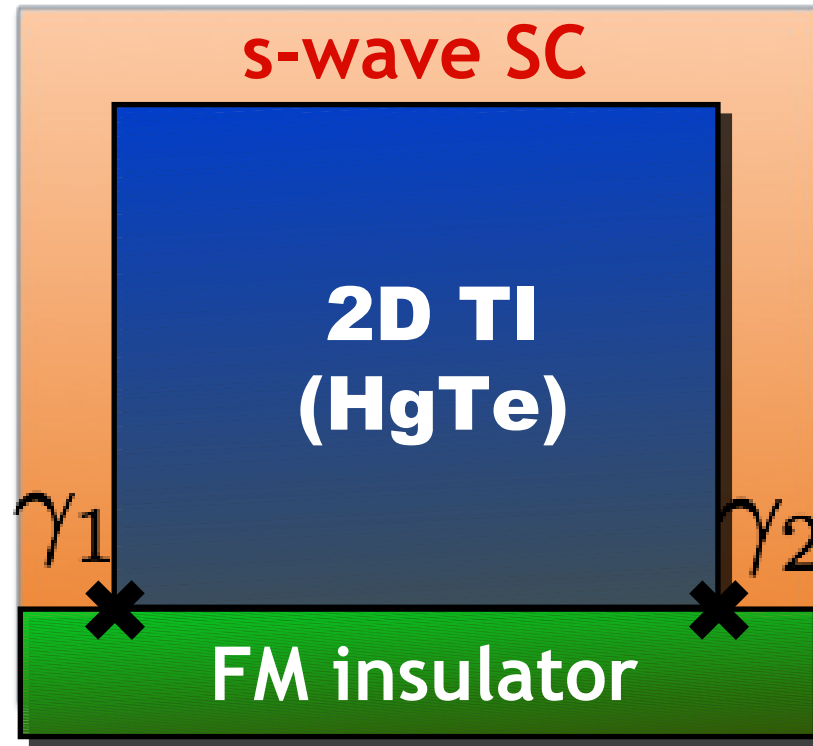
Mourik et al., Science 2012
(Kouwenhoven's group, Delft)
following proposals by
Lutchyn, Sau & Das Sarma,
2010;
Oreg, Refael & von Oppen,
2010.

First possible experimental realization

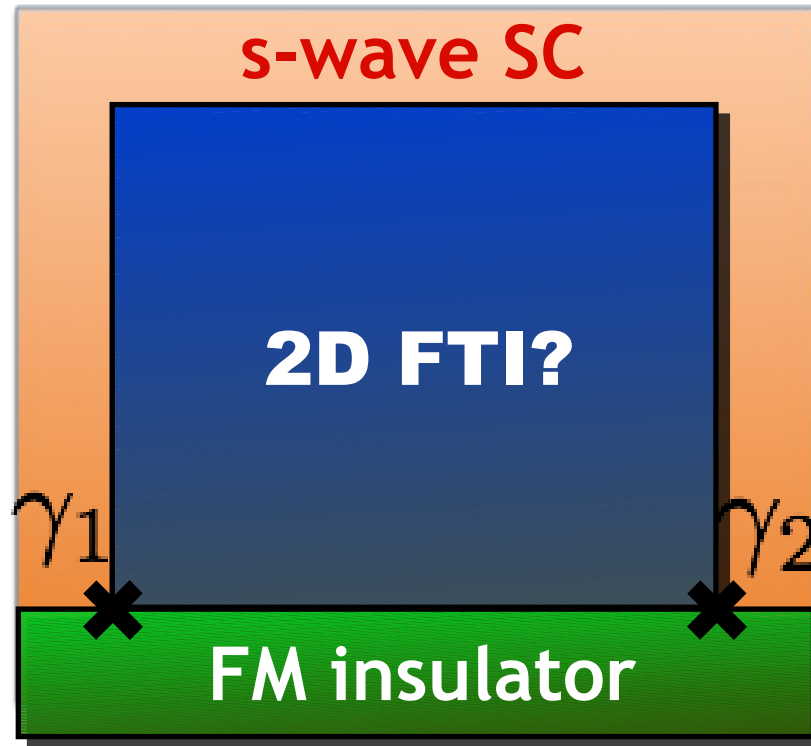


Mourik et al., Science 2012
(Kouwenhoven's group, Delft)
following proposals by
Lutchyn, Sau & Das Sarma,
2010;
Oreg, Refael & von Oppen,
2010.

Back to topological insulator edges

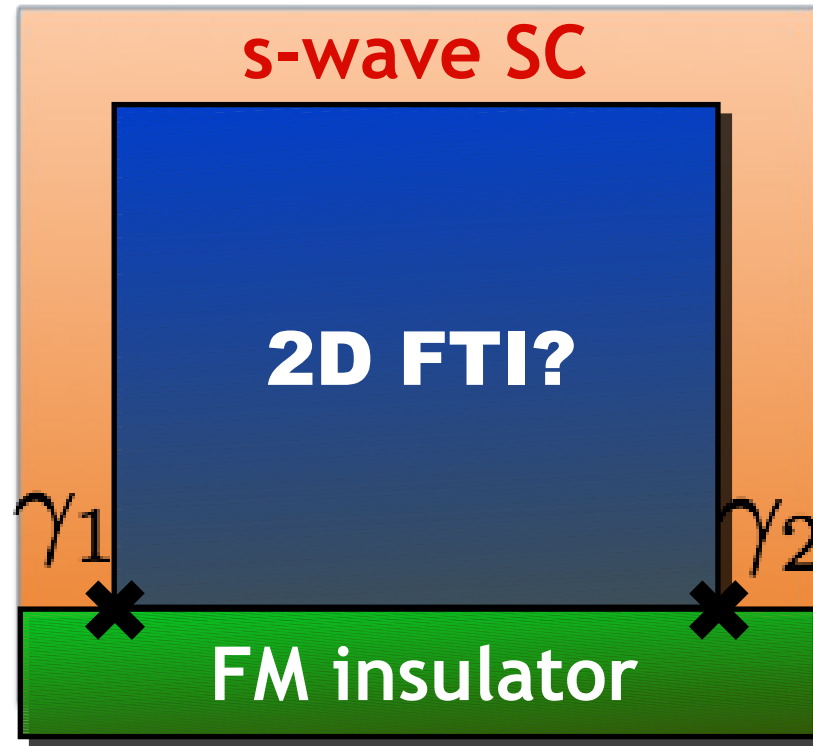


What about fractional TI edges?



We could envision playing the same game with 2D fractional topological insulators (*à la* Levin & Stern, 2009), but...

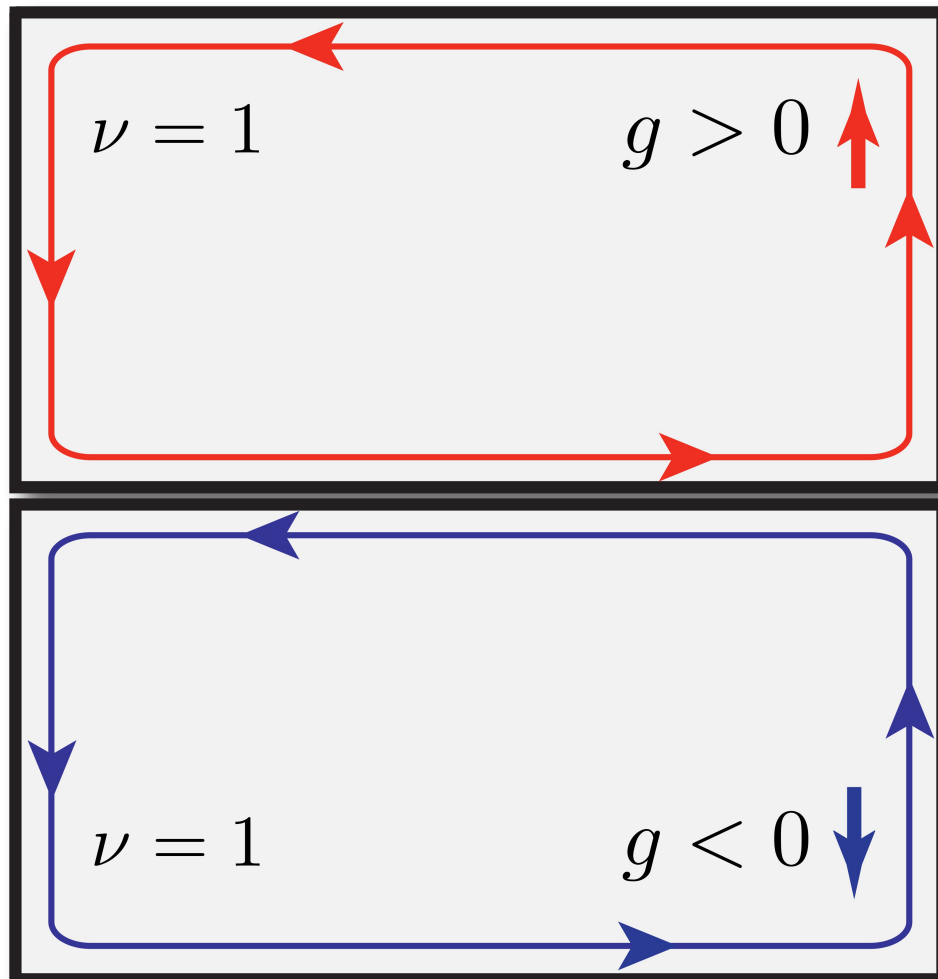
What about fractional TI edges?



There are no known fractional topological insulators (yet).

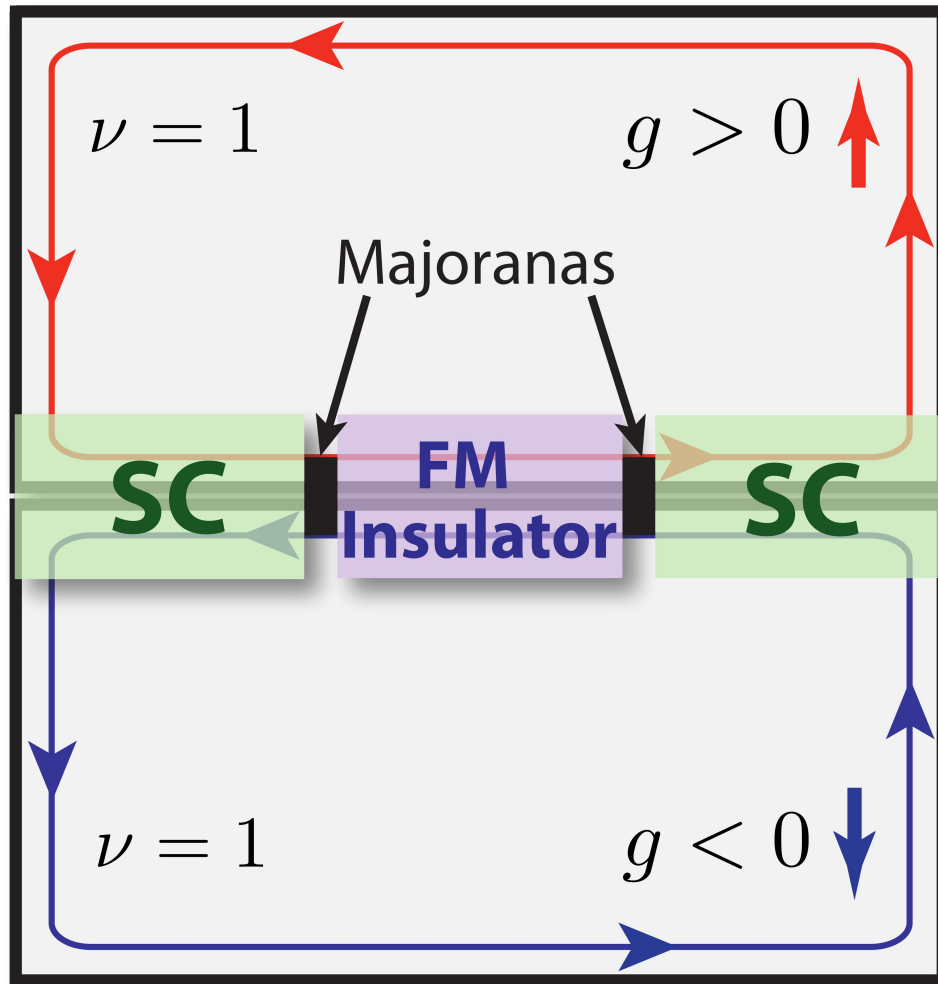
But could we 'fake' the same physics elsewhere?

Realization in quantum Hall edges



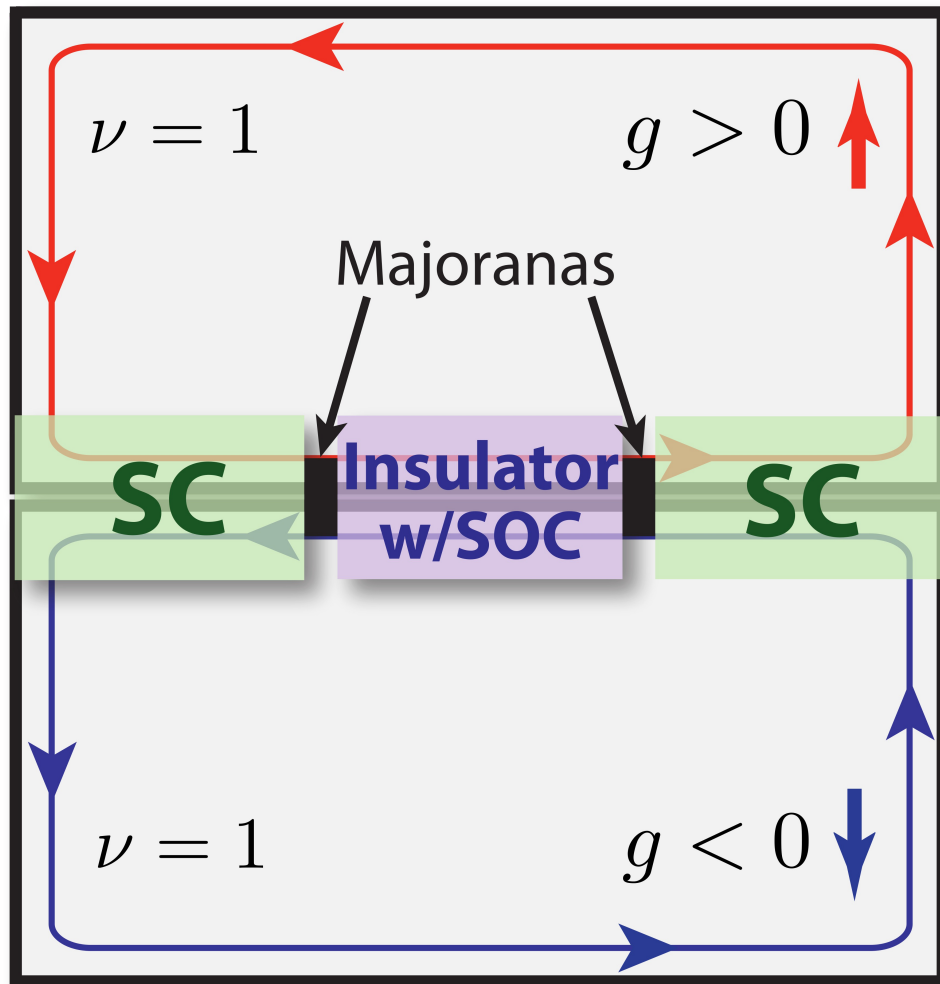
Counter-propagating edge modes at the boundary between $g > 0$ and $g < 0$. The sign of g can be changed by stress.

Realization in quantum Hall edges



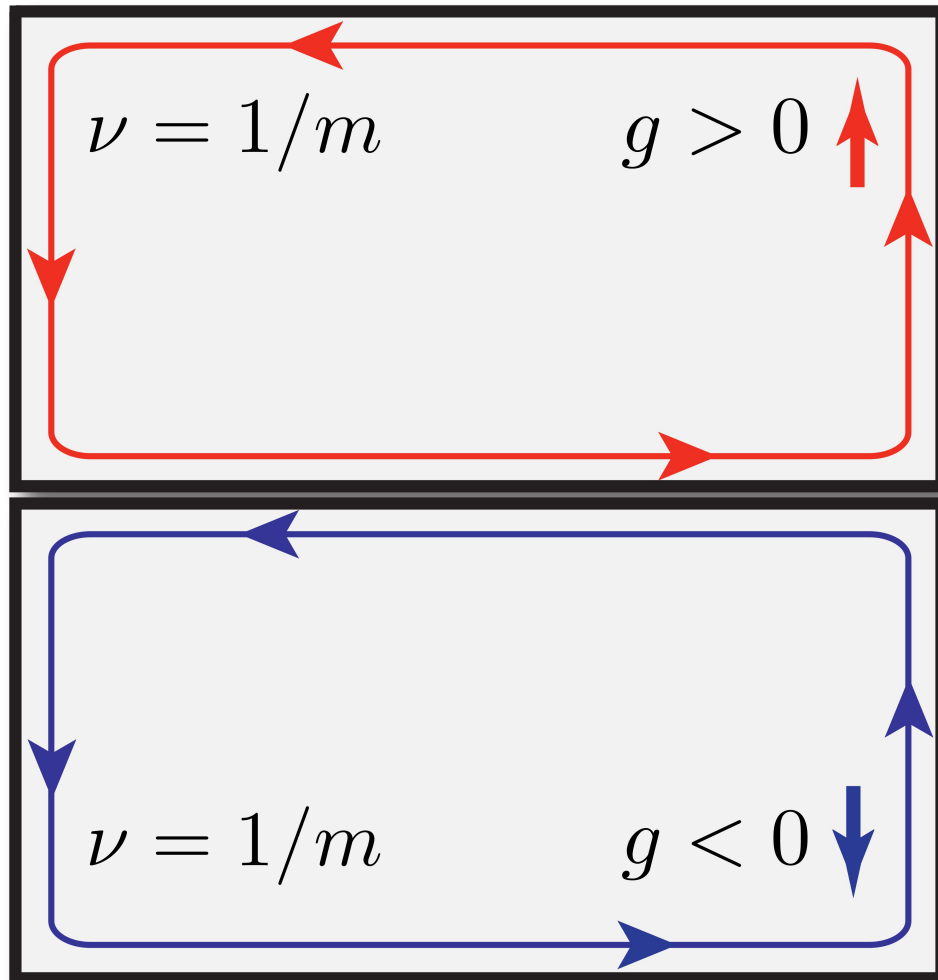
Counter-propagating edge modes at the boundary between $g > 0$ and $g < 0$. The sign of g can be changed by stress.

Realization in quantum Hall edges



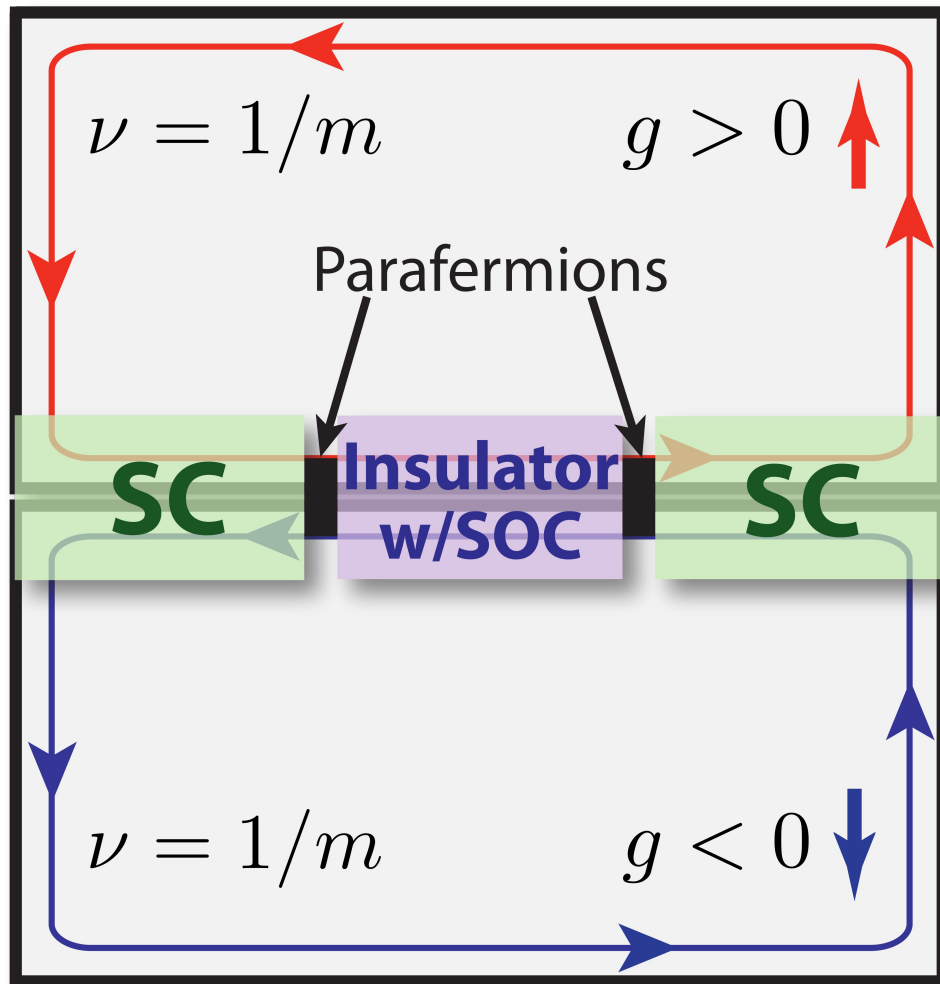
Counter-propagating edge modes at the boundary between $g > 0$ and $g < 0$. The sign of g can be changed by stress.

What about fractional quantum Hall edges?



Counter-propagating *fractionalised* edge modes at the boundary between $g > 0$ and $g < 0$. The sign of g can be changed by stress.

What about fractional quantum Hall edges?



Counter-propagating *fractionalised* edge modes at the boundary between $g > 0$ and $g < 0$. The sign of g can be changed by stress.

Taking a cue from Stat Mech

1D quantum clock model (Fendley, unpublished):

$$H = -J \sum_{j=1}^{L-1} (\sigma_j^\dagger \sigma_{j+1} + H.c.) - h \sum_{j=1}^L (\tau_j^\dagger + \tau_j)$$

$$\sigma_j^N = 1 \quad \sigma_j^\dagger = \sigma_j^{N-1}$$

$$\tau_j^N = 1 \quad \tau_j^\dagger = \tau_j^{N-1}$$

$$\sigma_j \tau_j = \tau_j \sigma_j e^{2\pi i/N}$$

$N=2$:

quantum Ising chain

$$\sigma \equiv \sigma^z$$

$$\tau \equiv \sigma^x$$

Taking a cue from Stat Mech

1D quantum clock model (Fendley, unpublished):

$$H = -J \sum_{j=1}^{L-1} (\sigma_j^\dagger \sigma_{j+1} + H.c.) - h \sum_{j=1}^L (\tau_j^\dagger + \tau_j)$$

$$\sigma_j^N = 1 \quad \sigma_j^\dagger = \sigma_j^{N-1}$$

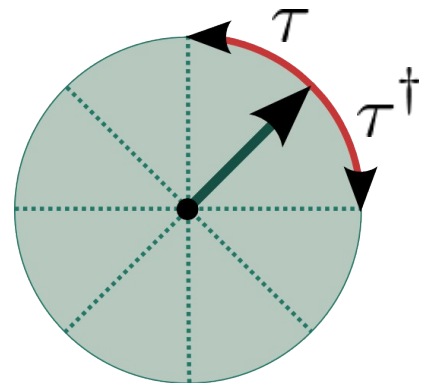
$$\tau_j^N = 1 \quad \tau_j^\dagger = \tau_j^{N-1}$$

$$\sigma_j \tau_j = \tau_j \sigma_j e^{2\pi i/N}$$

$N \neq 2$:
quantum clock

$$\sigma |q\rangle = e^{2\pi i q/N} |q\rangle$$

$$\tau^\dagger |q\rangle = |q+1\rangle$$



Taking a cue from Stat Mech

1D quantum clock model (Fendley, unpublished):

$$H = -J \sum_{j=1}^{L-1} (\sigma_j^\dagger \sigma_{j+1} + H.c.) - h \sum_{j=1}^L (\tau_j^\dagger + \tau_j)$$

Jordan–Wigner transformation:

$$\alpha_{2j-1} = \sigma_j \prod_{i < j} \tau_i, \quad \alpha_{2j} = -e^{i\pi/N} \tau_j \sigma_j \prod_{i < j} \tau_i$$

α 's are *parafermionic* operators:

$$\alpha_j^N = 1, \quad \alpha_j^\dagger = \alpha_j^{N-1}, \quad \alpha_j \alpha_k = \alpha_k \alpha_j e^{i \frac{2\pi}{N} \text{sgn}(k-j)}$$

$N=2$: these are Majorana fermions $\left(\alpha_j^2 = 1, \quad \alpha_j^\dagger = \alpha_j \right)$

Taking a cue from Stat Mech

1D quantum clock model (Fendley, unpublished):

$$H = -J \sum_{j=1}^{L-1} (\sigma_j^\dagger \sigma_{j+1} + H.c.) - h \sum_{j=1}^L (\tau_j^\dagger + \tau_j)$$

Hamiltonian after Jordan–Wigner transformation:

$$H = J \sum_{j=1}^{L-1} \left(e^{-i \frac{\pi}{N}} \alpha_{2j}^\dagger \alpha_{2j+1} + H.c. \right) + h \sum_{j=1}^L \left(e^{i \frac{\pi}{N}} \alpha_{2j-1}^\dagger \alpha_{2j} + H.c. \right)$$

$$\alpha_j^N = 1, \quad \alpha_j^\dagger = \alpha_j^{N-1}, \quad \alpha_j \alpha_k = \alpha_k \alpha_j e^{i \frac{2\pi}{N} \text{sgn}(k-j)}$$

$N=2$: these are Majorana fermions $\left(\alpha_j^2 = 1, \quad \alpha_j^\dagger = \alpha_j \right)$

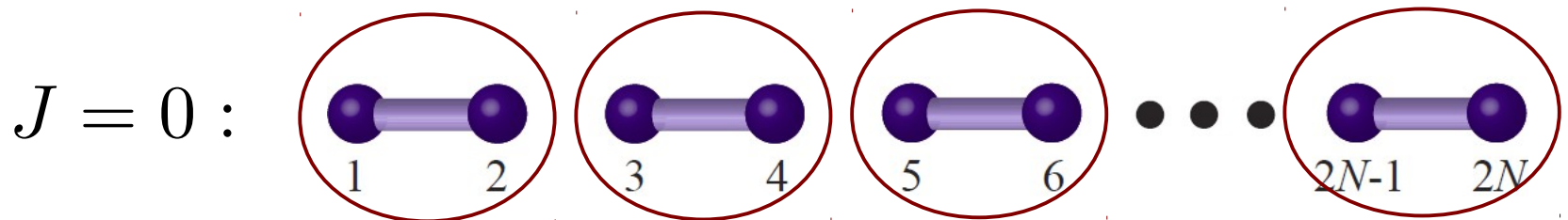
Taking a cue from Stat Mech

1D quantum clock model (Fendley, unpublished):

$$H = -J \sum_{j=1}^{L-1} (\sigma_j^\dagger \sigma_{j+1} + H.c.) - h \sum_{j=1}^L (\tau_j^\dagger + \tau_j)$$

Hamiltonian after Jordan–Wigner transformation:

$$H = J \sum_{j=1}^{L-1} \left(e^{-i\frac{\pi}{N}} \alpha_{2j}^\dagger \alpha_{2j+1} + H.c. \right) + h \sum_{j=1}^L \left(e^{i\frac{\pi}{N}} \alpha_{2j-1}^\dagger \alpha_{2j} + H.c. \right)$$



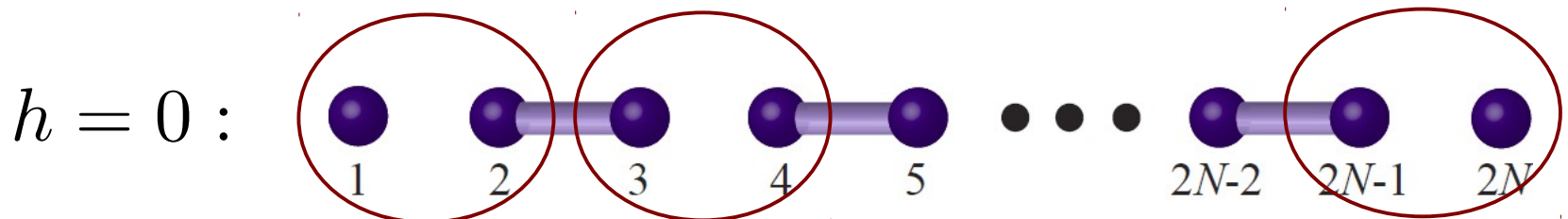
Taking a cue from Stat Mech

1D quantum clock model (Fendley, unpublished):

$$H = -J \sum_{j=1}^{L-1} (\sigma_j^\dagger \sigma_{j+1} + H.c.) - h \sum_{j=1}^L (\tau_j^\dagger + \tau_j)$$

Hamiltonian after Jordan–Wigner transformation:

$$H = J \sum_{j=1}^{L-1} \left(e^{-i\frac{\pi}{N}} \alpha_{2j}^\dagger \alpha_{2j+1} + H.c. \right) + h \sum_{j=1}^L \left(e^{i\frac{\pi}{N}} \alpha_{2j-1}^\dagger \alpha_{2j} + H.c. \right)$$



Parafermions vs Majoranas

Upshot:

Majorana Fermions: $\gamma^2 = 1$

$$\gamma_y \gamma_x = -\gamma_x \gamma_y$$

Parafermions: $\alpha^N = 1$

$$\alpha_y \alpha_x = \alpha_x \alpha_y e^{\frac{2\pi i}{N} \text{sgn}(x-y)}$$

Majoranas $\leftrightarrow$ 1D quantum Ising model

Parafermions $\leftrightarrow$ 1D quantum Clock/Potts model

Paul Fendley, unpublished

Parafermions from quantum Hall edges

A Laughlin edge state at $\nu = 1/m$ is a natural starting point since

$$[\phi(x), \phi(y)] = i \frac{\pi}{m} \text{sgn}(x - y)$$

and hence

$$e^{i\phi(x)} e^{i\phi(y)} = e^{i\phi(y)} e^{i\phi(x)} e^{i \frac{\pi}{m} \text{sgn}(y-x)}$$

for chiral edge excitations of charge e/m .

Now, we have two counter-propagating modes, $\phi_{R/L}$, which obey

$$[\phi_{R/L}(x), \phi_{R/L}(y)] = \pm i \frac{\pi}{m} \text{sgn}(x - y)$$

The electron fields are $\psi_{R/L} \sim e^{im\phi_{R/L}}$

Parafermions from quantum Hall edges

Change of variables: $\phi_{R/L} = \varphi \pm \theta$

Free Hamiltonian: $\mathcal{H}_0 = \frac{mv}{2\pi} \int dx [(\partial_x \varphi)^2 + (\partial_x \theta)^2]$

Just need to show that a zero mode is bound at a domain wall between

$$\mathcal{H}'_s(x) = \Delta(x) \psi_R \psi_L + H.c. \sim -\Delta(x) \cos(2m\varphi)$$

and

$$\mathcal{H}'_m(x) = \mathcal{M}(x) \psi_R^\dagger \psi_L + H.c. \sim -\mathcal{M}(x) \cos(2m\theta)$$

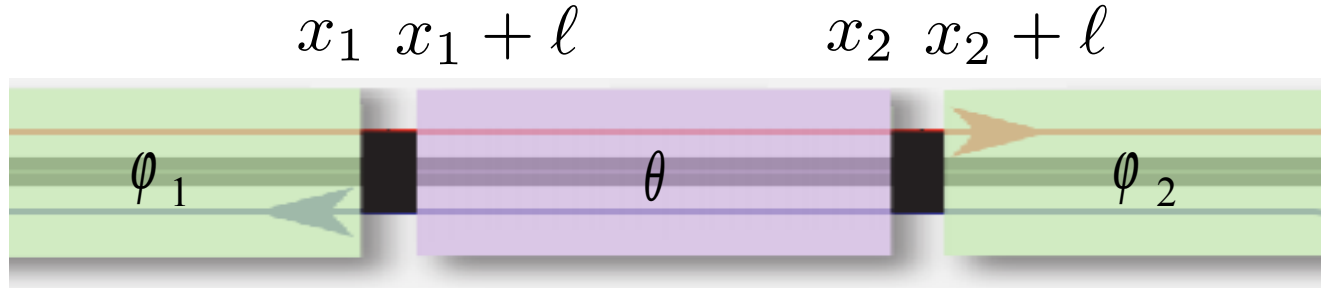
where $\psi_{R/L} \sim e^{im\phi_{R/L}}$

Parafermionic zero mode

Assuming strong tunnelling and pairing,

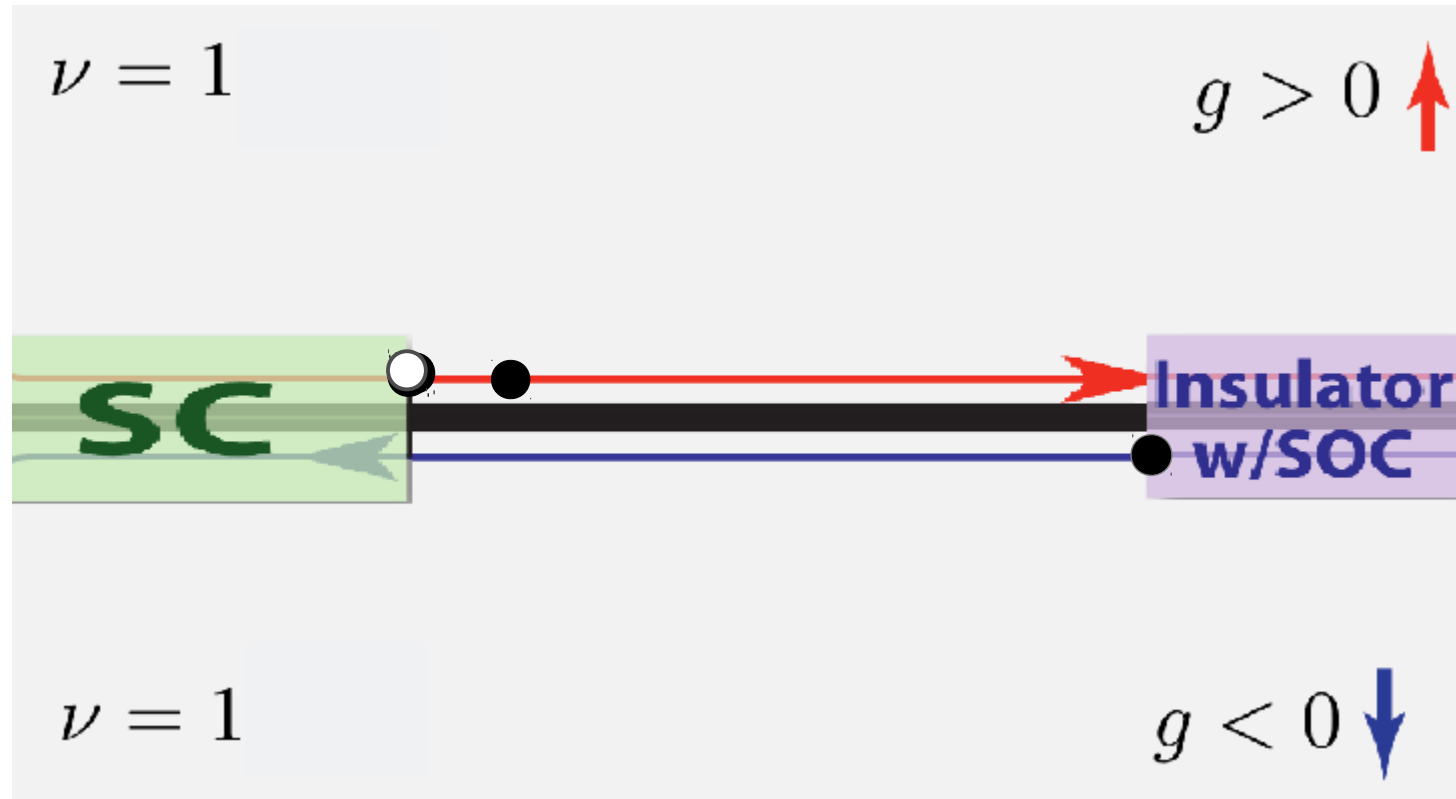
$$\varphi = \frac{\pi n_\varphi}{m} \quad \text{under the superconductors}$$

$$\theta = \frac{\pi n_\theta}{m} \quad \text{under the SO coupled insulators}$$

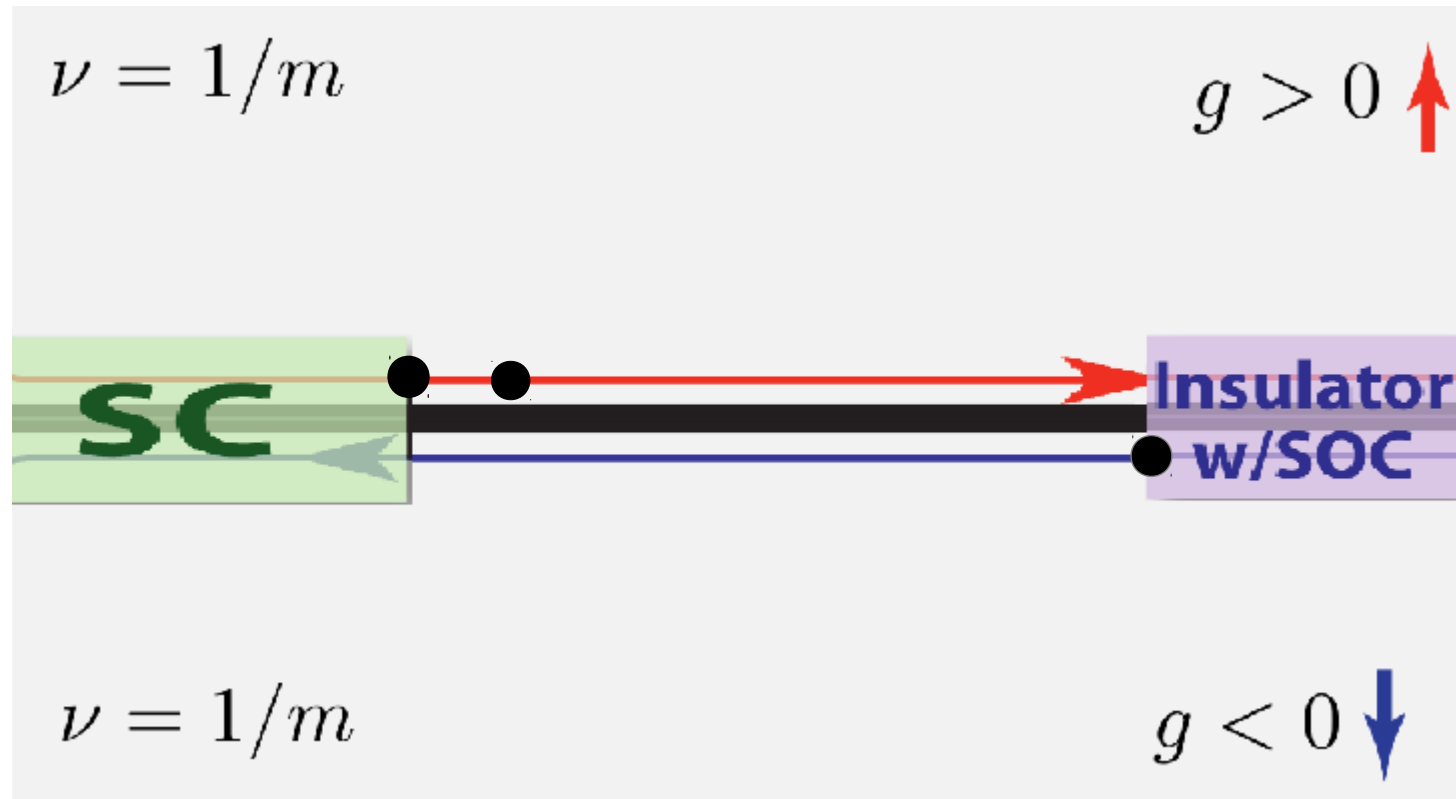


$$\alpha_j = e^{i \frac{\pi}{m} (\hat{n}_\varphi^{(j)} + \hat{n}_\theta)} \int_{x_j}^{x_j + \ell} dx \left[e^{-i \frac{\pi}{m} (\hat{n}_\varphi^{(j)} + \hat{n}_\theta)} e^{i(\varphi + \theta)} + e^{-i \frac{\pi}{m} (\hat{n}_\varphi^{(j)} - \hat{n}_\theta)} e^{i(\varphi - \theta)} + H.c. \right]$$

Majorana zero mode



Parafermionic zero mode



Braiding statistics in 1D?

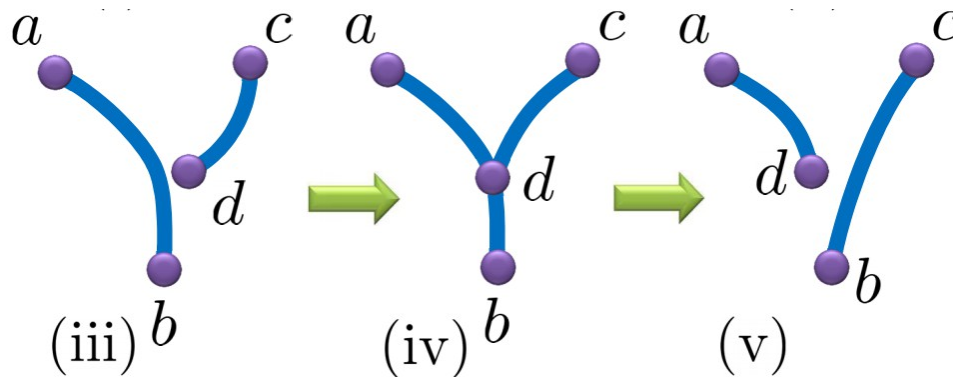
d = 1

Exchange not
well defined...



...because particles
inevitably “collide”

Solution: cheat (use 2D networks with Y-junctions)



Braiding statistics in 1D?

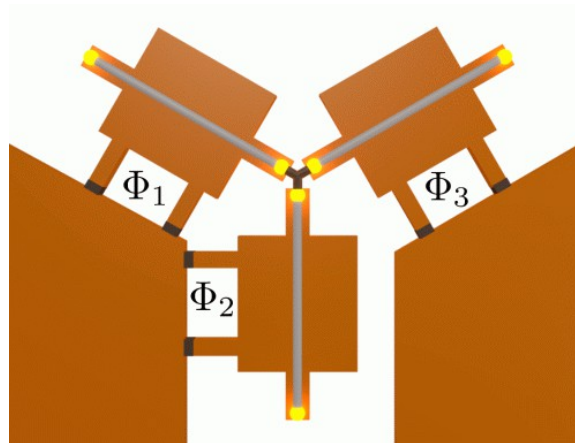
d = 1

Exchange not
well defined...



...because particles
inevitably “collide”

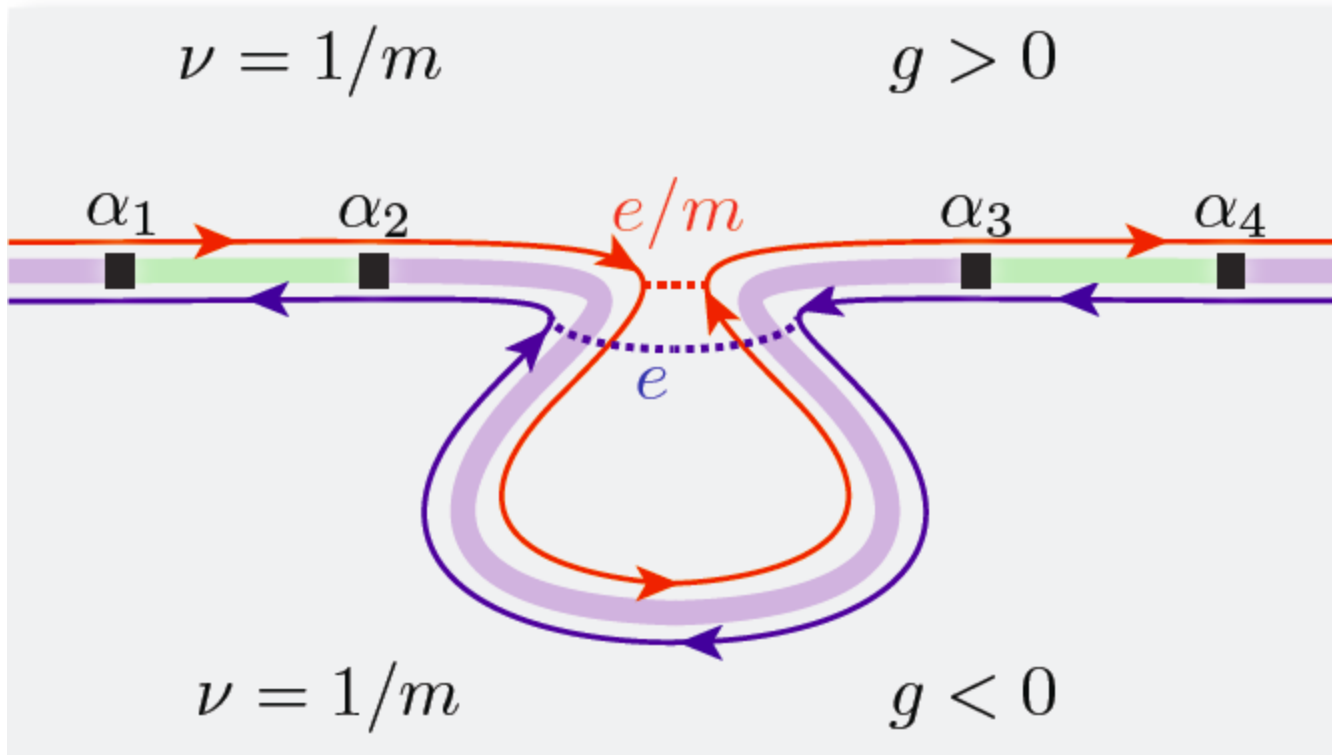
Solution: cheat (use 2D networks with Y-junctions)



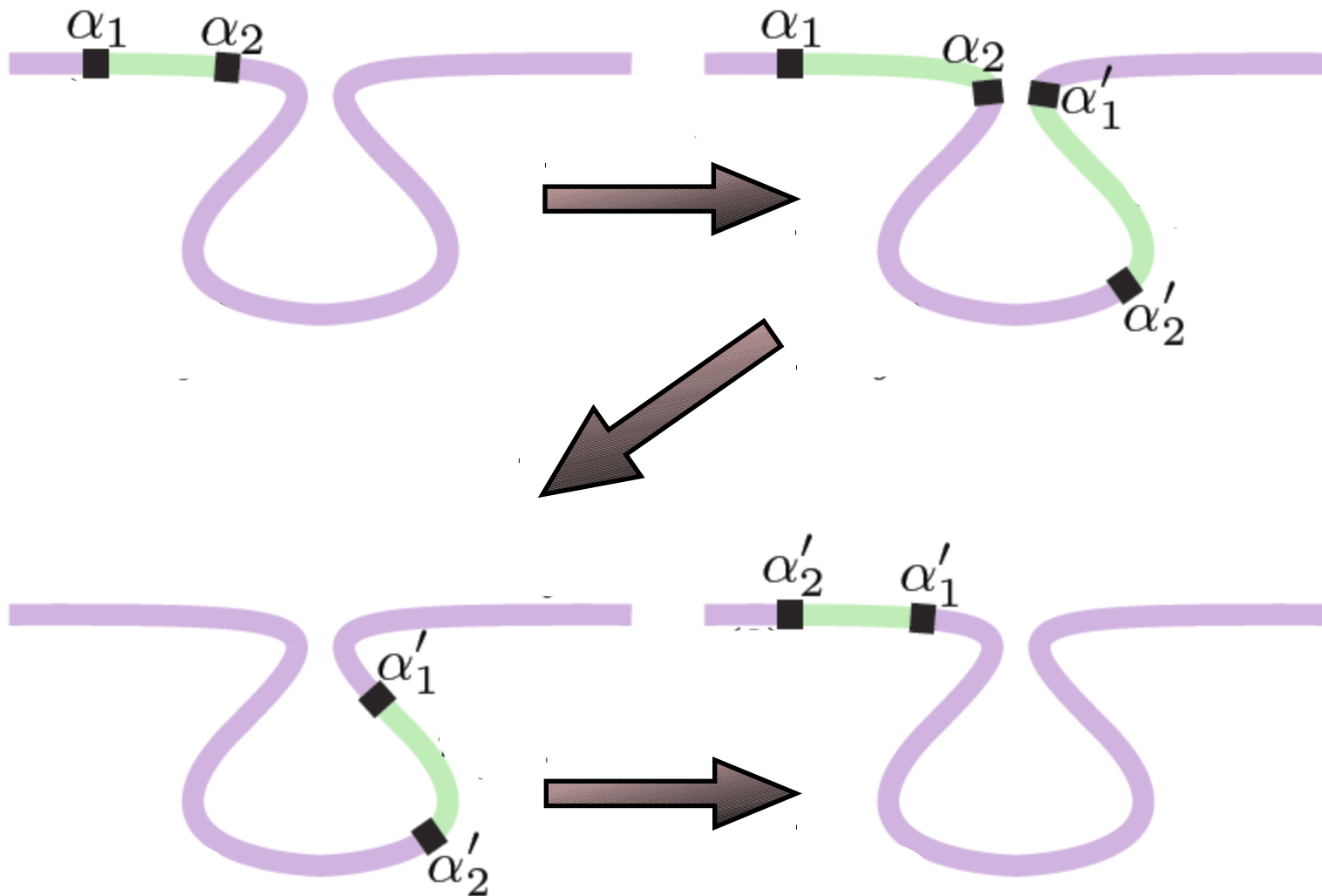
Exchanging end modes in our case

Apparent problem:

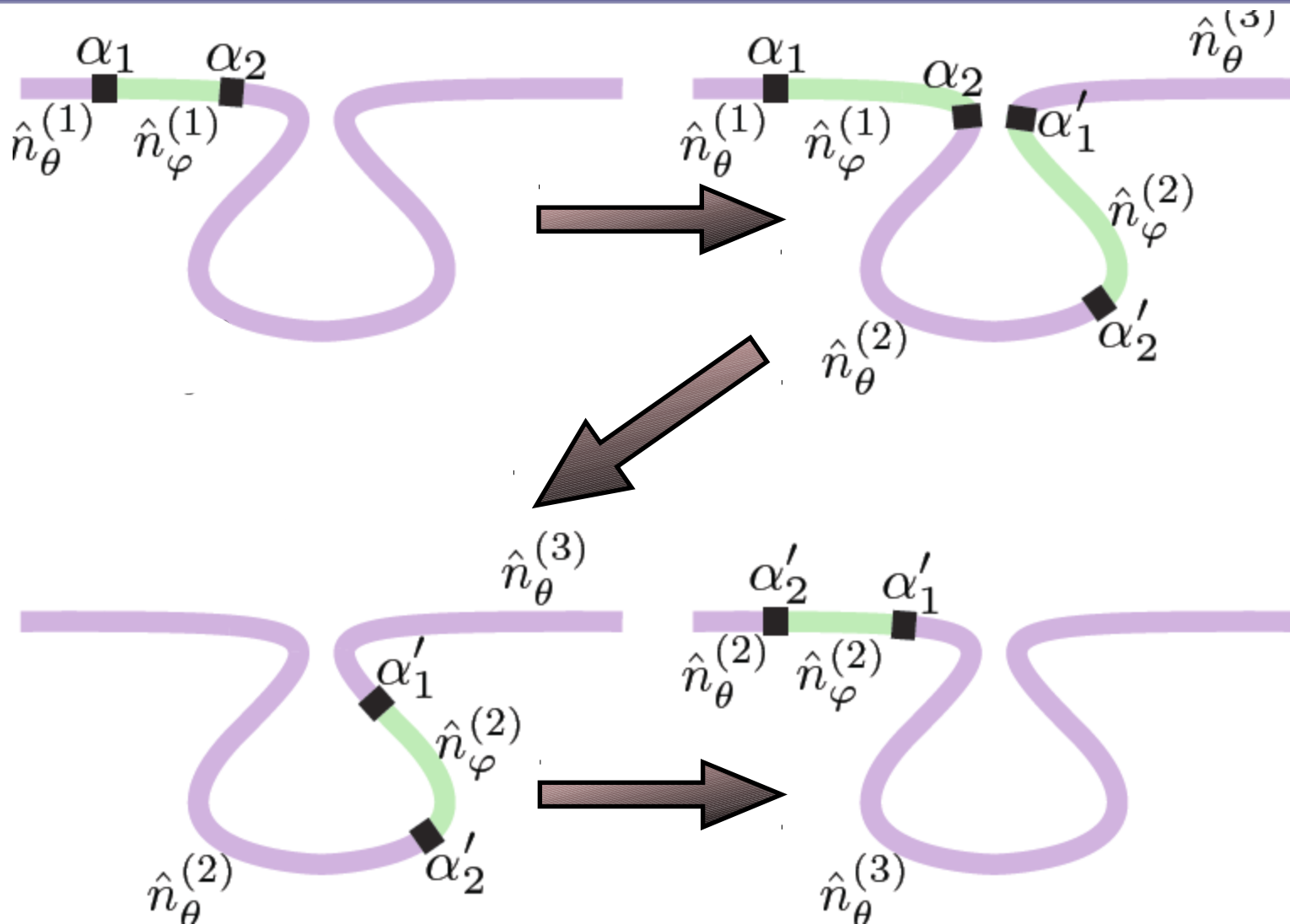
- ▶ We cannot have Y-junctions: our modes live on the domain walls..
- ▶ We can still exchange them:



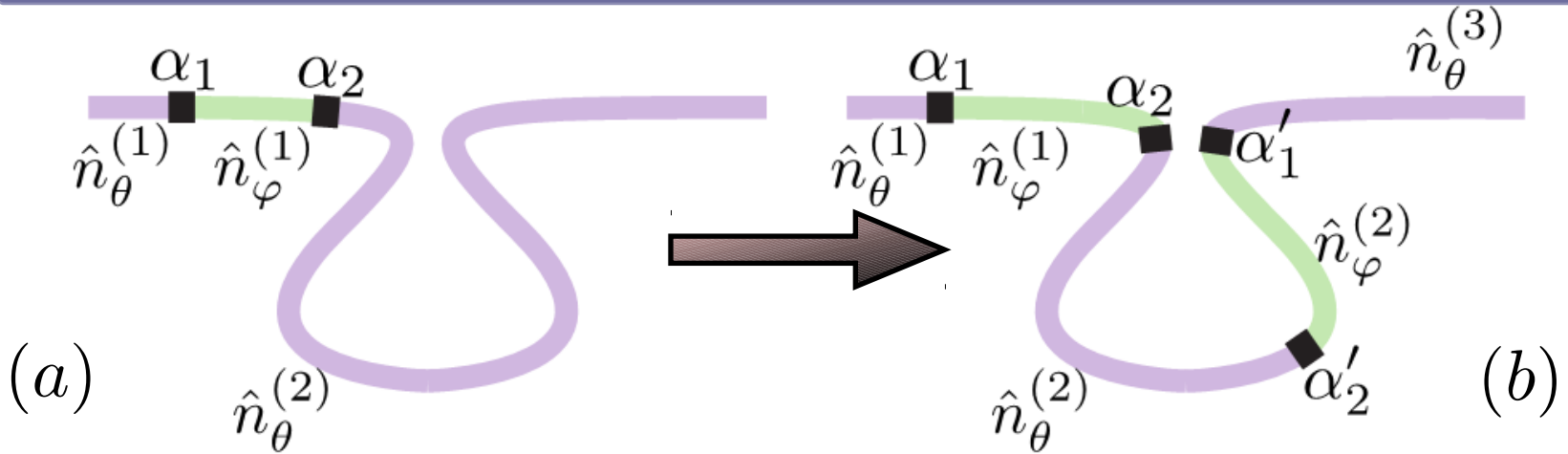
Exchanging end modes in our case



Exchanging end modes in our case

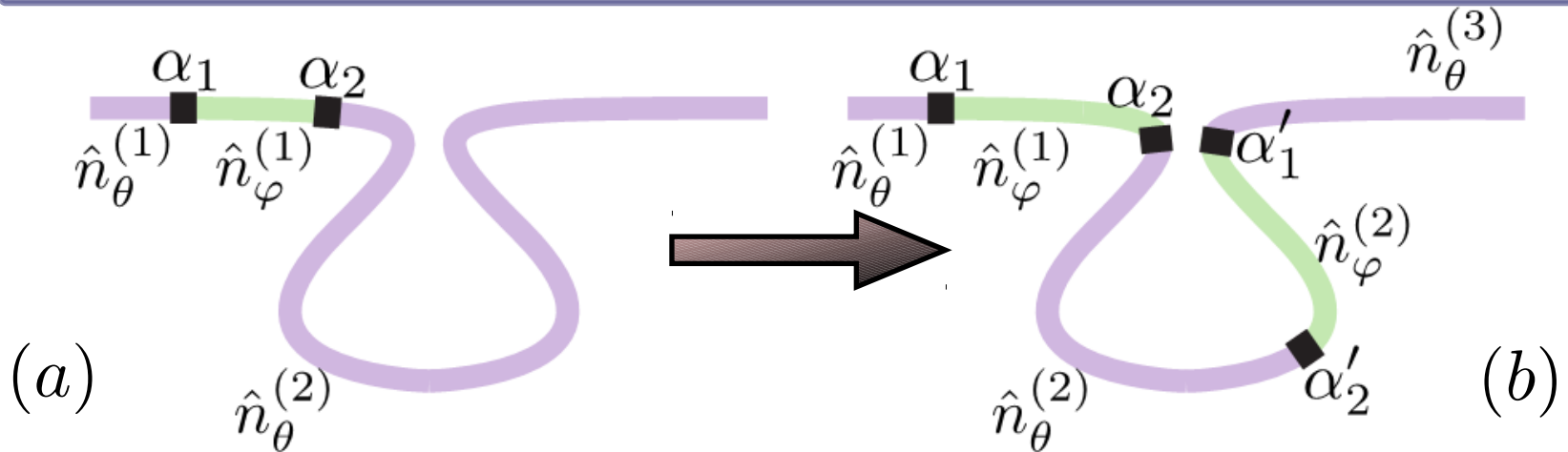


Exchanging end modes in our case



$$\begin{aligned}
 H_{a \rightarrow b} &= (t_J \alpha_2^\dagger \alpha'_1 + H.c.) + (t \alpha_1'^\dagger \alpha'_2 + H.c.) \\
 &= -|t_J| \cos \left[\frac{\pi}{m} \left(\hat{n}_\varphi^{(2)} + \hat{n}_\theta^{(3)} - \hat{n}_\varphi^{(1)} - \hat{n}_\theta^{(2)} \right) + \beta \right] \\
 &\quad - |t| \cos \left[\frac{\pi}{m} \left(\hat{n}_\theta^{(2)} - \hat{n}_\theta^{(3)} \right) \right]
 \end{aligned}$$

Exchanging end modes in our case



Integral of motion:

$$\chi \equiv e^{i \frac{\pi}{2m}} \alpha_2 \alpha_2'^{\dagger} \alpha'_1 = e^{i \frac{\pi}{m} (\hat{n}_\varphi^{(1)} + \hat{n}_\theta^{(3)})}$$

Energy-minimizing condition:

$$\hat{n}_\varphi^{(2)} + \hat{n}_\theta^{(3)} - \hat{n}_\varphi^{(1)} - \hat{n}_\theta^{(2)} = k(\beta) \in \mathbb{Z}$$

Parafermion ZM Braiding

Upshot:

$$\alpha_1 \rightarrow e^{-i \frac{\pi}{m} k} \alpha_2$$

$$\alpha_2 \rightarrow e^{i \frac{\pi}{m} (1-k)} \alpha_1^\dagger \alpha_2^2$$

$m = 1$ (Majorana zero modes):

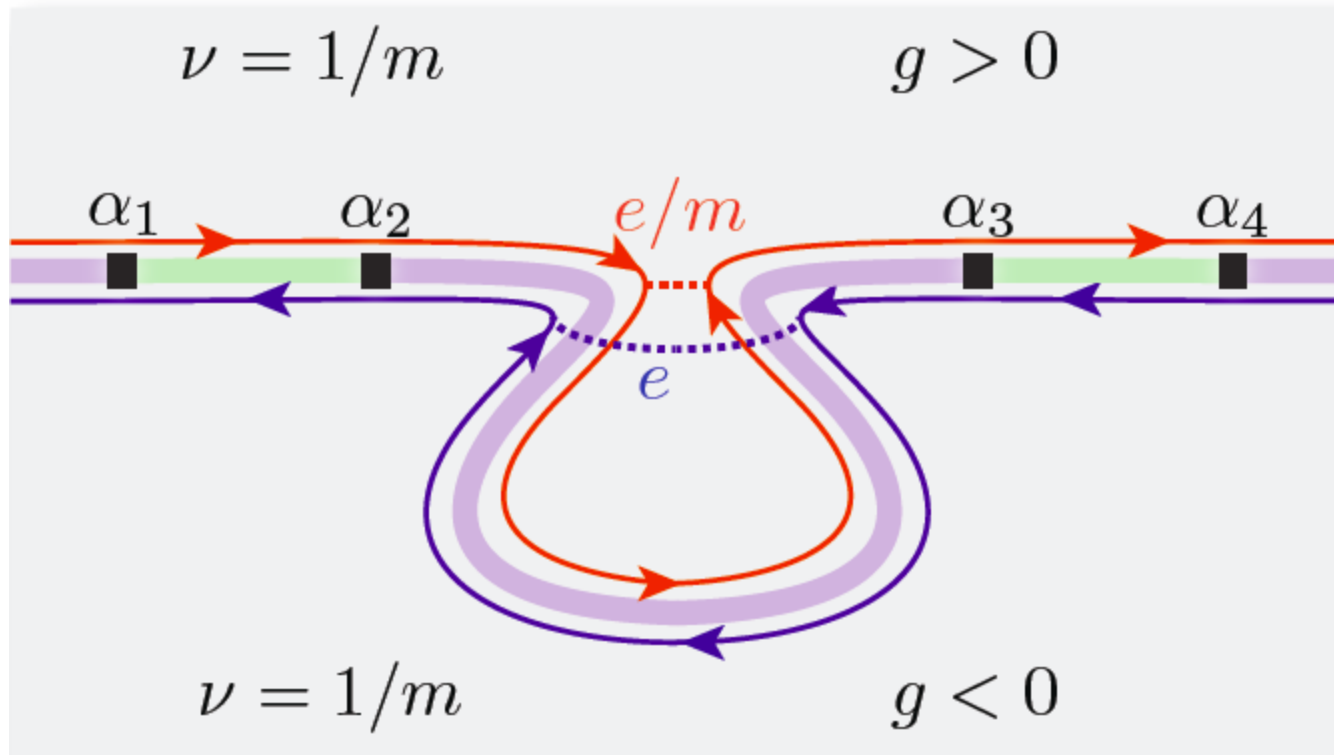
$$\gamma_1 \rightarrow \gamma_2$$

$$\gamma_2 \rightarrow -\gamma_1$$

Parafermion ZM Braiding

Important observation:

- If quasiparticles of both chiralities are allowed to tunnel, the braiding is not universal $\Rightarrow$ Potential problem for fractional TI!



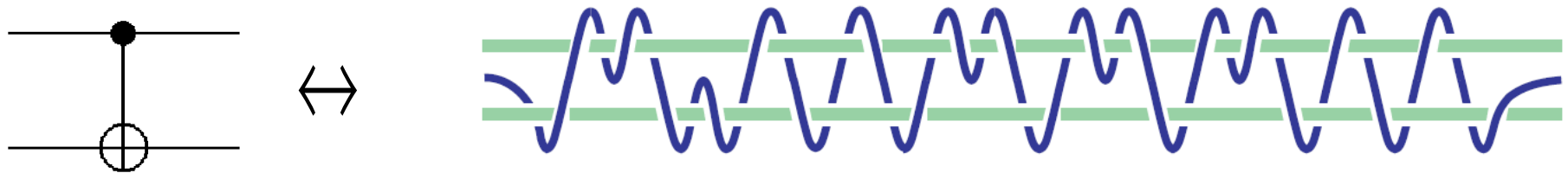
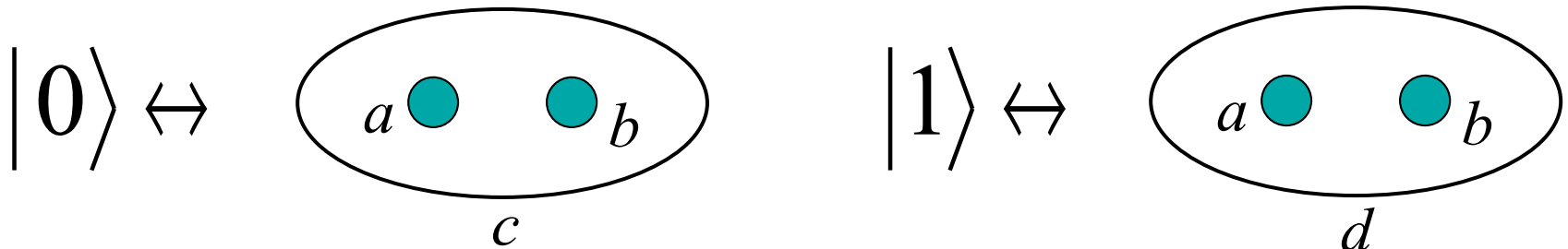
Topological Quantum Computation

(Kitaev, Preskill, Freedman, Larsen, Wang)

Things we need:

- Multidimensional Hilbert space where we can encode information → Qubits
- Ability to initialise and read-out a qubit
- Unitary operations → Quantum gates

Topological Quantum Computation



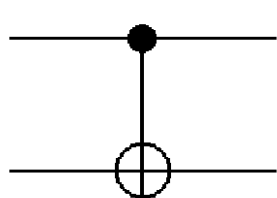
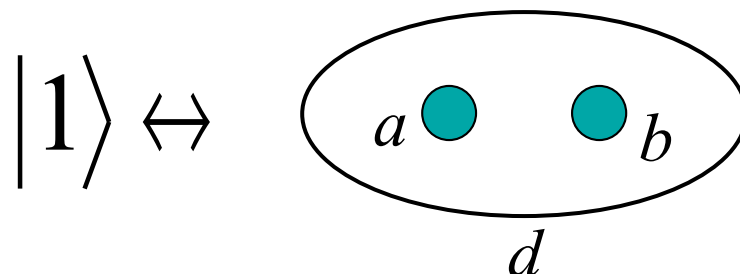
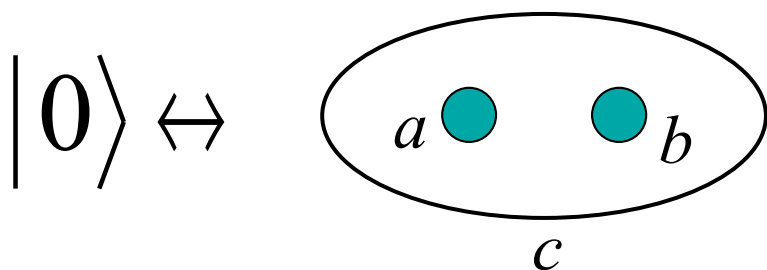
(Hormozi, Bonesteel, et. al.)

Or, perhaps use measurements to generate braiding!
(Bonderson, Freedman, Nayak, 2009)



Bonderson, KS & Slingerland, PRL 2006, PRL 2007, Ann. Phys. 2008

Topological Quantum Computation



$\Leftrightarrow$

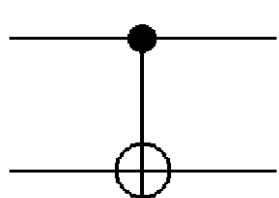
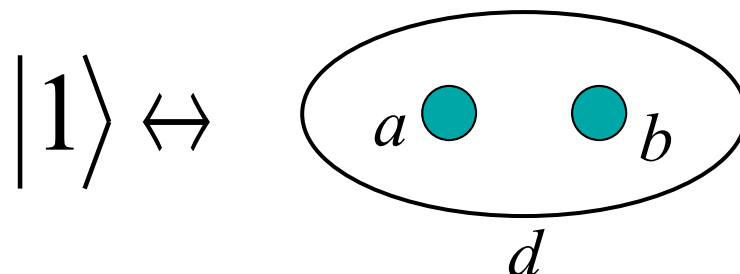
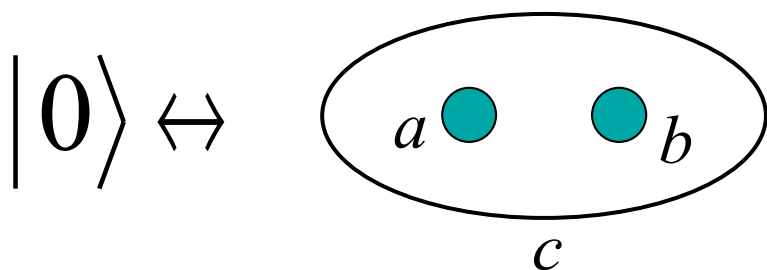


(Hormozi, Bonesteel, et. al.)

Or, perhaps use measurements to generate braiding!
(Bonderson, Freedman, Nayak, 2009)

- Majorana zero modes are not universal!
 - ▶ No entangling gates with braiding alone
 - ▶ No phase gate

Topological Quantum Computation



$\Leftrightarrow$



(Hormozi, Bonesteel, et. al.)

Or, perhaps use measurements to generate braiding!
(Bonderson, Freedman, Nayak, 2009)

- Parafermionic zero modes are still not universal...
 - ▶ Can do entangling gates!
 - ▶ No phase gate?

Conclusions

- Parafermionic zero modes can be localised in systems with counter-propagating fractionalised edge modes (FQHE, or fractional topological insulators)
 - ▶ Fractional Josephson effect with periodicity $4m\pi$
 - ▶ Zero-bias anomaly - similar to the Majorana case, but with fractionalised charge tunnelling
- Potential utility for quantum computing?



◆ D. Clarke, J. Alicea & KS,
[arXiv:1204.5479](https://arxiv.org/abs/1204.5479)

◆ Parallel work:

➤ N. Lindner, E. Berg,
G. Refael & A. Stern,
[arXiv:1204.5733](https://arxiv.org/abs/1204.5733)

➤ M. Cheng, [arXiv:1204.6084](https://arxiv.org/abs/1204.6084)

