

# Theory of STM spectroscopy of Mn impurities on GaAs surfaces and subsurfaces



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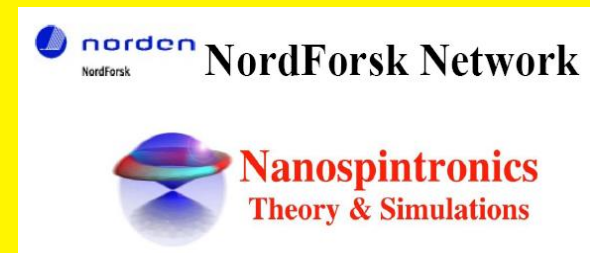
*Technical University of Eindhoven*

**Michael E. Flatté**

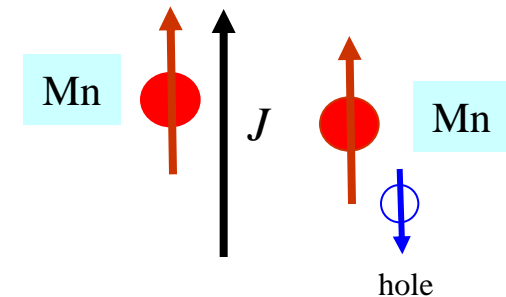
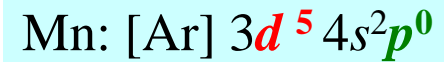
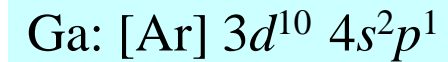
*University of Iowa*

**Cyrus Hirjibehedin**

*University College, London*



- Mn atoms substituting Ga atoms in GaAs provide both localized *magnetic moments* ( $S=5/2$ ) and itinerant *acceptors* (holes).
- New magnetic entities: **acceptor Mn nanomagnets**
- Can we assign an effective ``giant spin''  $J$ ?
- Orbital vs spin contributions
- Previous studies (ESR):  $J = 1$  for Mn in bulk
- What about when Mn is on surface?
- What about when there two or more interacting Mn?
- Can we derive an effective quantum Hamiltonian for  $J$ ?



## Chern Number Spins of Mn Acceptor Magnets in GaAs

T. O. Strandberg,<sup>1</sup> C. M. Canali,<sup>1</sup> and A. H. MacDonald<sup>2</sup>

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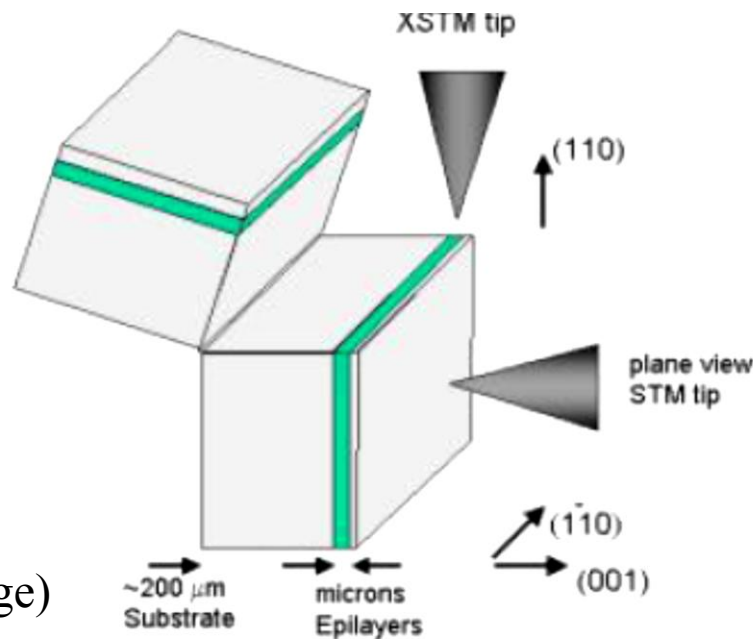
# Outline

- Motivation -- Review of recent STM experiments
- Theoretical modelling of magnetic impurities in semiconductors -- Mn in GaAs: electronic structure of acceptor states
- Quantization of magnetic degrees of freedom of *acceptor magnets*
  - - Chern number spins and spin Hamiltonians
  - Predictions and implications for experiments
- Conclusions and outlook



# Single dopants in semiconductors

Paul M. Koenraad<sup>1</sup> and Michael E. Flatté<sup>2</sup>

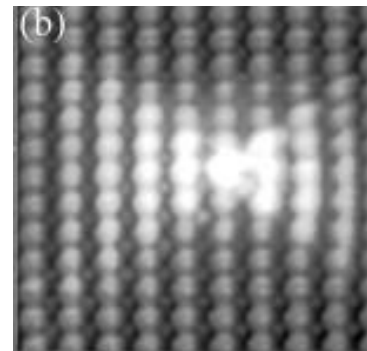


Fundamentals of  
cross-sectional  
STM (XSTM)  
(Weber's homepage)

”Recently, it has become possible to [...] identify the effects of a solitary dopant [...] locally on the fundamental properties of a semiconductor.”

# STM experiments on **magnetic** impurities in semiconductors: Mn in GaAs

- Yakunin et al., PRL **92** (2004)
- Yakunin et al., PRL **96** (2005)
- Kitchen et al., Nature **442** (2006)
- Marczinowski et al, PRL **99** (2007)
- Jancu et al., PRL **101**(2008)
- Garleff et al., PRB **78** (2008)
- Kitchen et al., PRB **80** (2009)
- Celebi et al., PRL **104** (2010)
- Garleff et al., PRB **82** (2010)
- Lee et al., Science **330** (2010)



STM image of  
acceptor wf. for  
neutral Mn on the  
(110) GaAs  
subsurface layer

Possible building blocks of  
**single-spin** devices in  
quantum information and nanospintronics

# Mn atoms on GaAs (110) surface by STM: novel nanomagnets

nature

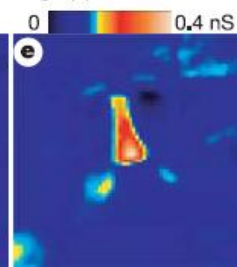
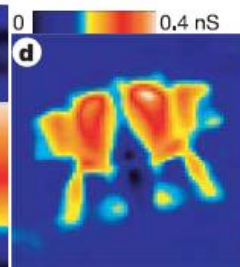
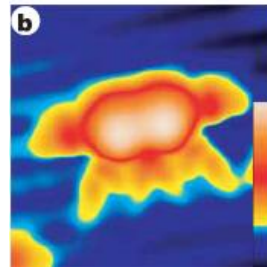
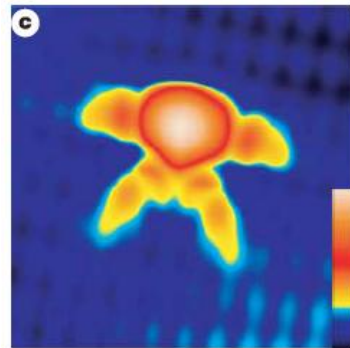
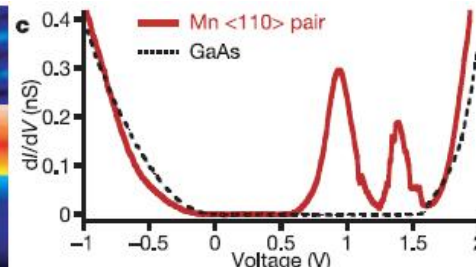
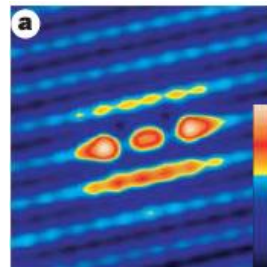
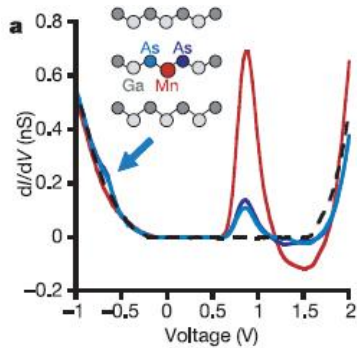
Vol 442|27 July 2006|doi:10.1038/nature04971

LETTERS



## Atom-by-atom substitution of Mn in GaAs and visualization of their hole-mediated interactions

Dale Kitchen<sup>1,2</sup>, Anthony Richardella<sup>1,2</sup>, Jian-Ming Tang<sup>3</sup>, Michael E. Flatté<sup>3</sup> & Ali Yazdani<sup>1</sup>

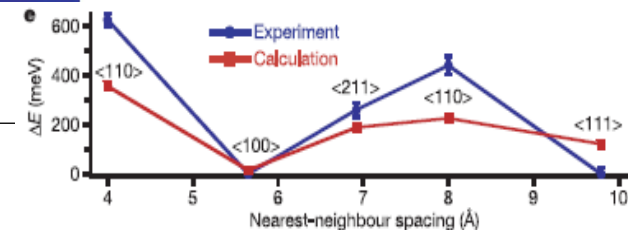


Mn pair coupled ferromagnetically

- Anisotropic acceptor splitting
- Related to exchange energy ?

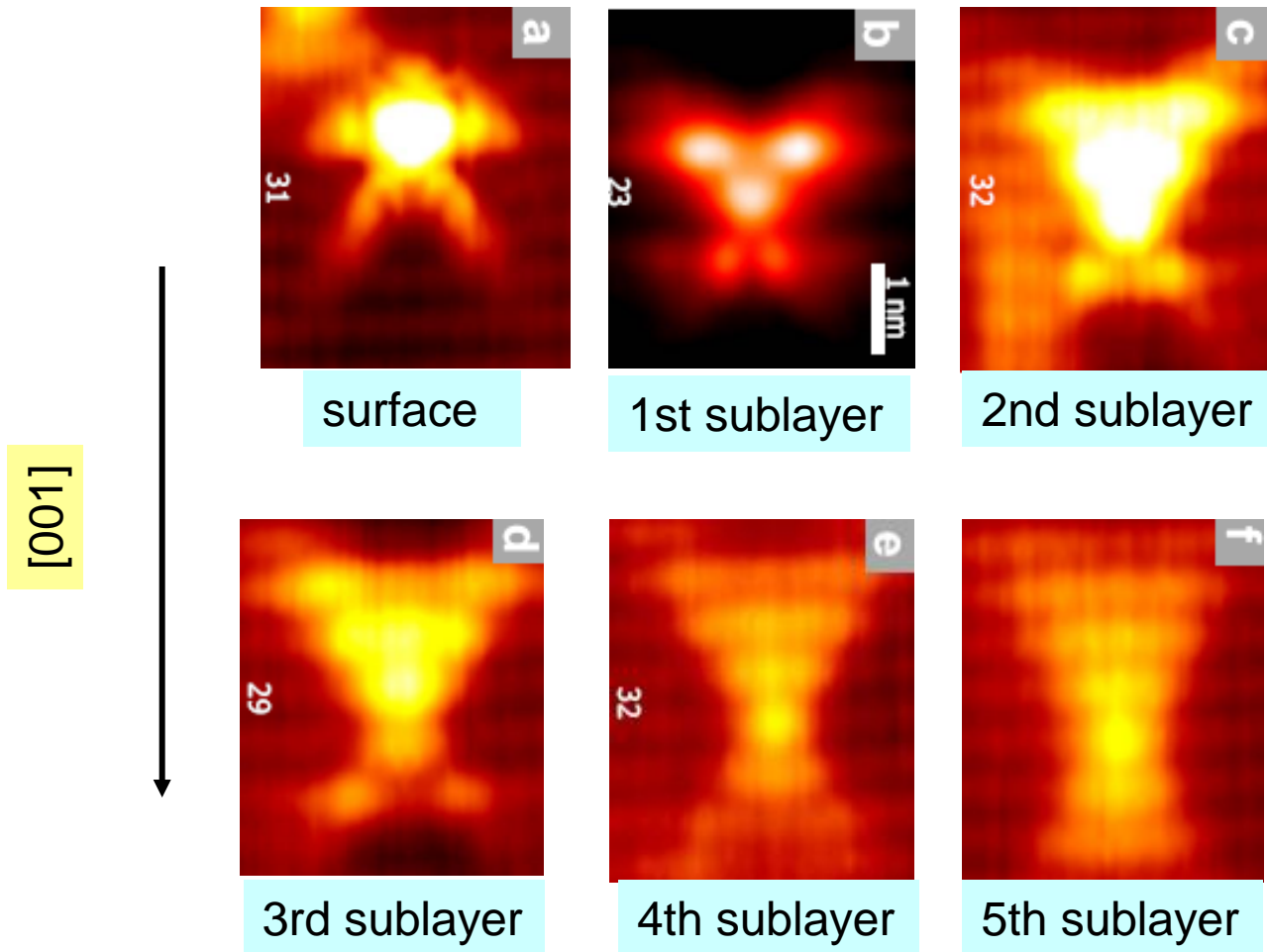
Acceptor levels for Mn pair

Acceptor level for Mn atom **y**



Atomically precise impurity identification and modification on the manganese doped GaAs(110) surface with scanning tunneling microscopy

J. K. Garleff,<sup>1,\*</sup> C. Çelebi,<sup>1</sup> W. Van Roy,<sup>2</sup> J.-M. Tang,<sup>3</sup> M. E. Flatté,<sup>4</sup> and P. M. Koenraad<sup>1</sup>





# Theory of electronic states of Mn impurities on (110) GaAs surfaces and subsurfaces

T.O. Strandberg, CMC, A.H. MacDonald, 2009-2010

PRB **80**, 024425 (2009)

- 1 Mn in GaAs:
  - Tight-binding model + kinetic exchange
  - Magnetic anisotropy and LDOS

PRB, **81**, 054401 (2010)

- 2 Mn impurities in GaAs:
  - "interacting" acceptors → FM double-exchange

Previous work by  
J.-M. Tang & M. Flatté  
PRL **92**, 047201 (2004)  
PRB **72**, 161315 (2005)  
(mainly Mn **in bulk** GaAs)

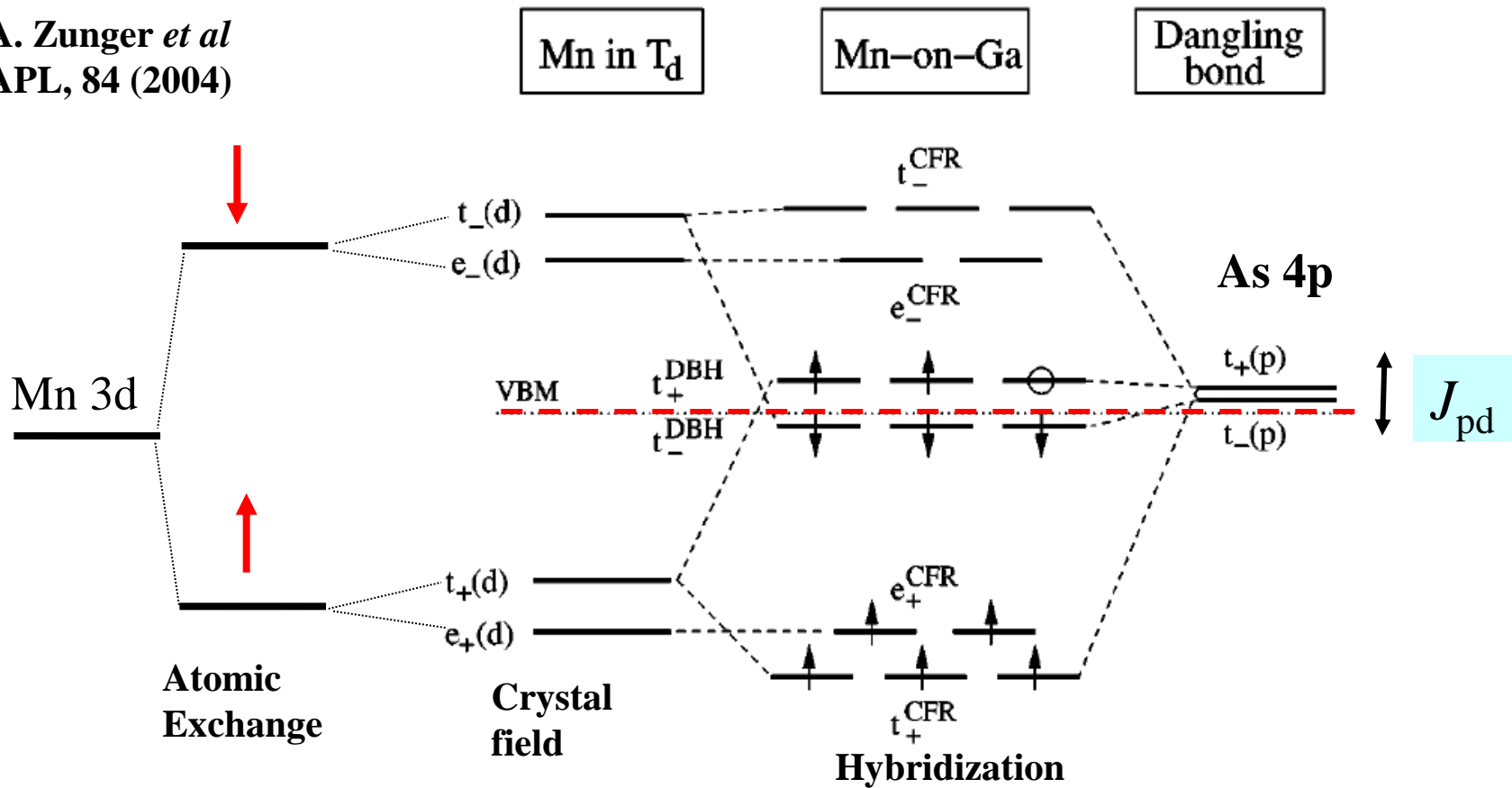
- From bulk Mn impurities to **surface impurities**
- Quantization of Mn magnetic moment dynamics via Chern-number Berry phase theory

C.M.C, Cehovin & MacDonald, PRL **91** 46805 (2003)



# 1 Mn replacing 1 Ga in bulk GaAs: *pd* kinetic exchange

A. Zunger *et al*  
APL, 84 (2004)



Direction of spin polarization on Mn site is **opposite** to spin polarization on nearest neighbor anion As sites  $\rightarrow$  AFM coupling between Mn 3d and As *p* orbitals

# Tight-binding model with kinetic exchange and spin-orbit interaction

$$\mathcal{H} = H_{Band} + H_{Exchange} + H_{SO} + H_{Coulomb}$$

**Hopping and Onsite**  
Energies for GaAs Bulk [1]

$$= \sum_{ij} t_{ij} a_i^\dagger a_j$$

Mn **classical** spin vector  $S=5/2$

**Exchange** on nearest neighbour  
As p-electrons:  $J = 1.5$  eV [2]

$$+ J \sum_m \sum_{n \in \{N.N. \text{ to } m\}} \langle \mathbf{s}_{n,\pi} \cdot \hat{\Omega}_m \rangle a_n^\dagger a_n$$

NN As p-spins

**Spin-Orbit** [1]

$$+ \sum_i \lambda_i \langle \mathbf{L} \cdot \mathbf{S} \rangle a_i^\dagger a_i$$

**Long Range Coulomb**

$$+ \frac{e^2}{4\pi\epsilon\epsilon_0} \sum_m \sum_i \frac{1}{|\mathbf{R}_m - \mathbf{R}_i|} a_i^\dagger a_i + H_{Coul.Corr.}$$

Dielectric Screening:  
reduced at surface!

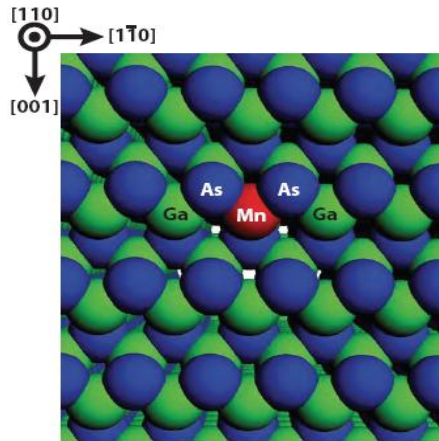
On (Mn) and Offsite (NN As) **Coulomb corrections**:  
Off-site sets bulk acceptor @ 113 meV

[1] D.J. Chadi, PRB V19, p2074 (1979)

[2] Timm, MacDonald PRB 71, 155206 (2005)

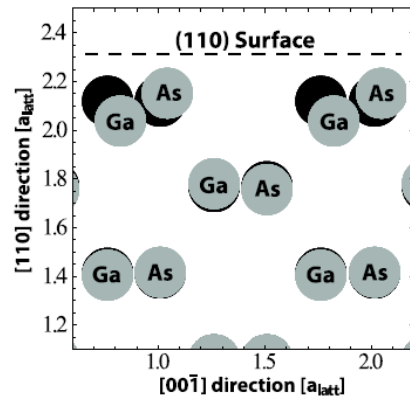


# Tight-binding calculations on clusters of 3200 atoms (20 x 20 x 32 atomic layers) – Strandberg et al prb 2009



**Bulk:** - one Mn replacing a Ga in the center of cluster  
- periodic boundary conditions in all directions

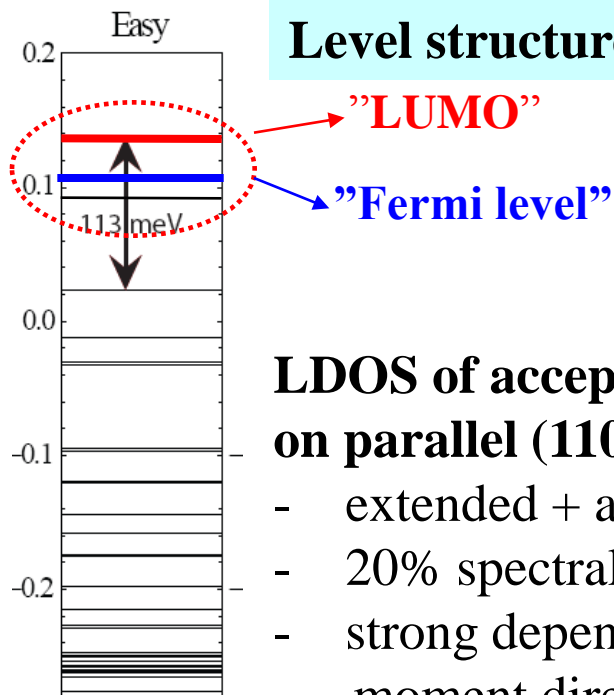
cut exposing (110) surface



**Surface:** - one Mn replacing a Ga on the (110) surface  
- periodic BC in 2 directions  
- relaxation of (110) surface and subsurface  
[see Chadi PRB **19**, 2074, (1979) ]

# Single Mn in 'bulk' GaAs

## Level structure inside the gap



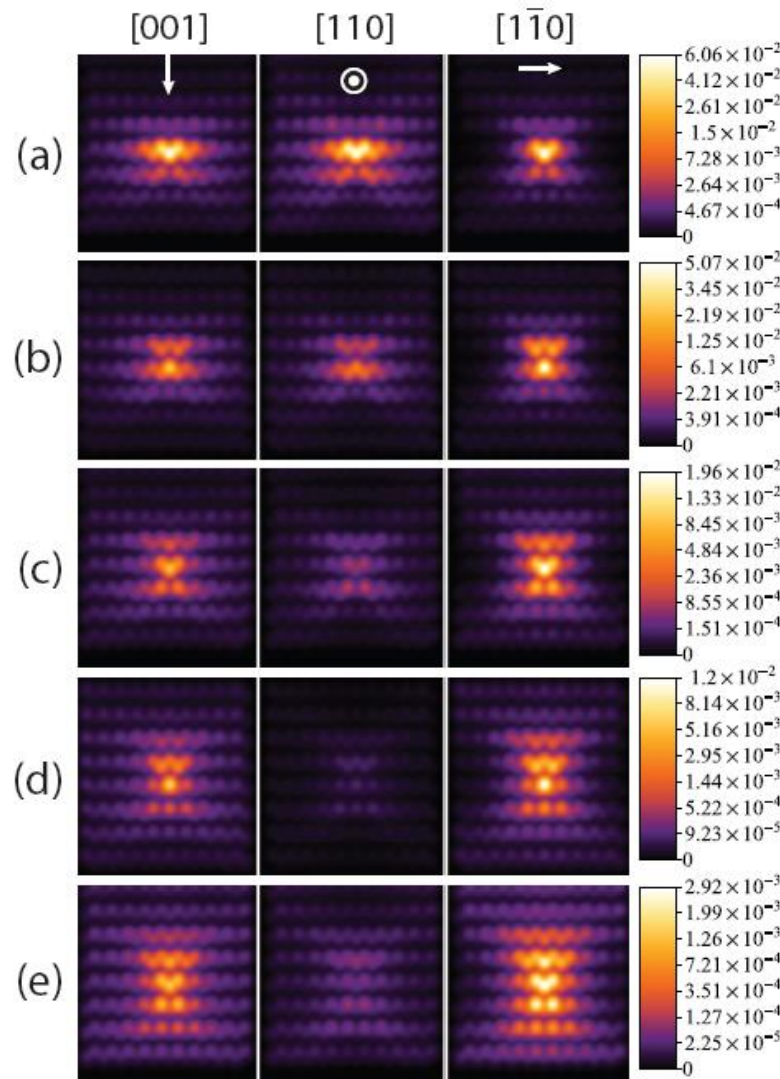
## LDOS of acceptor state on parallel (110) planes:

- extended + anisotropic LDOS
- 20% spectral weight in core
- strong dependence on moment direction

Agreement with Tang & Flatté

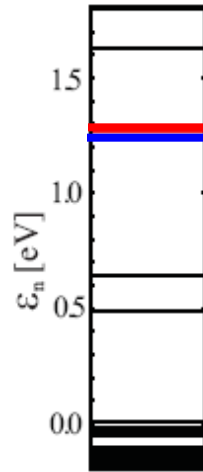
easy

hard directions



# Substitutional Mn on (110) GaAs Surface

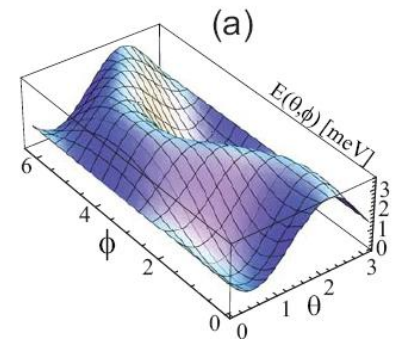
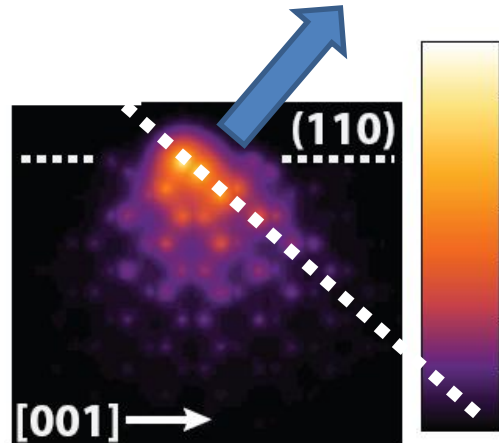
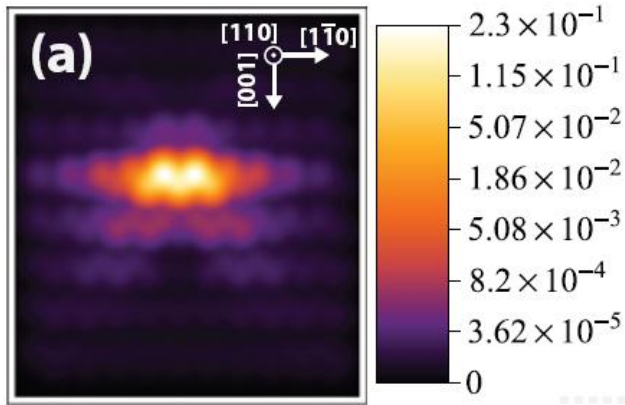
- **Deep acceptor!:** 0.8-1.2 eV
  - Sensitive to off-site Coulomb repulsion
- Highly Localized hole WF: 60% in core (too much?)



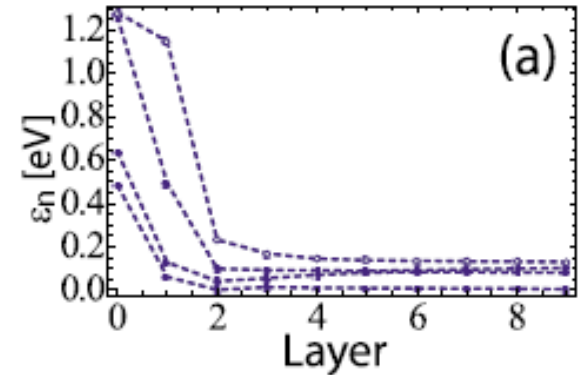
Level structure inside the gap with bulk parameters in the Hamiltonian

Anisotropy energy  $\sim 2$  meV

Easy axis



Acceptor binding energy is enhanced near the surface but approaches v.b. quickly with layer depth



PHYSICAL REVIEW B 82, 035303 (2010)

### Enhanced binding energy of manganese acceptors close to the GaAs(110) surface

J. K. Garleff,<sup>1,\*</sup> A. P. Wijnheijmer,<sup>1</sup> A. Yu. Silov,<sup>1</sup> J. van Bree,<sup>1</sup> W. Van Roy,<sup>2</sup> J.-M. Tang,<sup>3</sup>  
M. E. Flatté,<sup>4</sup> and P. M. Koenraad<sup>1</sup>

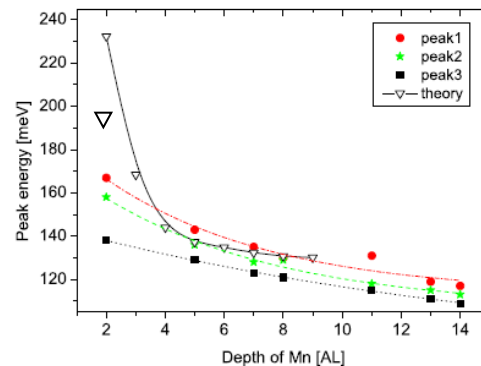
<sup>1</sup>*COBRA Inter-University Research Institute, Department of Applied Physics, Eindhoven University of Technology, P.O. Box 513, NL-5600 MB Eindhoven, The Netherlands*

<sup>2</sup>*IMEC, Kapeldreef 75, B-3001 Leuven, Belgium*

<sup>3</sup>*Department of Physics, University of New Hampshire, Durham, New Hampshire 03824, USA*

<sup>4</sup>*Optical Science and Technology Center and Department of Physics and Astronomy, University of Iowa, Iowa City, Iowa 52242, USA*

(Received 26 March 2010; published 2 July 2010)



See also:

Lee and Gupta, *Science*, **330** (2010)

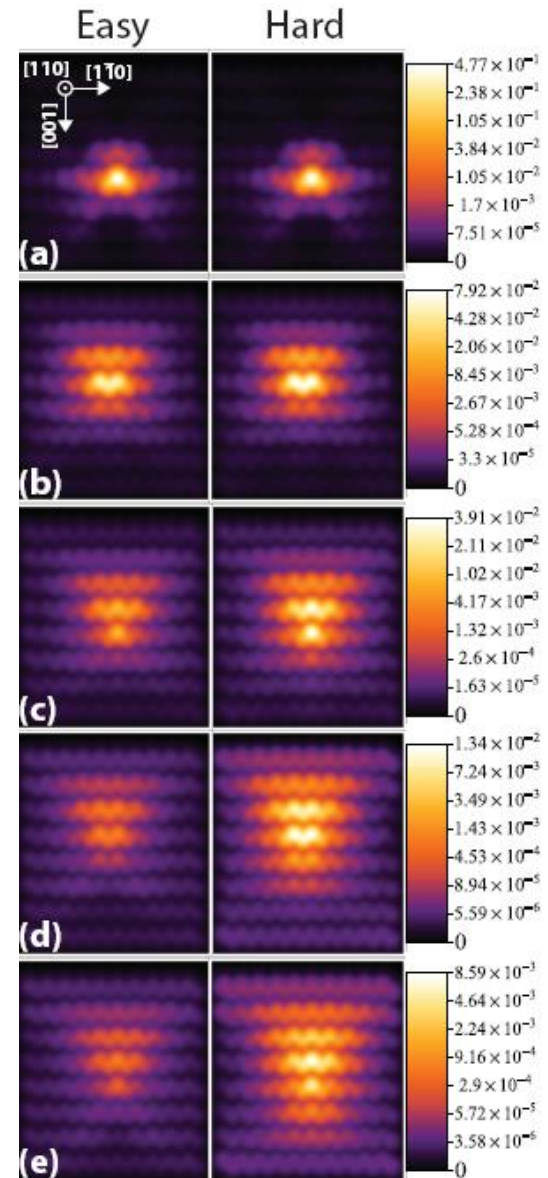
Can manipulate the binding energy with As vacancies!



# 1 Mn in (110) subsurface layers

- Acceptor WF extends with depth
- Characteristic bow-tie shape  
→ Koenraad's group experiments
- Strong dependence on Mn direction

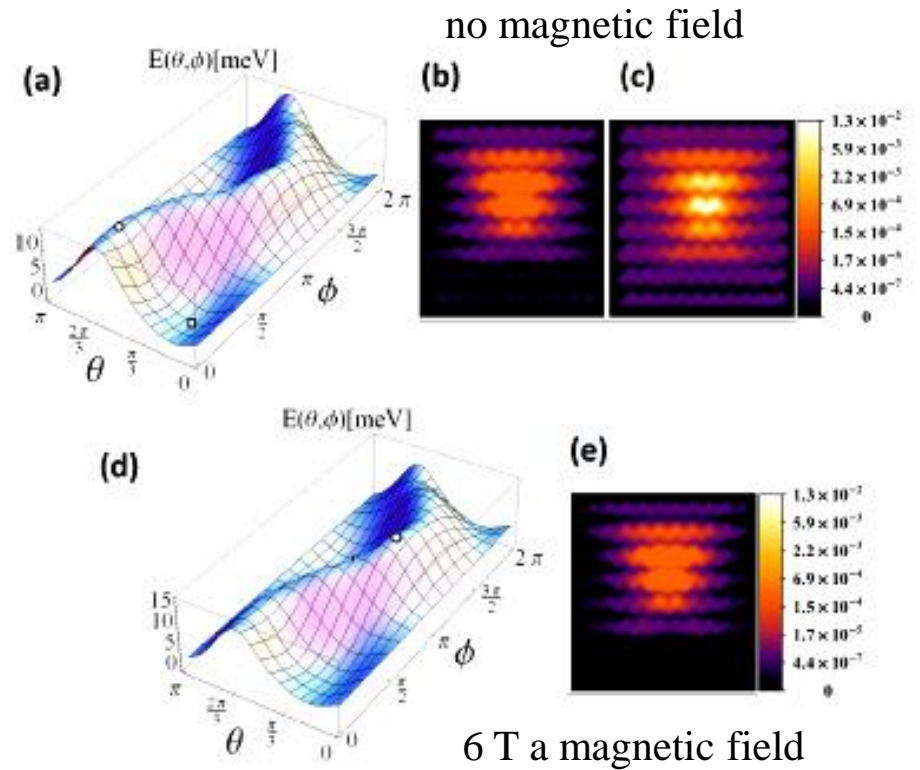
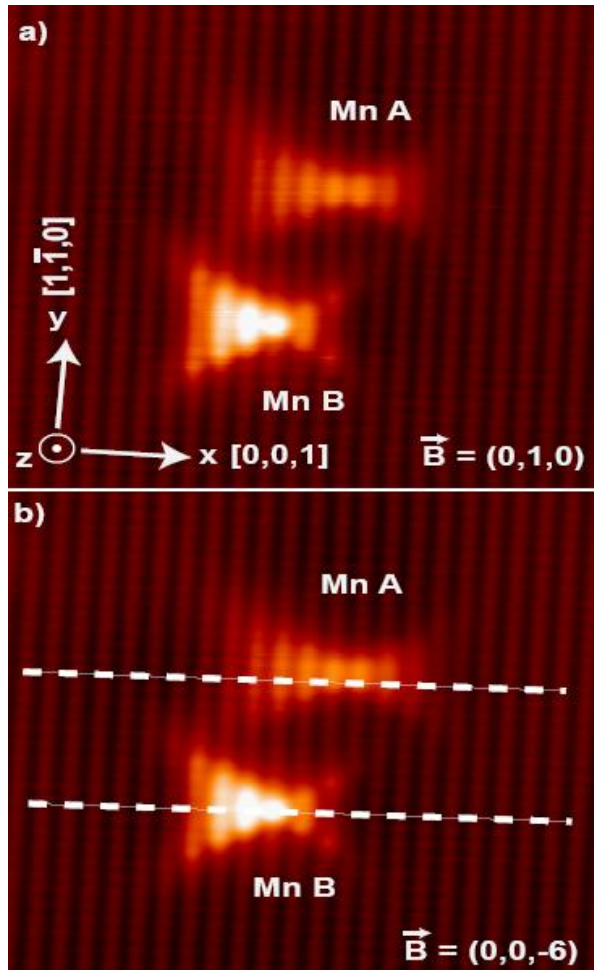
**Can we control tunneling current  
by steering the Mn moment with an  
external magnetic field?**





# Magnetic Anisotropy of Single Mn Acceptors in GaAs in a magnetic field

M. Bozkurt,<sup>1,\*</sup> M.R.K. Mahani,<sup>2</sup> P. Studer,<sup>3,4</sup> S.R. Schofield,<sup>3,5</sup> N.J. Curson,<sup>3,4</sup>  
 M.E Flatté,<sup>6</sup> A.Y. Silov,<sup>1</sup> C.F. Hirjibehedin,<sup>3,5,7</sup> C. Canali,<sup>2</sup> and P.M. Koenraad<sup>1</sup>



**6 T magnetic field not strong enough  
 to overcome anisotropy barrier**



PHYSICAL REVIEW B 85, 155306 (2012)

***Ab initio* calculations of the magnetic properties of Mn impurities on GaAs (110) surfaces**

M. Fhokrul Islam and C. M. Canali

*School of Computer Science, Physics and Mathematics, Linnæus University, 391 82 Kalmar, Sweden*

Magnetic anisotropy energy consistent  
with tight-binding calculations

Can provide microscopic parameters for TB models



# Mn pairs in bulk GaAs and on (110) GaAs surface

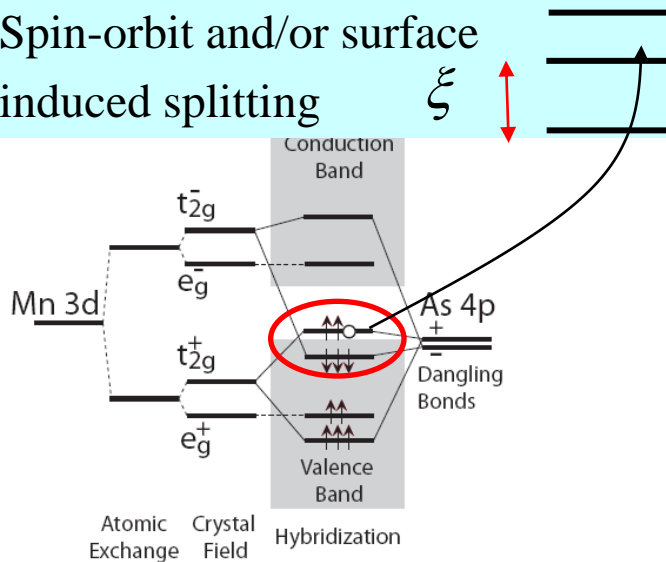
T.O. Strandberg, CMC, A.H. MacDonald, PRB, 2010

## 2 interacting Mn:

Mahadevan, Zunger, Sarma, PRL, 2004

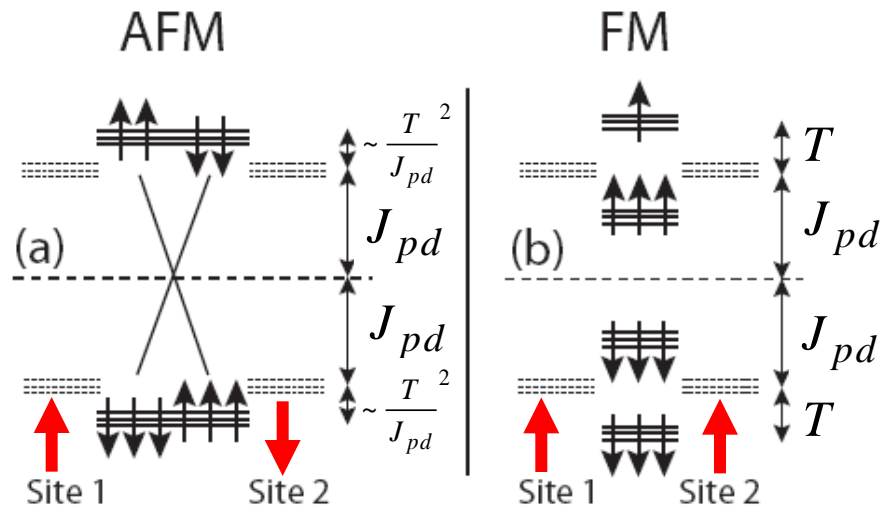
Flatté, (private communication), 2006

Spin-orbit and/or surface induced splitting  $\xi$



- Two sets of doubly degenerate levels
- NO acceptor splitting

- Bonding vs antibonding
- Acceptor level splitting



- Compare  $E_{AFM}$  and  $E_{FM}$
- For 10 electrons FM stable for large parameter space ( $J_{pd}$ ,  $\xi$ ,  $T$ )

Super-exchange favors AFM

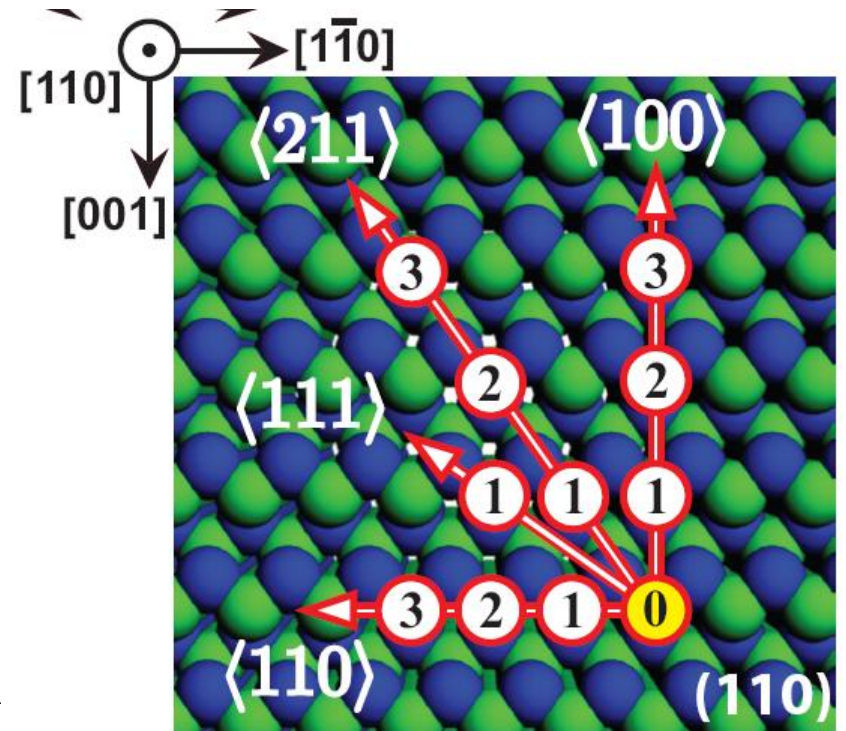
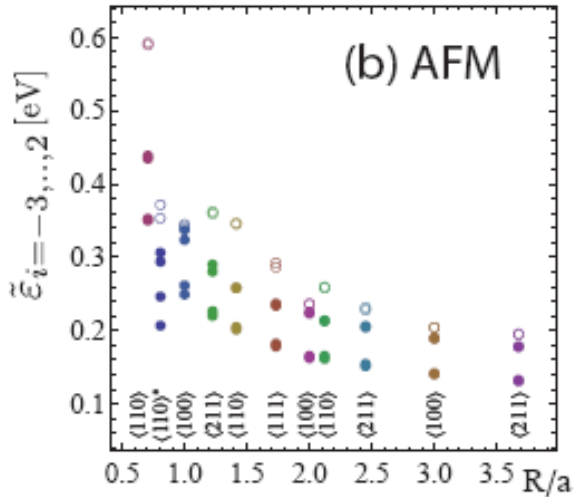
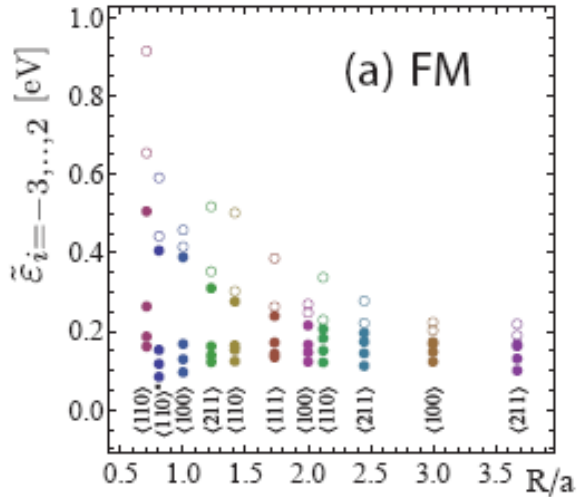
Double-exchange favors FM



# Mn pairs in bulk GaAs: acceptor splitting

○ hole

● electron



No splitting of  
2 acceptors

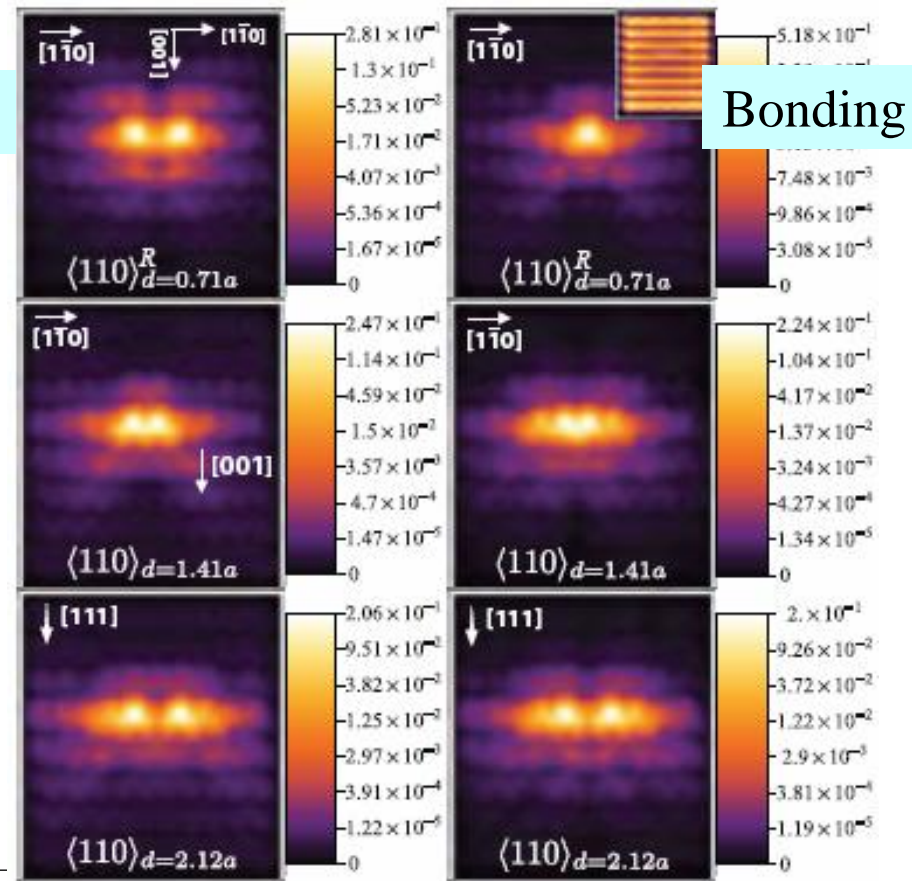
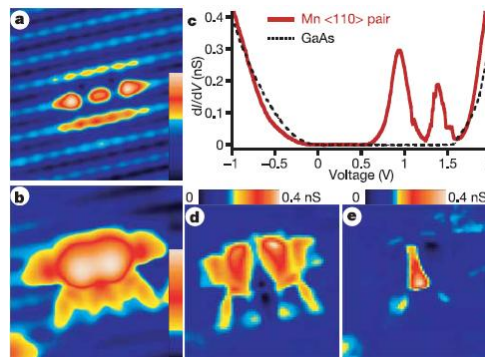
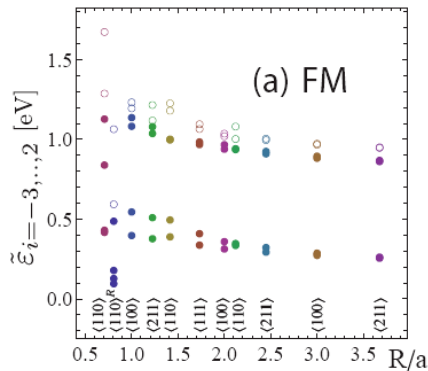
# Mn pairs in on (110) surface: FM configuration

Very localized wave functions

Anti-bonding

Lower acceptor

Higher acceptor



Both acceptor splitting (and exchange  $J$ ) decay very rapidly with Mn separation



# Quantum spin dynamics of Mn acceptor magnets

How to quantize the Mn magnetic moment and include spin & orbital contributions of acceptor states?

PRL **106**, 017202 (2011)

PHYSICAL REVIEW LETTERS

week ending  
7 JANUARY 2011

## Chern Number Spins of Mn Acceptor Magnets in GaAs

T. O. Strandberg,<sup>1</sup> C. M. Canali,<sup>1</sup> and A. H. MacDonald<sup>2</sup>

<sup>1</sup>*School of Computer Science, Physics and Mathematics, Linnæus University, 39233 Kalmar, Sweden*

<sup>2</sup>*Department of Physics, University of Texas at Austin, Austin, Texas 78712, USA*

(Received 21 July 2010; published 5 January 2011)

## *Chern Numbers for Spin Models of Transition Metal Nanomagnets*

C.M.C., A. Cehovin & A. H. MacDonald, PRL **91** 46805 (2003)

Implemented in TM magnetic clusters by DFT

- Strandberg et al., Nat. Mat. **6**, 648 (2007)
- Strandberg et al., PRB **77**, 174416 (2008)



Quantum action for the coherent magnetization-orientation direction  $\hat{n}(\tau)$

orientation of Mn moments

$$S[\hat{n}] \equiv \int d\tau \left[ \underbrace{\langle \Psi[\hat{n}] | \vec{\nabla}_{\hat{n}} \Psi[\hat{n}] \rangle}_{\text{Berry Phase}} \cdot \frac{\partial \hat{n}}{\partial \tau} + \underbrace{E[\hat{n}]}_{\text{GS Energy}} \right]$$

$$S_{\text{Berry}}[\hat{n}] = \oint d\hat{n} \cdot \langle \Psi | \vec{\nabla}_{\hat{n}} \Psi \rangle = \int \vec{\nabla}_{\hat{n}} \times \langle \Psi | \vec{\nabla}_{\hat{n}} \Psi \rangle \cdot \hat{n} da$$

$$\vec{C}[\hat{n}] = \sum_i^{\text{occ}} \vec{C}_i[\hat{n}]$$

$$C[\hat{n}] \equiv i \vec{\nabla}_{\hat{n}} \times \langle \Psi[\hat{n}] | \vec{\nabla}_{\hat{n}} \Psi[\hat{n}] \rangle \cdot \hat{n}$$

Berry curvature

No spin-orbit

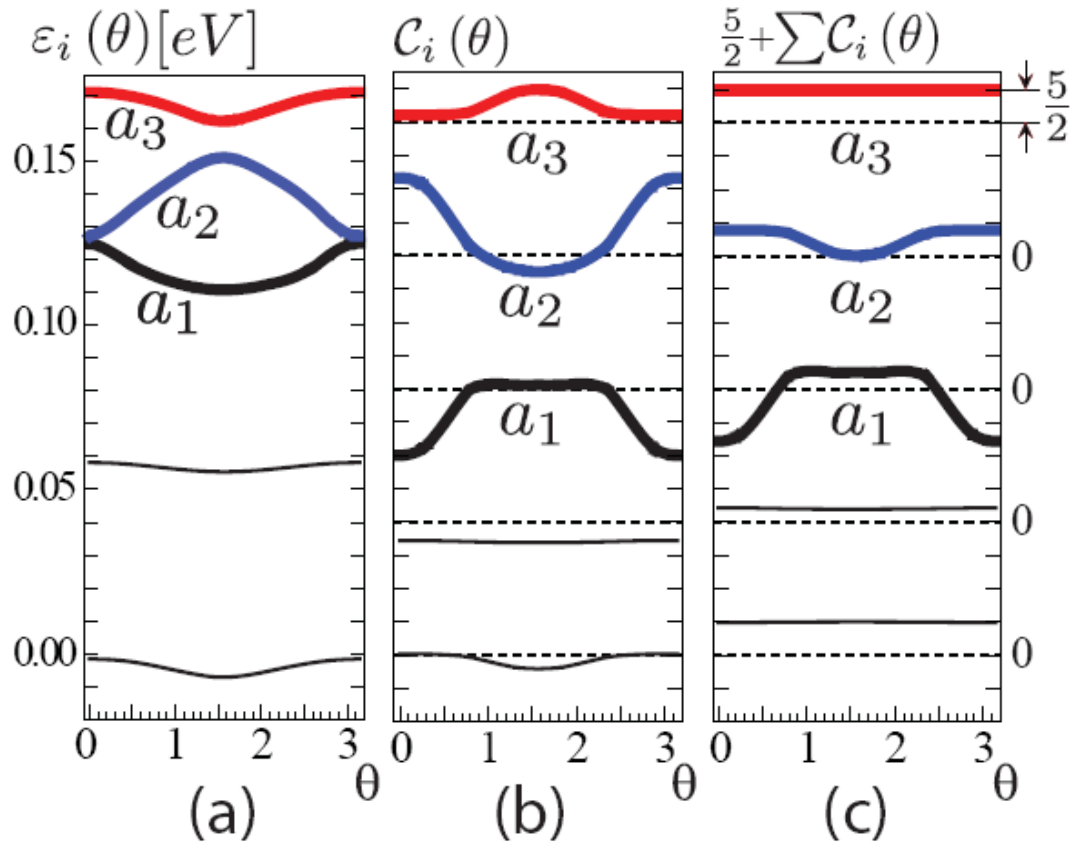
$$= \sum_i^{\text{occ}} \pm \frac{1}{2} = S$$

spin-orbit

$$C_i = \vec{C}_i[\hat{n}] \cdot \hat{n}$$

vary with  $\hat{n}$  and can differ from  $\pm 1/2$

# Example: one Mn in GaAs



Berry curvatures near level crossing diverge

$$C_i \propto \sum_n (E_i - E_n)^{-2}$$

All fluctuations below the Fermi level cancel out in the cumulative curvature

$$\sum_i^{\text{valence}} C_i(\theta) = 0$$





# Berry Curvature Chern Numbers

$$J = \frac{1}{4\pi} \int_{S^2} \vec{C}[\hat{n}] \cdot \hat{n} dA,$$

$J$  is always half of an integer  $\rightarrow$  Chern number

- $J$  is a topological invariant
- $J$  can change only when level crossing at  $E_F$  occurs

$$j_i = \frac{1}{4\pi} \int_{S^2} \vec{C}_i[\hat{n}] \cdot \hat{n} dA, \quad J = \sum_i^{\text{occ}} j_i + \frac{5}{2} N_{\text{Mn}}$$



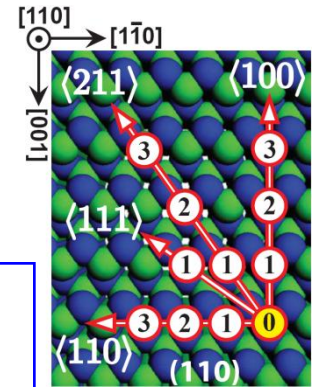
# Why do we care about the Chern number $J$ ?

- It turns out that  $J$  plays the role of an effective ``giant spin'' for the Mn acceptor magnet!
- Important result

$$J = \sum_i^{\text{occ}} j_i + \frac{5}{2} N_{\text{Mn}} = \sum_i^{\text{valence}} j_i + \frac{5}{2} N_{\text{Mn}} = (0 - j_{\text{acc}}) + \frac{5}{2} N_{\text{Mn}}$$



# Chern Number $\rightarrow$ Effective ``Giant Spin'' $J$ for Mn acceptor magnets



1 Mn

Agreement with

ESR studies by Schneider et al, PRB 1987

Infrared spectroscopy by Linnarson et al, PRB 1997

Bulk

$$j_{\text{acc}} = \frac{3}{2}$$

$$J = 1 = 5/2(\text{Mn}) - 3/2(\text{h hole})$$

$$l=1, s = 1/2$$

		$j_1$	$j_2$
$\langle 110 \rangle_{d=0.7a, 1.4a, 2.1a}$	4	1/2	1/2
$\langle 110 \rangle^*_{d=0.7a}$	3	3/2	1/2
$\langle 100 \rangle_{d=a}$	3	1/2	3/2
$\langle 100 \rangle_{d=2a, 3a}$	4	-1/2	3/2
$\langle 211 \rangle_{d=1.2a}$	4	1/2	1/2
$\langle 211 \rangle_{d=2.4a, d=3.7a}$	3	3/2	1/2
$\langle 111 \rangle_{d=1.7a}$	3	3/2	1/2

Bulk

(Sub-)Surface

$$j_{\text{acc}} = \frac{1}{2}$$

$$J = 2 = 5/2(\text{Mn}) - 1/2(\text{h hole})$$

Hole loses orbital contribution?

$$J = 4 = 2 + 2 \text{ always!}$$

(Sub-)Surface



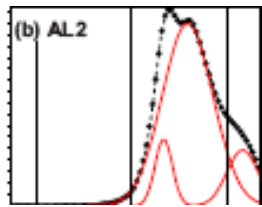
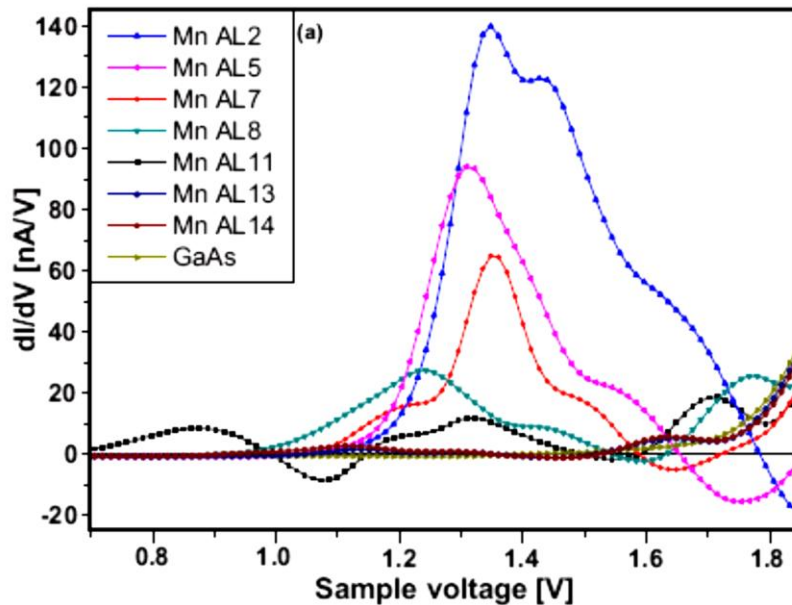
# Experimental implications

Can we see any evidence of the value of  $J$  and its change near the surface?



## Enhanced binding energy of manganese acceptors close to the GaAs(110) surface

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The acceptor resonance has structure  
It seems to split into three peaks  
(see Gaussian fitting for layer 2)

Is this an indication of a spin multiplet  
 $J = 1??$

It could still be  $J=2$  (as predicted from Chern number theory) if some of the levels are quasi-degenerate

# Effective Giant "Spin" $J$ Hamiltonian

Change variables:  $\hat{n}(\theta, \phi) \Rightarrow \hat{n}'(\theta', \phi')$

$$C[\hat{n}] \Rightarrow C'[\hat{n}'] = J \text{ (Chern number)}$$

The (real time) action  $\vec{A}_J = J \hat{\phi}'(1 - \cos\theta') / \sin\theta'$

$$\mathcal{S}_{\text{spin}}^{(J)}[\hat{n}'] \equiv \int_0^t dt' \left[ i \vec{A}_J \cdot \frac{d\hat{n}'}{dt'} - E\{\hat{n}[\hat{n}'(t')]\} \right], \quad \text{quantum action for an effective total "spin" } J$$

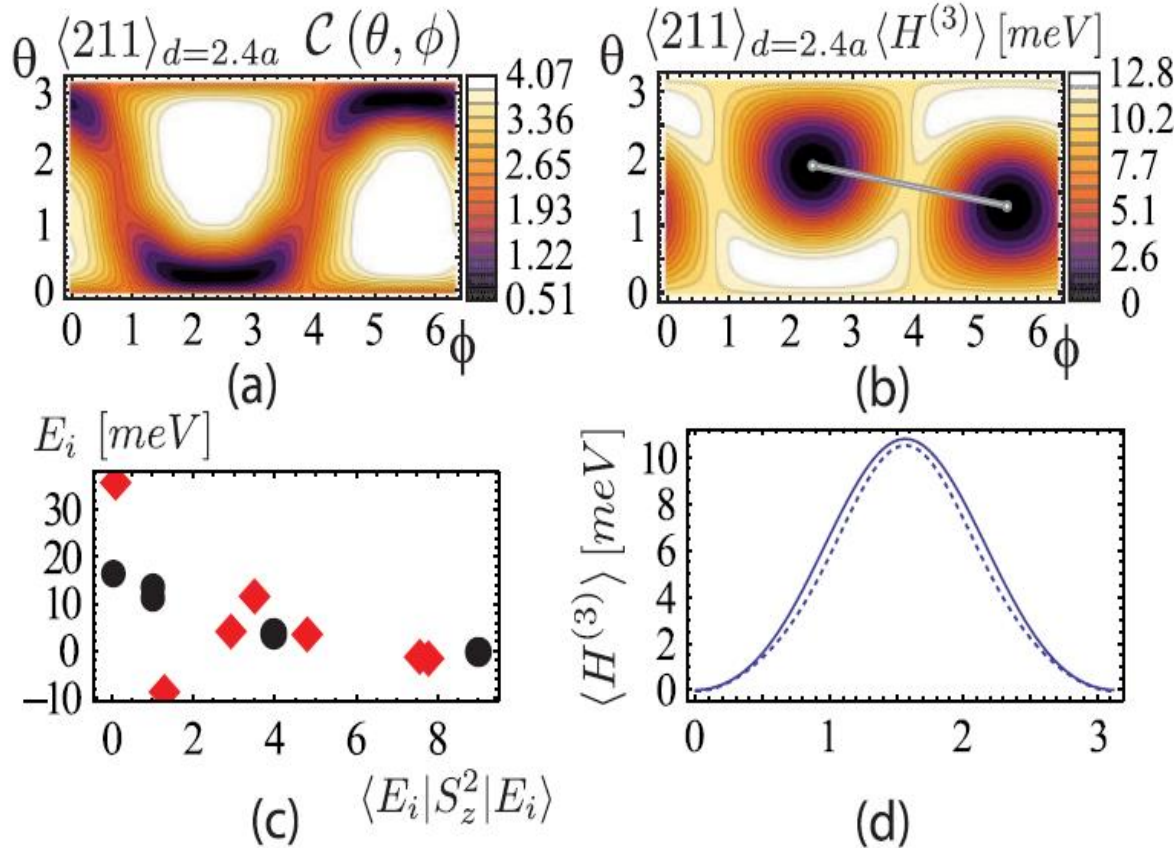
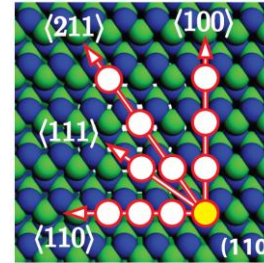
Semiclassical  
Hamiltonian

$$\tilde{E}(\hat{n}') = E\{\hat{n}[\hat{n}']\} = \langle J, \hat{n}' | \tilde{\mathcal{H}} | J, \hat{n}' \rangle$$

Quantum Spin Hamiltonian for  $J$



Example:  $\langle 211 \rangle_{d=2.4a}$  pair



Quantum spectrum & effective anisotropy barrier are modified by Berry phase corrections!

## Conclusions:

- New STM experiments in magnetic impurities in semiconductors
- Microscopic quantum theories are helping to elucidate basic mechanisms
- Mn impurities in GaAs surfaces lead to **deep acceptors** but treating **surface is HARD!**
- Mn impurities + acceptors: **novel molecular magnets**  
Prediction for effective ``spin''  $J$  (Chern number) perhaps visible in STM

