# What lurks below the last plateau

15+ years of 0.7: What have we learned and where to next?



Lecture 2: Spin-gap models and 1D subband behaviour

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### Let's start with the differential conductance



A. Kristensen et al., PRB 62, 10950 (2000).



### Let's start with the differential conductance



A. Kristensen et al., PRB 62, 10950 (2000).



### The transconductance greyscale



A. Kristensen et al., PRB 62, 10950 (2000).



# Taking a closer look...

• The 1<sup>st</sup> subband crosses the drain twice !?! But how ?



A. Kristensen et al., PRB 62, 10950 (2000).



### An anomalous subband edge

- Associate 0.7 plateau with an anomalous subband edge  $\varepsilon_0$ ' split off from and laying above the ordinary edge  $\varepsilon_0$ .
- $\varepsilon_n$ ' splits off from  $\varepsilon_n$  only for  $\mu = (\mu_s + \mu_d)/2 > \varepsilon_n$  (i.e., once subband drops below  $E_F$ ).



A. Kristensen et al., PRB 62, 10950 (2000).



• Here the 'normal' and 'anomalous' subband edges in Kristensen *et al.* are the spin-up and spin-down branches of the 1D subband.



Caution: BCF uses the opposite spin convention to all other papers on 0.7. Here spinup is the lowest level.

• These contribute to a temperature-dependent conductance:

$$G(T) = \frac{1}{2} (f[\epsilon^s_{\uparrow}(\mu) - \mu] + f[\epsilon^s_{\downarrow}(\mu) - \mu]) G_0$$

where  $f[x] = (\exp(x/k_BT) + 1)^{-1}$  is the Fermi-Dirac distribution, and  $\mu = (\mu_s + \mu_d)/2$ .

H. Bruus et al., Physica E 10, 97 (2001) and arXiv:Cond-mat/0106504.





• The important energy scale in this model is the Fermi energy of the spin-down subband  $\Delta(\mu) = \mu - \varepsilon^{s} \downarrow(\mu)$ , relative to the spin-gap energy  $\Delta_{sq}$  and  $k_{B}T$ .

 $\Delta(\mu) >> \Delta_{sg}$ : The spin-polarization is weak and there is a single plateau at  $2e^2/h$  ( =  $G_0$ ).

 $\Delta(\mu) < \Delta_{sg}$ : Stronger spin-polarization and the conductance near the low *G* edge of the 2*e*<sup>2</sup>/*h* plateau becomes temperature dependent.

if this holds, *and*:

 $k_{\rm B}T \ll \Delta(\mu), \Delta_{\rm sg}$ : both f[x] = 1, giving  $G = G_0 \Rightarrow$  there is no 0.7 plateau.

 $\Delta(\mu) < k_{\rm B}T < \Delta_{\rm sg}$ : the first f[x] falls to 0.5, giving  $G = 0.75 \ G_0 \Rightarrow \sim 0.7$  plateau.

 $\Delta(\mu)$ ,  $\Delta_{sq} \ll k_B T$ : both f[x] fall to 0.5, giving  $G = 0.5 G_0 \Rightarrow$  second plateau at ~0.5.

In the second instance, for finite  $V_{sd}$ , the conductance falls by 1/8  $G_0$  giving a finite bias plateau at 0.875  $G_0$  (but also at 0.75  $G_0$  at higher *T*).

H. Bruus *et al.,* Physica E <u>10</u>, 97 (2001) and arXiv:Cond-mat/0106504.





• Sometimes this model gives behaviour that looks very promising...



H. Bruus et al., Physica E 10, 97 (2001) and arXiv:Cond-mat/0106504.





• Sometimes this model gives behaviour that looks very promising...



A. Kristensen & H. Bruus, Physica Scripta <u>T101</u>, 151 (2002).



... and sometimes it doesn't.



• The tendency for two plateaus is probably the biggest flaw in this phenomenological model. It is something that isn't observed experimentally.

H. Bruus et al., Physica E 10, 97 (2001) and arXiv:Cond-mat/0106504.





# But is there really activation?



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## **0.7** as a thermal activation effect

- Kristensen *et al.* looked at the temperature dependence of the conductance at the low G edge of the  $G_0$  plateau.
- If there is activated behaviour, the conductance here should behave like  $G(T)/G_0 = 1 C \exp(-T_A/T)$ .



A. Kristensen et al., PRB 62, 10950 (2000).



### **0.7** as a thermal activation effect

• Repeating this analysis gives a rising  $T_A$  with  $V_{gs}$ . Converting  $T_A$  into an equivalent source-drain bias using  $V_{sd}^*=2k_BT_A/e$  and plotting against  $V_{gs}$  in the transconductance greyscale reveals something very interesting.



• Strong evidence that there is thermal activation involved in 0.7.

A. Kristensen et al., PRB 62, 10950 (2000).





### To show it's not an isolated case...



A. Kristensen et al., PRB 62, 10950 (2000).



# **Back to BCF for a moment**

• How exactly does the gap open in the BCF model?

 $\Delta_{sg}$  must be zero until after the subband edge passes  $\mu_s$ , as there is only one left-moving branch in the transconductance greyscale.

In the BCF model, the gap doesn't open until the subband edge reaches  $\mu_d$ .

However, providing the relationship to other energy scales is correctly accounted for, it can potentially be finite but small after the subband edge passes  $\mu_s$  without adversely affecting the model.

Looking at the exact form used...

A. Kristensen & H. Bruus, Physica Scripta <u>T101</u>, 151 (2002).







# Back to BCF for a moment

• The form mentioned in the paper is  $\varepsilon'_0(\mu_d) = \mu_d(1 - (\mu_d/\mu^*)^n)$  with  $0 < \mu_d < \mu^*$  where  $\mu^* = 4$  meV and n = 3, but what's plotted is  $\varepsilon'_0(\mu_d) = \mu_d(1 - (\mu_d/\mu^*))^n$ .



 The values for μ\* and n, and the form, are chosen based on an empirical analysis of the experimental data, but the essential point is that the gap must not open before the 1D subband edge passes μ<sub>s</sub>.

A. Kristensen & H. Bruus, Physica Scripta <u>T101</u>, 151 (2002).







D.J. Reilly et al., PRL 89, 246801 (2002).





• From the Fermi energy's perspective it looks like...



This is clearly another case where thermal activation is vital to the model.

D.J. Reilly *et al.*, Physica E <u>34</u>, 27 (2006).





• Formally, the conductance is calculated using:

$$G = 2e^2/h \int_{U_L}^{\infty} (-\partial f/\partial E) T(E) dE$$

where  $U_{\rm L}$  is the bottom of the band in the left lead, f is the Fermi function  $f = [1/\exp((E_{\uparrow\downarrow} - E_{\rm F})/k_{\rm B}T) + 1]$  and  $E_{\uparrow\downarrow}$  are separately the spin-up/down subband edges.

A classical step function is used for the transmission probability  $T(E) = \Theta(E_F - E_{\uparrow\downarrow})$ where  $\Theta(x) = 1$  for  $x > E_{\uparrow\downarrow}$  and  $\Theta(x) = 0$  for  $x < E_{\uparrow\downarrow}$ .

• Hence, the linear response conductance of each spin-band is the Fermi probability for thermal occupation multiplied by the spin-polarized conductance quantum:

$$G \sim e^2/h f^{\uparrow} + e^2/h f^{\downarrow}$$

D.J. Reilly et al., PRB 72, 033309 (2005).





A key advantage is that the opening gap removes the 0.5 plateau in the BCF model.





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• In the Reilly model, the opening rate  $\gamma$  is linked to the 1D-2D mismatch, based on the data below, which can be modelled by changing  $\gamma$  at fixed *T*.



D.J. Reilly et al., PRB 72, 033309 (2005).





D.J. Reilly et al., PRB 72, 033309 (2005).







D.J. Reilly et al., Physica E 34, 27 (2006).





# Quick primer on shot noise

- Shot noise arises due to the discreteness of charge (i.e., electrons carry 1.6 × 10<sup>-19</sup> C).
- In a mesoscopic system there is excess noise beyond thermal noise due to 'partition'.
- Partition noise comes about due to scattering, which 'partitions' electrons into one of two channels – a transmitted channel and a reflected channel.
- The partition noise vanishes in the limits T = 1 and T = 0 as no partitioning takes place. The partition noise is maximal for  $T = \frac{1}{2}$ .
- The transmitted current noise power is given by:

$$S_{I_{\mathrm{T}}I_{\mathrm{T}}} = 2 \frac{e^2}{2\pi\hbar} \int \mathrm{d}E \ Tf(1 - Tf)$$

so that if *T* is very small or *f* is small, then 1 - Tf = 1 and *S* takes its 'Poisson' value of  $S_P = 2e\langle I \rangle$ . At zero temperature, the partition noise is always between 0 (*T* = 1) and  $S_P$ .

Y. Blanter & M. Büttiker, Phys. Rep. <u>336</u>, 1 (2000).





# Shot noise and 0.7

• Di Carlo *et al.* measured the partition noise  $S_l^P$  of a QPC near the lowest two 1D subbands. This is the total current noise minus the Johnson noise  $4k_BTg(V_{sd})$ .



L. DiCarlo et al., PRL <u>97</u>, 036810 (2006).





• 'Model' here means the density-dependent spin-gap model.



L. DiCarlo et al., PRL <u>97</u>, 036810 (2006).



# Shot noise and 0.7

• Simple Zeeman splitting applied with a 1D enhanced *g*-factor of  $g_1^* = 0.6$ .



L. DiCarlo et al., PRL 97, 036810 (2006).





• Sometimes the 0.7 plateau rises with increasing density...



See K.J. Thomas *et al.*, PRB <u>61</u>, 13365 (2000); S. Nuttinck *et al.*, JJAP <u>39</u>, L655 (2000); R. Wirtz *et al.*, PRB <u>65</u>, 233316 (2002).



• Sometimes the 0.7 plateau falls with increasing density...



See D.J. Reilly et al., PRB 63, 121311 (2001); D.J. Reilly, PRB 72, 033309 (2005).





#### And sometimes, the 0.7 plateau even does both!



See K. Pyshkin et al., PRB 62, 15842 (2000); K. Hashimoto, JJAP 40, 3000 (2001).







A.M. Burke et al., Nano Lett. in press. doi: 10.1021/nl301566d





### A more recent study on density and 0.7





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# Varying the opening rate $\gamma$

- In the Reilly model, the opening rate  $\gamma$  is linked to the 1D-2D mismatch.
- Since the 2D system is quasi-continuum, this is basically tied to the 1D subband spacing. For 0.7, this should be the lowest 1D subband spacing  $\Delta E_{1,2}$ , in particular.



A.M. Burke et al., Nano Lett. in press. doi: 10.1021/nl301566d



#### What does 0.7 do in these three devices?







#### Connecting back to density-dep. spin-gap







### Back to exchange enhancement for a moment



K.J. Thomas *et al.*, PRL <u>77</u>, 135 (1996).

T.P. Martin *et al.*, PRB <u>81</u>, 041303 (2010).





### Back to exchange enhancement for a moment



T.P. Martin et al., PRB 81, 041303 (2010).



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### How g\* behaves with density in QPCs







### How g<sup>\*</sup> behaves with density in QPCs







# How g\* behaves with density in QPCs







# Looking more closely at the subbands



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# **Evolution of the plateaus at high in-plane field**



A.C. Graham et al., PRL <u>91</u>, 136404 (2003).



# Visualising the 1D subbands

• We can see the 1D subbands by plotting the transconductance  $dG/dV_{q}$  versus  $V_{q}$ 



A.C. Graham et al., PRL <u>91</u>, 136404 (2003).



# Nature of the anticrossing

• Berggren *et al.* used the Kohn-Sham spin-density-functional method, including exchange and correlation effects for an infinite split-gate quantum wire in a parallel, in-plane magnetic field  $B_{\parallel}$ .







# Visualising the 1D subbands



 Model is for non-interacting electrons in an infinite 1D wire, assuming g\* = 1.9 and parabolic confinement with transverse and vertical (QW) subband spacings of 1.85 and 15 meV respectively. Diamagnetic shift also accounted for (i.e., magnetic confinement).

A.C. Graham et al., PRL <u>91</u>, 136404 (2003).



















A.C. Graham *et al.,* PRL <u>91</u>, 136404 (2003).

K.J. Thomas et al., PRL 77, 135 (1996).







A.C. Graham et al., PRL <u>91</u>, 136404 (2003).

K.J. Thomas *et al.,* Physica E <u>12</u>, 708 (2002).





• In-plane field  $B_{\parallel} = 5T$  to ensure that the 1D subbands are clearly spin-resolved.



A.C. Graham et al., PRB 72, 193305 (2005).



• The delayed bias-splitting of  $1_{\downarrow}$  is interpreted as the  $1_{\downarrow}$  subband dropping rapidly in energy as soon as it populates. Splitting is resolved when the population-induced drop is insufficient to reach  $\mu_{d}$ .



A.C. Graham et al., PRB 72, 193305 (2005).



• If we look at  $B_{\parallel} = 0$  data near the 0.7 anomaly, we can see there's more to the problem.



A.C. Graham et al., PRB 75, 035331 (2007).



• We can work out a scenario for the 0.7 data at  $B_{\parallel} = 0$  by going back to high field.



A.C. Graham et al., PRB 75, 035331 (2007).





A.C. Graham et al., PRB 75, 035331 (2007).



- If you approach point a (i.e., follow the line from i to ii) then  $1_{\uparrow}$  coincides with  $\mu$ .
- And if you approach point c (i.e., follow the line from iv to iii) then  $1_{\uparrow}$  coincides with  $\mu$ .
- The only way this can happen is if  $1_{\uparrow}$  is pinned at the chemical potential, while  $2_{\downarrow}$  shoots past it.



A.C. Graham et al., PRB 75, 035331 (2007).



 If we consider the 0.7 data again... first, the spin-degenerate 1<sup>st</sup> subband reaches μ. When it does, 1<sub>↓</sub> rapidly drops in energy and 1<sub>↑</sub> pins, allowing a gap to open, and producing the 0.7 anomaly via a spin-gap model.



A.C. Graham et al., PRB 75, 035331 (2007).



• These structures are common across a wide range of works...



A. Kristensen et al., PRB 62, 10950 (2000).



A.C. Graham et al., PRB 75, 035331 (2007).



S.M. Cronenwett et al., PRL 88, 226805 (2002).



A.M. Burke et al., Nano Lett. in press.





• Lassl *et al.* performed calculations for a QPC using a non-equilibrium Green's function approach with the screened Coulomb interaction between electrons approximated as a repulsive contact potential:

$$V_{\rm int}(\vec{r},\vec{r}') = \gamma \delta(\vec{r}-\vec{r}')$$

where  $\gamma \cong 2\pi \times \hbar^2/(2m)$  is the interaction strength (but used as a variable).

• A Keldysh Green-function approach is then used with a Hamiltonian operator  $H^{\sigma} = H^{\sigma}_{0} + \Sigma^{\sigma}_{int}(\underline{r})$ , where:

$$H_0^{\sigma} = \frac{p_x^2 + p_y^2}{2m} + V_{\text{conf}}(x, y) + g\mu_B B\sigma$$

and:

$$\Sigma_{\rm int}^{\sigma}(\vec{r}) = \Sigma_{H}^{\sigma} + \Sigma_{F}^{\sigma} = \gamma n_{-\sigma}(\vec{r})$$

with:

$$n_{\sigma}(\vec{r}) = -\frac{i}{2\pi} \int dE G_{\sigma}^{<}(\vec{r},\vec{r},E)$$

A. Lassl et al., PRB 75, 045346 (2007).





• The problem is then discretized using the lattice below:



using a matrix approach with on-diagonal terms:

$$\mathcal{H}_{ii}^{\sigma} = 4\hbar^2 / (2ma^2) + V_{\text{conf}}(\vec{r_i}) + \Sigma_{\text{int}}(\vec{r_i}) + g\mu_B B\sigma$$

where *a* is the lattice constant, neighbouring off-diagonal terms:

$$\mathcal{H}_{ij} = -\hbar^2 / (2ma^2)$$

and all other off-diagonal terms as zero.

A. Lassl et al., PRB <u>75</u>, 045346 (2007).





• The energy  $E_1 = \hbar^2 \pi^2 / (2mW^2)$  where *W* is the width of the channel, with a small Zeeman field  $E_z = 0.0015E_1$  added to break spin-symmetry.



A. Lassl et al., PRB 75, 045346 (2007).



 The model does a great job of reproducing the experimental data, just as the Reilly model does:



A. Lassl et al., PRB 75, 045346 (2007).



