



Spin relaxation in low dimensions

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Plan of lectures

Lecture I:

1. Spin relaxation mechanisms (overview)
2. Spin relaxation (qualitative model)
3. Dyakonov-Perel spin relaxation

Lecture II:

1. Spin-orbit coupling fluctuations (crossover between Dyakonov-Perel and Elliott-Yafet mechanisms)
2. Spin decoherence in quantum dots



Spin relaxation - I

1. Spin relaxation mechanisms (overview)
 - Free electrons
 - Localized electrons
2. Spin relaxation (qualitative model)
 - Spin in a fluctuating magnetic field
3. Dyakonov-Perel spin relaxation
 - Spin splittings in nanostructures
 - Spin density matrix & kinetic equation
 - Electron-electron interaction
 - Spin relaxation anisotropy
 - Features of high-mobility structures



Spin relaxation mechanisms



Localized electrons:
See next lecture

Free electrons:

- Bir-Aronov-Pikus mechanism (electron-hole exchange interaction)
- Paramagnetic scattering (in magnetic systems)
- Elliott-Yafet mechanism (spin flip at scattering)
- Dyakonov-Perel mechanism (spin precession)

Driving force is the spin-orbit coupling:

Interaction of electron spin and its momentum



Spin relaxation mechanisms



Localized electrons:

- Spin-orbit mediated electron-phonon interaction
- Hyperfine interaction (electron-nuclei coupling)
- Inhomogeneous broadening (in magnetic field: g -factor spread)

Orbital motion is quenched, hence spin-orbit coupling plays minor role



Spin relaxation

Most general model: **spin precession in time-dependent magnetic field**

$$\mathcal{H}(t) = \frac{\hbar}{2} \boldsymbol{\sigma} \cdot \boldsymbol{\Omega}(t)$$

τ_c is the correlation time of $\boldsymbol{\Omega}$

$$\frac{1}{\tau_s} \sim \langle \Omega^2 \rangle \tau_c$$



Spin splittings

Dyakonov-Perel spin relaxation is caused by the electron spin precession in the effective magnetic field arising from the **spin-orbit interaction**

Lack of an inversion center:

- Bulk inversion asymmetry
- Structure inversion asymmetry
- Interface inversion asymmetry



Spin splittings - I

Lack of an inversion center:

- Bulk Inversion Asymmetry (BIA)

$$\mathcal{H}_{so} = \gamma[\sigma_x k_x (k_y^2 - k_z^2) + \sigma_y k_y (k_z^2 - k_x^2) + \sigma_z k_z (k_x^2 - k_y^2)]$$

$$\mathcal{H}_D = \gamma \langle k_z^2 \rangle (\sigma_y k_y - \sigma_x k_x) \quad z \parallel [001].$$

- Structure Inversion Asymmetry (SIA)

$$\mathcal{H}_{so} = \alpha [\boldsymbol{\sigma} \times \mathbf{k}] \cdot \mathbf{n}$$

- Interface Inversion Asymmetry (IIA)
like BIA in (001) quantum wells



Spin splittings - II

Effective magnetic field

$$\mathcal{H} = \alpha_{ij}\sigma_i k_j + \frac{\hbar^2 k^2}{2m} + \gamma_{iklj}\sigma_i k_j k_k k_l$$

$$\mathcal{H} = \mathcal{H}_0 + \mathcal{H}_{so} = \frac{\hbar^2 k^2}{2m} + \frac{\hbar}{2}(\boldsymbol{\sigma} \cdot \boldsymbol{\Omega}_k)$$

$$\Omega_{k,i} = \frac{2}{\hbar}\alpha_{ij}k_j + \frac{2}{\hbar}\gamma_{iklj}k_j k_k k_l$$

Estimate (10 nm QW):

$$\gamma\langle k_z^2 \rangle \approx \gamma \frac{\pi^2}{a^2} \approx 20 \text{ eV \AA}^3 \frac{\pi^2}{(100 \text{ \AA})^2} = 2 \times 10^{-2} \text{ eV \AA}.$$

$$\gamma\langle k_z^2 \rangle k_{\parallel} \approx 2 \times 10^{-2} \text{ eV \AA} \times 10^{-2} \text{ \AA}^{-1} = 0.2 \text{ meV}.$$



Spin relaxation (qualitative)



Collision dominated regime:

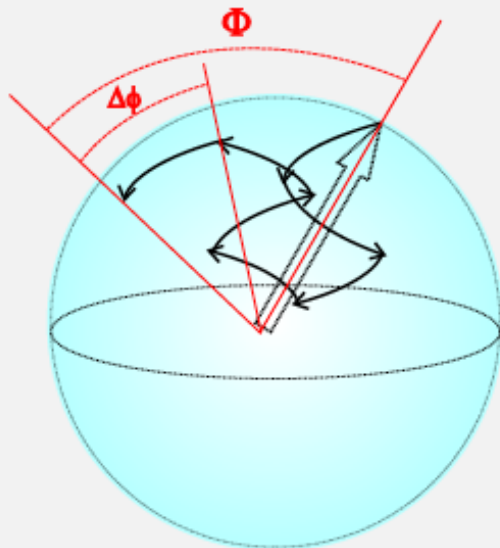
$$\Delta\phi = \Omega\tau \ll 1$$

Spin is lost between the scattering events

$$\langle\Phi^2\rangle = N(\Delta\phi)^2$$

Spin relaxation time $\langle\Phi^2\rangle \sim 1 \Rightarrow N \sim (\Delta\phi)^{-2}$

$$\tau_s = N\tau \sim \frac{1}{\Omega^2\tau}$$



M.I. Dyakonov, V.I. Perel (1971)



Kinetic equation

$$\rho_{\mathbf{k}} = f_{\mathbf{k}} I + \mathbf{S}_{\mathbf{k}} \cdot \boldsymbol{\sigma} \quad \frac{\partial \mathbf{S}_{\mathbf{k}}}{\partial t} + \mathbf{S}_{\mathbf{k}} \times \boldsymbol{\Omega}_{\mathbf{k}} = \text{St}\{\mathbf{S}\}.$$

$$\text{St}\{\mathbf{S}\} = \sum_{\mathbf{k}'} [W_{\mathbf{k},\mathbf{k}'} \mathbf{S}_{\mathbf{k}'} - W_{\mathbf{k}',\mathbf{k}} \mathbf{S}_{\mathbf{k}}] = -\frac{\delta \mathbf{S}_{\mathbf{k}}}{\tau}.$$

$$\frac{\partial \bar{\mathbf{S}}_{\mathbf{k}}}{\partial t} + \hat{\Gamma} \bar{\mathbf{S}}_{\mathbf{k}} = 0, \quad \Gamma_{ij} = \left(\overline{\Omega_{\mathbf{k}}^2} \delta_{ij} - \overline{\Omega_{\mathbf{k},i} \Omega_{\mathbf{k},j}} \right) \tau.$$

Example: asymmetric quantum well

$$\boldsymbol{\Omega}_{\mathbf{k}} = \alpha_R (k_y, -k_x, 0).$$

$$\Gamma_{zz} = \alpha_R^2 k^2 \tau, \quad \Gamma_{xx} = \alpha_R^2 \bar{k}_x^2 \tau = \frac{\alpha_R^2 k^2 \tau}{2}, \quad \Gamma_{yy} = \alpha_R^2 \bar{k}_y^2 \tau = \frac{\alpha_R^2 k^2 \tau}{2}.$$

$$\tau \sim 1 \text{ ps}, \quad \hbar \Omega \sim 0.1 \text{ meV}, \quad \Rightarrow \quad \Omega \sim 0.1 \text{ ps}^{-1}. \quad \frac{1}{\tau_s} \sim 10^{-2} \text{ ps}^{-1}.$$



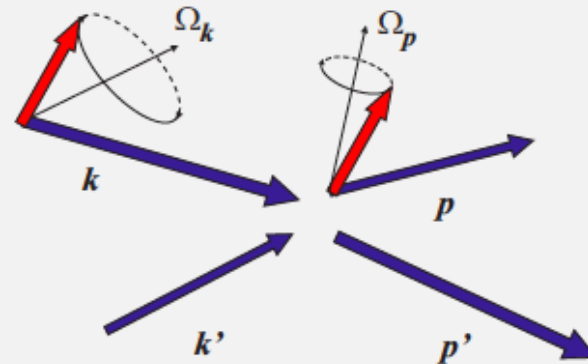
Relevant scattering mechanisms

$$\frac{1}{\tau_s} \sim \Omega^2 \tau$$

the stronger scattering ($\tau \downarrow$) the longer spin relaxation time ($\tau_s \uparrow$)

What are the relevant scattering processes?

- elastic momentum scattering (impurities, interface roughnesses, phonons...)
- electron-electron collisions (although the total momentum is conserved, the spins of electrons are intermixed)



MMG, Ivchenko (2002)

M.-W. Wu (2003)



Inclusion of e-e scattering

Average spin in the \mathbf{k} state $\mathbf{s}_{\mathbf{k}}$, average occupation $f_{\mathbf{k}}$

$$\frac{d\mathbf{s}_{\mathbf{k}}}{dt} + \mathbf{s}_{\mathbf{k}} \times (\boldsymbol{\Omega}_{\mathbf{k}} + \boldsymbol{\Omega}_{C,\mathbf{k}}) + \mathbf{Q}_{\mathbf{k}}\{\mathbf{s}\} = 0,$$

Collision integral (Boltzmann statistics, no exchange)

$$\mathbf{Q}_{\mathbf{k}}\{\mathbf{s}, f\} = \sum_{\mathbf{k}'\mathbf{p}\mathbf{p}'} W(\mathbf{k}, \mathbf{k}' \rightarrow \mathbf{p}, \mathbf{p}') (\mathbf{s}_{\mathbf{k}} f_{\mathbf{k}'} - \mathbf{s}_{\mathbf{p}} f_{\mathbf{p}'})$$

Its solution allows to obtain the electron-electron scattering rates which govern Dyakonov-Perel' spin relaxation mechanism

$$\frac{1}{\tau_{ee}} \approx 3.4 \frac{E_F}{\hbar} \left(\frac{k_B T}{E_F} \right)^2, \quad k_B T \ll E_F$$

$$\tau_s \propto \frac{1}{\langle \Omega^2 \rangle \tau_{ee}}$$

$$\frac{1}{\tau_{ee}} \approx 35.7 \frac{e^4 N_S}{\hbar \chi^2 k_B T}, \quad k_B T \gg E_F$$

$$\tau_s \sim \begin{cases} T^2 N_S^{-2}, & T \ll E_F \\ T^{-2} N_S, & T \gg E_F \end{cases}$$

MMG, Ivchenko (2004)

See lecture of Prof. Wu for more details

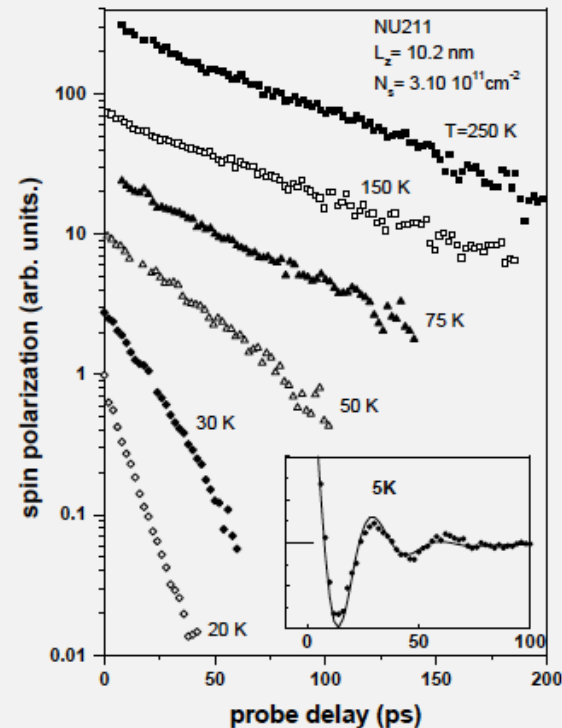
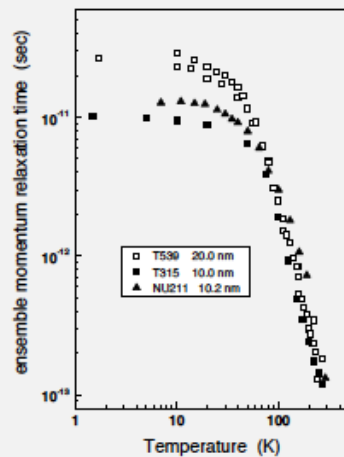


Experimental proof - I



Spin polarization decay in
GaAs/AlGaAs *n*-type quantum wells

- Electron density
 $N \sim 3 \times 10^{11} \text{ cm}^{-2}$
- Well width $a \sim 100 \text{ \AA}$
- Momentum scattering time
 $\tau_p \sim 10 \text{ ps}$



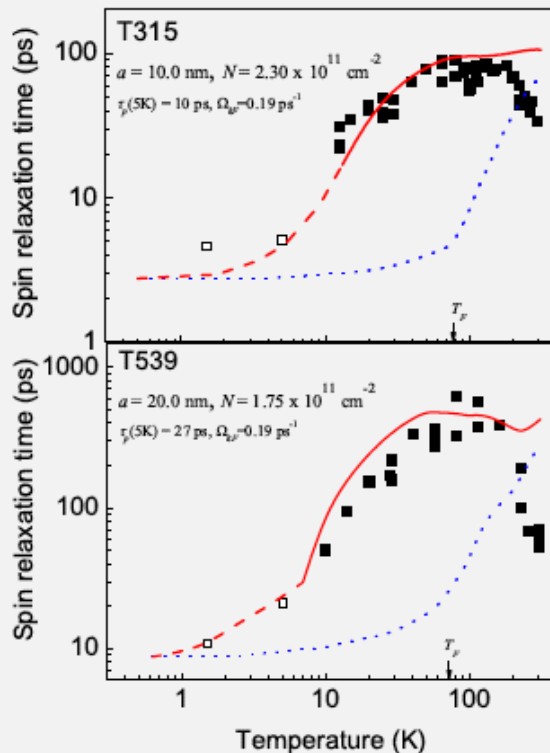
Leyland, John, Harley, MMG, Ivchenko, Ritchie, Farrer, Shields, Henini (2007)



Experimental proof - II



GaAs/AlGaAs quantum wells



$$\frac{1}{\tau_s} \sim \Omega^2 \tau$$

- Very low T 's: $\tau = \tau_p$ (remote impurities, ...)
- Degenerate gas (ee-scattering)

$$\tau = \tau_{ee} \propto \frac{\hbar}{E_F} \left(\frac{E_F}{k_B T} \right)^2$$
- Slightly non-degenerate gas (ee-scattering) $\tau \propto k_B T$
- High T 's $\tau = \tau_p$ (optical phonons)

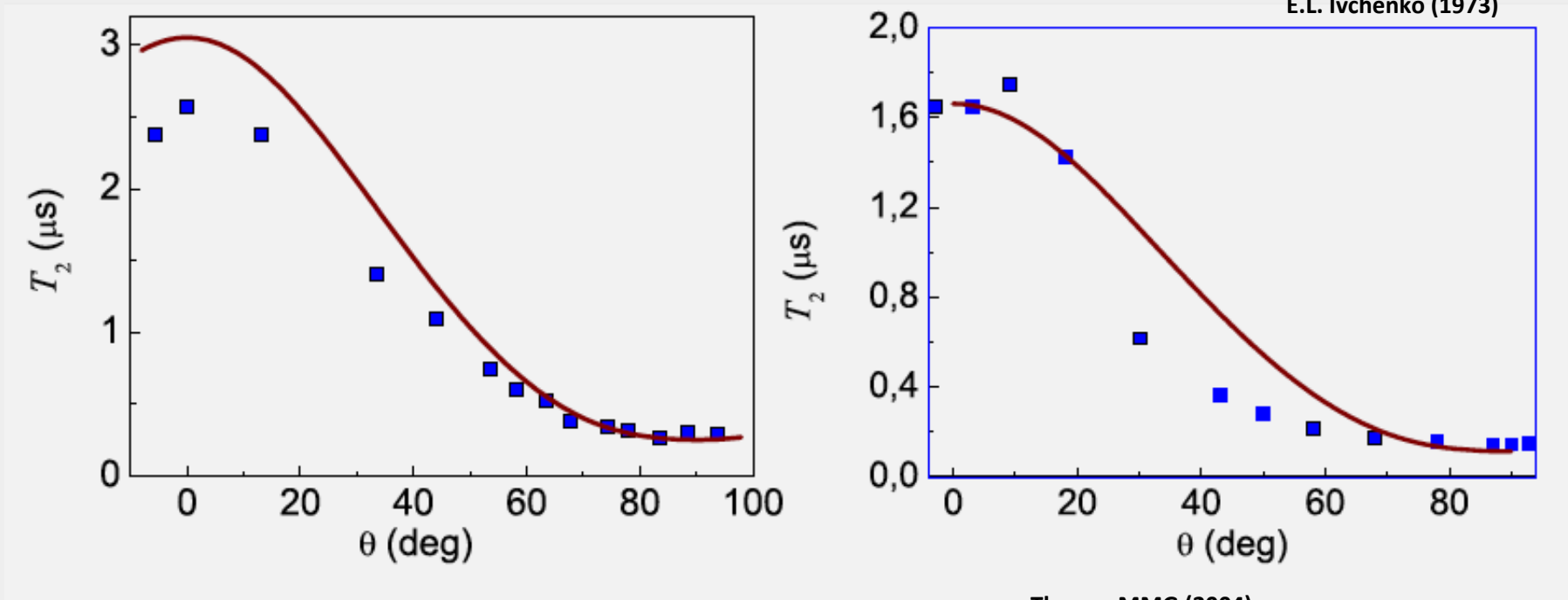
Leyland, John, Harley, MMG, Ivchenko, Ritchie, Farrer, Shields, Henini (2007)



Features of DP mechanism



- Spin relaxation is **slowed down by scattering**
- Spin relaxation is **quenched by magnetic field**



E.L. Ivchenko (1973)

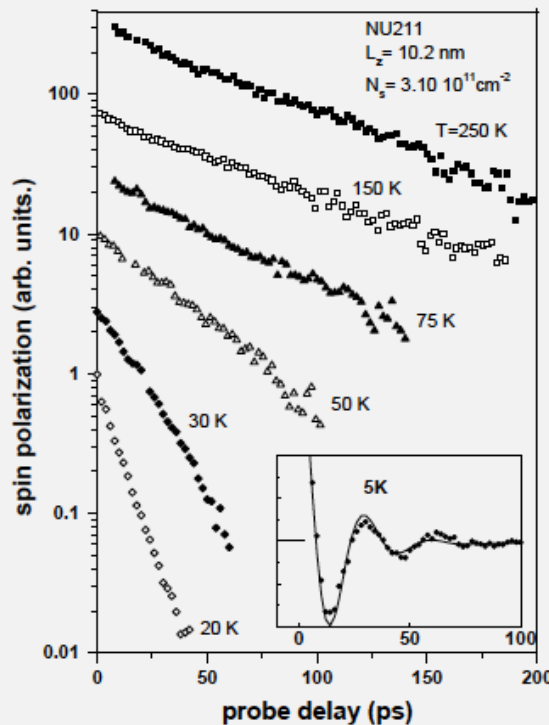
Theory: MMG (2004)

Experiment: Wilamowski, Jantch (2004)



Spin dephasing in (ultra) high mobility structures

Spin precesses around Ω_k : spin makes ≥ 1 revolutions between scattering



W. Leyland et. al. (2007)

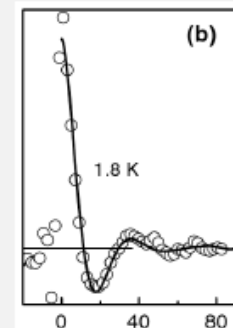
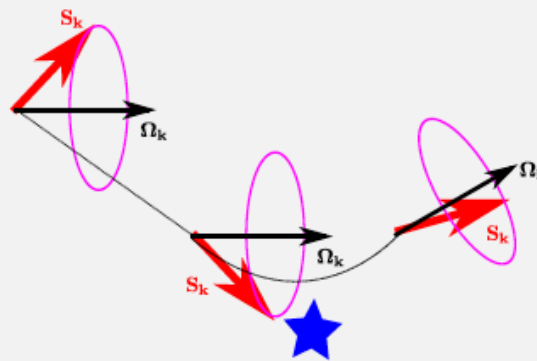
$$s_z(t) \propto \left[\cosh\left(\frac{qt}{2\tau}\right) + \frac{1}{q} \sinh\left(\frac{qt}{2\tau}\right) \right] e^{-\frac{t}{2\tau}}$$

$$q = \sqrt{1 - 4\Omega_{k_F}^2 \tau^2}$$

$$\propto e^{-t/2\tau} \cos \Omega_{k_F} t$$

MMG (2007)

Gridnev (2001)



M. A. Brand et. al.

(2002)



Thank you for attention



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 - Free electrons
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2. Spin relaxation (qualitative model)
 - Spin in a fluctuating magnetic field
3. Dyakonov-Perel spin relaxation
 - Spin splittings in nanostructures
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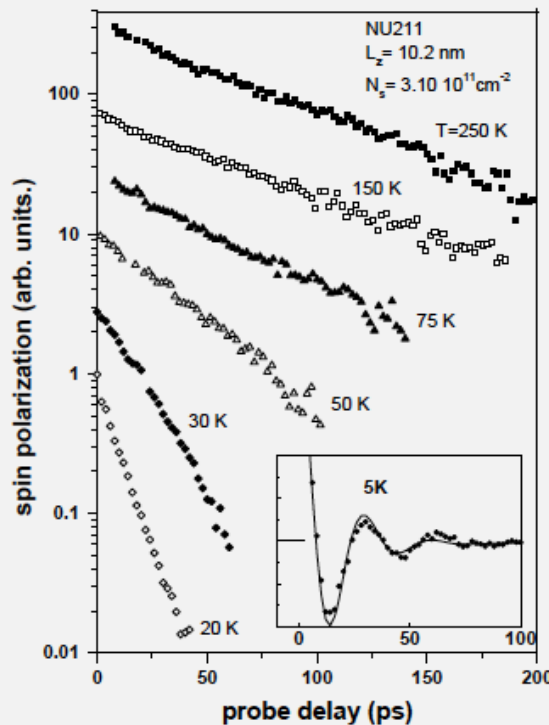
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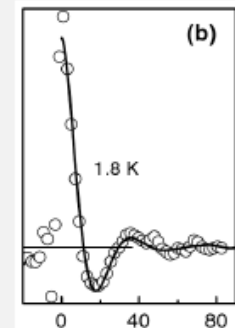
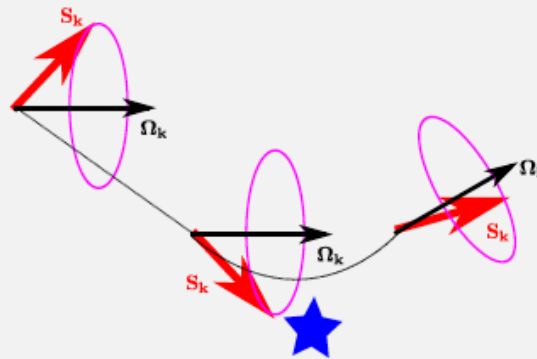
W. Leyland et. al. (2007)

$$s_z(t) \propto \left[\cosh\left(\frac{qt}{2\tau}\right) + \frac{1}{q} \sinh\left(\frac{qt}{2\tau}\right) \right] e^{-\frac{t}{2\tau}}$$

$$q = \sqrt{1 - 4\Omega_{k_F}^2 \tau^2} \propto e^{-t/2\tau} \cos \Omega_{k_F} t$$

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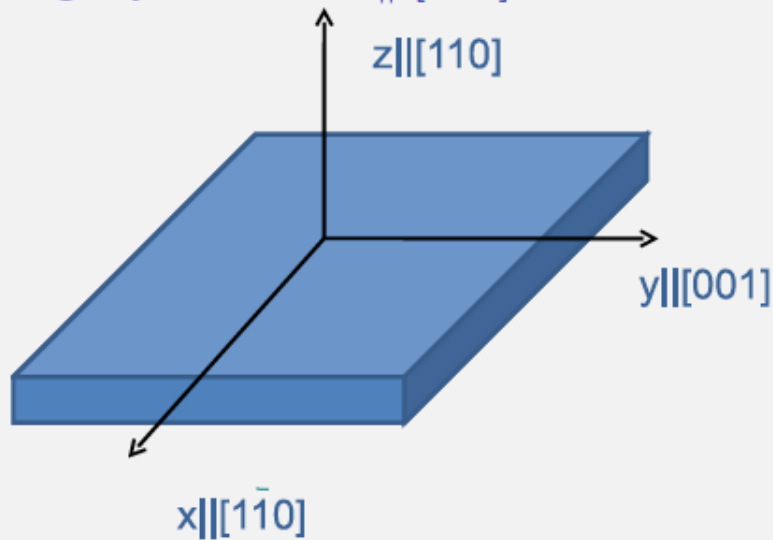
Spin relaxation - II

1. Spin orbit coupling fluctuations (crossover between DP and EY mechanisms)
 - Classical model of spin relaxation
 - Effects of magnetic field
 - Spin relaxation in quantum wires
2. Spin decoherence in quantum dots (localized electrons)
 - Spread of electron g -factors
 - Nuclei-induced spin dephasing



Spin-orbit coupling fluctuations

E.g. symmetric $z \parallel [110]$ QW



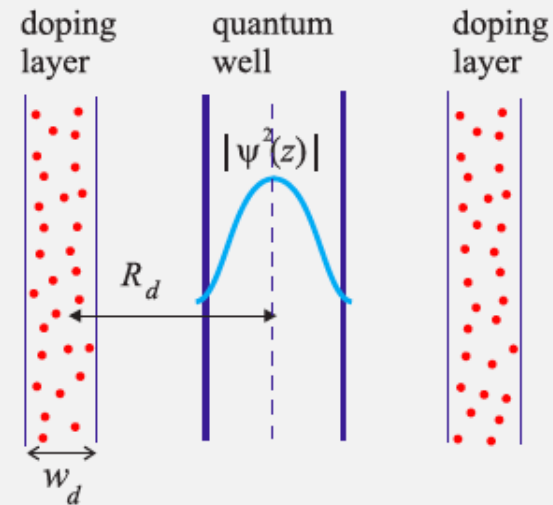
Dresselhaus term

$$\Omega_D \propto (0, 0, k_x) \parallel z$$

does not affect spin z component

What about **Rashba** term?

Nominally symmetric structure



Symmetry is **locally broken**:

$$\Omega_R = \frac{2\alpha_R(\rho)}{\hbar} (k_y, -k_x, 0)$$

$$\alpha_R(\rho) = \xi E_z(\rho), E_z(\rho) = \int \frac{zn(\mathbf{r})d\mathbf{r}}{|\rho - \mathbf{r}|^3}$$



Spin relaxation for ballistic electrons



Random spin-orbit coupling

$$\Omega_{\mathbf{R}} = \frac{2\alpha_{\mathbf{R}}(\boldsymbol{\rho})}{\hbar}(k_y, -k_x, 0)$$

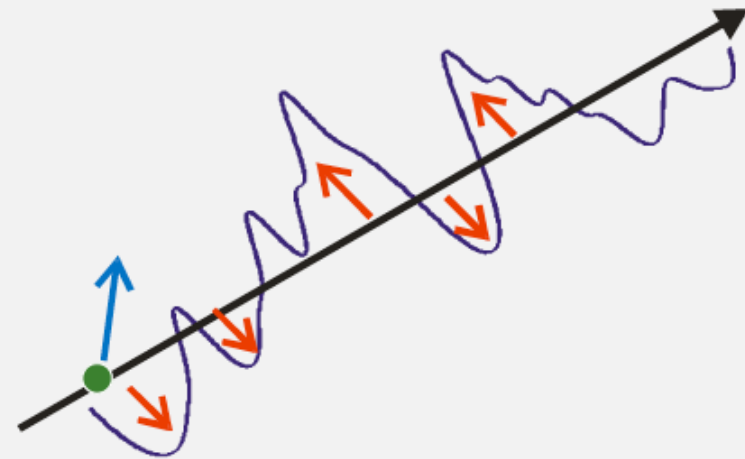
$\langle \alpha \rangle = 0$: no spin-orbit coupling on average

Fluctuations: $\langle \alpha^2 \rangle = \xi^2 \langle E_z^2 \rangle$

Spin relaxation rate

$$\frac{1}{\tau_s} \sim \langle \Omega_{\mathbf{R}}^2 \rangle \tau_d$$

$\tau_d = l_d/v$ is the domain passage time
Momentum scattering is not needed!

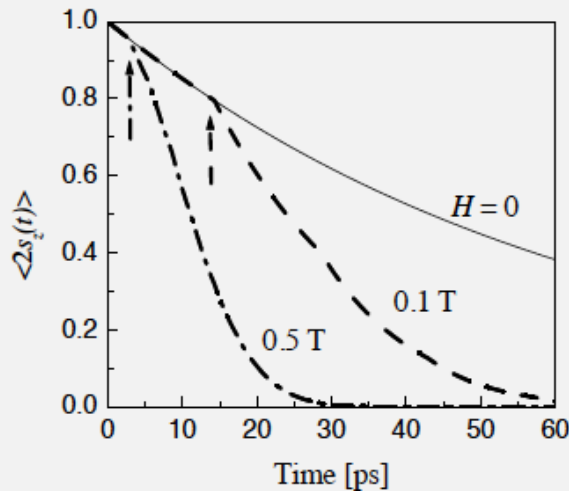
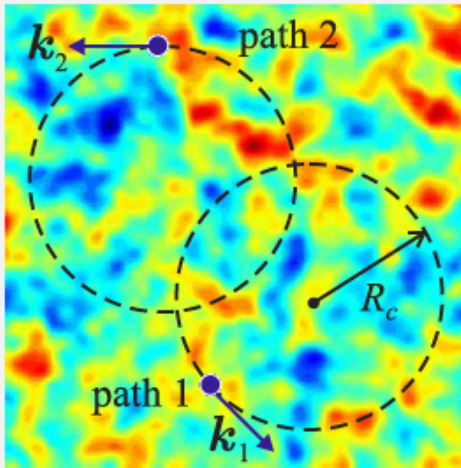


$$\frac{s_z(t)}{s_z(0)} = \exp \left[- \int_0^t dt_1 \int_0^{t_1} dt_2 C_{\Omega\Omega}(t_1 - t_2) \right]$$

MMG, Sherman (2005)



Magnetic field effect

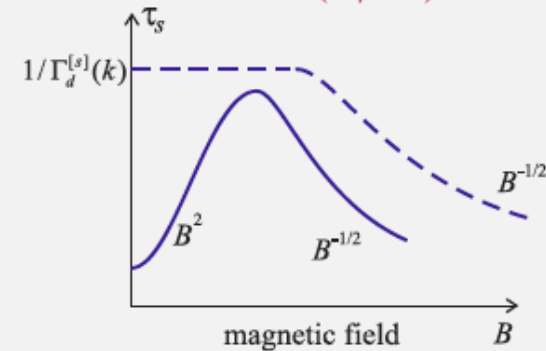


Electron passes the same configuration of the disorder:
spin relaxation speeds up

$$\frac{s_z(t)}{s_z(0)} = \exp \left[-\frac{4\langle \alpha_R^2 \rangle k^2 \tau_d t^2}{\hbar^2 T_c} \right]$$

cyclotron period $T_c = 2\pi/\omega_c$

Classically strong magnetic fields:
 $E_F \gg \omega_c \tau \gg (\tau/\tau_d)^{2/3}$



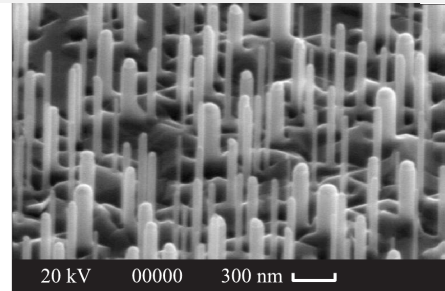
MMG, Sherman (2005)



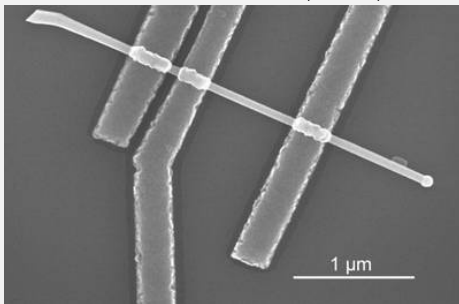
Spin dephasing in nanowires

– demonstrate **1D physics**, between localized (0D) and free (2D, 3D)

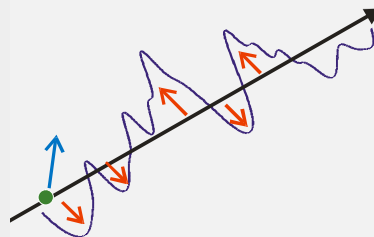
$$\mathcal{H}_{\text{so}} = \frac{1}{2} [\alpha(x)k_x + k_x\alpha(x)]\sigma_\lambda \Rightarrow \Omega_{k_x} \parallel \lambda$$



Dubrovskii, Cirlin, Ustinov



<http://phys.org/news5043.html>



Spin rotation angle is determined by the electron displacement

$$C_{ss}(t) = \int_{-\infty}^{\infty} dx p(x, t) \cos \left(\frac{2m\alpha_0}{\hbar^2} x \right) \exp \left[-\langle \theta_r^2(x) \rangle / 2 \right].$$



Spin relaxation - II

1. Spin orbit coupling fluctuations (crossover between DP and EY mechanisms)
 - Classical model of spin relaxation
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 - Spread of electron g -factors
 - Nuclei-induced spin dephasing



Spin relaxation mechanisms



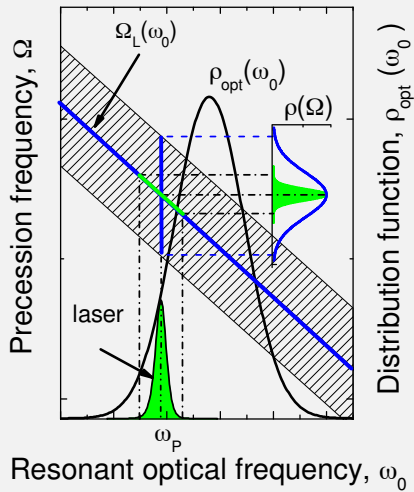
Localized electrons:

- Spin-orbit mediated electron-phonon interaction
- Hyperfine interaction (electron-nuclei coupling)
- Inhomogeneous broadening (in magnetic field: g -factor spread)

Orbital motion is quenched, hence spin-orbit coupling plays minor role

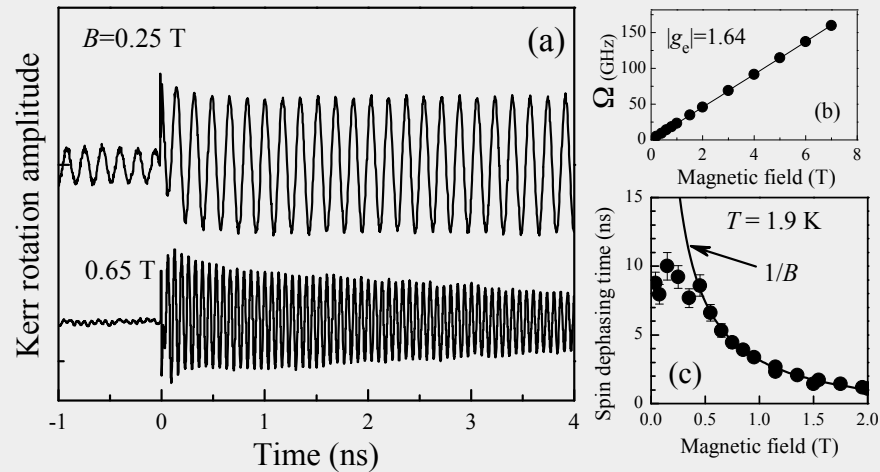


Spread of Larmor frequencies



$$g \approx 2 - \frac{4|p_{cv}|^2}{3m_0} \frac{\Delta}{E_g^{QD}(E_g^{QD} + \Delta)}$$

$$T_{\text{inh}} \sim \frac{1}{\Delta\Omega} \sim \frac{1}{B}$$





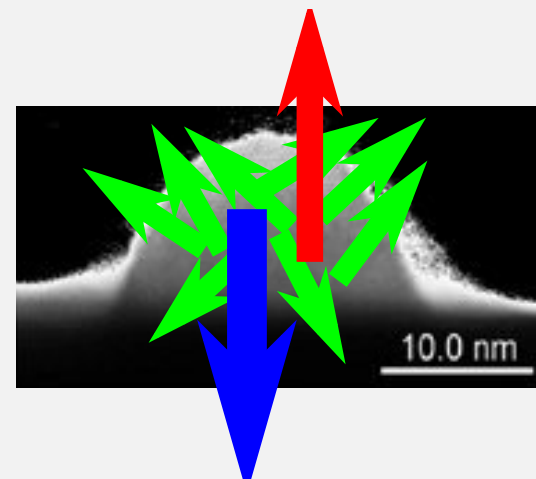
Hyperfine interaction



Element	²⁷ Al	⁶⁹⁽⁷¹⁾ Ga	⁷⁵ As	¹¹⁵ In
<i>Z</i>	13	31	33	49
<i>I</i>	5/2	3/2	3/2	9/2

$$\mathbf{A} = \frac{\boldsymbol{\mu} \times \mathbf{r}}{r^3} = \text{rot} \frac{\boldsymbol{\mu}}{r}, \quad \boldsymbol{\mu} = \frac{\mu_I \mathbf{I}}{I}$$

$$\mathcal{H} = \frac{1}{2m_0} \left(\mathbf{p} - \frac{e}{c} \mathbf{A} \right)^2 + \frac{1}{2} g_0 \mu_B \boldsymbol{\sigma} \cdot \text{rot} \mathbf{A}$$



$$\tilde{V} = A v_0 (\mathbf{I} \cdot \mathbf{s}) |\Psi(\mathbf{R}_i)|^2$$

$$V = \frac{2\mu_B \mu_I}{I} \mathbf{I} \left[\frac{\mathbf{L}}{r^3} - \frac{\mathbf{s}}{r^3} + 3 \frac{\mathbf{r}(\mathbf{r} \cdot \mathbf{s})}{r^5} + \frac{8}{3} \mathbf{s} \pi \delta(\mathbf{r}) \right]$$

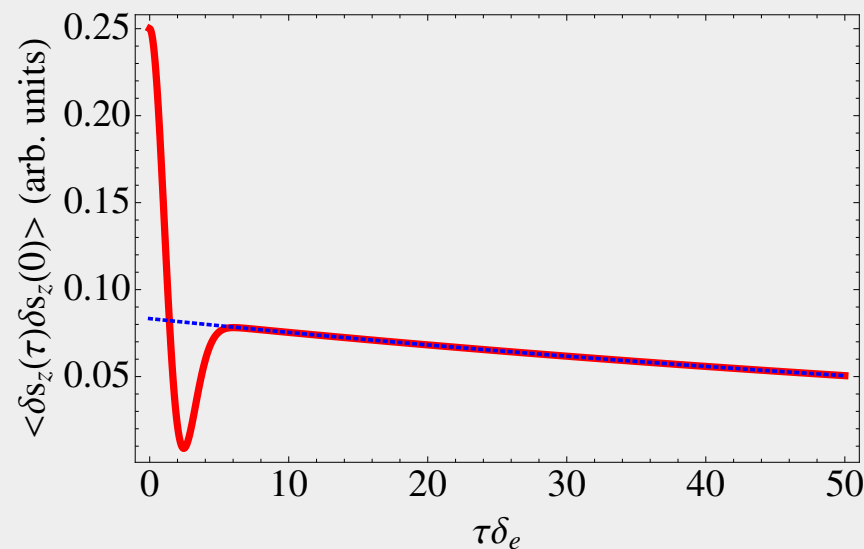
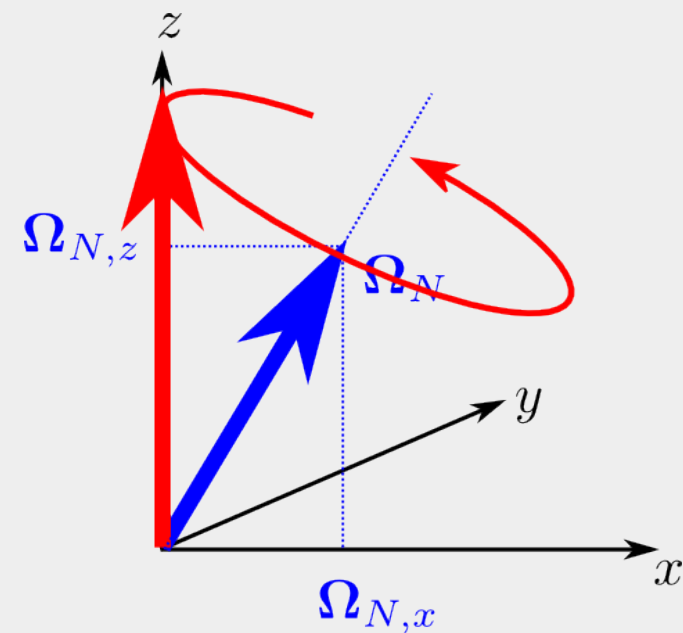
$$A \sim 10^2 \mu\text{eV}$$



Nuclear fluctuations in quantum dots

$$\mathcal{F}(\boldsymbol{\Omega}_N) = \frac{1}{(\sqrt{\pi}\delta_e)^3} \exp\left(-\frac{\Omega_N^2}{\delta_e^2}\right)$$

$$S_z(t) = \frac{S_z(0)}{3} \left[1 + (2 - \delta_e^2 t^2) \exp\left(-\frac{t^2 \delta_e^2}{4}\right) \right]$$





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