

Effective Field Theory of Weakly Coupled Inflationary Models

Spyros Sypsas

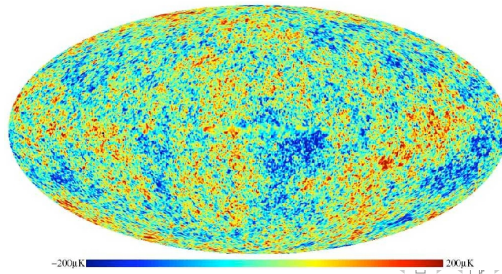
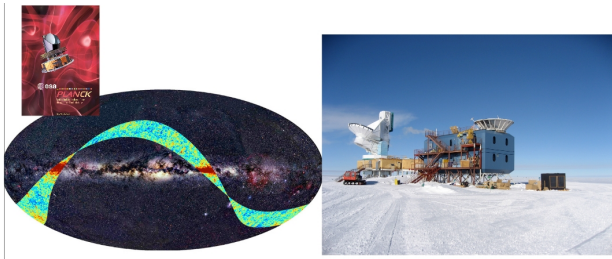
King's College London

Perspectives of Fundamental Cosmology, Nordita

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based on [1210.3020](#) with Rhiannon Gwyn, Gonzalo Palma and Mairi Sakellariadou

Effective Field Theory For Inflation
Heavy Fields Coupled to Inflaton
EFT of Weakly Coupled Models
Interpretation of the Cosmological Observables
Concluding Remarks



-200 μK

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Outline

- 1** Effective Field Theory For Inflation
 - Scalar fluctuations around a quasi de Sitter background
 - Gauge choice
 - Single field models
- 2** Heavy Fields Coupled to Inflaton
- 3** EFT of Weakly Coupled Models
 - Effective description of heavy physics
 - New physics regime
 - Validity of the EFT
- 4** Interpretation of the Cosmological Observables
- 5** Concluding Remarks

When thinking about inflation we usually have a **specific model** (\mathcal{L}_i) in mind and then we study its predictions (\mathcal{P}_i).

$$\mathcal{L}_i \Longleftrightarrow \mathcal{P}_i \Longleftrightarrow \text{Observations}$$

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- **General statement:** Inflation = QFT on a time dependent gravitational background
- We want to study perturbations of a scalar field following a time-dependent solution

$$\phi(x, t) = \phi_0(t) + \delta\phi(x, t)$$

What about using the well known techniques of effective field theory ?

Creminelli et al. '06, Cheung et al., Weinberg '08,

Senatore/Zaldarriaga '09

How to construct the EFT for the fluctuations $\delta\phi$?

Use every possible operator that respects the **symmetries** of the theory !

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- Time translation invariance is **spontaneously broken** by the background! Under

$$t \mapsto \tilde{t} = t + \xi^0(x, t)$$

$$\Downarrow$$

$$\delta\phi(t) \mapsto \delta\tilde{\phi}(\tilde{t}) = \delta\phi(t) + \dot{\phi}_0(t)\xi^0(t)$$

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- Spatial diffs **remain** as a symmetry.

There are two useful gauges for the study of inflaton perturbations:

Mukhanov/Feldman/Brandenberger '92

The **unitary** gauge: $g_{ij} = a^2 e^\zeta \delta_{ij}$ and $\phi = \phi_0(t)$ where all the degrees of freedom are in the metric, and the

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- They are related by a **Weyl** rescaling.

The effective Lagrangian in **unitary** gauge

The effective Lagrangian expanded around an quasi dS background will be

$$\mathcal{L} = \frac{1}{2} M_{\text{Pl}}^2 R - c(t) g^{00} - \Lambda(t) + \mathcal{L}^{(2)}(\delta g^{00}, \delta K_{\mu\nu}, \delta R_{\mu\nu\kappa\lambda}, \nabla_\mu; t)$$

Requirement of **homogeneous** background $\rightarrow c(t), \Lambda(t)$

A subset of terms is

$$\mathcal{L} \supset \sum_{n=2}^{\infty} \frac{1}{n!} M_n^4(t) (g^{00} + 1)^n$$

This Lagrangian when expanded out in the unitary gauge correctly reproduces the Lagrangian for the adiabatic curvature perturbation

The effective Lagrangian in flat gauge

Weyl rescaling !

$$t \mapsto \tilde{t} = t - \pi(x, t)$$

$$g^{00} = (1 + \dot{\pi})^2 g'^{00} + 2(1 + \dot{\pi}) \partial_i \pi g'^{0i} + g'^{ij} \partial_i \pi \partial_j \pi$$

and the effective Lagrangian reads (after lots of steps):

$$\mathcal{L}^{(2)} \supset -M_{\text{Pl}}^2 \dot{H} \left[\dot{\pi}^2 - \frac{(\partial\pi)^2}{a^2} \right] + 2M_2^4 \dot{\pi}^2 + \mathcal{M}_\pi^2 \pi^2$$

$$\mathcal{L}^{(3)} \supset +2M_2^4 \left[\dot{\pi}^3 - \dot{\pi} \frac{(\partial\pi)^2}{a^2} \right] - \frac{4}{3} M_3^4 \dot{\pi}^3 + \dots$$

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- $\mathcal{M}_\pi \sim \sqrt{\epsilon} H$: slow roll \mapsto decoupling \mapsto equivalence theorem

Reduction to known models

Setting $M_n = 0$ reproduces the standard single field inflation.

Setting $M_n^4 = \phi_0^{2n} \left. \frac{\partial^n P}{\partial X^n} \right|_{\phi=\phi_0}$ reproduces a $P(X, \phi)$ model.

Keeping only extrinsic curvature terms \mapsto Ghost Inflation

Arkani-Hamed/Creminelli/Mukohyama/Zaldarriaga '04

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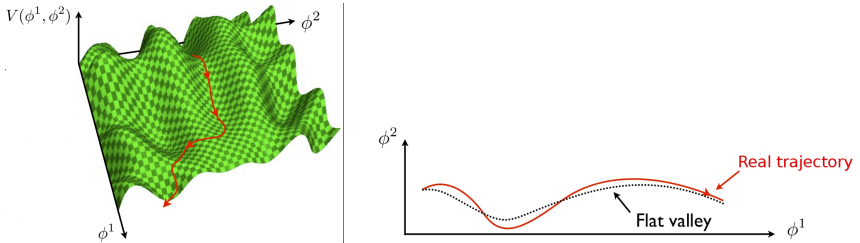
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- UV input \longrightarrow Predictability of the EFT

Very massive fields: **Truncate** or **integrate out** ?

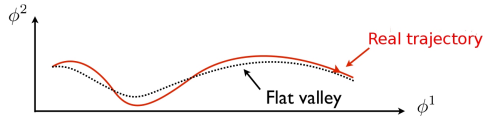
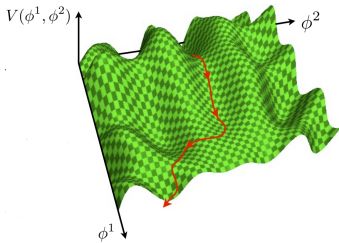
Massive fields fall off rapidly outside the horizon ...



Many stringy and SUGRA examples have moduli whose vevs depend on the inflaton !

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- **INTEGRATE OUT**

Achúcarro et al. '11

Heavy “imprints” in the EFT ?

Main idea:

self-interactions in the IR appear due to mediation of massive particle states in the UV

Baumann/Green '11

Gwyn/Palma/Sakellariadou/SS '12

In other words

$$M_n \rightarrow M_n \frac{\mathcal{M}^2}{\mathcal{M}^2 - \square}$$



$$\mathcal{L}^{(n)} \sim \left[(g^{00} + 1) \frac{\mathcal{M}^2}{\mathcal{M}^2 - \square} \right]^{n-1} (g^{00} + 1)$$

EFT from integration of massive fields

$$\mathcal{L}_{\mathcal{F}} = \left\{ \dot{\mathcal{F}}^2 - (\nabla \mathcal{F})^2 - \mathcal{M}^2 \mathcal{F}^2 - \beta \mathcal{F}^2 (g^{00} + 1) - \alpha \mathcal{F} (g^{00} + 1) \right\}$$

By restricting ourselves to low energies we can integrate out \mathcal{F} .

EOM:
$$\mathcal{F} = \frac{1}{\mathcal{M}^2 - \nabla^2} \left[(g^{00} + 1) \frac{\beta}{\mathcal{M}^2 - \nabla^2} \right] (g^{00} + 1)$$

$$\frac{1}{c_s^2} = 1 + \frac{\beta}{\mathcal{M}^2}$$

EFT from integration of massive fields

In general the resulting **effective** Lagrangian reads:

$$\mathcal{L} = -M_{\text{Pl}}^2 a^3 \dot{H} \left[\dot{\pi} \left(1 + \frac{2M_2^4}{M_{\text{Pl}}^2 |\dot{H}|} \frac{\mathcal{M}^2}{\mathcal{M}^2 - \tilde{\nabla}^2} \right) \dot{\pi} - (\tilde{\nabla} \pi)^2 \right] + \mathcal{O}(\pi^3)$$

Recall ~~Lorentz~~ so the system may find itself in a non-relativistic regime.

Low energy condition : $\omega^2 \ll \mathcal{M}^2 + p^2 \implies \Lambda_{\text{UV}} = \mathcal{M}/c_s$

where $\frac{1}{c_s^2} = 1 + \frac{2M_2^4}{M_{\text{Pl}}^2 |\dot{H}|}$ the speed of sound.

Dispersion relation

There is an important scale hidden in the dispersion relation!

$$\omega^2(p) = c_s^2 p^2 + \frac{(1-c_s^2)}{\mathcal{M}^2 c_s^{-2}} p^4$$

$$p \ll \mathcal{M}$$



$$\omega \simeq c_s p$$

$$\mathcal{M} c_s = \Lambda_{\text{new}} \ll \Lambda_{\text{UV}}$$

$$p \gg \mathcal{M}$$



$$\omega \simeq \frac{p^2}{\Lambda_{\text{UV}}} + \frac{1}{2} \Lambda_{\text{new}}$$

Light mode propagates in a **medium** $\rightarrow c_s \ll 1$.

Phonon excitations vs particle excitations

Non-locality and ghosts

Higher derivative theories: Ostrogradsky instability.

EFT is not such a case.

Eliezer/Woodard '89, Sousa/Woodard '03

Pole structure:

Biswas/Mazumdar/Siegel '06, Barnaby/Kamran '08

$$D(p^2) \propto \frac{1}{\Gamma(p^2)}, \quad \Gamma(p^2) = p^2 - \omega^2 - \frac{2\mathcal{M}^2\omega^2/c_s^2}{\mathcal{M}^2 + p^2 - \omega^2}$$

Poles: $\omega_+^2(p) \sim \Lambda_{UV}^2 + \mathcal{O}(p^2)$,

$$\omega_-^2(p) = c_s^2 p^2 + \frac{(1-c_s^2)^2}{\mathcal{M}^2 c_s^{-2}} p^4 + \mathcal{O}(p^6)$$

ω_+^2 has a **negative** residue!

no ghosts $\implies \omega \ll \Lambda_{UV}$

Weakly coupled inflation

Scattering of four scalars \rightarrow loss of unitarity \rightarrow strong coupling scale

$$\mathcal{L}_{\text{int}} = \frac{(1-c_s^2)}{16M_{\text{Pl}}^2 \epsilon H^2} (\nabla \pi_n)^2 \frac{M^2 c_s^{-2}}{M^2 - \nabla^2} (\nabla \pi_n)^2$$

$$\mathcal{A}(p_1, p_2 \rightarrow p_3, p_4) = 16\pi \left(\frac{\partial \omega}{\partial p} \frac{\omega^2}{p^2} \right) \sum_{\ell} (2\ell + 1) P_{\ell}(\cos \theta) a_{\ell}$$

$$\text{optical theorem: } a_{\ell} + a_{\ell}^* \leq 1$$

$$\Lambda_{\text{s.c.}} = (8\pi c_s^2)^{2/5} \left[\frac{\Lambda_{\text{s.b.}}}{\Lambda_{\text{UV}}} \right]^{7/5} \Lambda_{\text{UV}}$$

Low derivative EFT: $\Lambda_{\text{s.c.}} \sim c_s^{5/4} (M_{\text{Pl}}^2 |\dot{H}|)^{1/4} \rightarrow c_s \gg 10^{-2}$

For horizon crossing in the new phys. regime $H > \Lambda_{\text{new}}$,

$$p^2 \rightarrow \Lambda_{\text{UV}} H, \quad \partial_t \rightarrow H$$

Speed of sound **hidden** in the ratio Λ_{UV}/H

$$\mathcal{P}_\zeta \simeq \frac{2.7}{100} \frac{H^2}{M_{\text{Pl}}^2 \epsilon} \sqrt{\frac{\Lambda_{\text{UV}}}{H}}, \quad r \simeq 7.6 \epsilon \sqrt{\frac{H}{\Lambda_{\text{UV}}}}, \quad f_{\text{NL}} \sim \frac{\Lambda_{\text{UV}}}{H}$$

$$\mathcal{P}_\zeta \simeq \frac{1.3}{100} \frac{H^2}{M_{\text{Pl}}^2 \epsilon c_s}, \quad r \simeq 16 \epsilon c_s, \quad f_{\text{NL}} \sim \frac{1}{c_s^2}$$

No **extra** parameter! 3 measurements \rightarrow 3 parameters

An example

Current bounds on c_s, ϵ altered. e.g.

Let us say that $c_s \sim 10^{-2}$

Natural assumption: $\Lambda_{UV} \sim \Lambda_{s.c.} \sim \Lambda_{s.b.}$

If $P_\zeta \sim 10^{-9}$ (WMAP) $\rightarrow \Lambda_{s.b.}/H = \Lambda_{UV}/H \sim 10^2 \rightarrow f_{NL} \sim 10^2$

$\Rightarrow \Lambda_{new}^2 \sim 10^{-6} H^2 \rightarrow$ horizon crossing in the new phys regime.

$r < 10^{-2}$ (QUIET collaboration) $\rightarrow \epsilon < 10^{-2}$ (weaker than the current bound 10^{-4})

Conclusions

- Including UV heavy modes corresponds to a **derivative expansion** of the standard EFT formalism

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- Including UV heavy modes corresponds to a **derivative expansion** of the standard EFT formalism
- Non-trivial **change** in the dispersion relation - “new physics” before the horizon
- **Extends** the validity regime of the theory up towards the UV (lift of strong coupling scale)
- Leads to **broadened** observational windows and **novel** interpretations for the cosmological observables

Future directions

- New Non-Gaussian signatures
Scale-dependent self interaction + change in the dispersion relation = novel three point functions ?

Ashoorioon/Chialva/Danielsson, Chialva, Baumann/Green '11

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- Extension to multi-field case, other types of Non-Gaussianity (e.g. local)?

Thank you !