

# Coupled electron-nuclear dynamics: A fresh look at potential energy surfaces and Berry phases

i

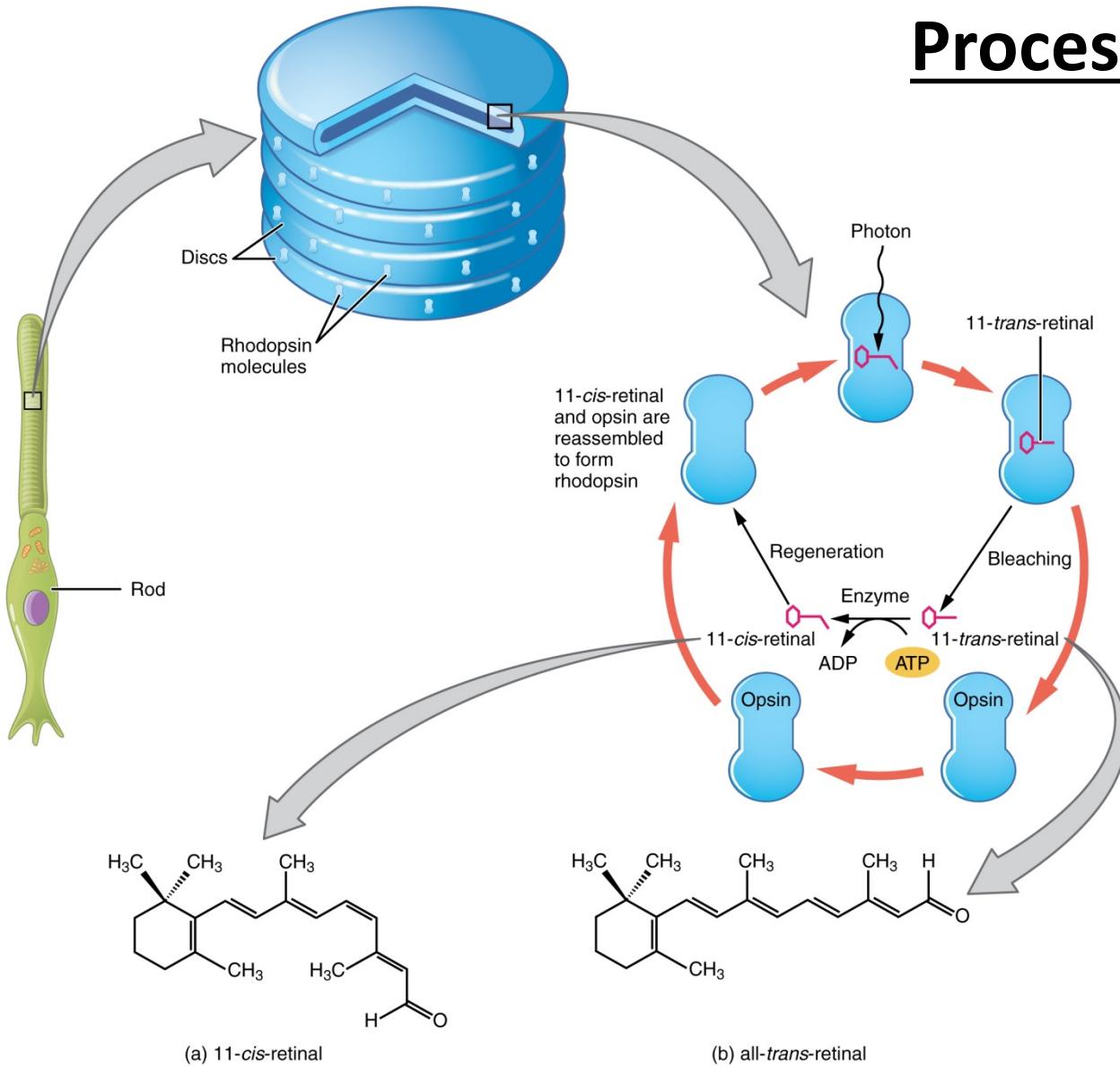


E.K.U. Gross

Max-Planck Institute of  
Microstructure Physics  
Halle (Saale)

$\mu\Phi$

# Process of vision



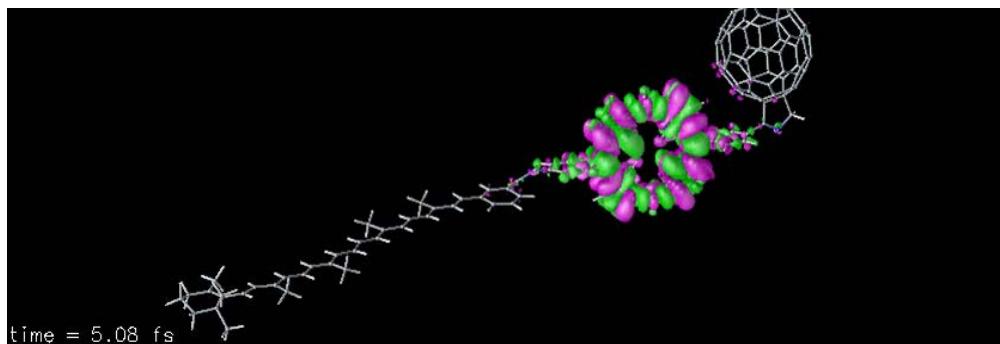
Light-induced  
isomerization

# **"Triad molecule": Candidate for photovoltaic applications**

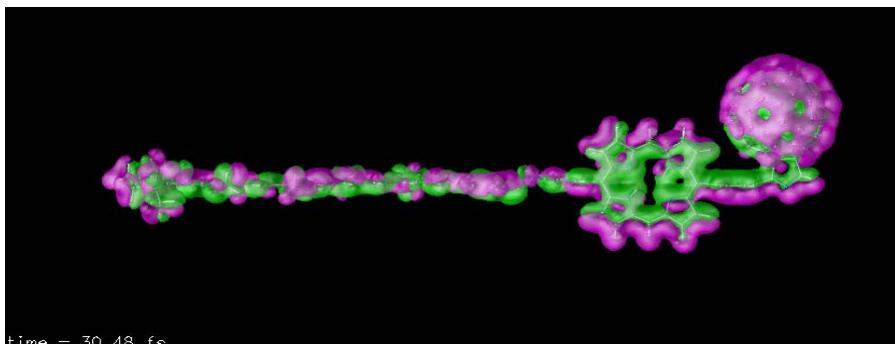
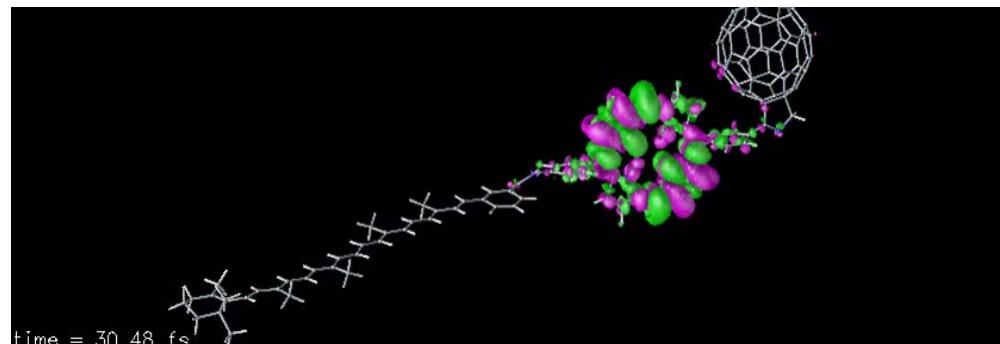
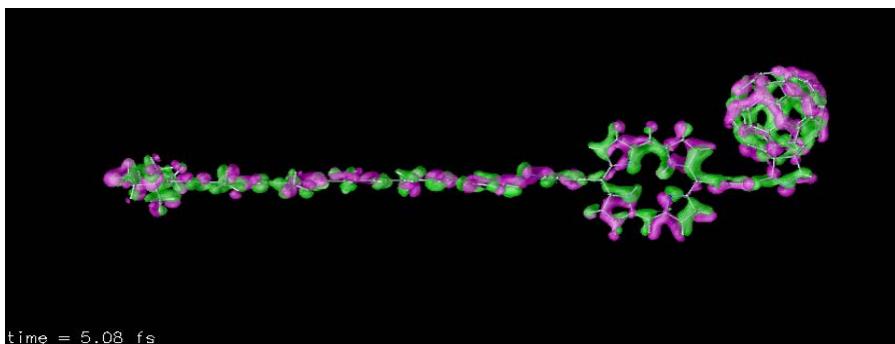
C.A. Rozzi et al, Nature Communications 4, 1602 (2013)

S.M. Falke et al, Science 344, 1001 (2014)

Without e-n coupling



With e-n coupling



**Hamiltonian for the complete system of  $N_e$  electrons with coordinates  $(\underline{\underline{r}}_1 \cdots \underline{\underline{r}}_{N_e}) \equiv \underline{\underline{r}}$  and  $N_n$  nuclei with coordinates  $(\underline{\underline{R}}_1 \cdots \underline{\underline{R}}_{N_n}) \equiv \underline{\underline{R}}$**

$$\hat{H} = \hat{T}_n(\underline{\underline{R}}) + \hat{W}_{nn}(\underline{\underline{R}}) + \hat{T}_e(\underline{\underline{r}}) + \hat{W}_{ee}(\underline{\underline{r}}) + \hat{V}_{en}(\underline{\underline{R}}, \underline{\underline{r}})$$

**with**  $\hat{T}_n = \sum_{v=1}^{N_n} -\frac{\nabla_v^2}{2M_v}$      $\hat{T}_e = \sum_{i=1}^{N_e} -\frac{\nabla_i^2}{2m}$      $\hat{W}_{nn} = \frac{1}{2} \sum_{\substack{\mu, v \\ \mu \neq v}}^{N_n} \frac{Z_\mu Z_v}{|\underline{\underline{R}}_\mu - \underline{\underline{R}}_v|}$

$$\hat{W}_{ee} = \frac{1}{2} \sum_{\substack{j, k \\ j \neq k}}^{N_e} \frac{1}{|\underline{\underline{r}}_j - \underline{\underline{r}}_k|} \quad \hat{V}_{en} = \sum_{j=1}^{N_e} \sum_{v=1}^{N_n} -\frac{Z_v}{|\underline{\underline{r}}_j - \underline{\underline{R}}_v|}$$

**Stationary Schrödinger equation**

$$\hat{H}\Psi(\underline{\underline{r}}, \underline{\underline{R}}) = E\Psi(\underline{\underline{r}}, \underline{\underline{R}})$$

**Hamiltonian for the complete system of  $N_e$  electrons with coordinates  $(\underline{\underline{r}}_1 \cdots \underline{\underline{r}}_{N_e}) \equiv \underline{\underline{r}}$  and  $N_n$  nuclei with coordinates  $(\underline{\underline{R}}_1 \cdots \underline{\underline{R}}_{N_n}) \equiv \underline{\underline{R}}$**

$$\hat{H} = \hat{T}_n(\underline{\underline{R}}) + \hat{W}_{nn}(\underline{\underline{R}}) + \hat{T}_e(\underline{\underline{r}}) + \hat{W}_{ee}(\underline{\underline{r}}) + \hat{V}_{en}(\underline{\underline{R}}, \underline{\underline{r}})$$

with  $\hat{T}_n = \sum_{v=1}^{N_n} -\frac{\nabla_v^2}{2M_v}$      $\hat{T}_e = \sum_{i=1}^{N_e} -\frac{\nabla_i^2}{2m}$      $\hat{W}_{nn} = \frac{1}{2} \sum_{\substack{\mu, v \\ \mu \neq v}}^{N_n} \frac{Z_\mu Z_v}{|\underline{\underline{R}}_\mu - \underline{\underline{R}}_v|}$

$$\hat{W}_{ee} = \frac{1}{2} \sum_{\substack{j, k \\ j \neq k}}^{N_e} \frac{1}{|\underline{\underline{r}}_j - \underline{\underline{r}}_k|} \quad \hat{V}_{en} = \sum_{j=1}^{N_e} \sum_{v=1}^{N_n} -\frac{Z_v}{|\underline{\underline{r}}_j - \underline{\underline{R}}_v|}$$

## Time-dependent Schrödinger equation

$$i \frac{\partial}{\partial t} \Psi(\underline{\underline{r}}, \underline{\underline{R}}, t) = (H(\underline{\underline{r}}, \underline{\underline{R}}) + V_{laser}(\underline{\underline{r}}, \underline{\underline{R}}, t)) \Psi(\underline{\underline{r}}, \underline{\underline{R}}, t)$$

$$V_{laser}(\underline{\underline{r}}, \underline{\underline{R}}, t) = \left( \sum_{j=1}^{N_e} r_j - \sum_{v=1}^{N_n} Z_v R_v \right) \cdot E \cdot f(t) \cdot \cos \omega t$$

# Born-Oppenheimer approximation

solve

$$\left( \hat{T}_e(\underline{\underline{r}}) + \hat{W}_{ee}(\underline{\underline{r}}) + \hat{V}_e^{\text{ext}}(\underline{\underline{r}}) + \hat{V}_{\text{en}}(\underline{\underline{r}}, \underline{\underline{R}}) \right) \Phi_{\underline{\underline{R}}}^{\text{BO}}(\underline{\underline{r}}) = \epsilon^{\text{BO}}(\underline{\underline{R}}) \Phi_{\underline{\underline{R}}}^{\text{BO}}(\underline{\underline{r}})$$

for each fixed nuclear configuration  $\underline{\underline{R}}$ .

Make adiabatic ansatz for the complete molecular wave function:

$$\Psi^{\text{BO}}(\underline{\underline{r}}, \underline{\underline{R}}) = \Phi_{\underline{\underline{R}}}^{\text{BO}}(\underline{\underline{r}}) \cdot \chi^{\text{BO}}(\underline{\underline{R}})$$

and find best  $\chi^{\text{BO}}$  by minimizing  $\langle \Psi^{\text{BO}} | H | \Psi^{\text{BO}} \rangle$  w.r.t.  $\chi^{\text{BO}}$ :

# Nuclear equation

$$\left[ \hat{T}_n(\underline{\underline{R}}) + \hat{W}_{nn}(\underline{\underline{R}}) + \hat{V}_n^{\text{ext}}(\underline{\underline{R}}) + \sum_v \frac{1}{M_v} A_v^{\text{BO}}(\underline{\underline{R}}) (-i\nabla_v) + \epsilon^{\text{BO}}(\underline{\underline{R}}) \right. \\ \left. + \int \Phi_{\underline{\underline{R}}}^{\text{BO}*}(\underline{\underline{r}}) \hat{T}_n(\underline{\underline{R}}) \Phi_{\underline{\underline{R}}}^{\text{BO}}(\underline{\underline{r}}) d\underline{\underline{r}} \right] \chi^{\text{BO}}(\underline{\underline{R}}) = E \chi^{\text{BO}}(\underline{\underline{R}})$$

Berry connection 

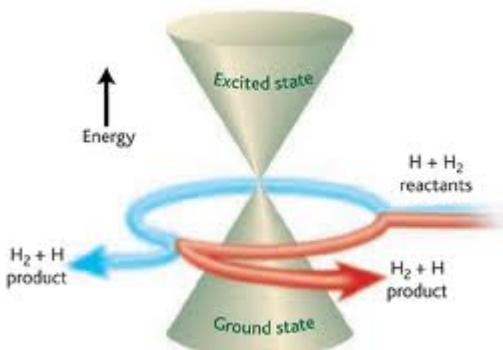
$$A_v^{\text{BO}}(\underline{\underline{R}}) = \int \Phi_{\underline{\underline{R}}}^{\text{BO}*}(\underline{\underline{r}}) (-i\nabla_v) \Phi_{\underline{\underline{R}}}^{\text{BO}}(\underline{\underline{r}}) d\underline{\underline{r}}$$

$$\gamma^{\text{BO}}(C) = \oint_C \vec{A}^{\text{BO}}(\underline{\underline{R}}) \cdot d\vec{R} \quad \text{is a geometric phase}$$

In this context, potential energy surfaces  $\epsilon^{\text{BO}}(\underline{\underline{R}})$  and the vector potential  $\vec{A}^{\text{BO}}(\underline{\underline{R}})$  follow from an APPROXIMATION (the BO approximation).

# Nuclear equation

$$\left[ \hat{T}_n(\underline{\underline{R}}) + \hat{W}_{nn}(\underline{\underline{R}}) + \hat{V}_n^{\text{ext}}(\underline{\underline{R}}) + \sum_v \frac{1}{M_v} A_v^{\text{BO}}(\underline{\underline{R}}) (-i\nabla_v) + \epsilon^{\text{BO}}(\underline{\underline{R}}) \right. \\ \left. + \int \Phi_{\underline{\underline{R}}}^{\text{BO}*}(\underline{\underline{r}}) \hat{T}_n(\underline{\underline{R}}) \Phi_{\underline{\underline{R}}}^{\text{BO}}(\underline{\underline{r}}) d\underline{\underline{r}} \right] \chi^{\text{BO}}(\underline{\underline{R}}) = E \chi^{\text{BO}}(\underline{\underline{R}})$$



Berry connection ←

$$A_v^{\text{BO}}(\underline{\underline{R}}) = \int \Phi_{\underline{\underline{R}}}^{\text{BO}*}(\underline{\underline{r}}) (-i\nabla_v) \Phi_{\underline{\underline{R}}}^{\text{BO}}(\underline{\underline{r}}) d\underline{\underline{r}}$$

$$\gamma^{\text{BO}}(\mathbf{C}) = \oint_C \vec{A}^{\text{BO}}(\underline{\underline{R}}) \cdot d\vec{R} \quad \text{is a geometric phase}$$

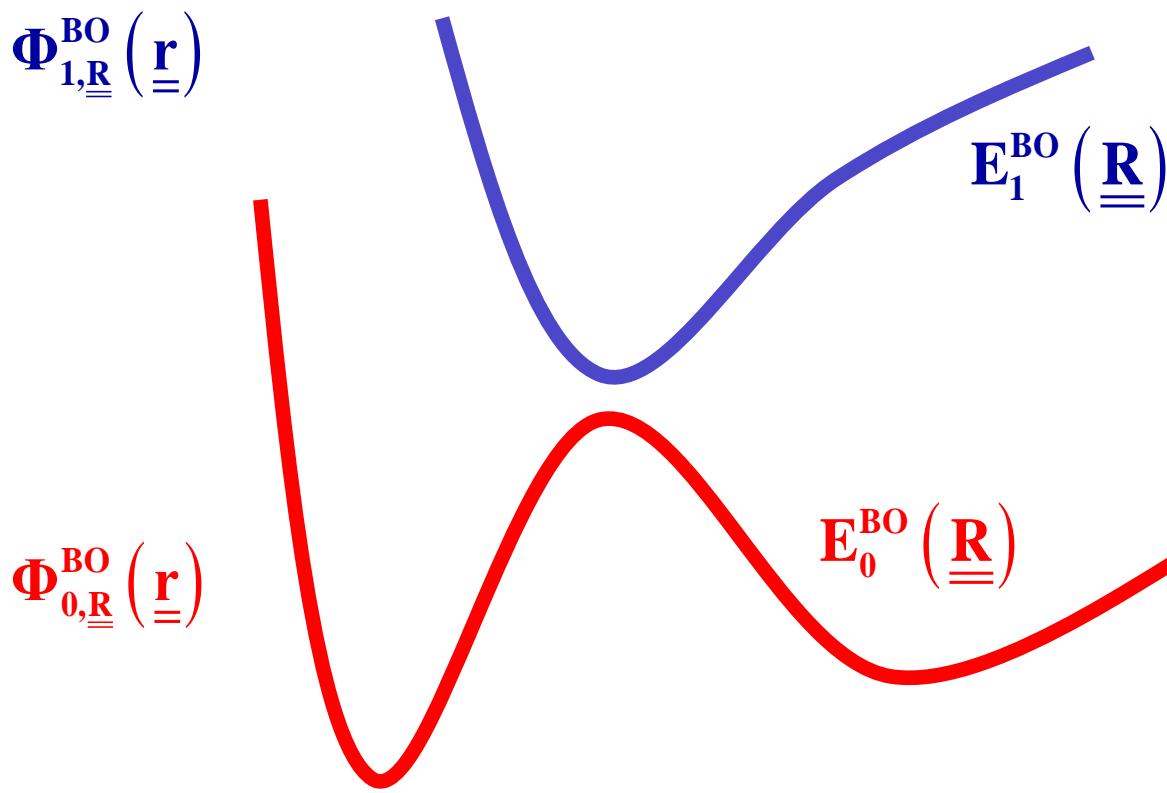
In this context, potential energy surfaces  $\epsilon^{\text{BO}}(\underline{\underline{R}})$  and the vector potential  $\vec{A}^{\text{BO}}(\underline{\underline{R}})$  follow from an APPROXIMATION (the BO approximation).

## Standard representation of the full TD wave function

Expand full molecular wave function in complete set of BO states:

$$\Psi_K(\underline{\underline{r}}, \underline{\underline{R}}, t) = \sum_J \Phi_{\underline{\underline{R}}, J}^{BO}(\underline{\underline{r}}) \cdot \chi_{K, J}(\underline{\underline{R}}, t)$$

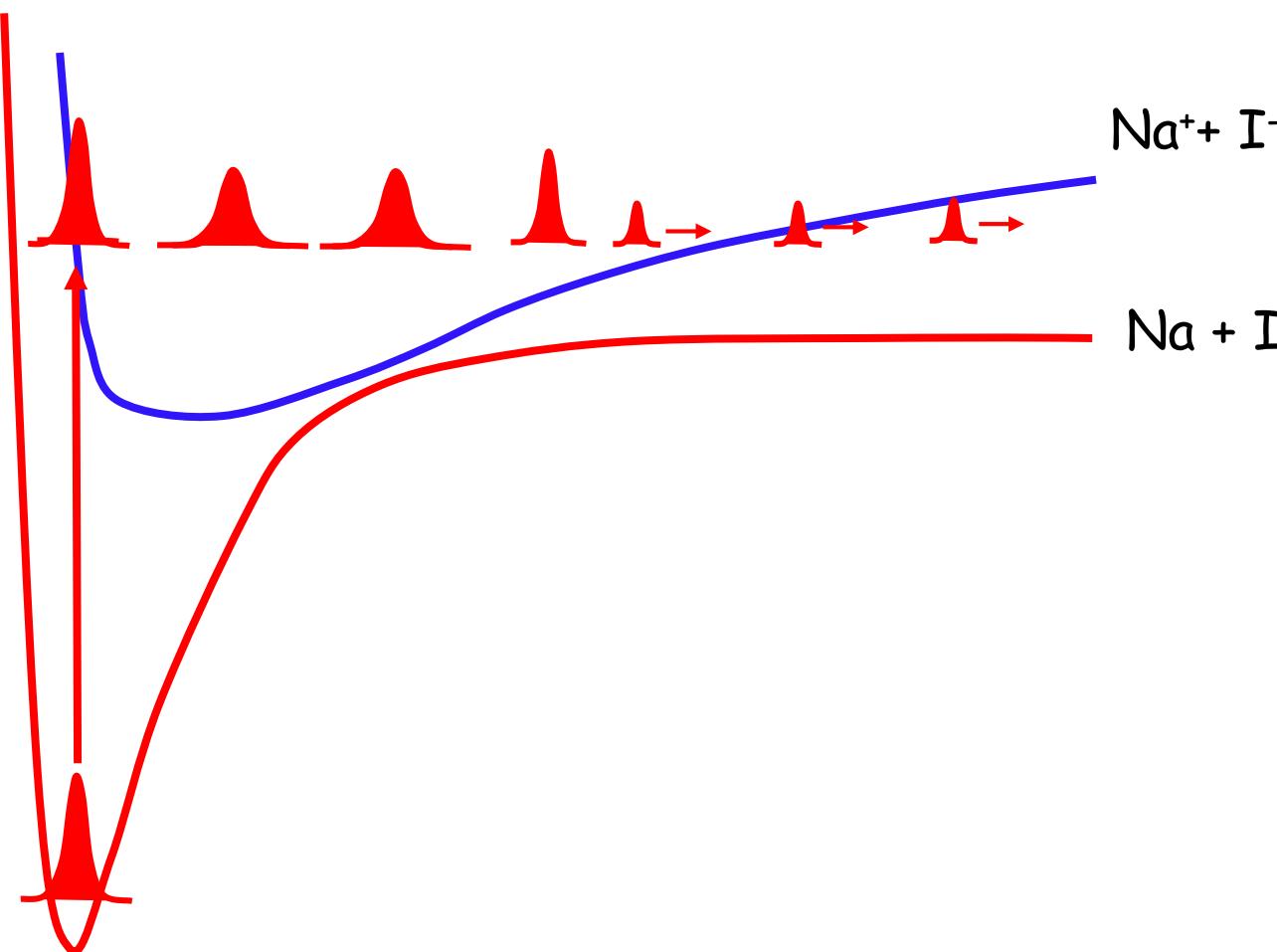
and insert expansion in the full Schrödinger equation → standard non-adiabatic coupling terms from  $T_n$  acting on  $\Phi_{\underline{\underline{R}}, J}^{BO}(\underline{\underline{r}})$ .



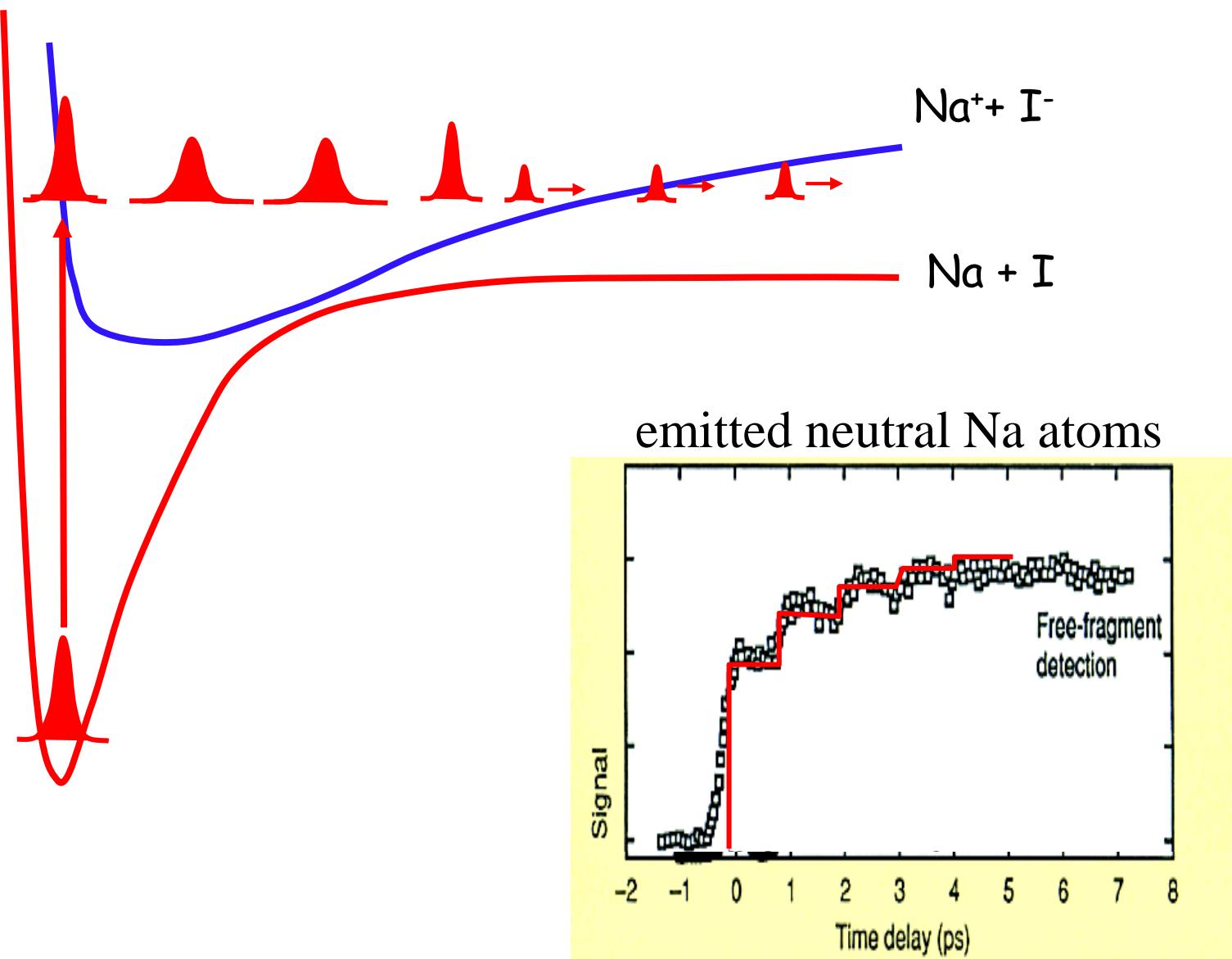
$$\Psi_0(\underline{\underline{r}}, \underline{\underline{R}}, t) \approx \chi_{00}(\underline{\underline{R}}, t) \Phi_{0,R}^{\text{BO}}(\underline{\underline{r}}) + \chi_{01}(\underline{\underline{R}}, t) \Phi_{1,R}^{\text{BO}}(\underline{\underline{r}})$$

When only few BO-PES are important, the BO expansion gives a perfectly clear picture of the dynamics

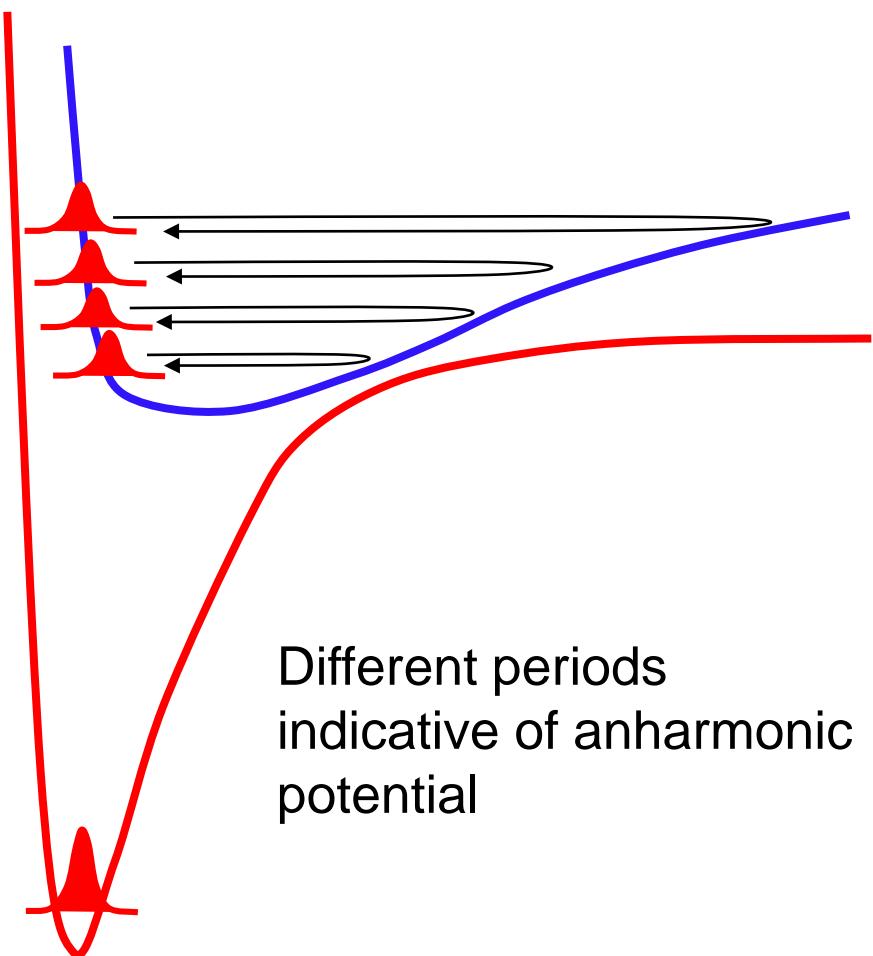
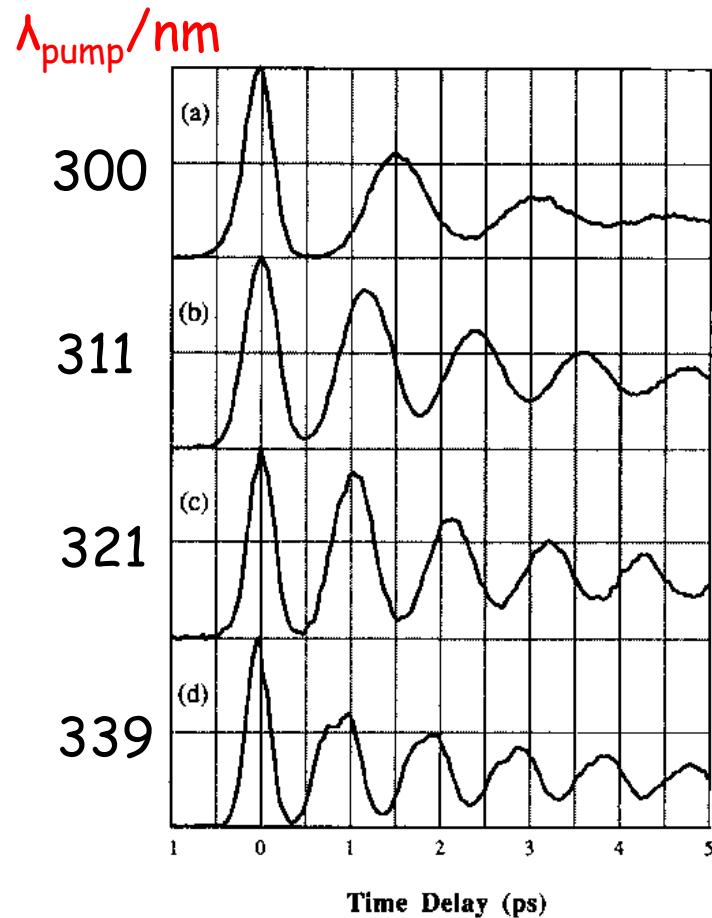
# Example: NaI femtochemistry



# Example: NaI femtochemistry



# Effect of tuning pump wavelength (exciting to different points on excited surface)



T.S. Rose, M.J. Rosker, A. Zewail, JCP 91, 7415 (1989)

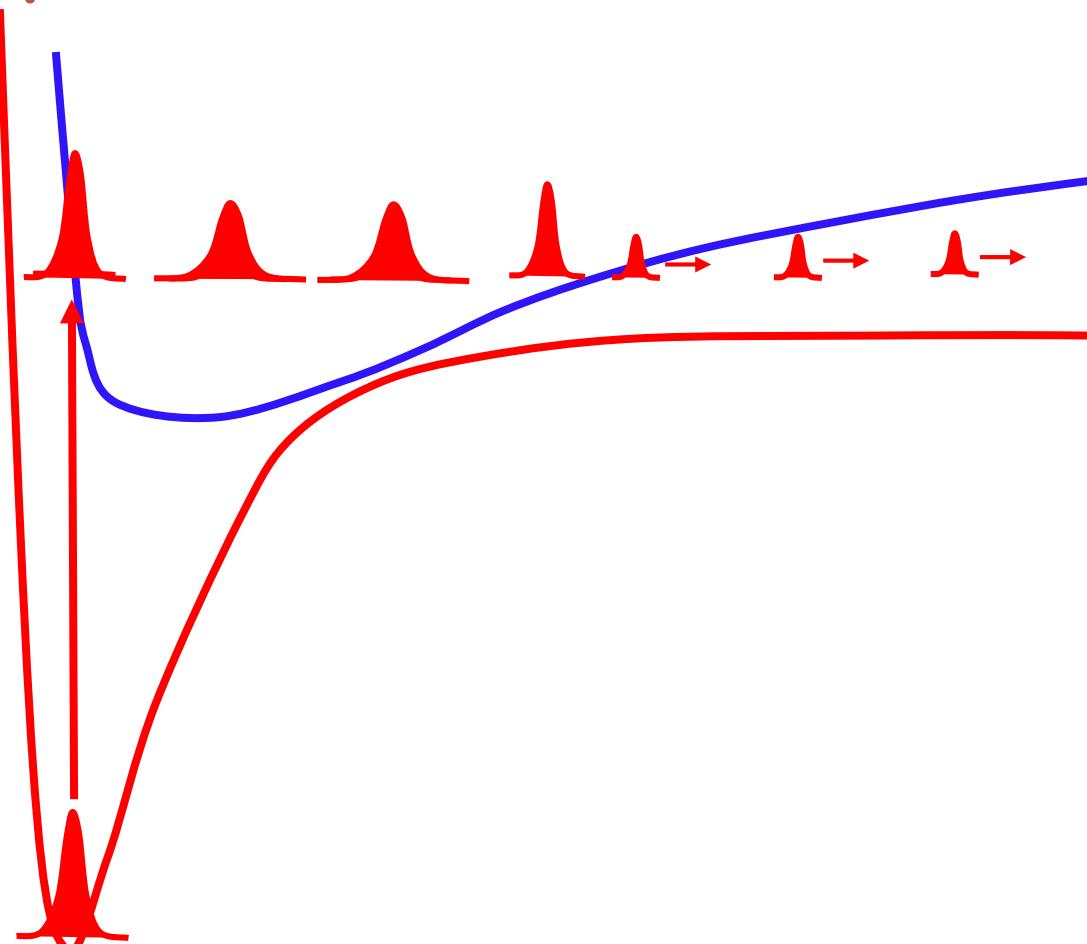
For larger systems one would like to (one has to) treat the nuclei classically.

For larger systems one would like to (one has to) treat the nuclei classically.

But what's the classical force when the nuclear wave packet splits??

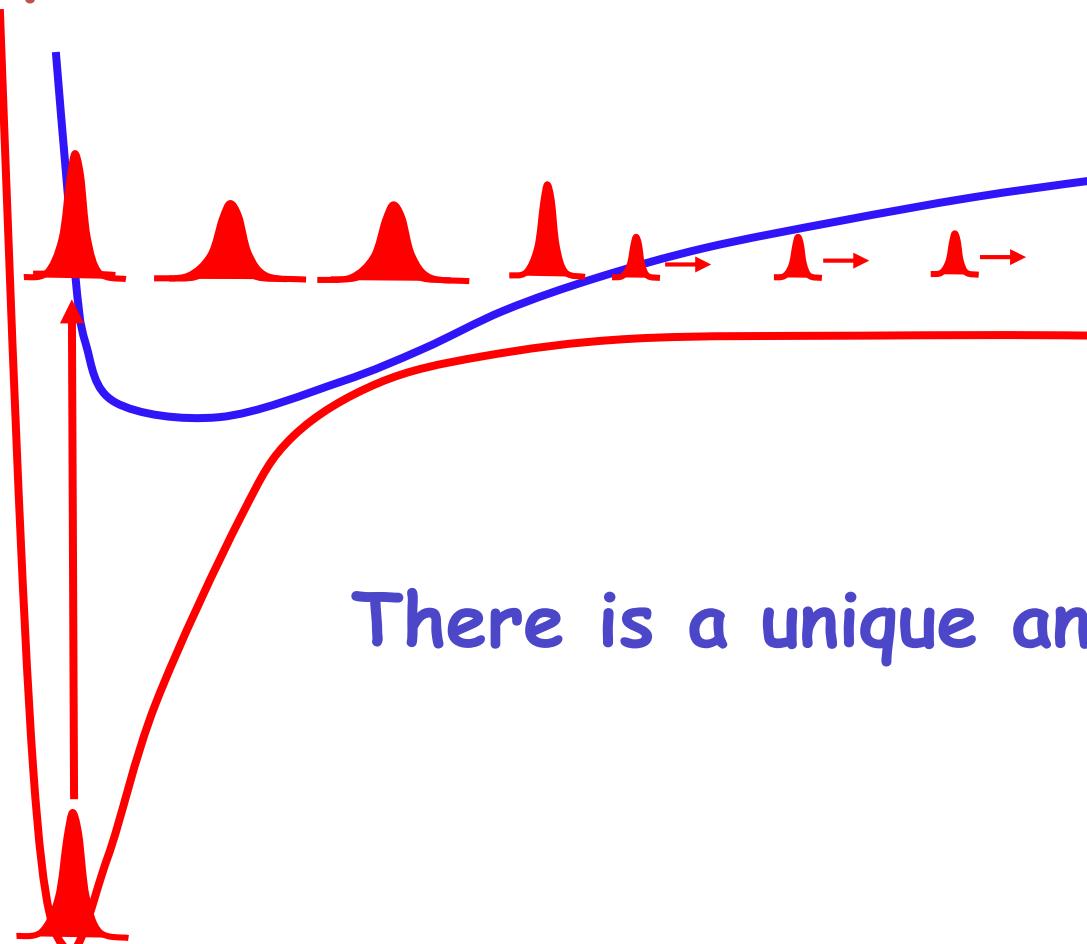
For larger systems one would like to (one has to) treat the nuclei classically.

But what's the classical force when the nuclear wave packet splits??



For larger systems one would like to (one has to) treat the nuclei classically.

But what's the classical force when the nuclear wave packet splits??



There is a unique answer!

# Outline

- Show that the factorisation

$$\Psi(\underline{\underline{r}}, \underline{\underline{R}}) = \Phi_{\underline{\underline{R}}}(\underline{\underline{r}}) \cdot \chi(\underline{\underline{R}})$$

can be made exact

- Concept of exact PES and exact Berry phase
- Concept of exact time-dependent PES
- Mixed quantum-classical treatment
- Concept of time-dependent PES acting on the electrons

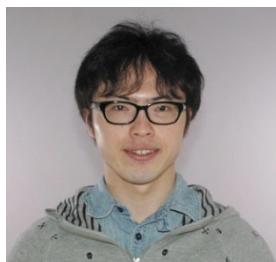
# THANKS



**Ali Abedi**



**Federica Agostini**



**Yasumitsu Suzuki**



**Seung Kyu Min**



**Neepa Maitra (Hunter College, CUNY)**



**Nikitas Gidopoulos  
(Durham University, UK)**

## Theorem I

**The exact solutions of**

$$\hat{H}\Psi(\underline{\underline{r}}, \underline{\underline{R}}) = E\Psi(\underline{\underline{r}}, \underline{\underline{R}})$$

**can be written in the form**

$$\Psi(\underline{\underline{r}}, \underline{\underline{R}}) = \Phi_{\underline{\underline{R}}}(\underline{\underline{r}}) \cdot \chi(\underline{\underline{R}})$$

**where**  $\int d\underline{\underline{r}} |\Phi_{\underline{\underline{R}}}(\underline{\underline{r}})|^2 = 1$  **for each fixed  $\underline{\underline{R}}$ .**

## Proof of Theorem I:

Given the exact electron-nuclear wavefuncion  $\Psi(\underline{r}, \underline{\underline{R}})$

Choose:  $\chi(\underline{\underline{R}}) := e^{iS(\underline{\underline{R}})} \sqrt{\int d\underline{r} |\Psi(\underline{r}, \underline{\underline{R}})|^2}$   
with some real-valued funcion  $S(\underline{\underline{R}})$

$$\Phi_{\underline{\underline{R}}}(\underline{r}) := \Psi(\underline{r}, \underline{\underline{R}}) / \chi(\underline{\underline{R}})$$

Then, by construction,  $\int d\underline{r} |\Phi_{\underline{\underline{R}}}(\underline{r})|^2 = 1$

## Proof of Theorem I:

Given the exact electron-nuclear wavefuncion  $\Psi(\underline{r}, \underline{\underline{R}})$

Choose:  $\chi(\underline{\underline{R}}) := e^{iS(\underline{\underline{R}})} \sqrt{\int d\underline{r} |\Psi(\underline{r}, \underline{\underline{R}})|^2}$

with some real-valued funcion  $S(\underline{\underline{R}})$

$\Phi_{\underline{\underline{R}}}(\underline{r}) := \Psi(\underline{r}, \underline{\underline{R}}) / \chi(\underline{\underline{R}})$

Then, by construction,  $\int d\underline{r} |\Phi_{\underline{\underline{R}}}(\underline{r})|^2 = 1$

**Note:** If we want  $\chi(\underline{\underline{R}})$  to be smooth,  $S(\underline{\underline{R}})$  may be discontinuous

## Immediate consequences of Theorem I:

1. The diagonal  $\Gamma(\underline{\underline{R}})$  of the nuclear  $N_n$ -body density matrix is identical with  $|\chi(\underline{\underline{R}})|^2$

proof: 
$$\Gamma(\underline{\underline{R}}) = \int d\underline{\underline{r}} |\Psi(\underline{\underline{r}}, \underline{\underline{R}})|^2 = \underbrace{\int d\underline{\underline{r}} |\Phi_{\underline{\underline{R}}}(\underline{\underline{r}})|^2}_{1} |\chi(\underline{\underline{R}})|^2 = |\chi(\underline{\underline{R}})|^2$$

$\Rightarrow$  in this sense,  $\chi(\underline{\underline{R}})$  can be interpreted as a proper nuclear wavefunction.

2.  $\Phi_{\underline{\underline{R}}}(\underline{\underline{r}})$  and  $\chi(\underline{\underline{R}})$  are unique up to within the “gauge transformation”

$$\tilde{\Phi}_{\underline{\underline{R}}}(\underline{\underline{r}}) := e^{i\theta(\underline{\underline{R}})} \Phi_{\underline{\underline{R}}}(\underline{\underline{r}}) \quad \tilde{\chi}(\underline{\underline{R}}) := e^{-i\theta(\underline{\underline{R}})} \chi(\underline{\underline{R}})$$

proof: Let  $\phi \cdot \chi$  and  $\tilde{\phi} \cdot \tilde{\chi}$  be two different representations of an exact eigenfunction  $\Psi$  i.e.

$$\Psi(\underline{\underline{r}}, \underline{\underline{R}}) = \Phi_{\underline{\underline{R}}}(\underline{\underline{r}}) \chi(\underline{\underline{R}}) = \tilde{\Phi}_{\underline{\underline{R}}}(\underline{\underline{r}}) \tilde{\chi}(\underline{\underline{R}})$$

$$\Rightarrow \frac{\tilde{\Phi}_{\underline{\underline{R}}}(\underline{\underline{r}})}{\Phi_{\underline{\underline{R}}}(\underline{\underline{r}})} = \frac{\chi(\underline{\underline{R}})}{\tilde{\chi}(\underline{\underline{R}})} \equiv G(\underline{\underline{R}}) \quad \Rightarrow \quad \tilde{\Phi}_{\underline{\underline{R}}}(\underline{\underline{r}}) = G(\underline{\underline{R}}) \Phi_{\underline{\underline{R}}}(\underline{\underline{r}})$$

$$\Rightarrow \underbrace{\int d\underline{\underline{r}} |\tilde{\Phi}_{\underline{\underline{R}}}(\underline{\underline{r}})|^2}_{1} = |G(\underline{\underline{R}})|^2 \underbrace{\int d\underline{\underline{r}} |\Phi_{\underline{\underline{R}}}(\underline{\underline{r}})|^2}_{1}$$

$$\Rightarrow |G(\underline{\underline{R}})| = 1 \quad \Rightarrow G(\underline{\underline{R}}) = e^{i\theta(\underline{\underline{R}})}$$

$$\Rightarrow \tilde{\Phi}_{\underline{\underline{R}}}(\underline{\underline{r}}) = e^{i\theta(\underline{\underline{R}})} \Phi_{\underline{\underline{R}}}(\underline{\underline{r}}) \quad \tilde{\chi}(\underline{\underline{R}}) = e^{-i\theta(\underline{\underline{R}})} \chi(\underline{\underline{R}})$$

**Theorem II:**  $\Phi_{\underline{\underline{R}}}(\underline{\underline{r}})$  and  $\chi(\underline{\underline{R}})$  satisfy the following equations:

Eq. ①

$$\left( \underbrace{\hat{T}_e + \hat{W}_{ee} + \hat{V}_e^{\text{ext}} + \hat{V}_{en}}_{\hat{H}_{\text{BO}}} + \sum_v^{N_n} \frac{1}{2M_v} (-i\nabla_v - A_v)^2 + \sum_v^{N_n} \frac{1}{M_v} \left( \frac{-i\nabla_v \chi}{\chi} + A_v \right) (-i\nabla_v - A_v) \right) \Phi_{\underline{\underline{R}}}(\underline{\underline{r}}) = \in(\underline{\underline{R}}) \Phi_{\underline{\underline{R}}}(\underline{\underline{r}})$$

Eq. ②

$$\left( \sum_v^{N_n} \frac{1}{2M_v} (-i\nabla_v + A_v)^2 + \hat{W}_{nn} + \hat{V}_n^{\text{ext}} + \in(\underline{\underline{R}}) \right) \chi(\underline{\underline{R}}) = E\chi(\underline{\underline{R}})$$

where

$$A_v(\underline{\underline{R}}) = -i \int \Phi_{\underline{\underline{R}}}^*(\underline{\underline{r}}) \nabla_v \Phi_{\underline{\underline{R}}}(\underline{\underline{r}}) d\underline{\underline{r}}$$

**Theorem II:**  $\Phi_{\underline{\underline{R}}}(r)$  and  $\chi(\underline{\underline{R}})$  satisfy the following equations:

Eq. ①

$$\left( \underbrace{\hat{T}_e + \hat{W}_{ee} + \hat{V}_e^{\text{ext}} + \hat{V}_{en}}_{\hat{H}_{\text{BO}}} + \sum_v^{N_n} \frac{1}{2M_v} (-i\nabla_v - A_v)^2 + \sum_v^{N_n} \frac{1}{M_v} \left( \frac{-i\nabla_v \chi}{\chi} + A_v \right) (-i\nabla_v - A_v) \right) \Phi_{\underline{\underline{R}}}(r) = \in(\underline{\underline{R}}) \Phi_{\underline{\underline{R}}}(r)$$

Eq. ②

$$\left( \sum_v^{N_n} \frac{1}{2M_v} (-i\nabla_v + A_v)^2 + \hat{W}_{nn} + \hat{V}_n^{\text{ext}} + \in(\underline{\underline{R}}) \right) \chi(\underline{\underline{R}}) = E \chi(\underline{\underline{R}})$$

where

$$A_v(\underline{\underline{R}}) = -i \int \Phi_{\underline{\underline{R}}}^*(r) \nabla_v \Phi_{\underline{\underline{R}}}(r) dr$$

**Exact PES**

**Exact Berry potential**

## Proof of theorem II (basic idea)

Find the variationally best  $\Phi_{\underline{\underline{R}}}(r)$  and  $\chi(\underline{\underline{R}})$  by making stationary the total energy under the subsidiary condition that  $\int dr |\Phi_{\underline{\underline{R}}}(r)|^2 = 1$ . This gives two Euler equations:

$$\text{Eq. 1} \quad \frac{\delta}{\delta \Phi_{\underline{\underline{R}}}^*(r)} \left( \frac{\langle \Phi \chi | \hat{H} | \Phi \chi \rangle}{\langle \Phi \chi | \Phi \chi \rangle} - \int d\underline{\underline{R}} \Lambda(\underline{\underline{R}}) \int dr |\Phi_{\underline{\underline{R}}}(r)|^2 \right) = 0$$

$$\text{Eq. 2} \quad \frac{\delta}{\delta \chi(\underline{\underline{R}})} \left( \frac{\langle \Phi \chi | \hat{H} | \Phi \chi \rangle}{\langle \Phi \chi | \Phi \chi \rangle} \right) = 0$$

## OBSERVATIONS:

- Eq. ① is a nonlinear equation in  $\Phi_{\underline{\underline{R}}}(\underline{\underline{r}})$
- Eq. ① contains  $\chi(\underline{\underline{R}}) \Rightarrow$  selfconsistent solution of ① and ② required
- Neglecting the  $1/M_v$  terms in ①, BO is recovered
- There is an alternative, equally exact, representation  $\Psi = \Phi_{\underline{\underline{r}}}(\underline{\underline{R}})\chi(\underline{\underline{r}})$  (electrons move on the nuclear energy surface)
- Eq. ① and ② are form-invariant under the “gauge” transformation

$$\Phi \rightarrow \tilde{\Phi} = e^{i\theta(\underline{\underline{R}})}\Phi$$

$$\chi \rightarrow \tilde{\chi} = e^{-i\theta(\underline{\underline{R}})}\chi$$

$$A_v \rightarrow \tilde{A}_v = A_v + \nabla_v \theta(\underline{\underline{R}})$$

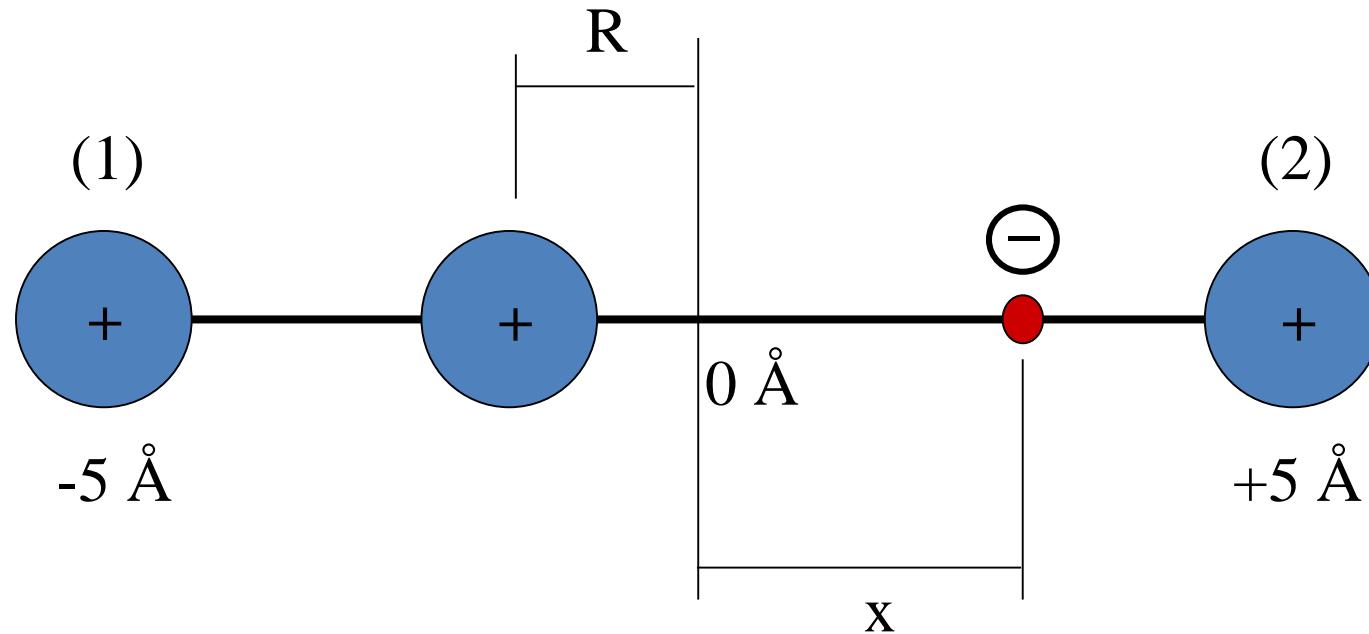
$\in(\underline{\underline{R}}) \rightarrow \tilde{\in}(\underline{\underline{R}}) = \in(\underline{\underline{R}})$  **Exact potential energy surface is gauge invariant.**

- $\gamma(C) := \oint_C \vec{A} \cdot d\vec{R}$  is a (gauge-invariant) geometric phase  
**the exact geometric phase**

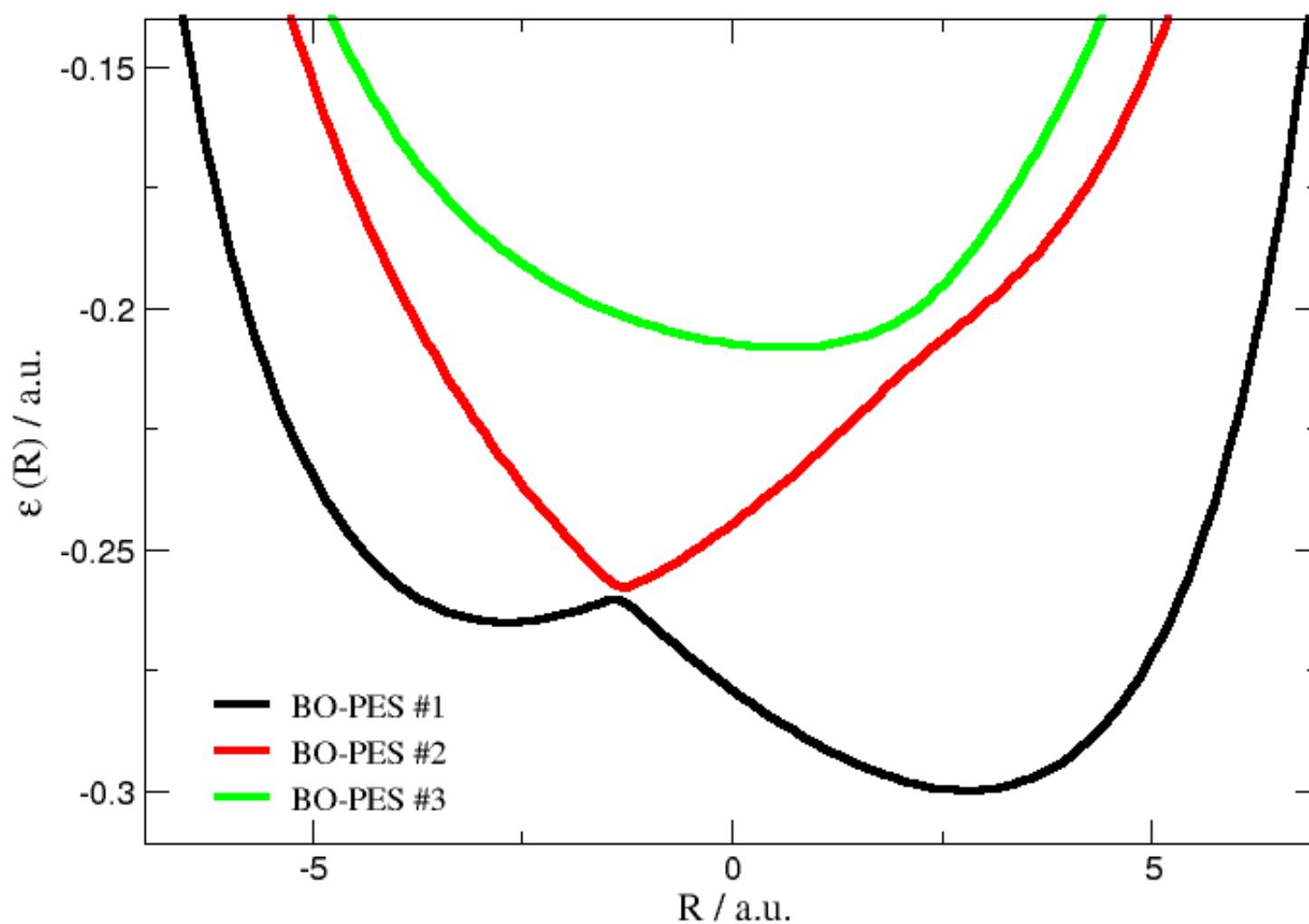
**How do the exact PES look like?**

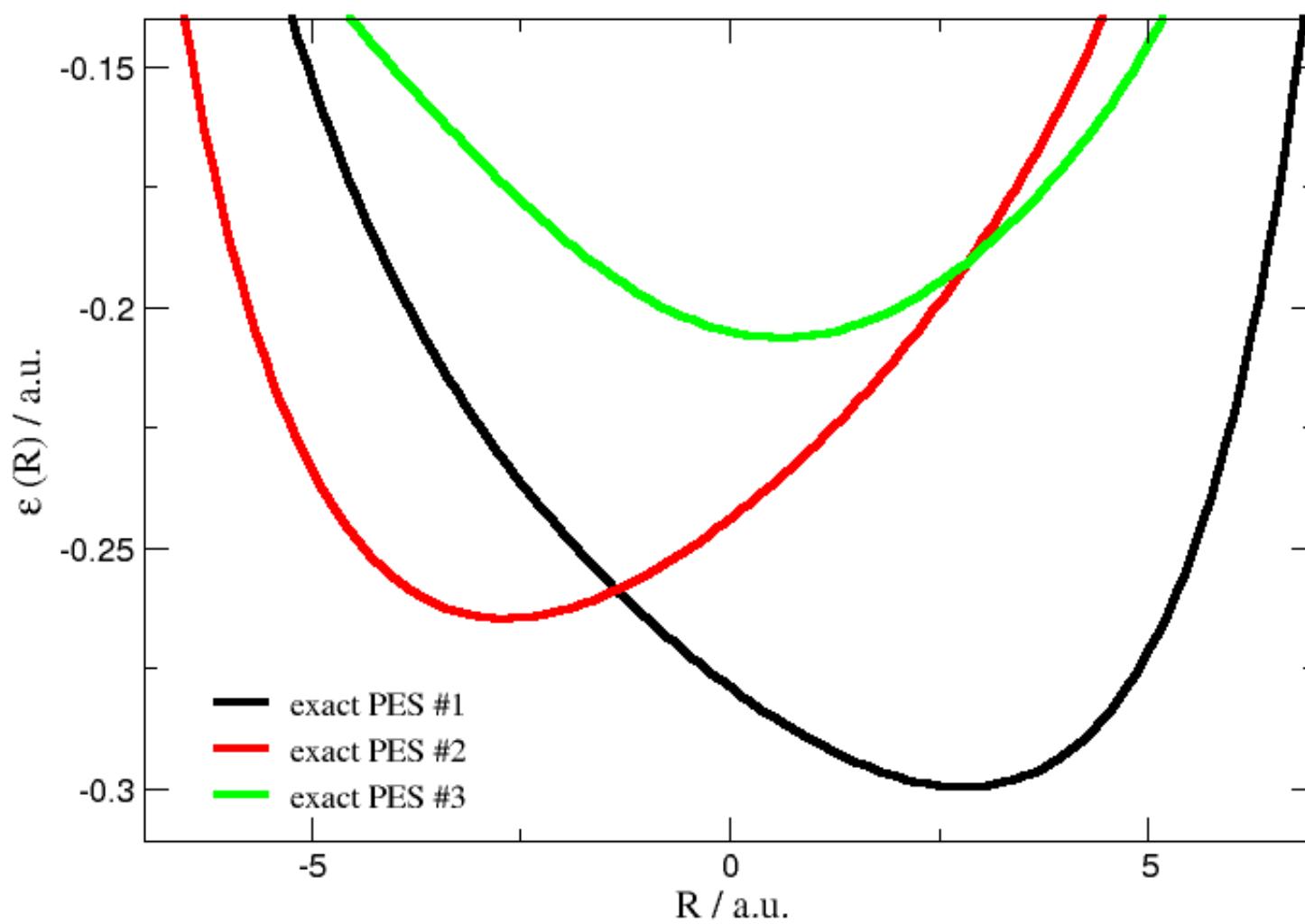
# MODEL

S. Shin, H. Metiu, JCP 102, 9285 (1995), JPC 100, 7867 (1996)



**Nuclei (1) and (2) are heavy: Their positions are fixed**





## Exact Berry connection

$$A_v(\underline{\underline{R}}) = \int d\underline{\underline{r}} \Phi_{\underline{\underline{R}}}^*(\underline{\underline{r}}) (-i\nabla_v) \Phi_{\underline{\underline{R}}}(\underline{\underline{r}})$$

**Insert:**  $\Phi_{\underline{\underline{R}}}(\underline{\underline{r}}) = \Psi(\underline{\underline{r}}, \underline{\underline{R}}) / \chi(\underline{\underline{R}})$

$$\chi(\underline{\underline{R}}) := e^{i\theta(\underline{\underline{R}})} |\chi(\underline{\underline{R}})|$$

$$A_v(\underline{\underline{R}}) = \text{Im} \left\{ \int d\underline{\underline{r}} \Psi^*(\underline{\underline{r}}, \underline{\underline{R}}) \nabla_v \Psi(\underline{\underline{r}}, \underline{\underline{R}}) \right\} / |\chi(\underline{\underline{R}})|^2 - \nabla_v \theta(\underline{\underline{R}})$$

$$A_v(\underline{\underline{R}}) = J_v(\underline{\underline{R}}) / |\chi(\underline{\underline{R}})|^2 - \nabla_v \theta(\underline{\underline{R}})$$

with the exact nuclear current density  $J_v$

## Another way of reading this equation:

$$J_v(\underline{\underline{R}}) = |\chi(\underline{\underline{R}})|^2 A_v(\underline{\underline{R}}) + \nabla_v \theta(\underline{\underline{R}})$$

## Conclusion: The nuclear Schrödinger equation

$$\left( \sum_v^{N_n} \frac{1}{2M_v} (-i\nabla_v + A_v)^2 + \hat{W}_{nn} + \hat{V}_n^{\text{ext}} + \epsilon(\underline{\underline{R}}) \right) \chi(\underline{\underline{R}}) = E \chi(\underline{\underline{R}})$$

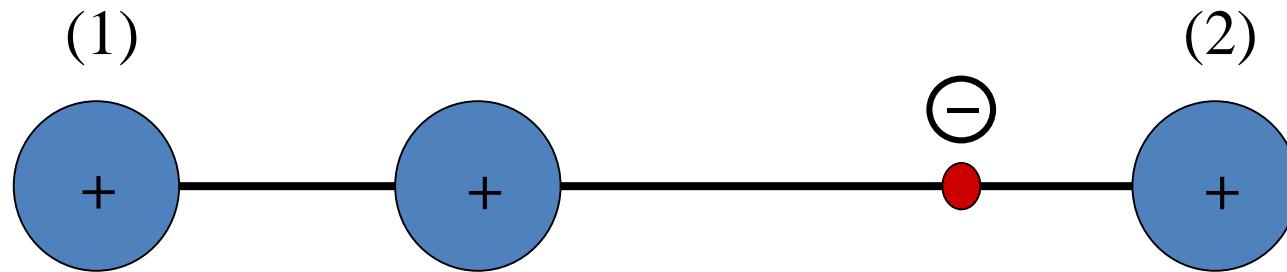
yields both the exact nuclear N-body density and the exact nuclear N-body current density

A. Abedi, N.T. Maitra, E.K.U. Gross, JCP 137, 22A530 (2012)

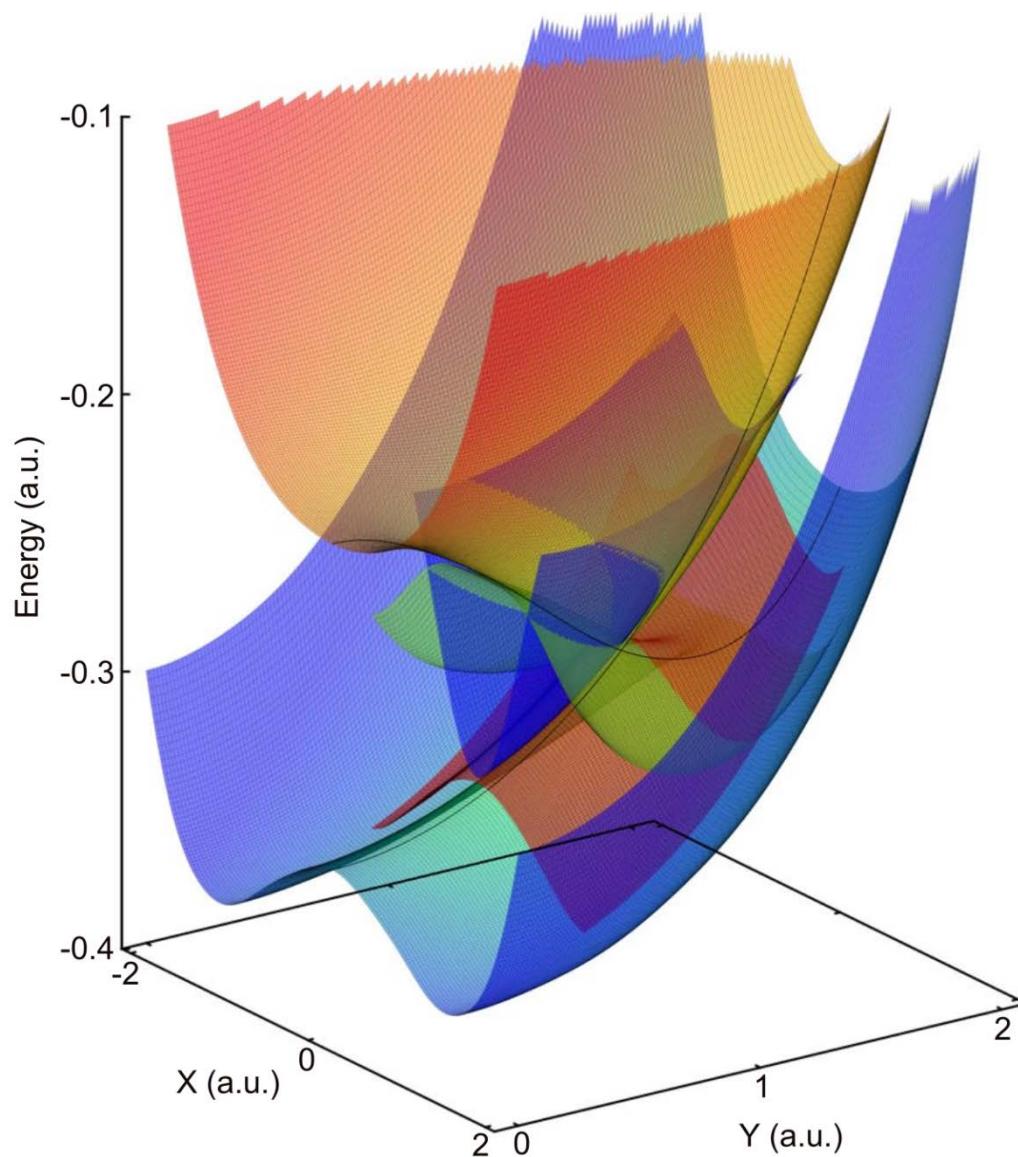
**Question:** Can the true vector potential be gauged away,  
i.e. is the true Berry phase zero?

**Question:** Can the true vector potential be gauged away,  
i.e. is the true Berry phase zero?

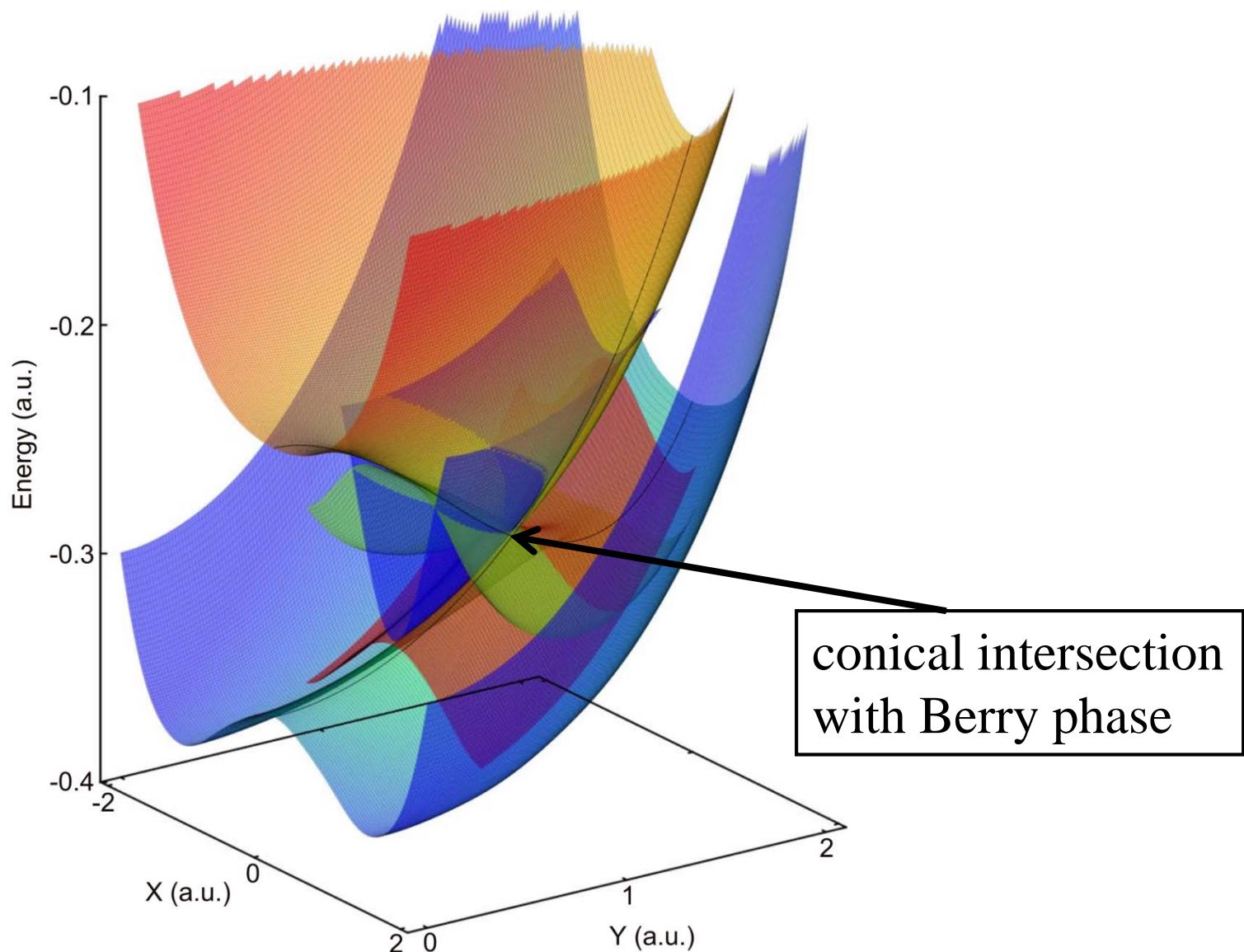
**Look at Shin-Metiu model in 2D:**



## BO-PES of 2D Shin-Metiu model



## BO-PES of 2D Shin-Metiu model



- Non-vanishing Berry phase results from a non-analyticity in the electronic wave function  $\Phi_{\underline{\mathbf{R}}}^{\text{BO}}(\underline{\mathbf{r}})$  as function of  $\mathbf{R}$ .
- Such non-analyticity is found in BO approximation.

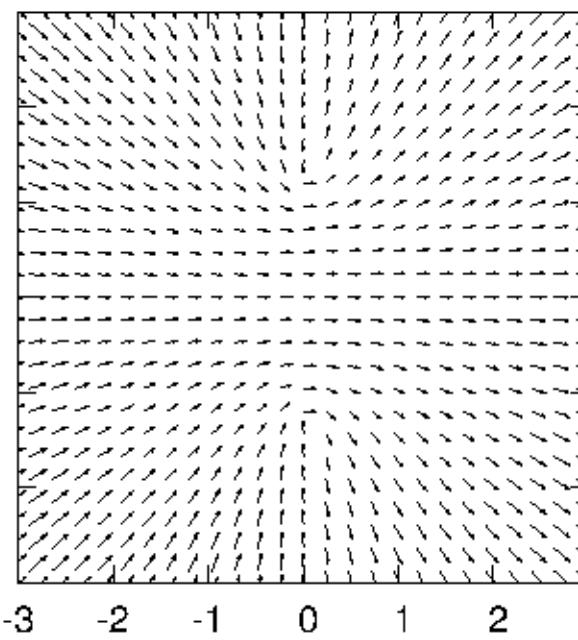
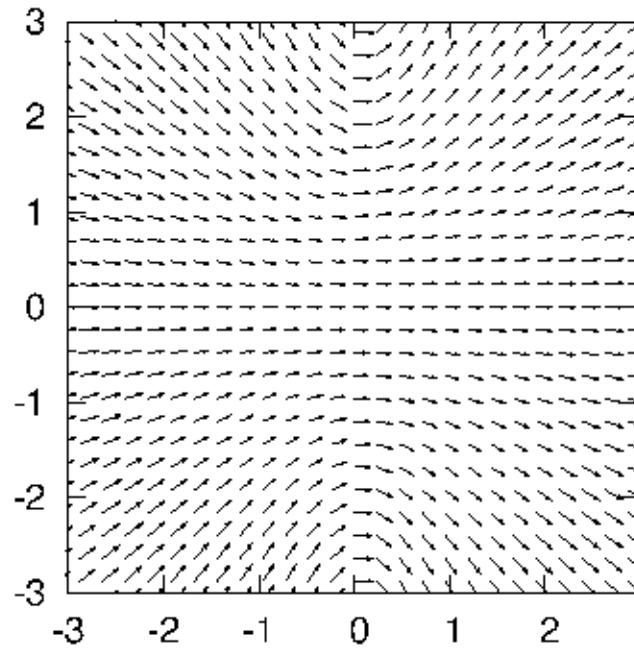
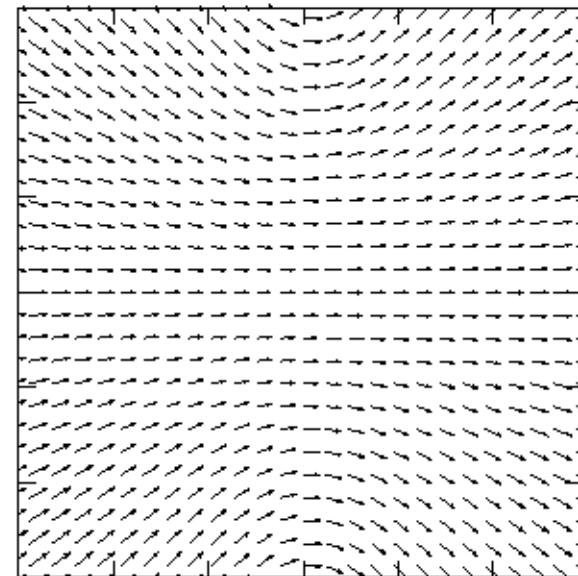
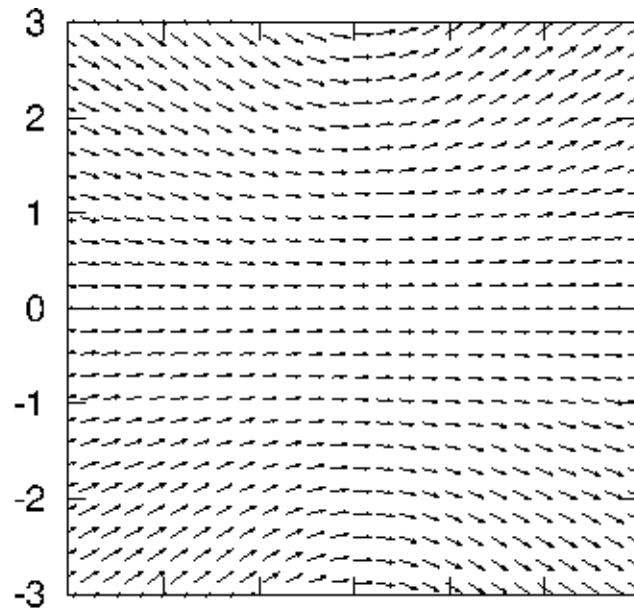
- Non-vanishing Berry phase results from a non-analyticity in the electronic wave function  $\Phi_{\underline{\mathbf{R}}}^{\text{BO}}(\underline{\mathbf{r}})$  as function of  $\mathbf{R}$ .
- Such non-analyticity is found in BO approximation.

**Does the exact electronic wave function show such non-analyticity as well (in 2D Shin-Metiu model)?**

**Look at**  $D(\mathbf{R}) = \int \mathbf{r} \Phi_{\mathbf{R}}(\mathbf{r}) d\mathbf{r}$

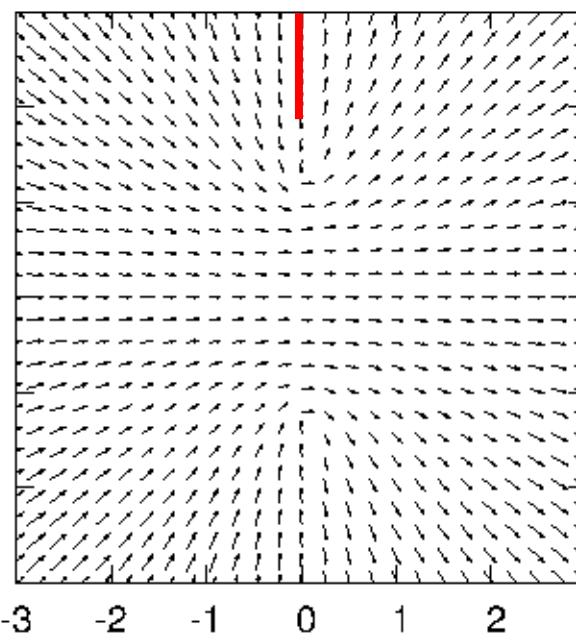
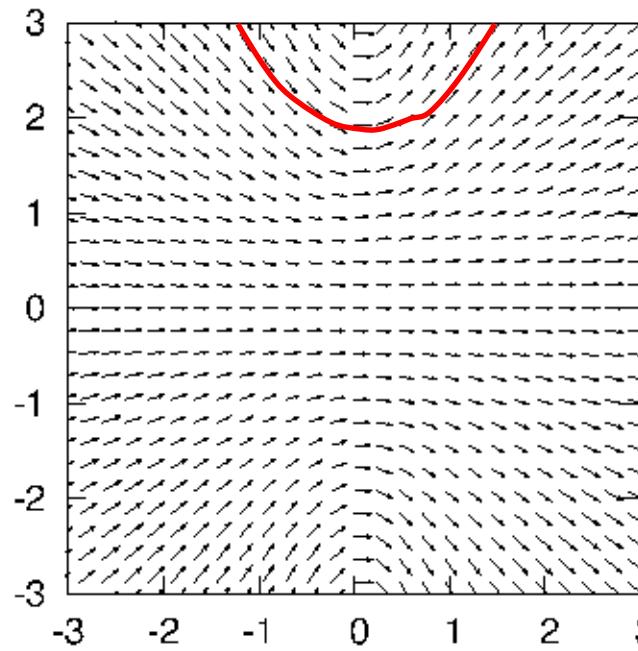
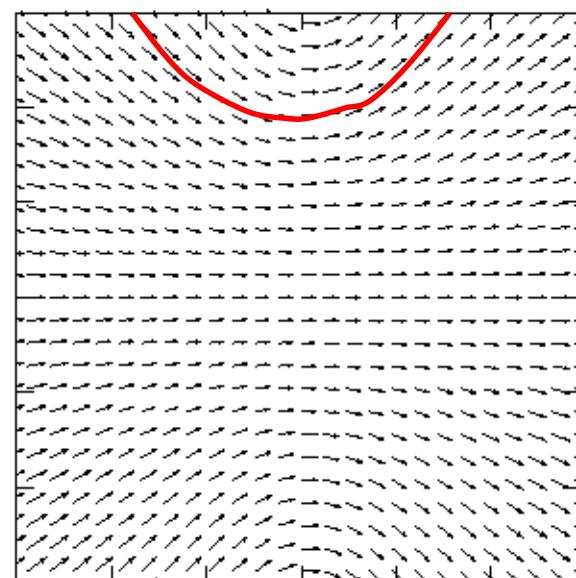
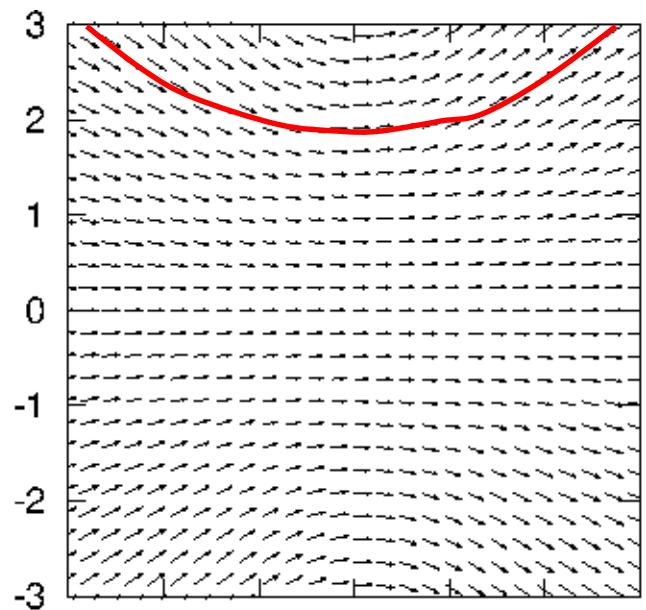
**as function of nuclear mass  $M$ .**

**D(R)**



**M =  $\infty$**

**D(R)**



**$M = \infty$**

**Open Question:** Can one prove in general that the exact molecular Berry phase vanishes? Are there systems where the non-analyticity associated with the molecular Berry phase survives as true feature of nature.

## Time-dependent case

## Theorem T-I

**The exact solution of**

$$i\partial_t \Psi(\underline{r}, \underline{\underline{R}}, t) = H(\underline{r}, \underline{\underline{R}}, t) \Psi(\underline{r}, \underline{\underline{R}}, t)$$

**can be written in the form**

$$\Psi(\underline{r}, \underline{\underline{R}}, t) = \Phi_{\underline{\underline{R}}}(\underline{r}, t) \chi(\underline{\underline{R}}, t)$$

**where  $\int d\underline{r} |\Phi_{\underline{\underline{R}}}(\underline{r}, t)|^2 = 1$  for any fixed  $\underline{\underline{R}}, t$**  .

## Theorem T-II

$\Phi_{\underline{\underline{R}}}(\underline{\underline{r}}, t)$  and  $\chi(\underline{\underline{R}}, t)$  satisfy the following equations

**Eq. 1**

$$\left( \underbrace{\hat{T}_e + \hat{W}_{ee} + \hat{V}_e^{\text{ext}}(\underline{\underline{r}}, t) + \hat{V}_{en}(\underline{\underline{r}}, \underline{\underline{R}})}_{\hat{H}_{BO}(t)} + \sum_v^{N_n} \frac{1}{2M_v} (-i\nabla_v - A_v(\underline{\underline{R}}, t))^2 \right. \\ \left. + \sum_v^{N_n} \frac{1}{M_v} \left( \frac{-i\nabla_v \chi(\underline{\underline{R}}, t)}{\chi(\underline{\underline{R}}, t)} + A_v(\underline{\underline{R}}, t) \right) (-i\nabla_v - A_v) - \epsilon(\underline{\underline{R}}, t) \right) \Phi_{\underline{\underline{R}}}(\underline{\underline{r}}) = i\partial_t \Phi_{\underline{\underline{R}}}(\underline{\underline{r}}, t)$$

**Eq. 2**

$$\left( \sum_v^{N_n} \frac{1}{2M_v} (-i\nabla_v + A_v(\underline{\underline{R}}, t))^2 + \hat{W}_{nn}(\underline{\underline{R}}) + \hat{V}_n^{\text{ext}}(\underline{\underline{R}}, t) + \epsilon(\underline{\underline{R}}, t) \right) \chi(\underline{\underline{R}}, t) = i\partial_t \chi(\underline{\underline{R}}, t)$$

## Theorem T-II

$\Phi_{\underline{\underline{R}}}(\underline{\underline{r}}, t)$  and  $\chi(\underline{\underline{R}}, t)$  satisfy the following equations

**Eq. 1**

$$\left( \underbrace{\hat{T}_e + \hat{W}_{ee} + \hat{V}_e^{\text{ext}}(\underline{\underline{r}}, t) + \hat{V}_{en}(\underline{\underline{r}}, \underline{\underline{R}})}_{\hat{H}_{BO}(t)} + \sum_v^{N_n} \frac{1}{2M_v} (-i\nabla_v - A_v(\underline{\underline{R}}, t))^2 \right. \\ \left. + \sum_v^{N_n} \frac{1}{M_v} \left( \frac{-i\nabla_v \chi(\underline{\underline{R}}, t)}{\chi(\underline{\underline{R}}, t)} + A_v(\underline{\underline{R}}, t) \right) (-i\nabla_v - A_v) - \epsilon(\underline{\underline{R}}, t) \right) \Phi_{\underline{\underline{R}}}(\underline{\underline{r}}) = i\partial_t \Phi_{\underline{\underline{R}}}(\underline{\underline{r}}, t)$$

**Eq. 2**

**Exact Berry potential**

**Exact TDPES**

$$\left( \sum_v^{N_n} \frac{1}{2M_v} (-i\nabla_v + A_v(\underline{\underline{R}}, t))^2 + \hat{W}_{nn}(\underline{\underline{R}}) + \hat{V}_n^{\text{ext}}(\underline{\underline{R}}, t) + \epsilon(\underline{\underline{R}}, t) \right) \chi(\underline{\underline{R}}, t) = i\partial_t \chi(\underline{\underline{R}}, t)$$

$$\in(\underline{\underline{R}},t) = \int d\underline{\underline{r}} \Phi_{\underline{\underline{R}}}^*(\underline{\underline{r}},t) \left( H_{BO}(t) + \sum_v^{N_n} \frac{1}{2M_v} (-i\nabla_v - A_v(\underline{\underline{R}},t))^2 - i\partial_t \right) \Phi_{\underline{\underline{R}}}(\underline{\underline{r}},t)$$

**EXACT time-dependent potential energy surface**

$$A_v(\underline{\underline{R}},t) = -i \int \Phi_{\underline{\underline{R}}}^*(\underline{\underline{r}},t) \nabla_v \Phi_{\underline{\underline{R}}}(\underline{\underline{r}},t) d\underline{\underline{r}}$$

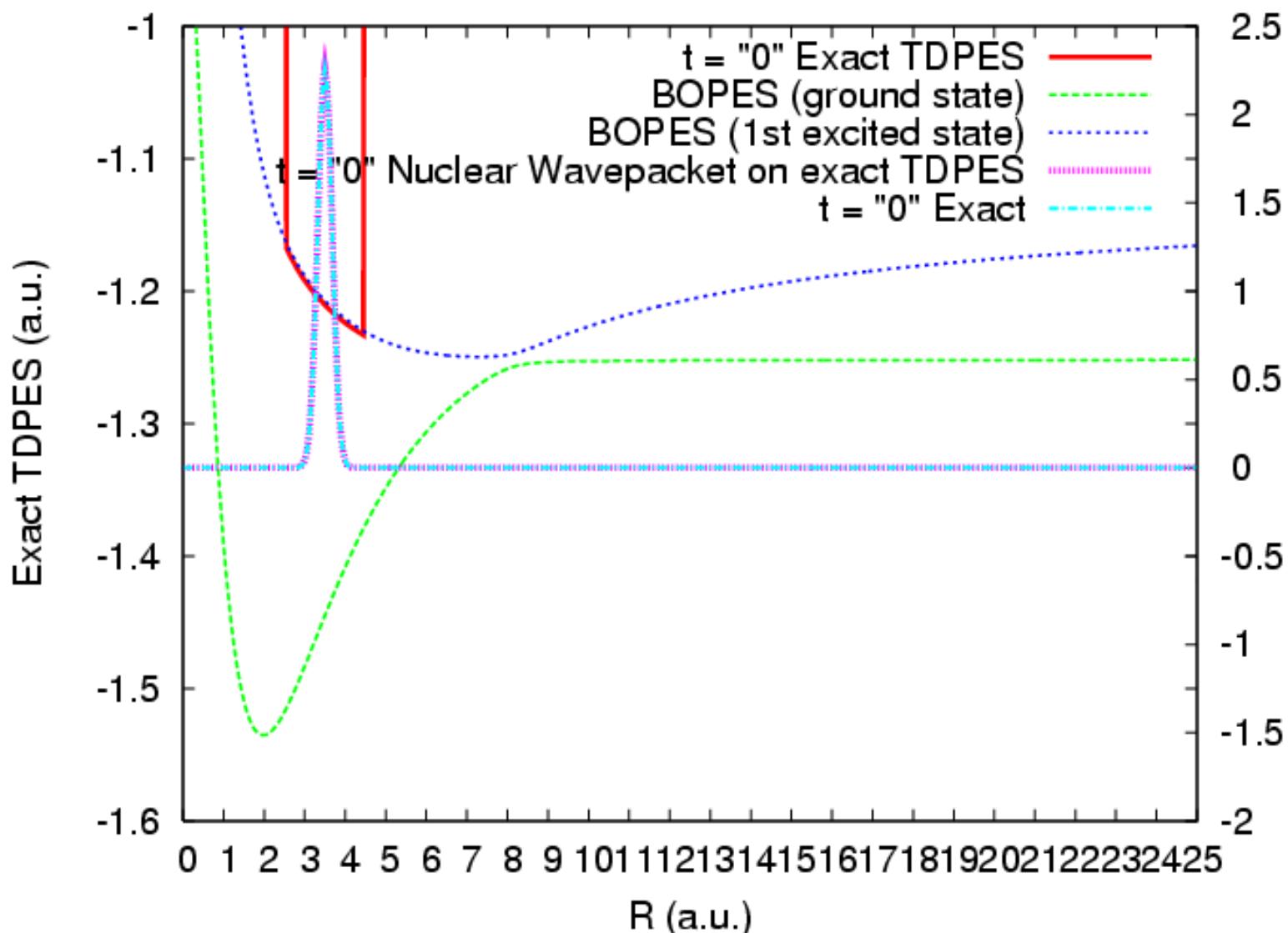
**EXACT time-dependent  
Berry connection**

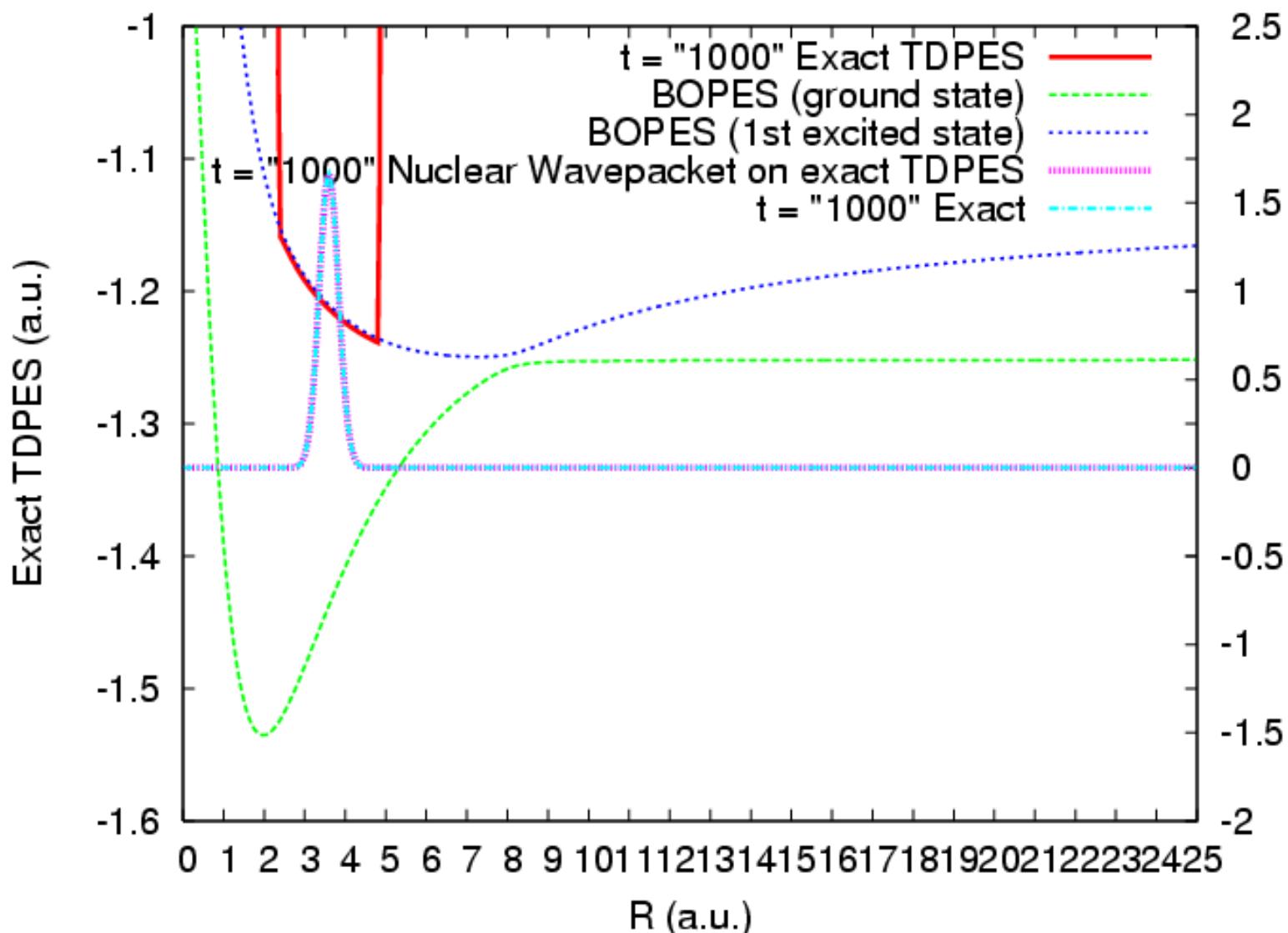
**How does the exact  
time-dependent PES look like?**

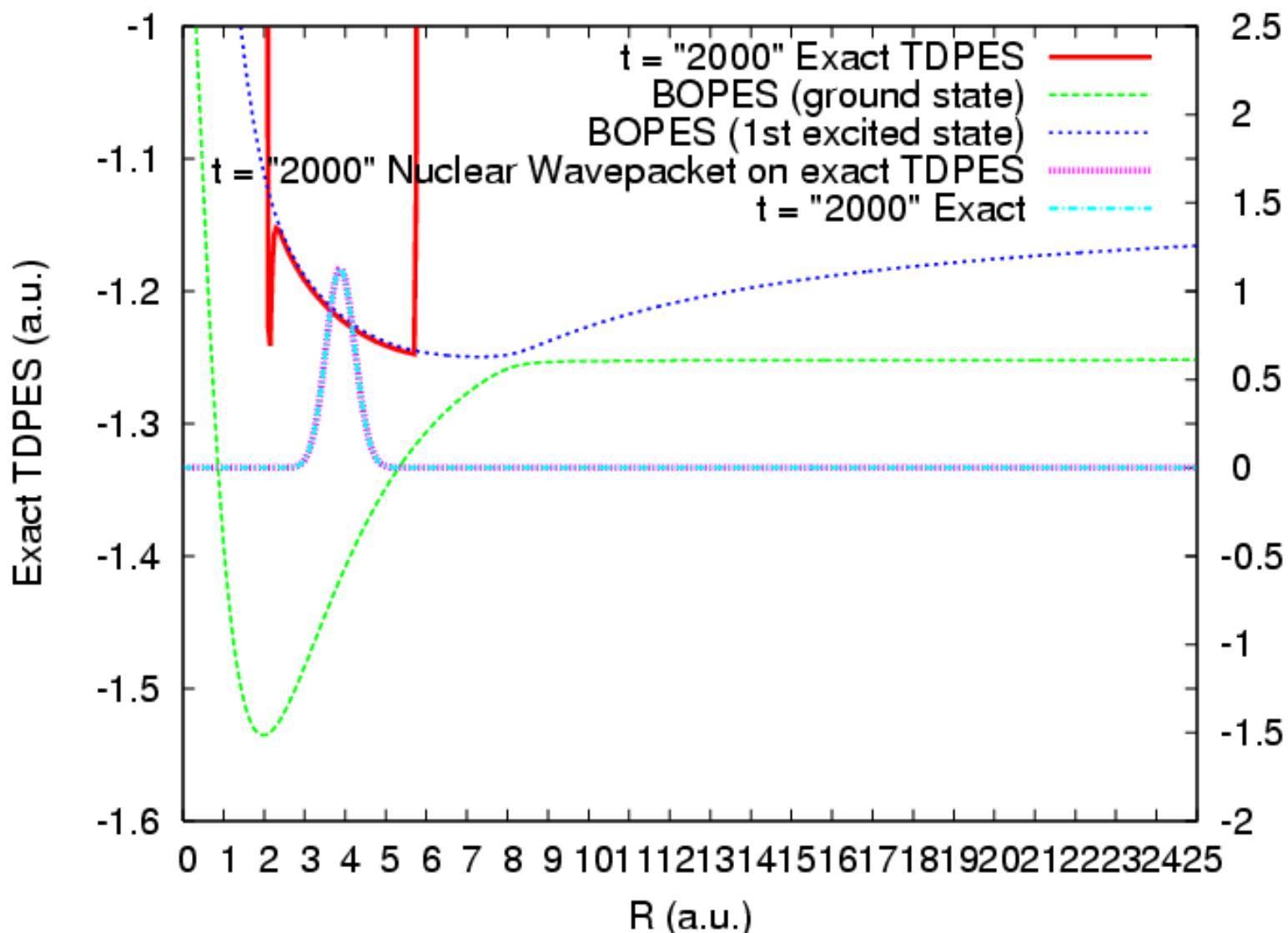
## Example: Nuclear wave packet going through an avoided crossing (Zewail experiment)

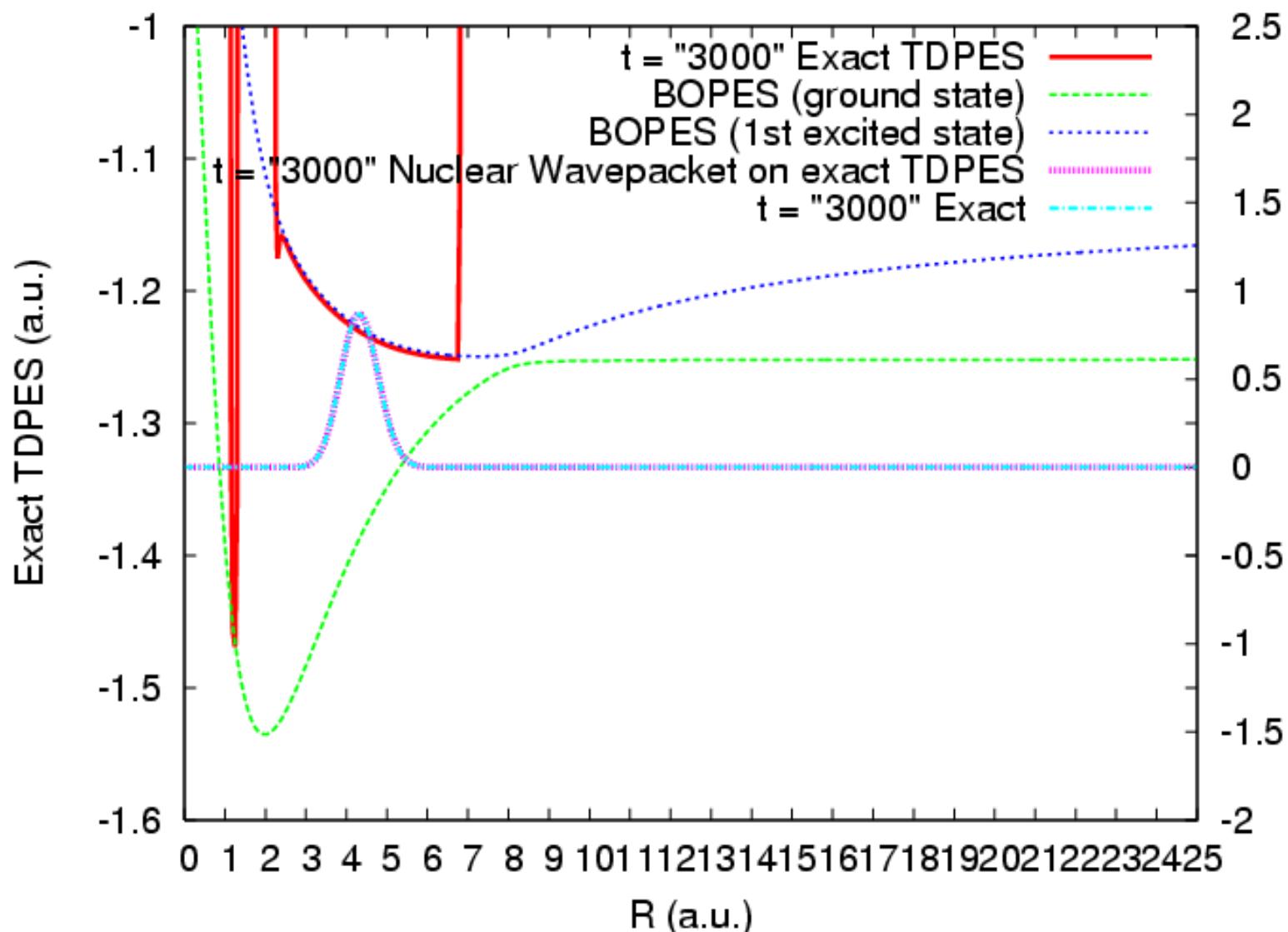
A. Abedi, F. Agostini, Y. Suzuki, E.K.U.Gross,  
**PRL 110, 263001 (2013)**

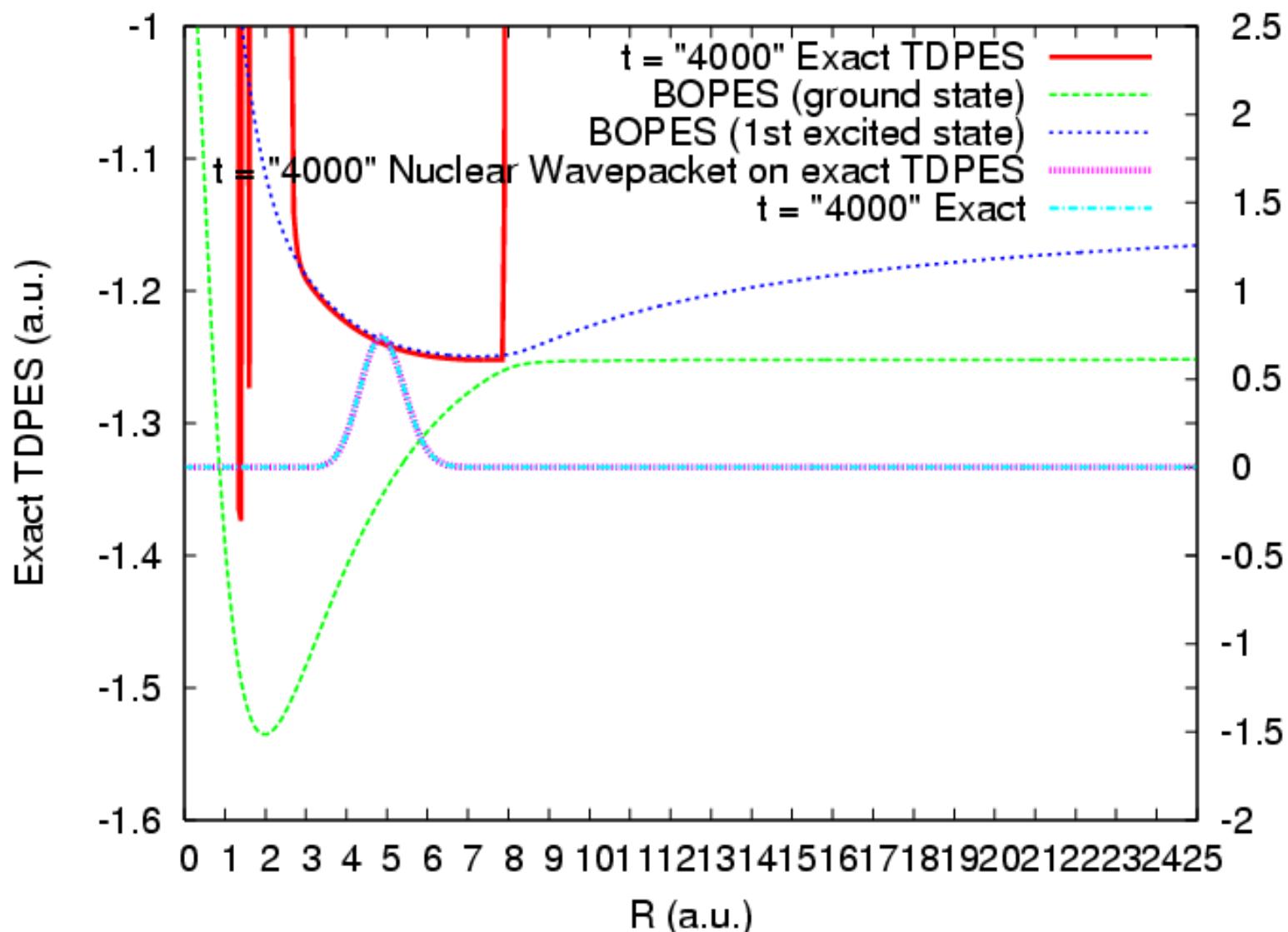
F. Agostini, A. Abedi, Y. Suzuki, E.K.U. Gross,  
**Mol. Phys. 111, 3625 (2013)**

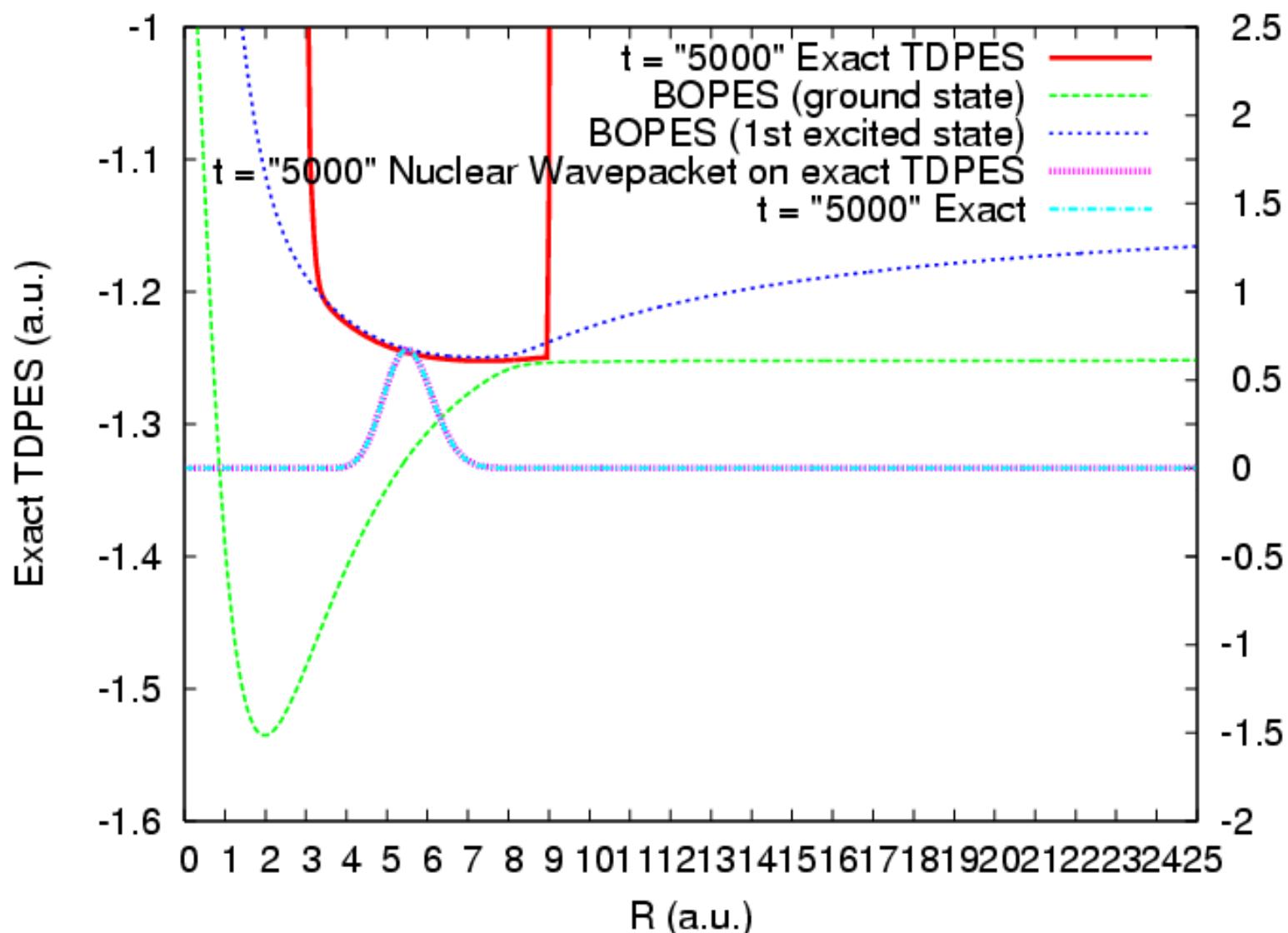


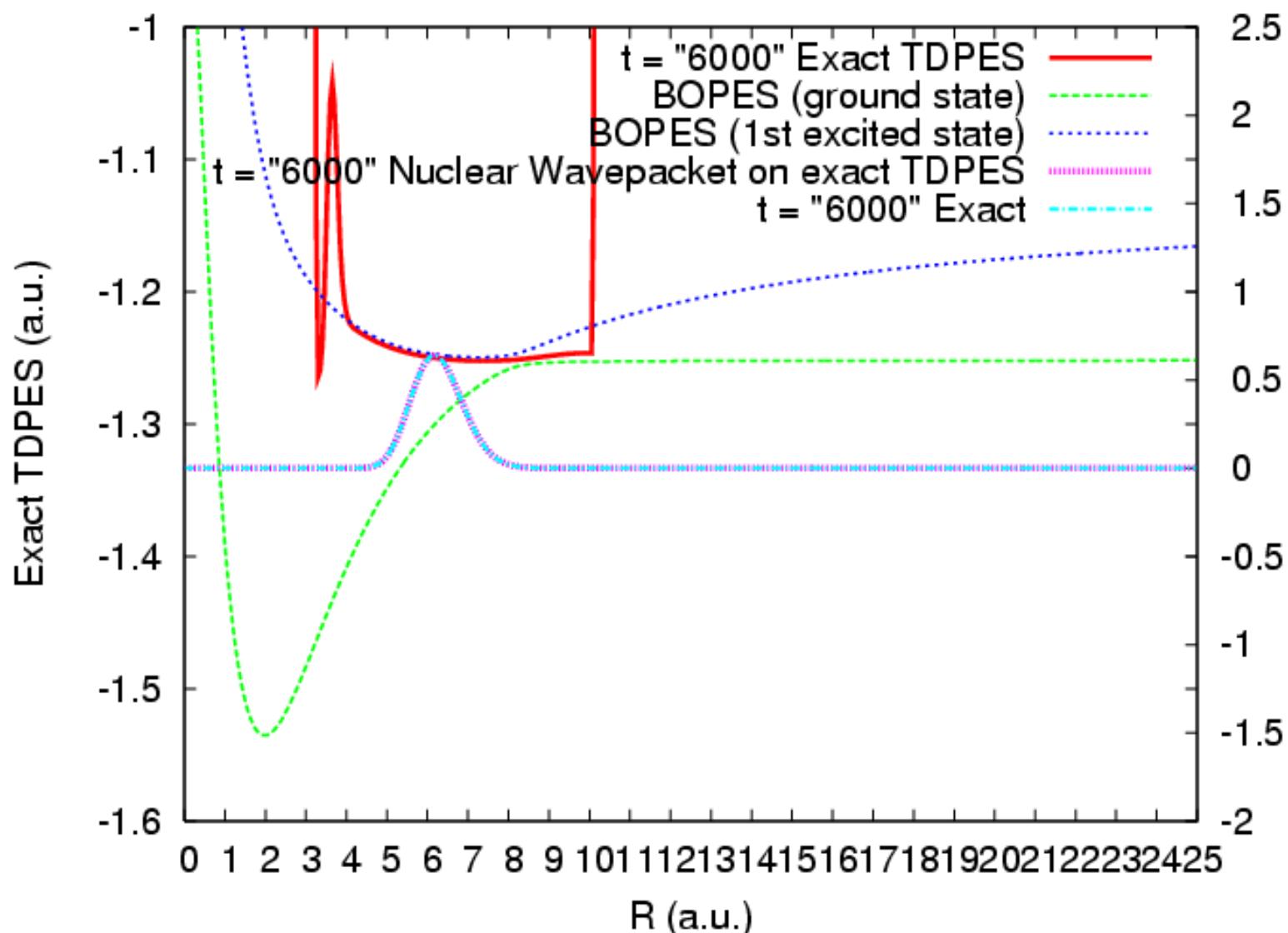


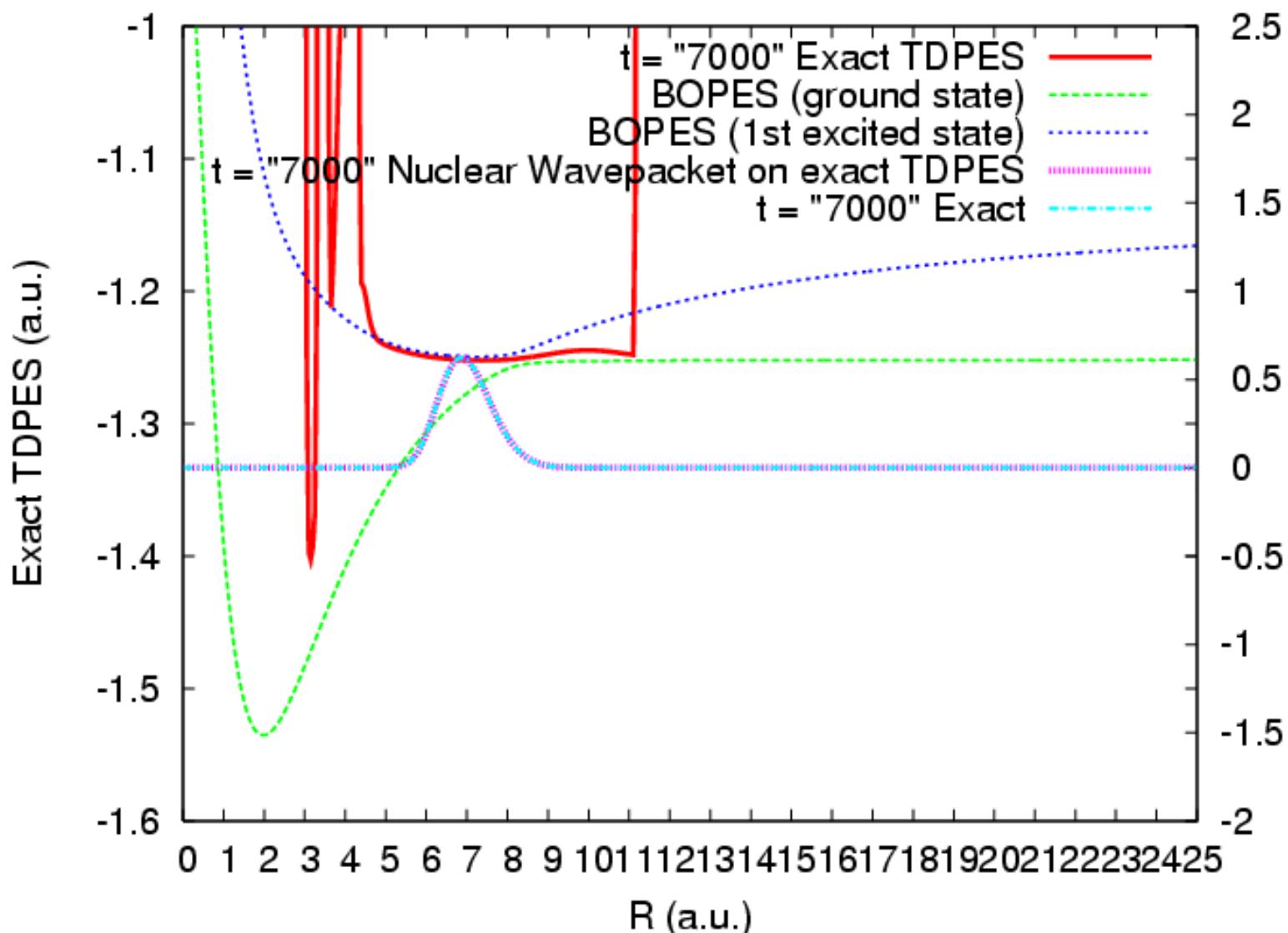


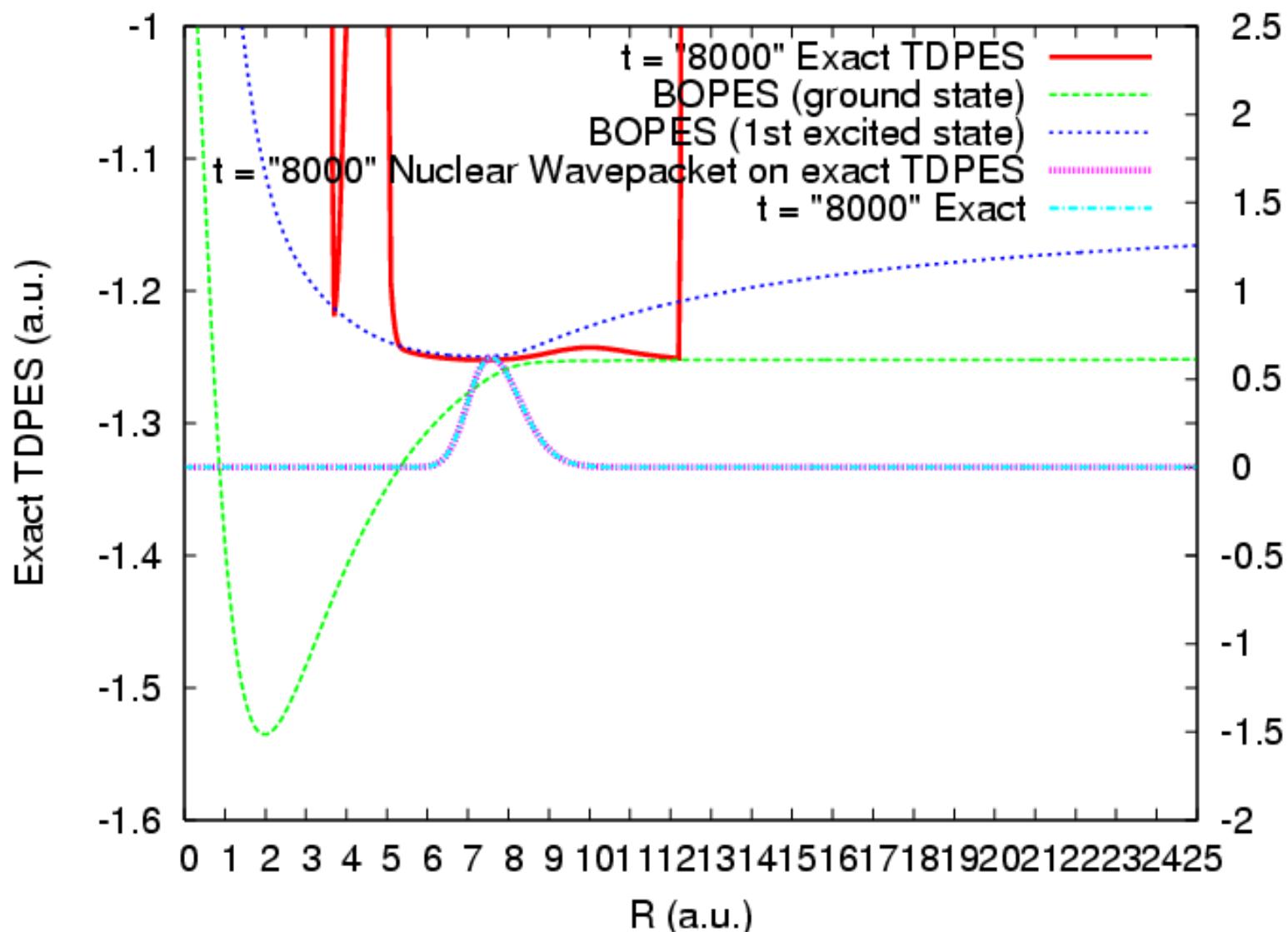


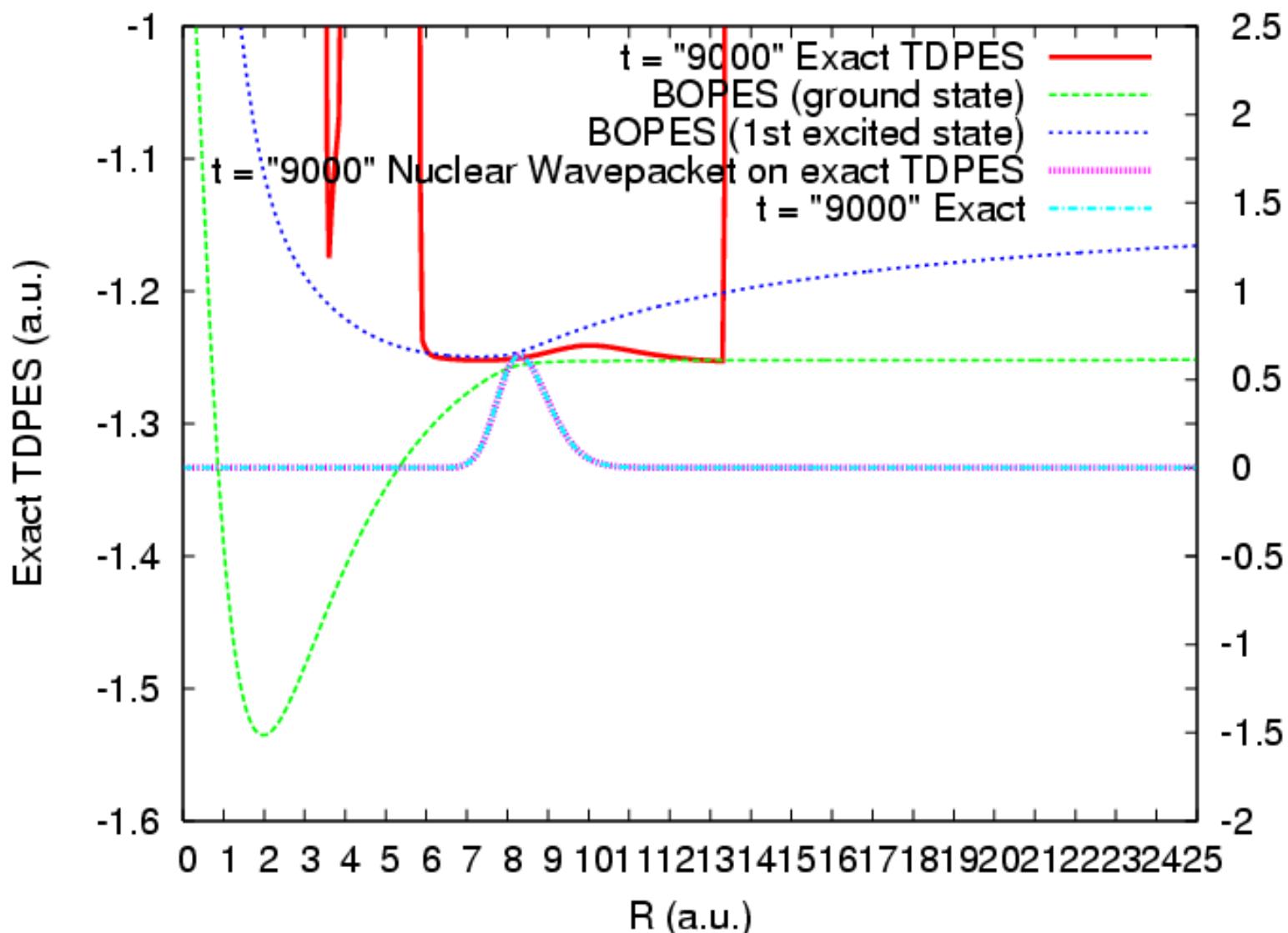


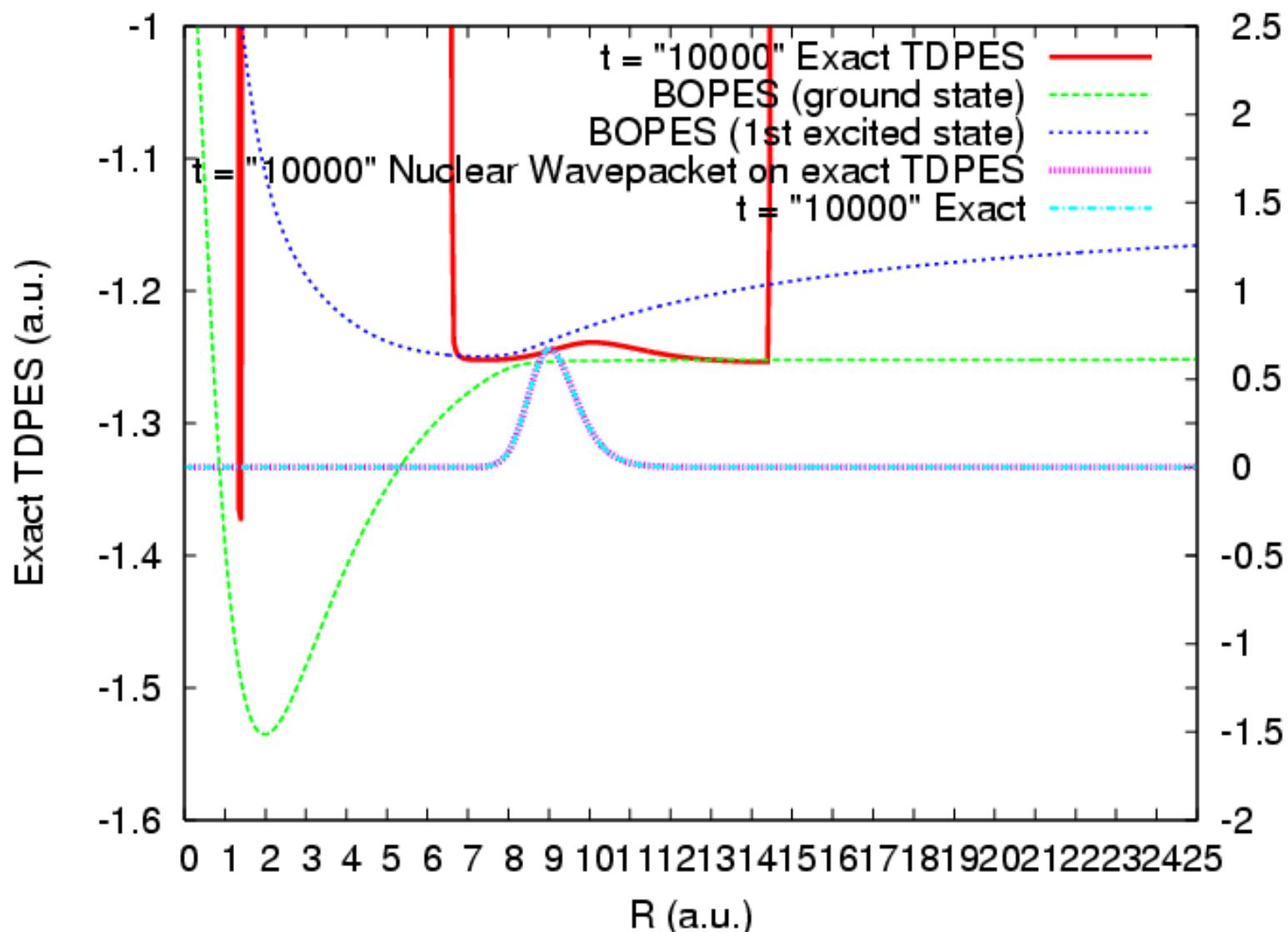


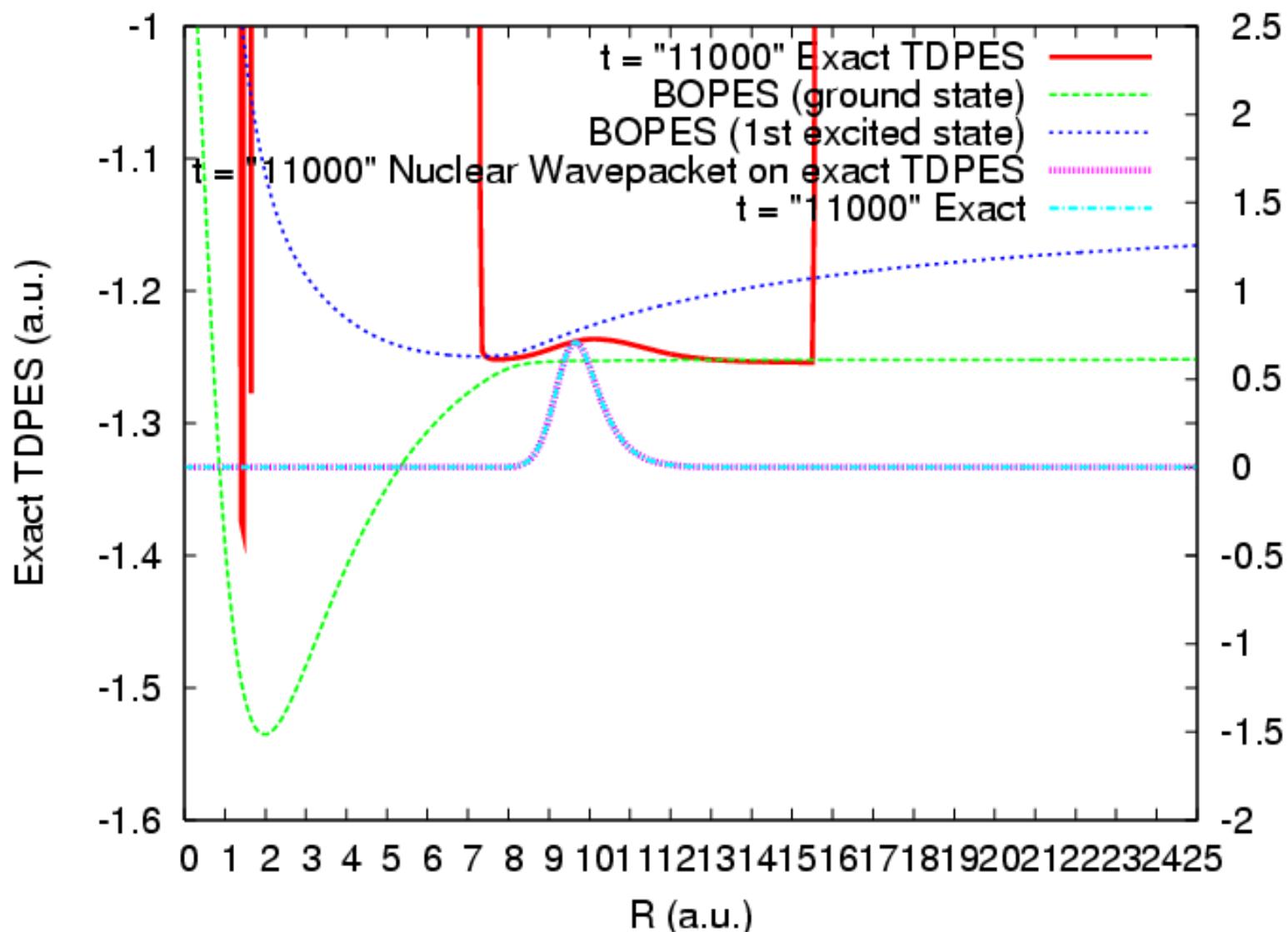


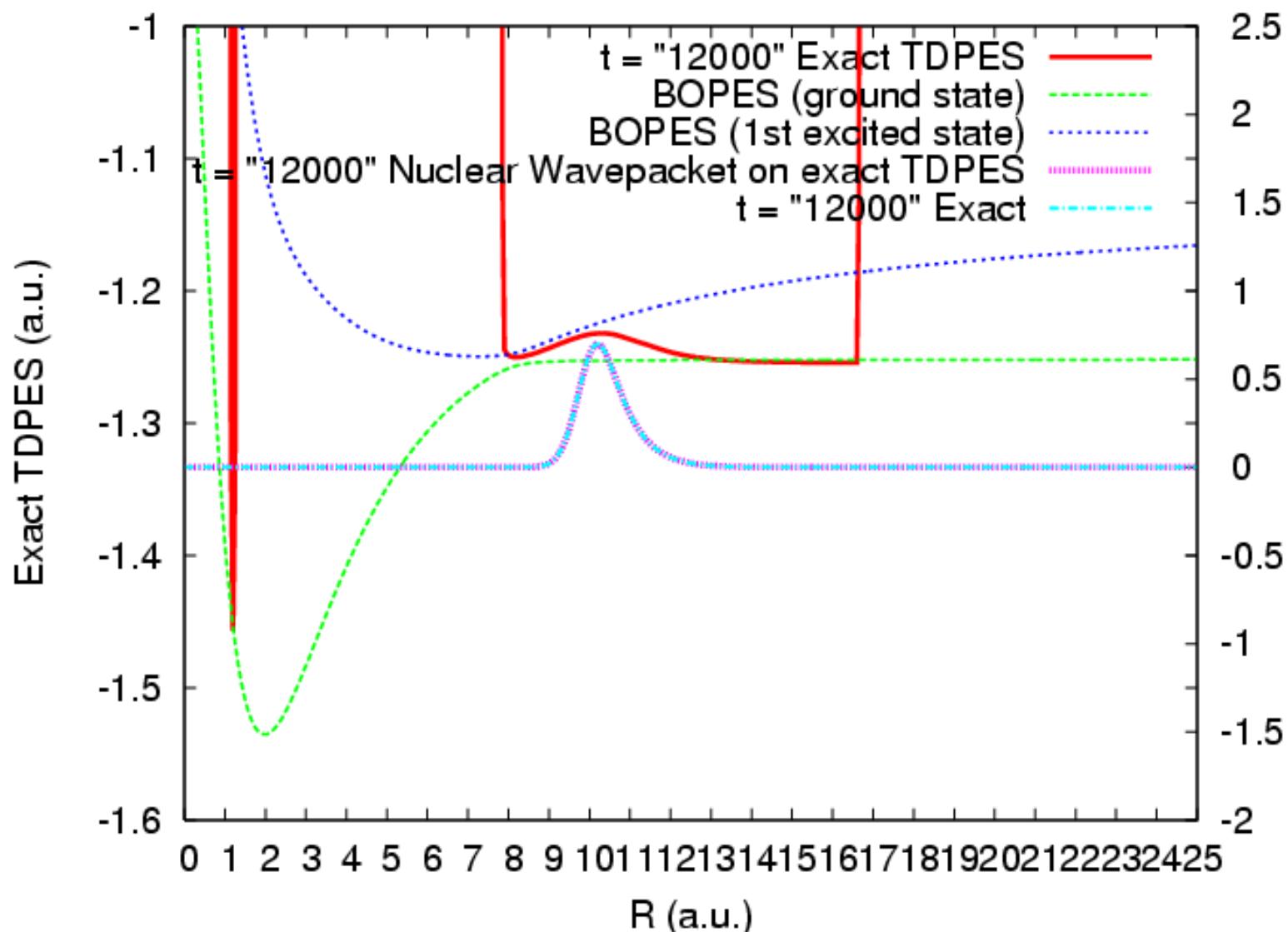


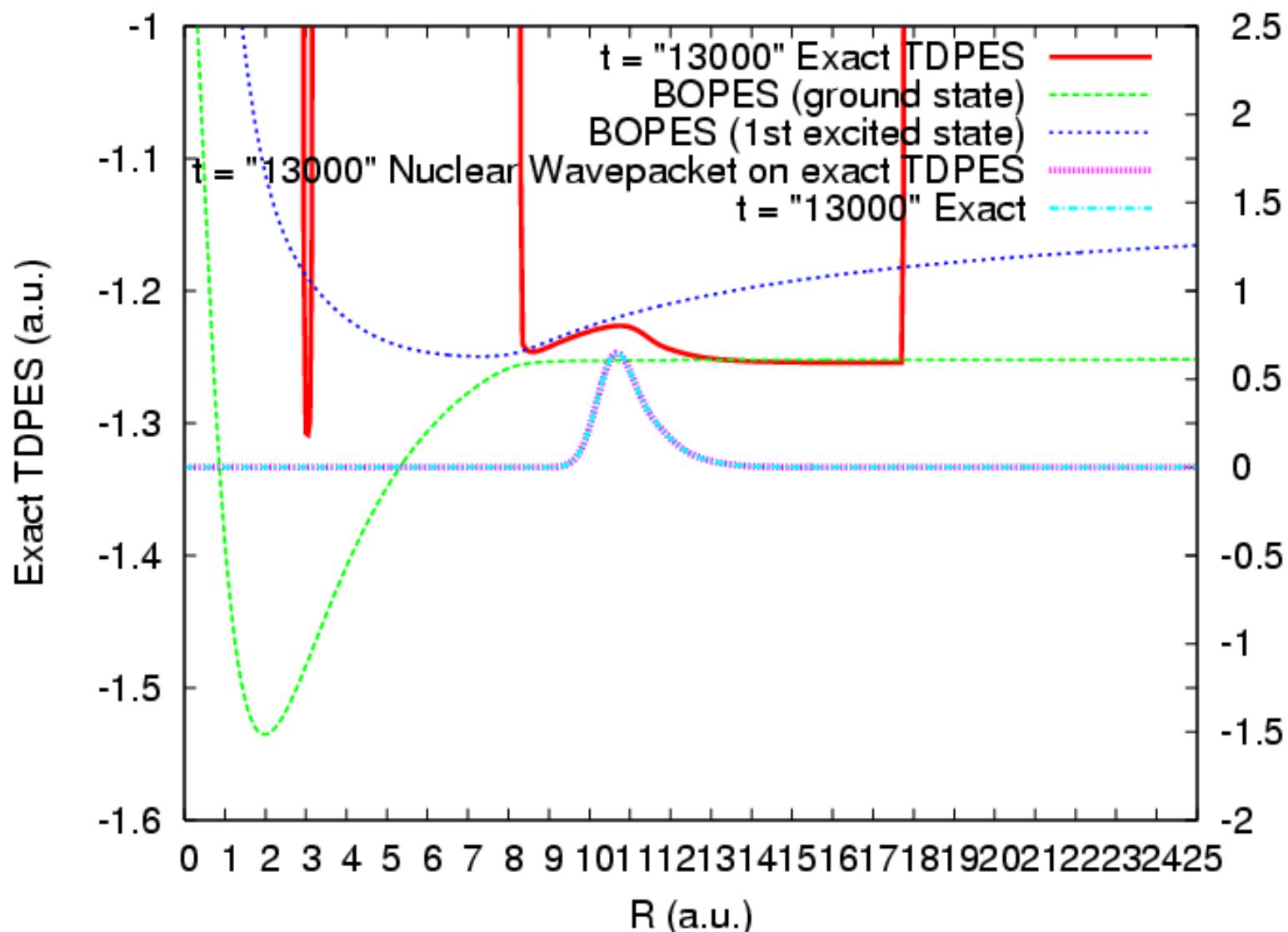


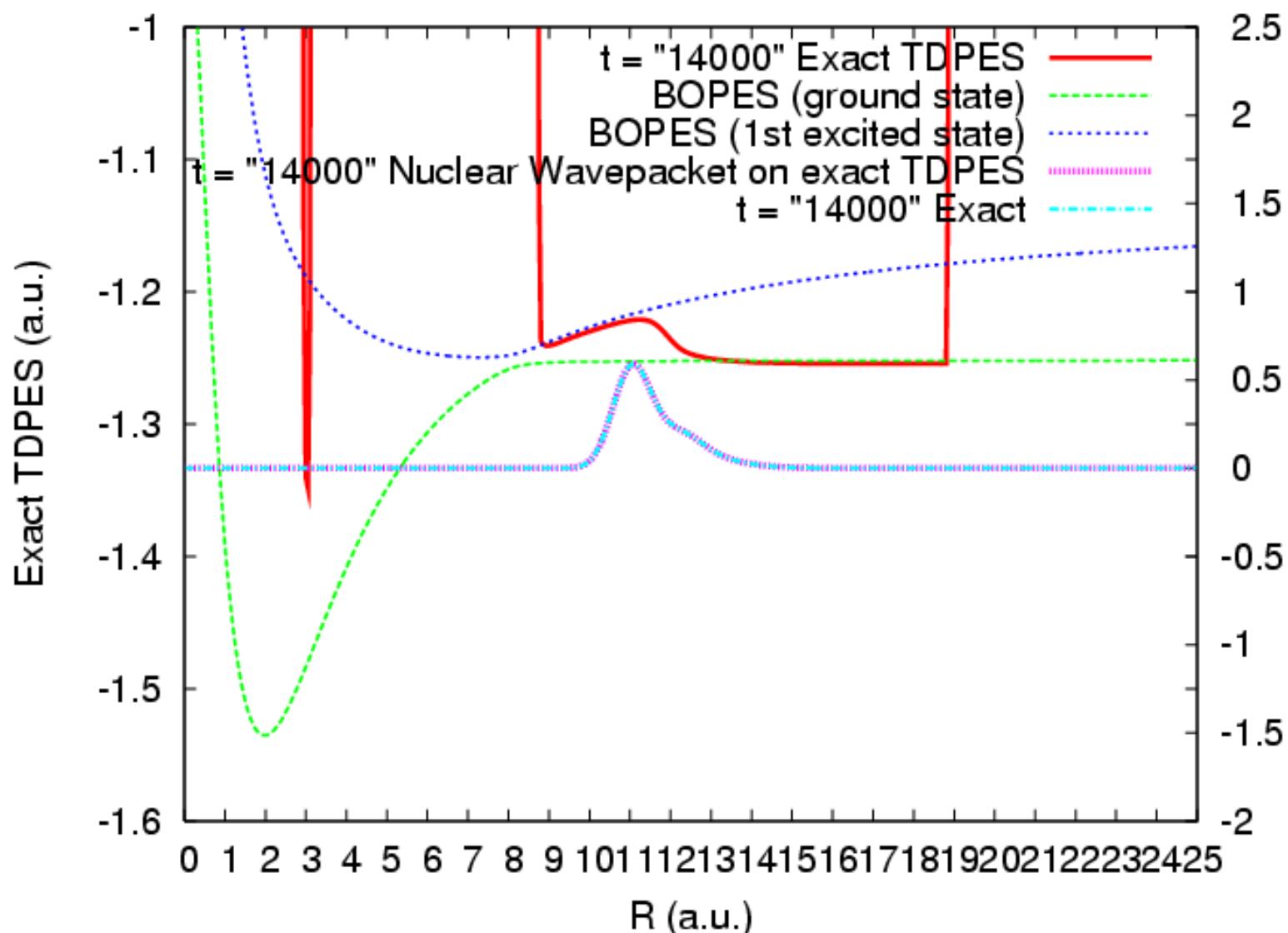


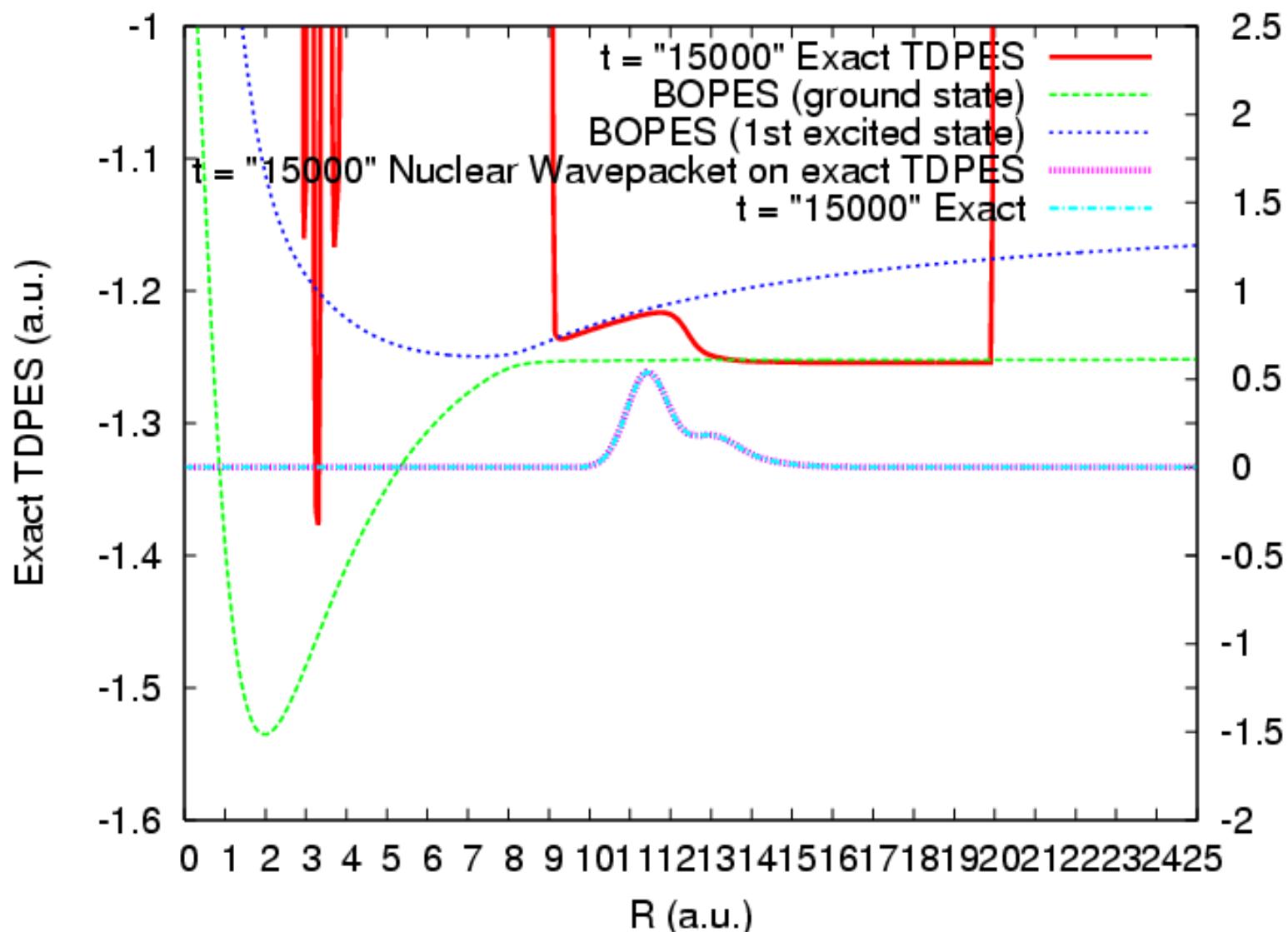


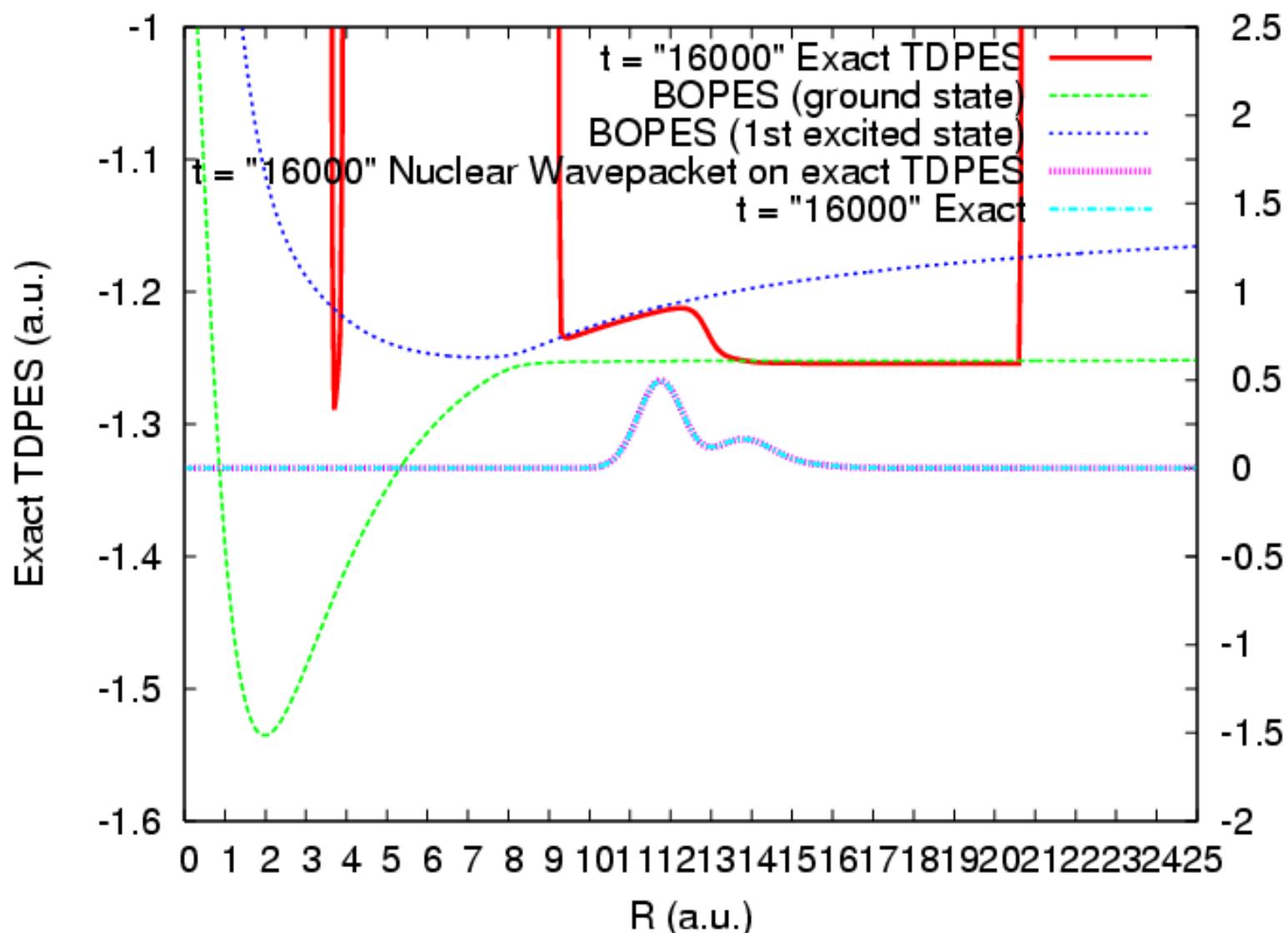


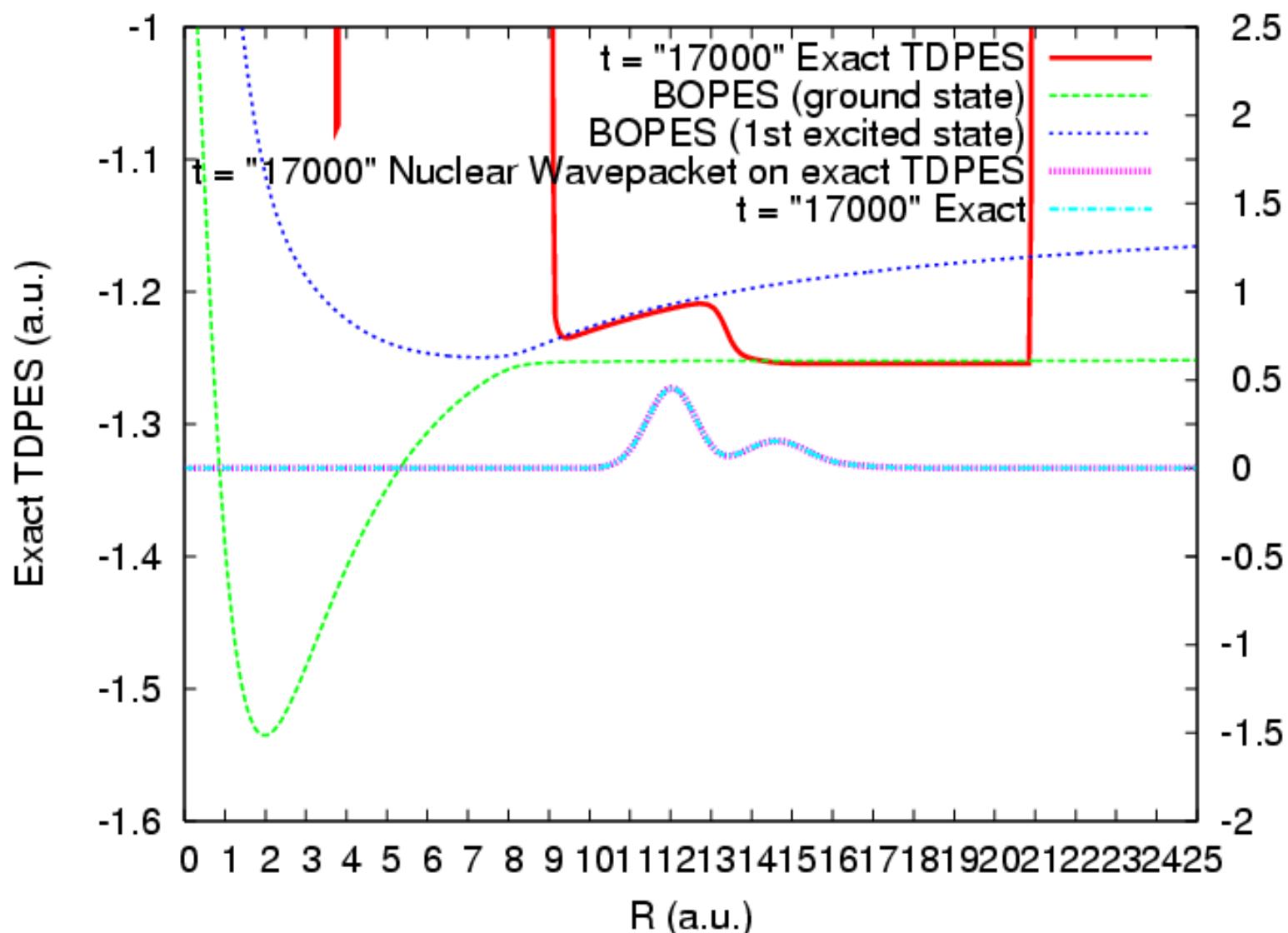


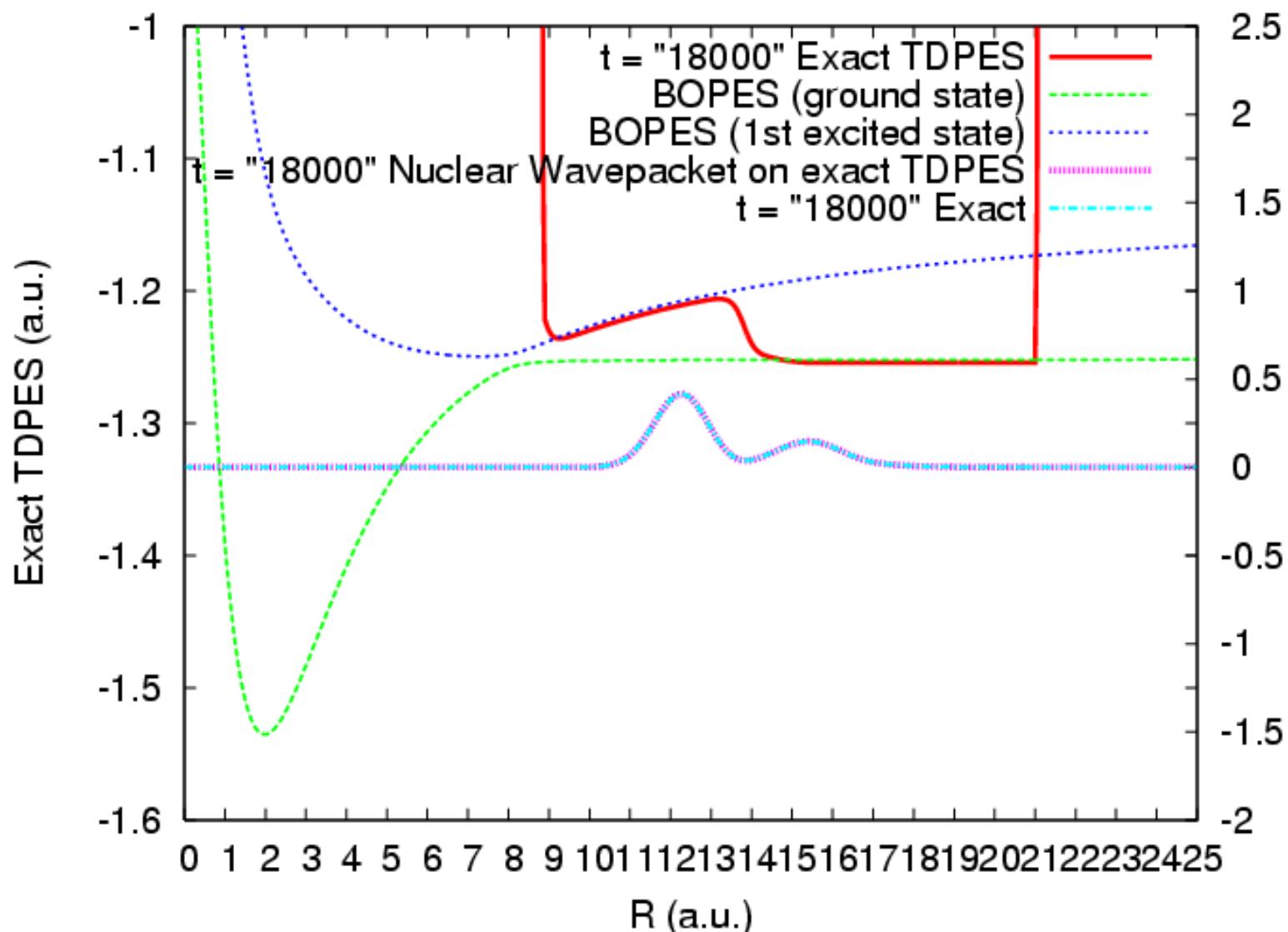


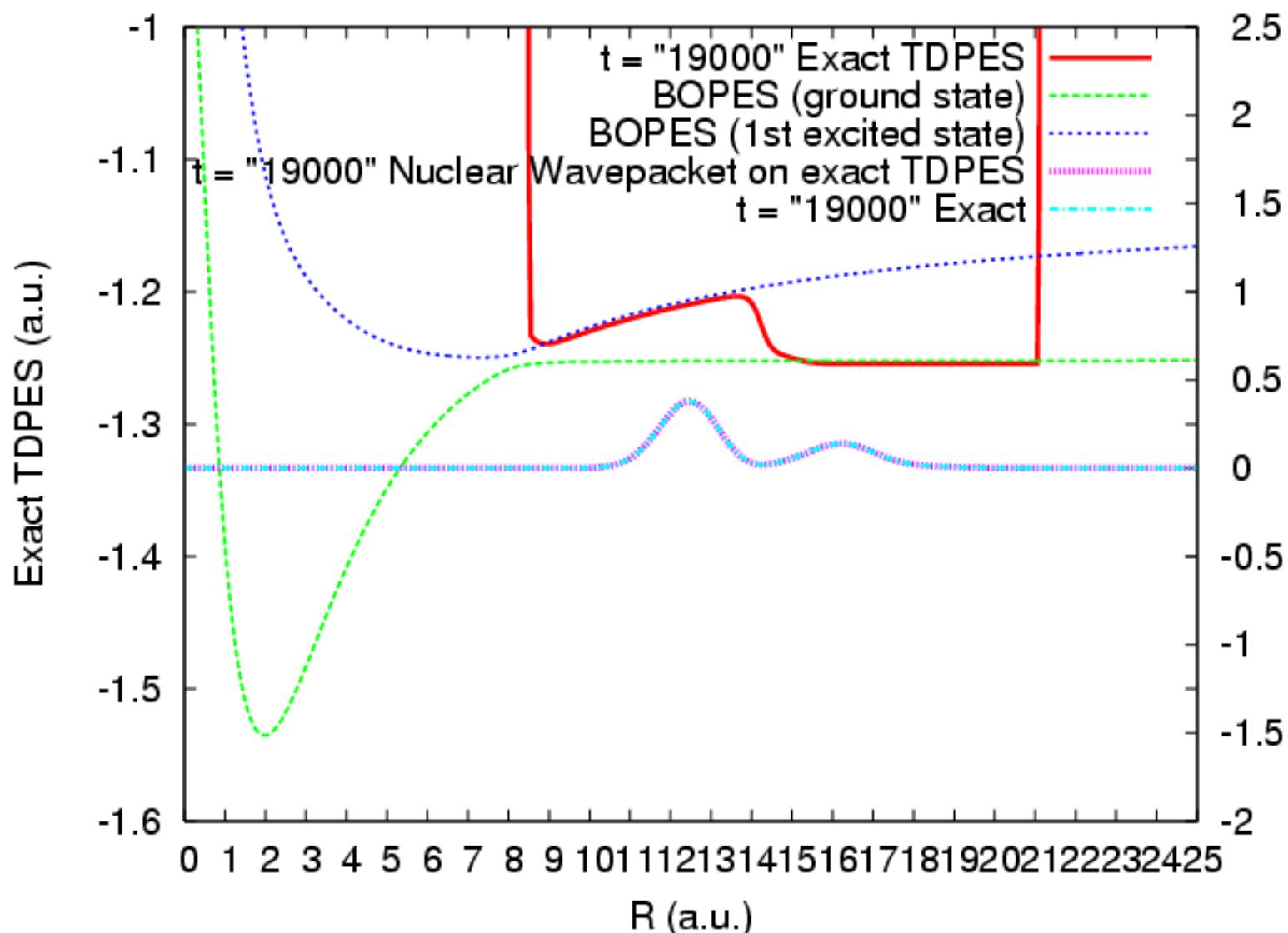


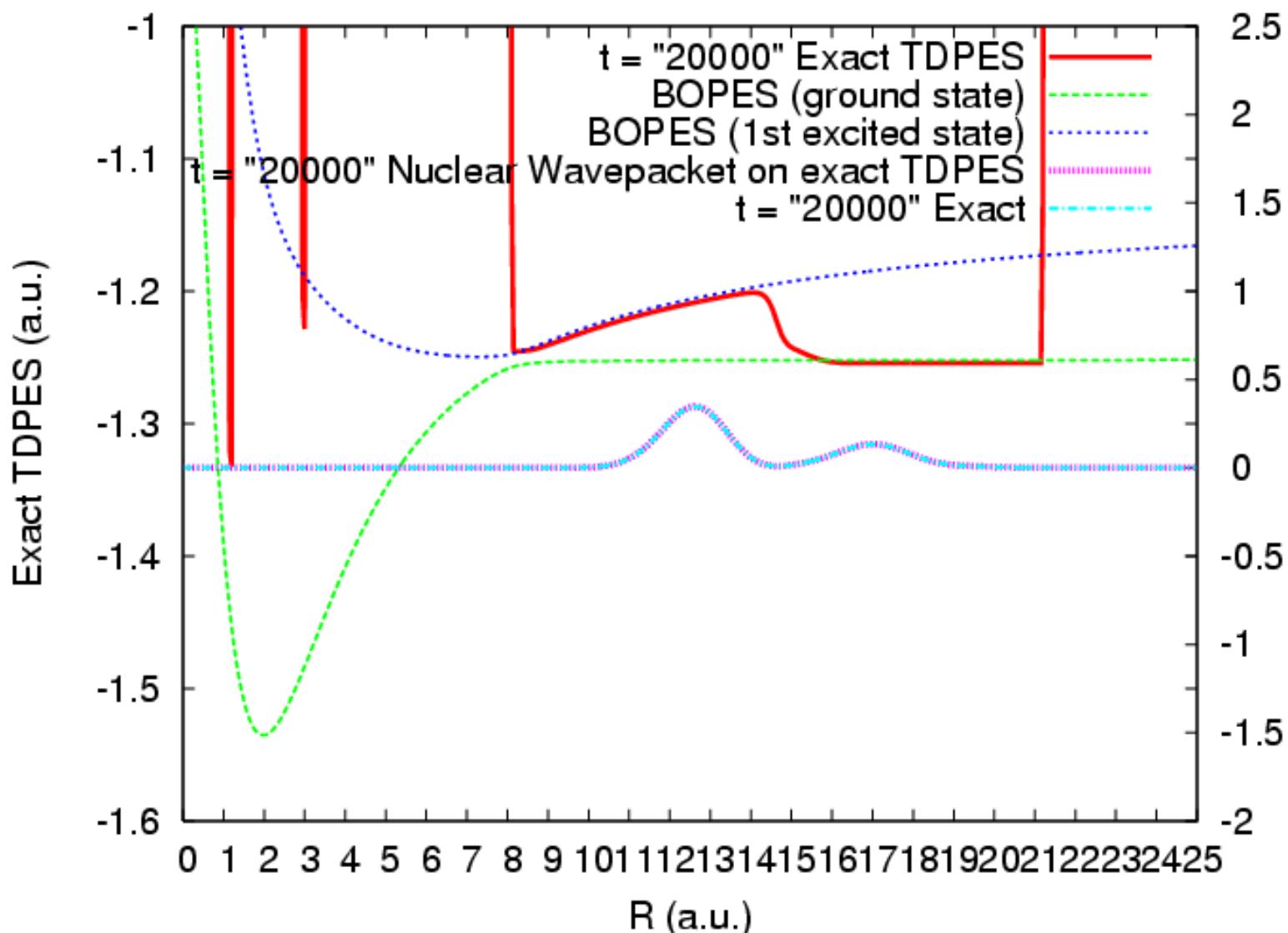












## **New MD scheme:**

Perform classical limit of the nuclear equation, but retain the quantum treatment of the electronic degrees of freedom.

**A. Abedi, F. Agostini, E.K.U.Gross, EPL 106, 33001 (2014)**

## Theorem T-II

Eq. 1

$$\left( \underbrace{\hat{T}_e + \hat{W}_{ee} + \hat{V}_e^{\text{ext}}(\underline{\underline{r}}, t) + \hat{V}_{en}(\underline{\underline{r}}, \underline{\underline{R}})}_{\hat{H}_{BO}(t)} + \sum_v^{N_n} \frac{1}{2M_v} (-i\nabla_v - A_v(\underline{\underline{R}}, t))^2 \right. \\ \left. + \sum_v^{N_n} \frac{1}{M_v} \left( \frac{-i\nabla_v \chi(\underline{\underline{R}}, t)}{\chi(\underline{\underline{R}}, t)} + A_v(\underline{\underline{R}}, t) \right) (-i\nabla_v - A_v) \in (\underline{\underline{R}}, t) \right) \Phi_{\underline{\underline{R}}}(\underline{\underline{r}}) = i\partial_t \Phi_{\underline{\underline{R}}}(\underline{\underline{r}}, t)$$

Eq. 2

$$\left( \sum_v^{N_n} \frac{1}{2M_v} (-i\nabla_v + A_v(\underline{\underline{R}}, t))^2 + \hat{W}_{nn}(\underline{\underline{R}}) + \hat{V}_n^{\text{ext}}(\underline{\underline{R}}, t) \in (\underline{\underline{R}}, t) \right) \chi(\underline{\underline{R}}, t) = i\partial_t \chi(\underline{\underline{R}}, t)$$

## Nuclear wavefunction

$$\chi(R, t) = e^{\frac{i}{\hbar} S(R, t)} |\chi(R, t)|$$

## Classical limit

$$\begin{cases} |\chi(R, t)|^2 \rightarrow \delta(R - R_c(t)) \\ \nabla_R S(R, t) \rightarrow P_c(t) \end{cases}$$

Hence

$$\frac{-i\hbar \nabla_R \chi}{\chi} \xrightarrow{\hbar \rightarrow 0} P_c(t)$$

Expand the exact electronic wave function in the adiabatic basis:

$$\Phi_R(r, t) = \sum_j c_j(R, t) \varphi_{R,j}^{\text{BO}}(r)$$

Insert this in the (exact) electronic equation of motion:

$$\dot{c}_j(R, t) = f_j\left(\{c_k(R, t)\}, \{\nabla_R c_k(R, t)\}, \{\nabla_R^2 c_k(R, t)\}\right)$$

in the classical limit:

$$\nabla_R c_k(R, t), \nabla_R^2 c_k(R, t) \rightarrow 0$$

i.e. in this limit the  $c_k(R, t)$  become independent of  $R$ .

In practice we solve the following equations:

$$\dot{c}_j(t) = -\frac{i}{\hbar} \left[ \varepsilon_{BO}^{(j)} - \left( V_{eff}^{(I)} + iV_{eff}^{(R)} \right) \right] c_j(t) - \sum_k c_k(t) D_{jk}$$

$$V_{eff}^{(I)} = \sum_j |c_j|^2 \varepsilon_{R,j}^{BO} + \frac{P \cdot A}{M} + \frac{\hbar^2}{M} \sum_{j < k} \Re [c_j^* c_k] d_{jk}^{(2)}$$

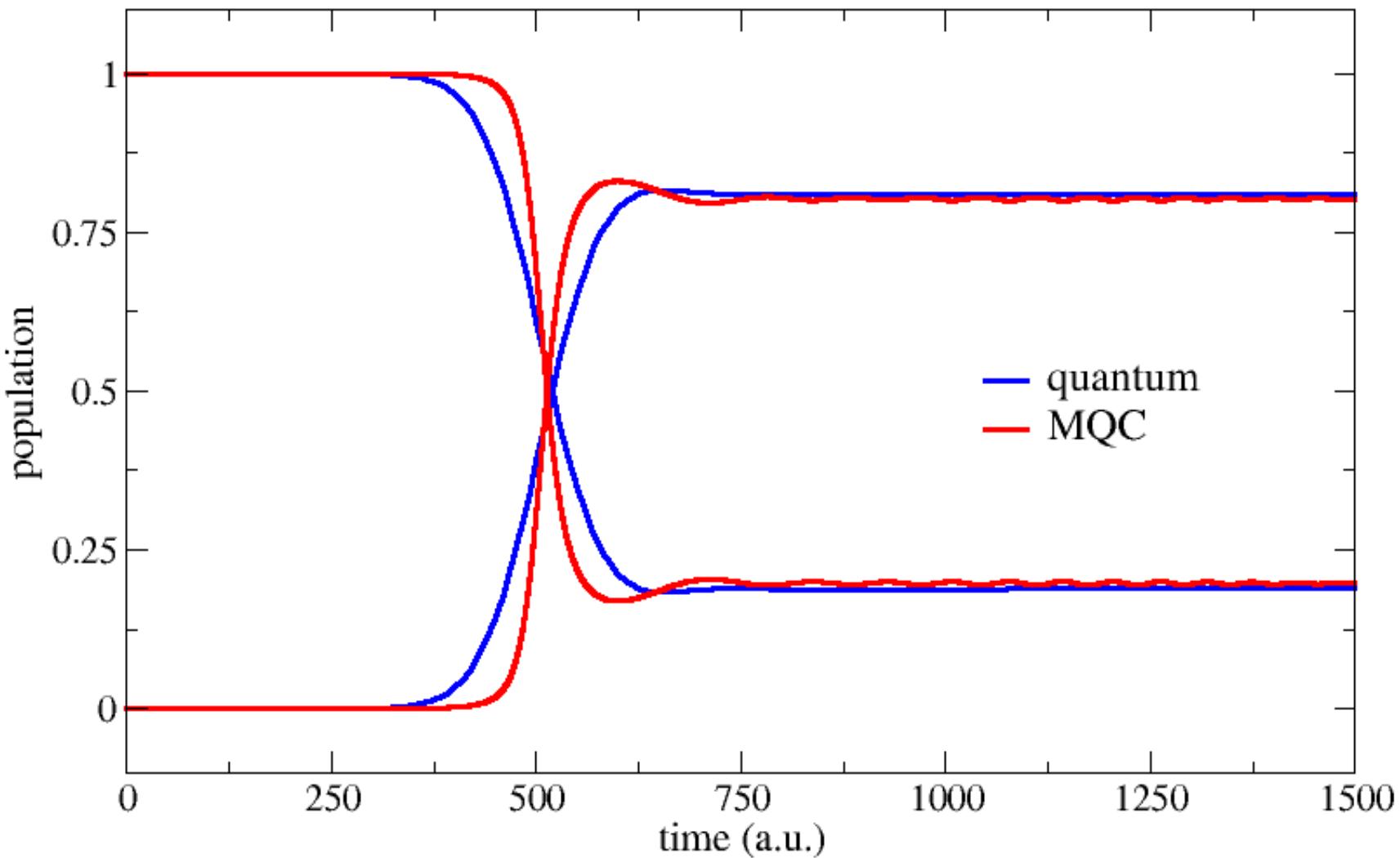
$$V_{eff}^{(R)} = -\frac{\hbar^2}{M} \sum_{j < k} \Im [c_j^* c_k] \nabla_R \cdot d_{jk}^{(1)}$$

$$D_{jk} = \frac{P}{M} \cdot d_{jk}^{(1)} - \frac{i\hbar}{2M} \left( \nabla_R \cdot d_{jk}^{(1)} - d_{jk}^{(2)} \right)$$

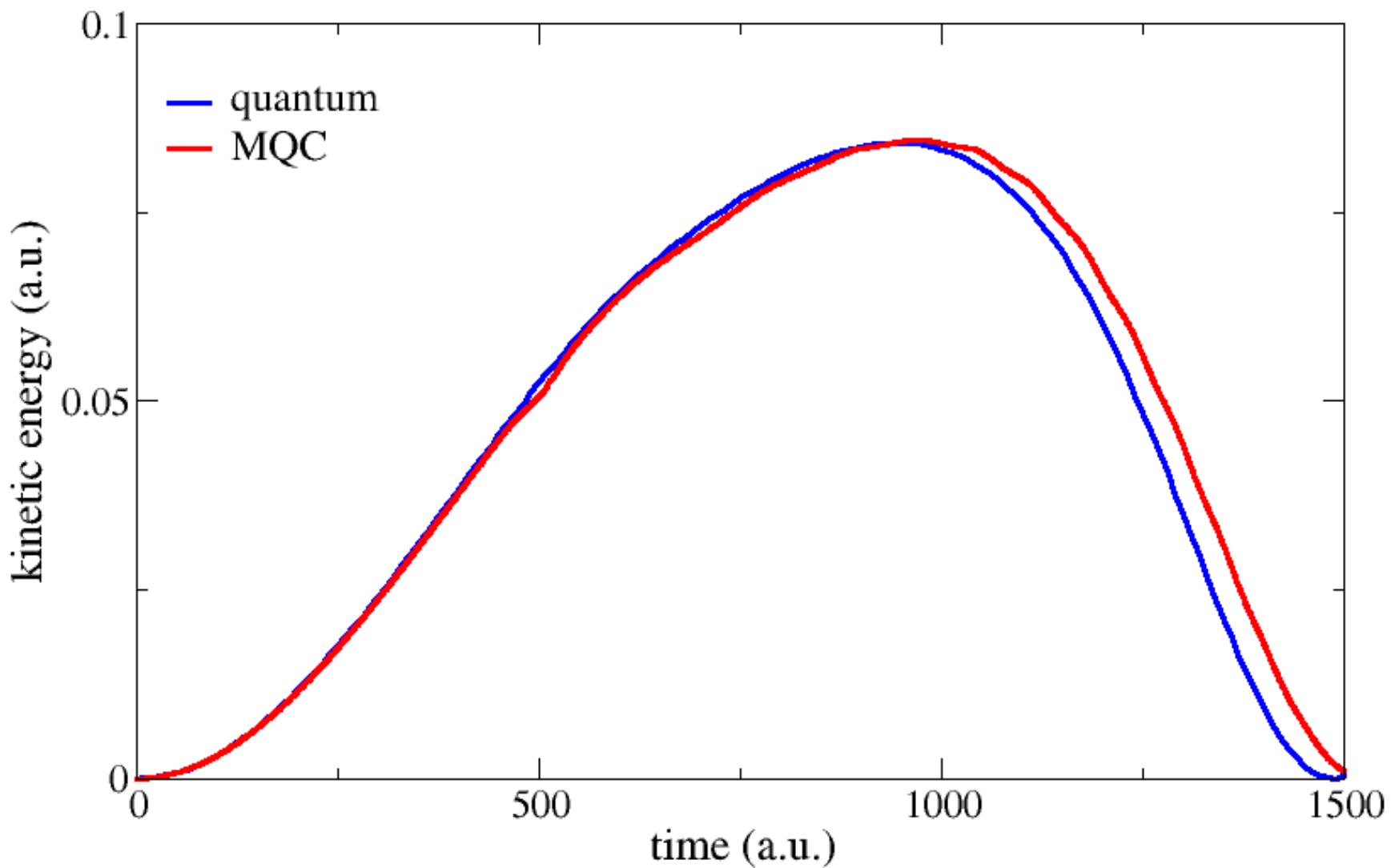
$$d_{jk}^{(1)}(R) = \langle \varphi_{R,j}^{BO} | \nabla_R \varphi_{R,k}^{BO} \rangle \quad d_{jk}^{(2)}(R) = \langle \nabla_R \varphi_{R,j}^{BO} | \nabla_R \varphi_{R,k}^{BO} \rangle$$

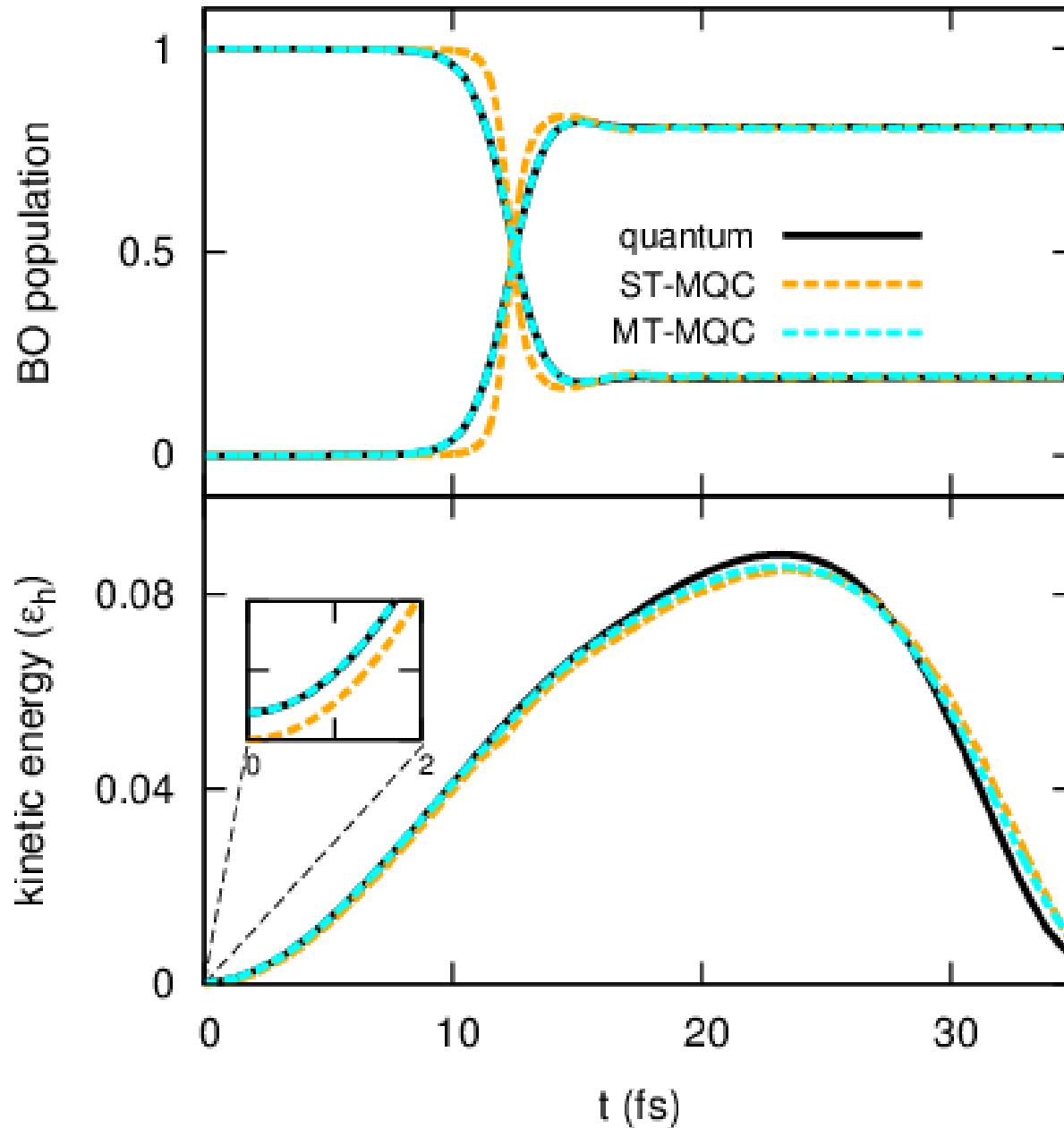
and classical EoM for the nuclear Hamiltonian:  $H_N = \frac{P^2}{2M} + V_{eff}^{(R)}$

Shin-Metiu model  
populations of the BO states as functions of time

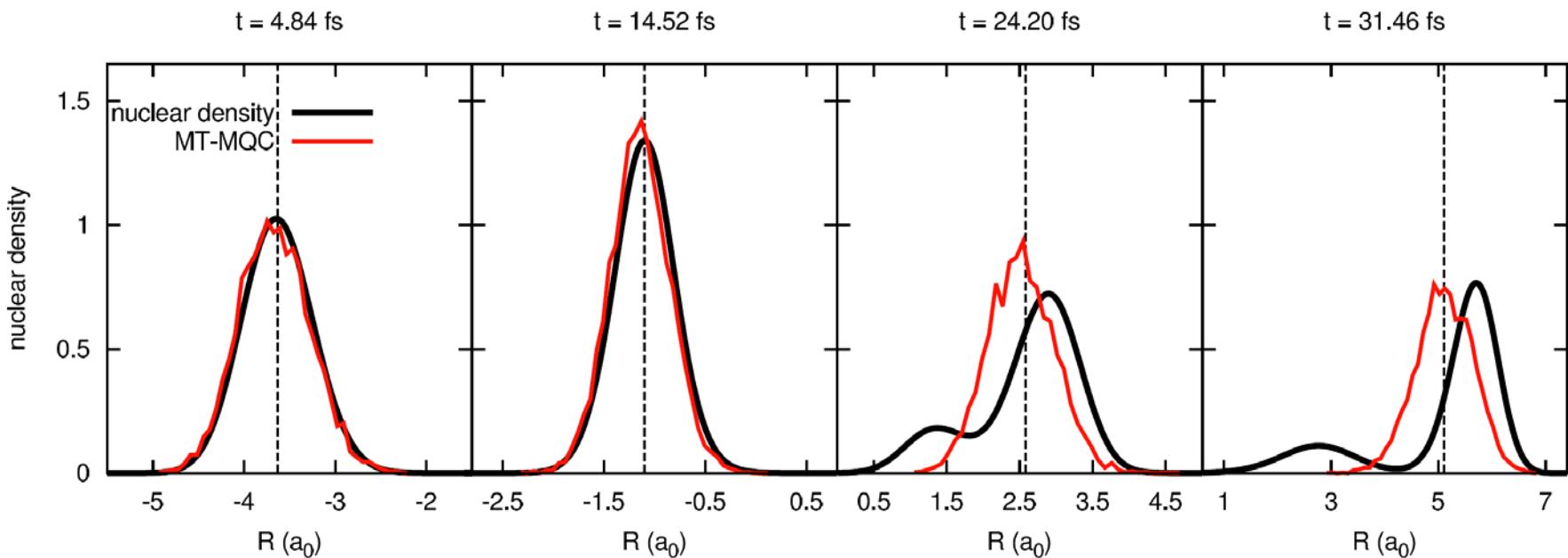


# nuclear kinetic energy as a function of time

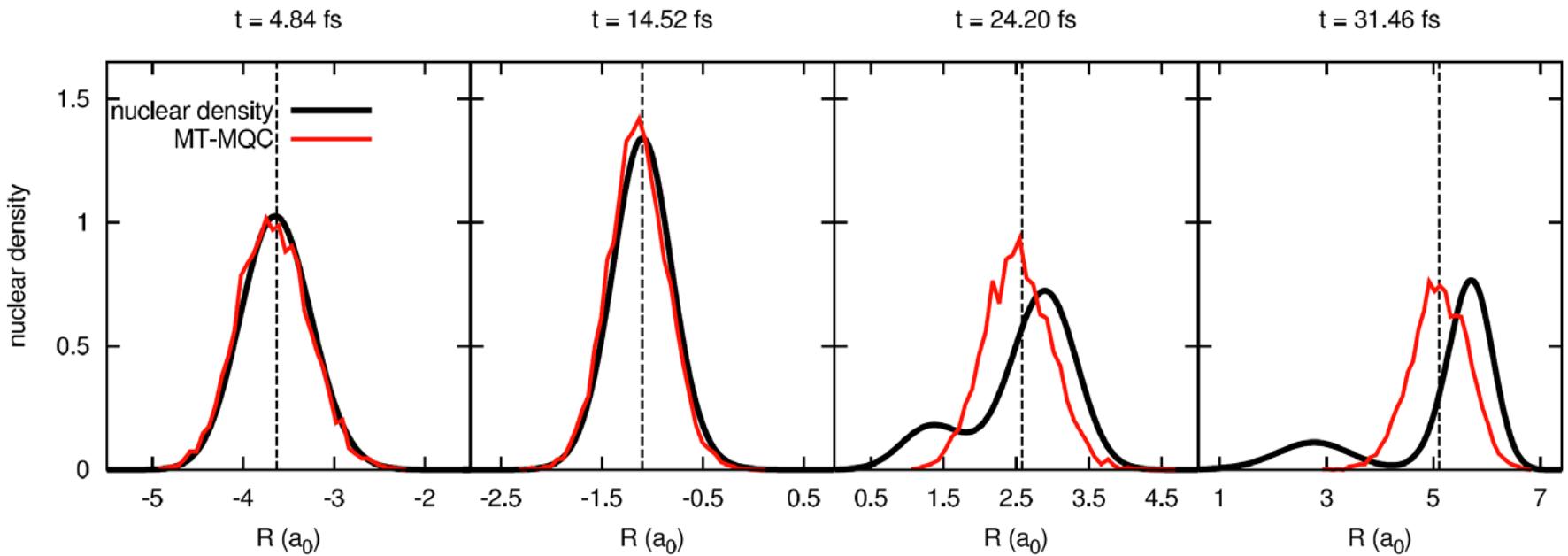




# Exact nuclear density vs. histogram constructed from distribution of classical nuclear positions

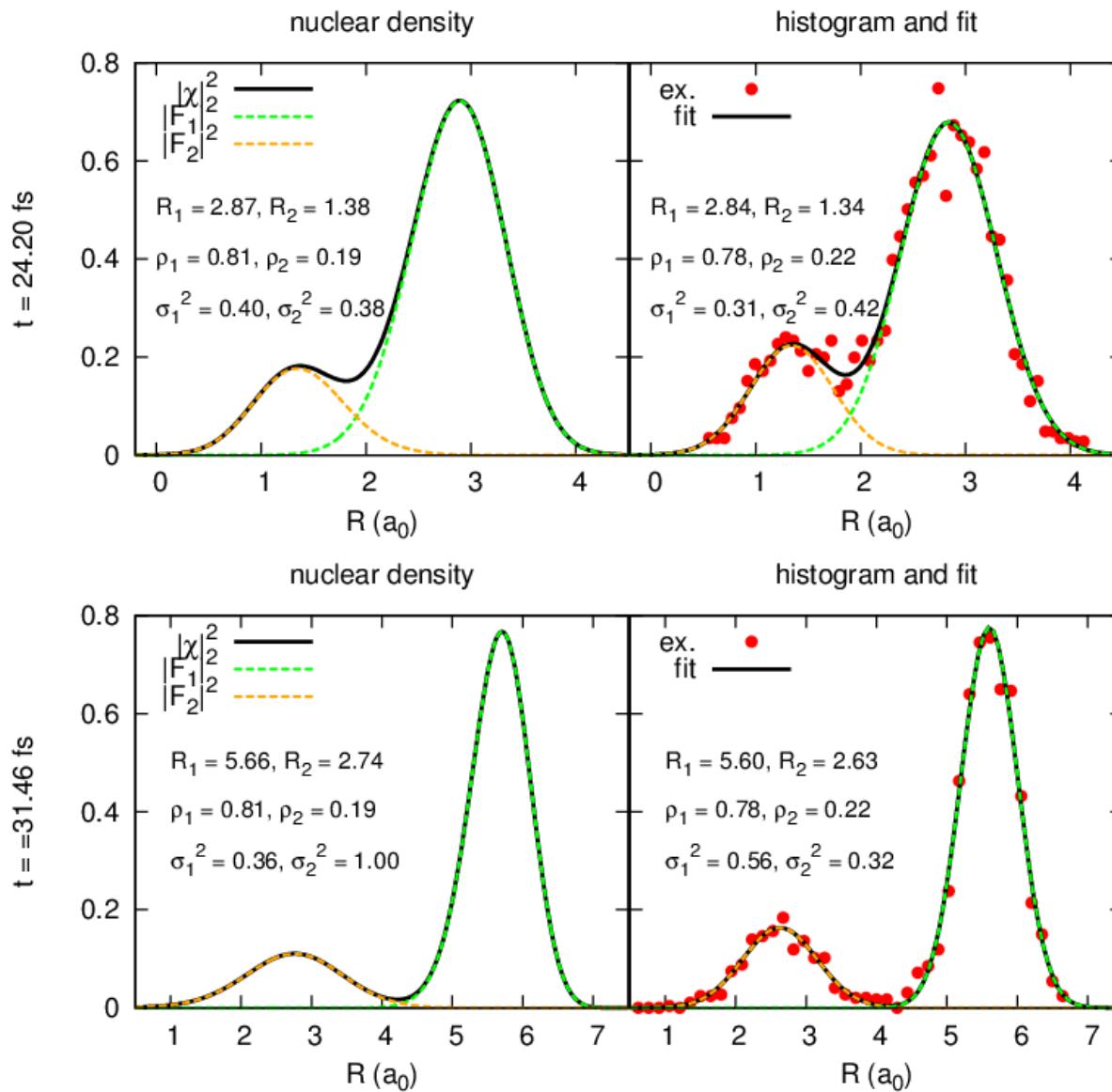


# Exact nuclear density vs. histogram constructed from distribution of classical nuclear positions

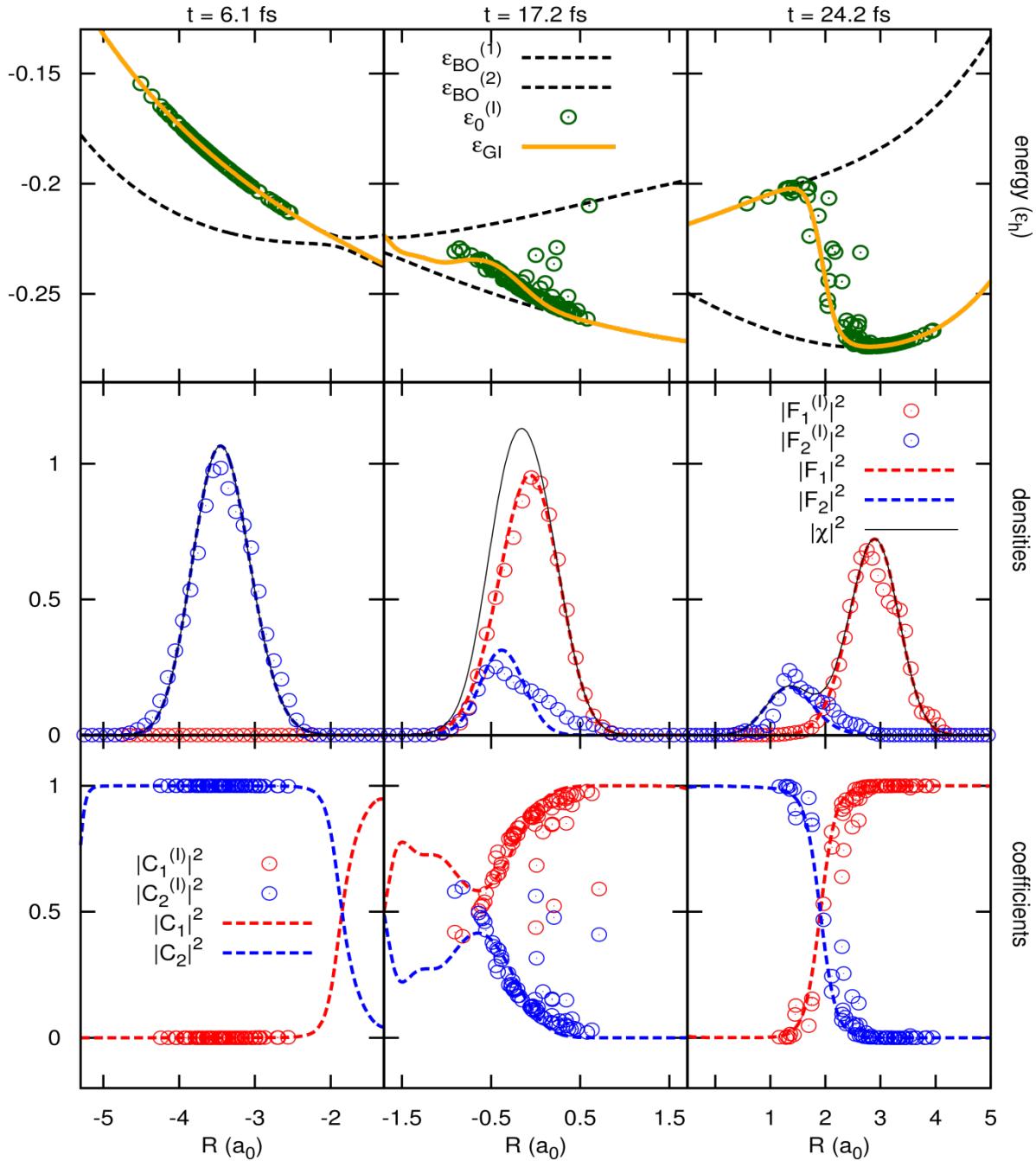


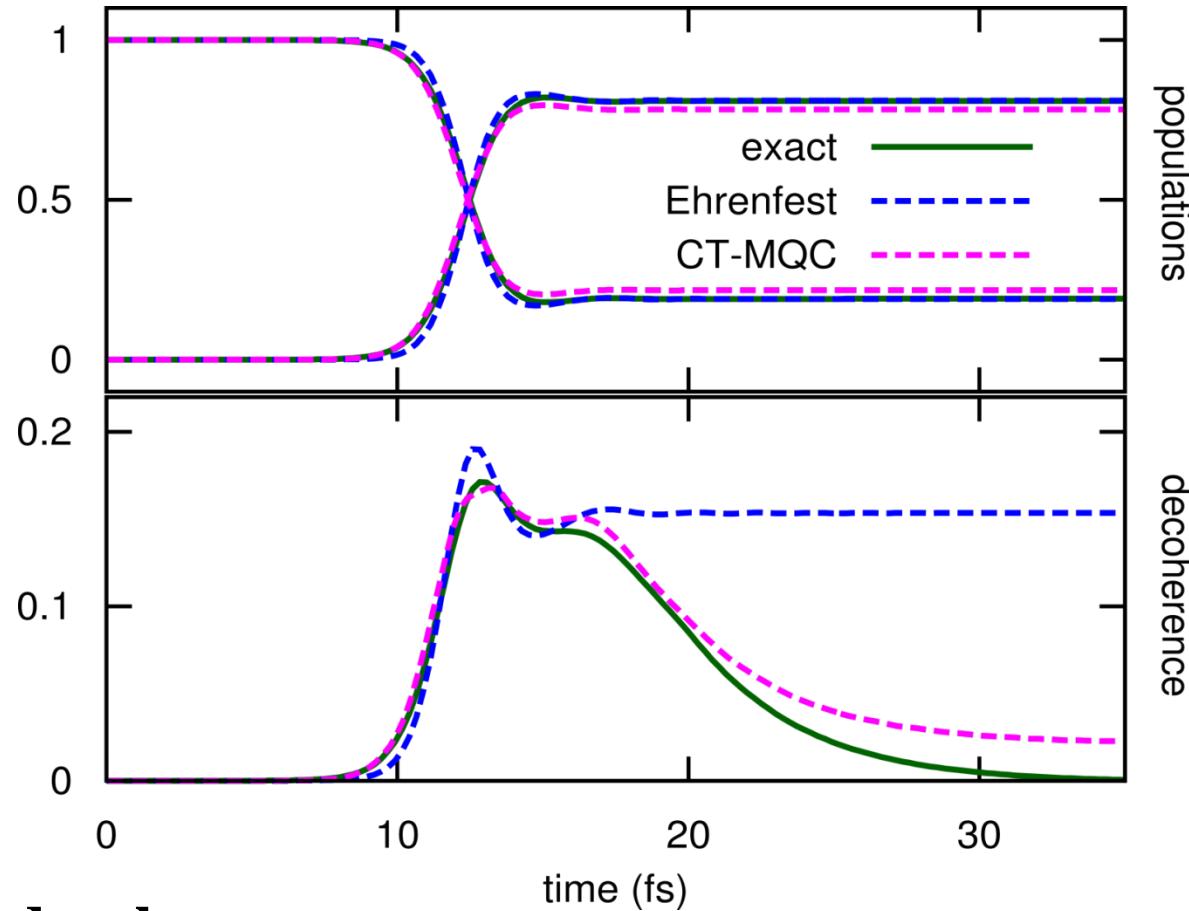
**Algorithm not good enough to reproduce splitting of nuclear density!**

# Propagation of classical nuclei on exact TDPES



# Higher-order algorithm





## Measure of decoherence:

Quantum:

$$\int d\mathbf{R} |c_1(\mathbf{R}, t)|^2 |c_2(\mathbf{R}, t)|^2 |\chi(\mathbf{R}, t)|^2$$

Trajectories

$$N_{\text{traj}}^{-1} \sum_I |c_1^{(I)}(t)|^2 |c_2^{(I)}(t)|^2$$

# Potential Energy Surfaces for electronic motion (ePES)

# What's the correct TDSE for the subsystem of electrons?

$$i\partial_t \Phi(\underline{\underline{r}}, t) = \left( T_e + V_{ee}(\underline{\underline{r}}) + V_{en}(\underline{\underline{r}}, t) \right) \Phi(\underline{\underline{r}}, t)$$

$$V_{en}(\underline{\underline{r}}, t) = \sum_{\alpha} \sum_j -\frac{Z_{\alpha} e^2}{|\mathbf{R}_{\alpha}^{(0)} - \mathbf{r}_j|}$$

Approx.: fixed nuclear point charges

$$V_{en}(\underline{\underline{r}}, t) = \sum_{\alpha} \sum_j -\frac{Z_{\alpha} e^2}{|\mathbf{R}_{\alpha}(t) - \mathbf{r}_j|}$$

Approx.: classically moving  
nuclear point charges

$$V_{en}(\underline{\underline{r}}, t) = \sum_j \int \frac{|\chi(\mathbf{R}_1 \dots \mathbf{R}_{\alpha} \dots \mathbf{R}_N, t)|^2 Z_{\alpha} e^2}{|\mathbf{R}_{\alpha} - \mathbf{r}_j|} d^3 \mathbf{R}_1 \dots d^3 \mathbf{R}_N$$

mean-field  
approx

**exact**       $V_{en}(\underline{\underline{r}}, t)$       ??

## Question:

**Can one write down a purely electronic Hamiltonian with a suitable PES such that the resulting many-electron wave function yields the true N-electron density and current density (that one would get from the full electron-nuclear wave function  $\Psi(\mathbf{R},\mathbf{r})$ )?**

## Theorem

**The exact solution of**

$$i\partial_t \Psi(\underline{r}, \underline{\underline{R}}, t) = H(\underline{r}, \underline{\underline{R}}, t) \Psi(\underline{r}, \underline{\underline{R}}, t)$$

**can be written in the form**

$$\Psi(\underline{r}, \underline{\underline{R}}, t) = \Phi(\underline{r}, t) \chi_{\underline{\underline{r}}}(\underline{\underline{R}}, t)$$

**where  $\int d\underline{\underline{R}} |\chi_{\underline{\underline{r}}}(\underline{\underline{R}}, t)|^2 = 1$  for any fixed  $\underline{r}, t$**

exact TDPES for electrons

$$\left( \sum_j^{N_e} \frac{1}{2} \left( -i\nabla_j - \tilde{A}_j(\underline{\underline{r}}, t) \right)^2 + \hat{W}_{ee}(\underline{\underline{r}}) + \tilde{\epsilon}(\underline{\underline{r}}, t) \right) \Phi(\underline{\underline{r}}, t) = i\partial_t \Phi(\underline{\underline{r}}, t)$$

$$\tilde{\epsilon}(\underline{\underline{r}}, t) = \int d\underline{\underline{R}} \chi_{\underline{\underline{r}}}^*(\underline{\underline{R}}, t) \left( H_{nuc}[\Phi](\underline{\underline{R}}, \underline{\underline{r}}, t) - i\partial_t \right) \chi_{\underline{\underline{r}}}(\underline{\underline{R}}, t)$$

**EXACT electronic potential energy surface**

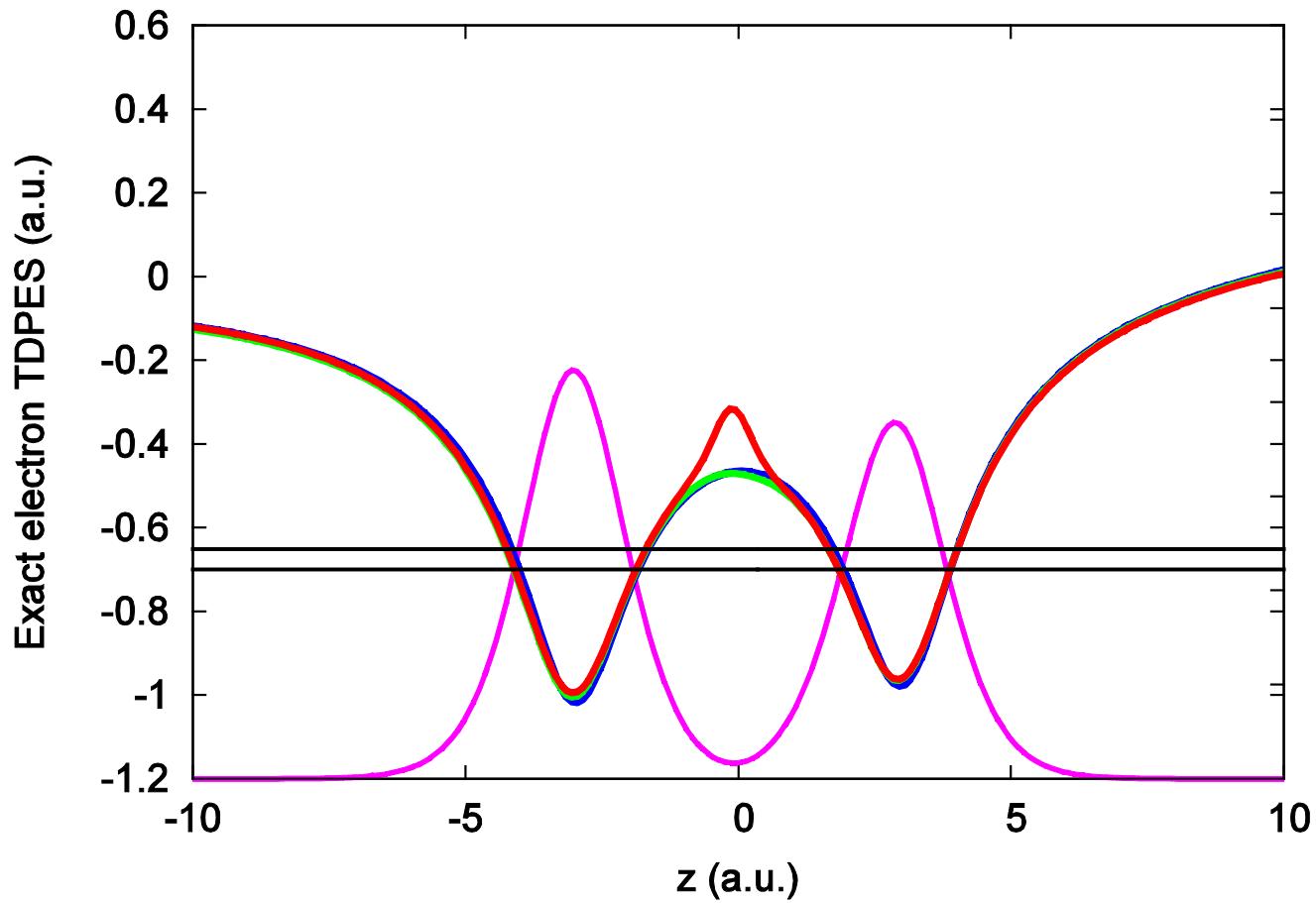
$$\tilde{A}_j(\underline{\underline{r}}, t) = -i \int \chi_{\underline{\underline{r}}}^*(\underline{\underline{R}}, t) \nabla_j \chi_{\underline{\underline{r}}}(\underline{\underline{R}}, t) d\underline{\underline{R}}$$

**EXACT electronic Berry connection**

**Study electron localization in the dissociation of  $\text{H}_2^+$  in  
suitably shaped laser pulse using exact electronic surface.**

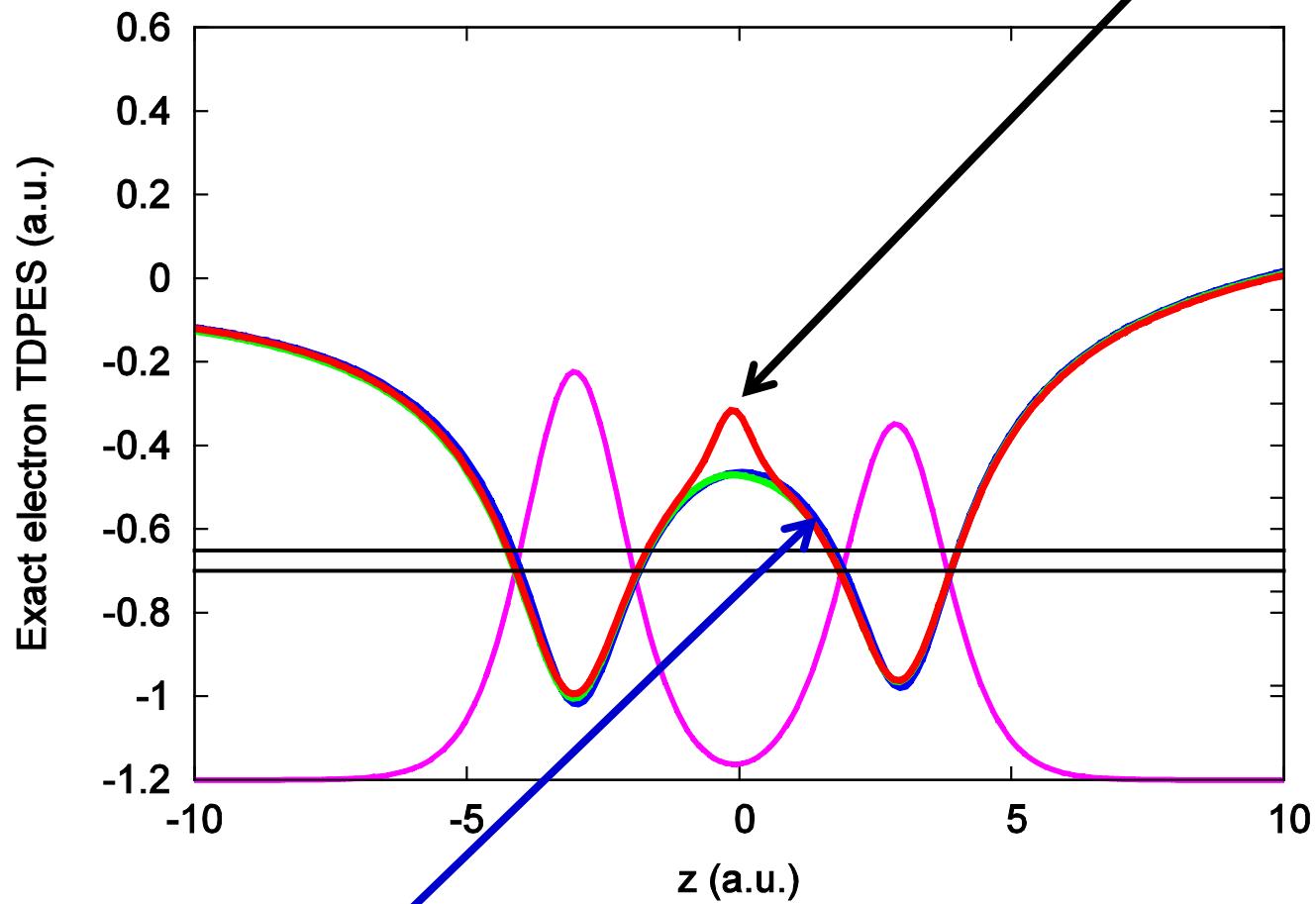
**Experiments by M. Vrakking (Max Born Institute, Berlin)**

$t = 240$

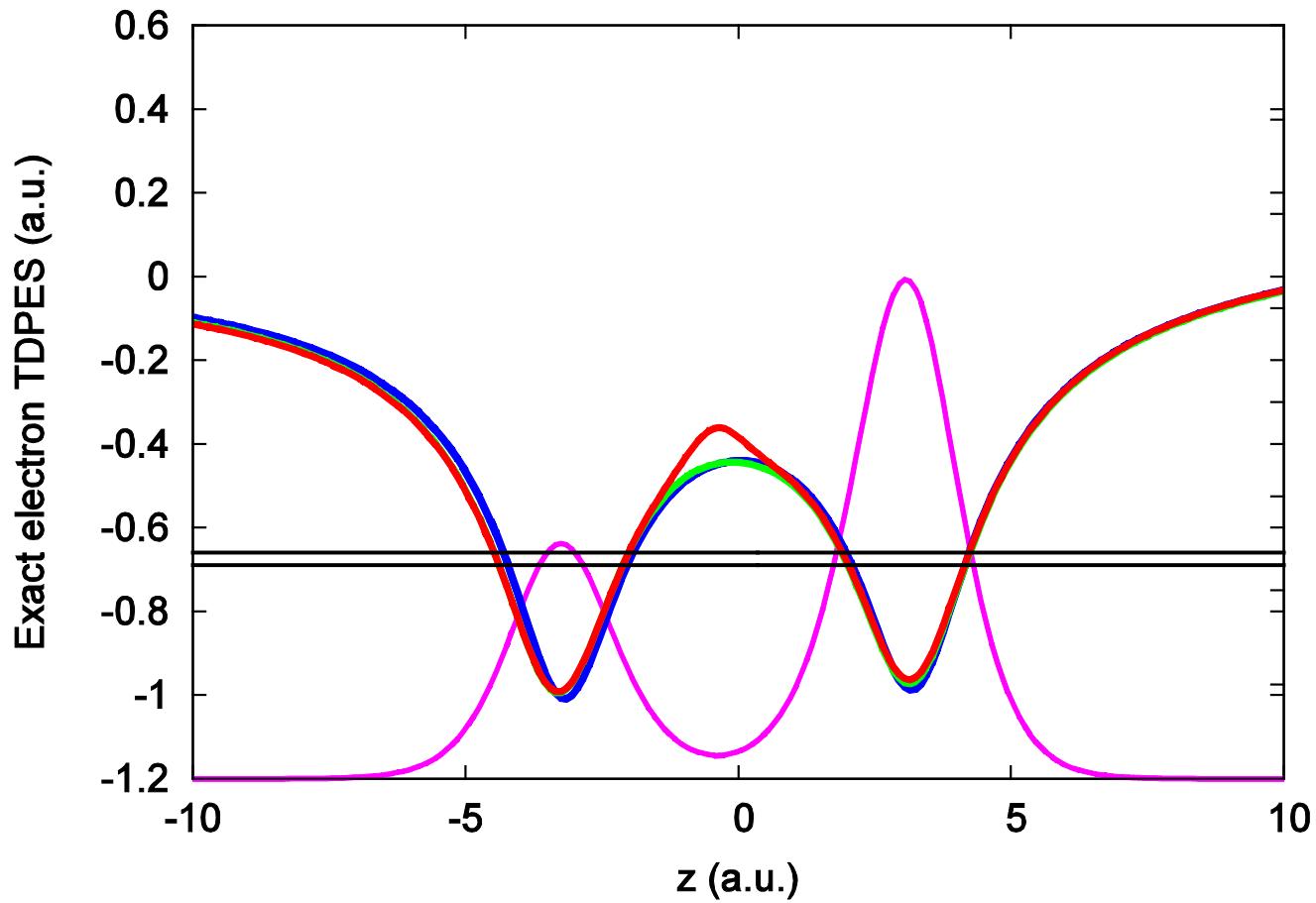


Exact electronic TDPES

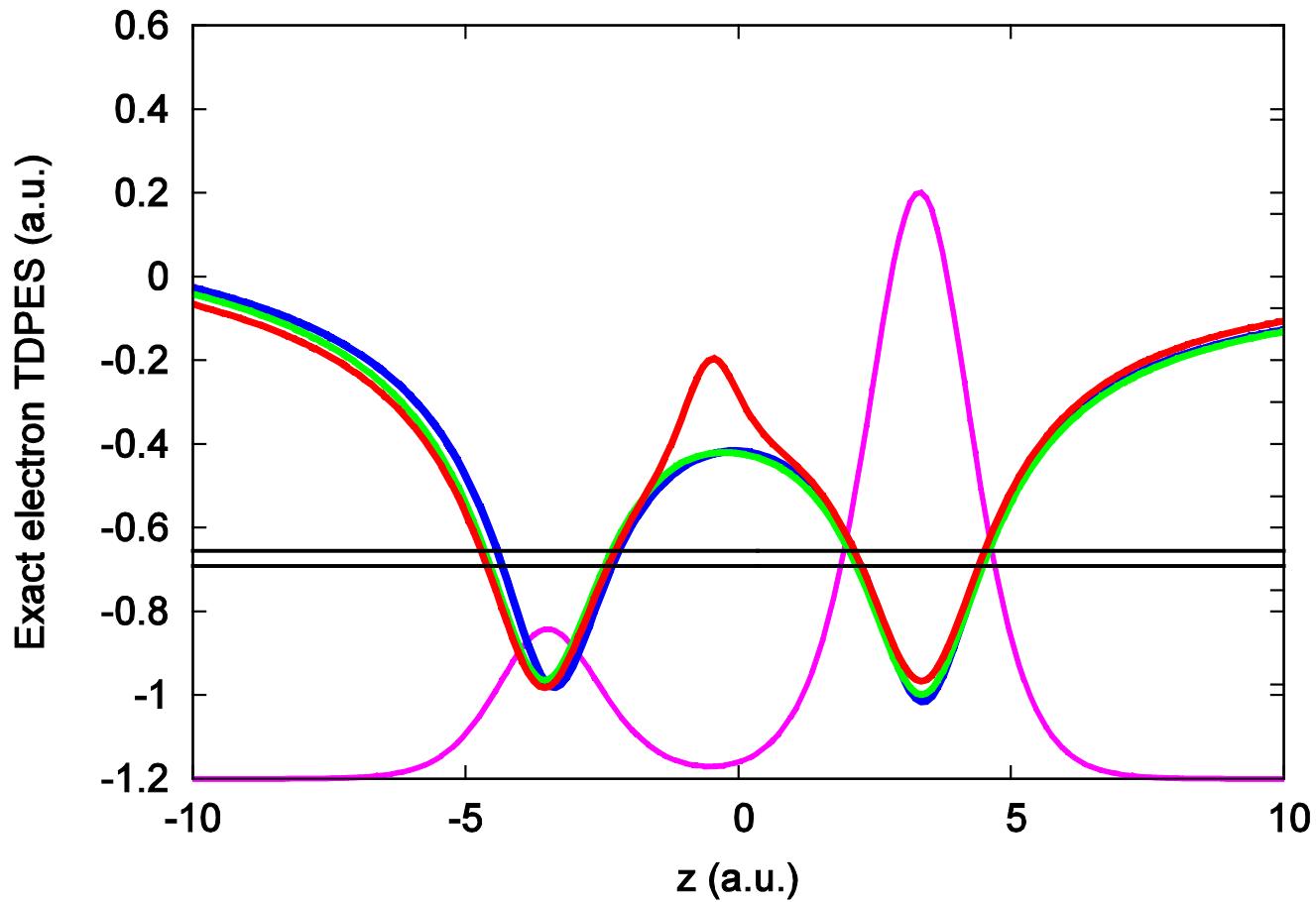
$t = 240$



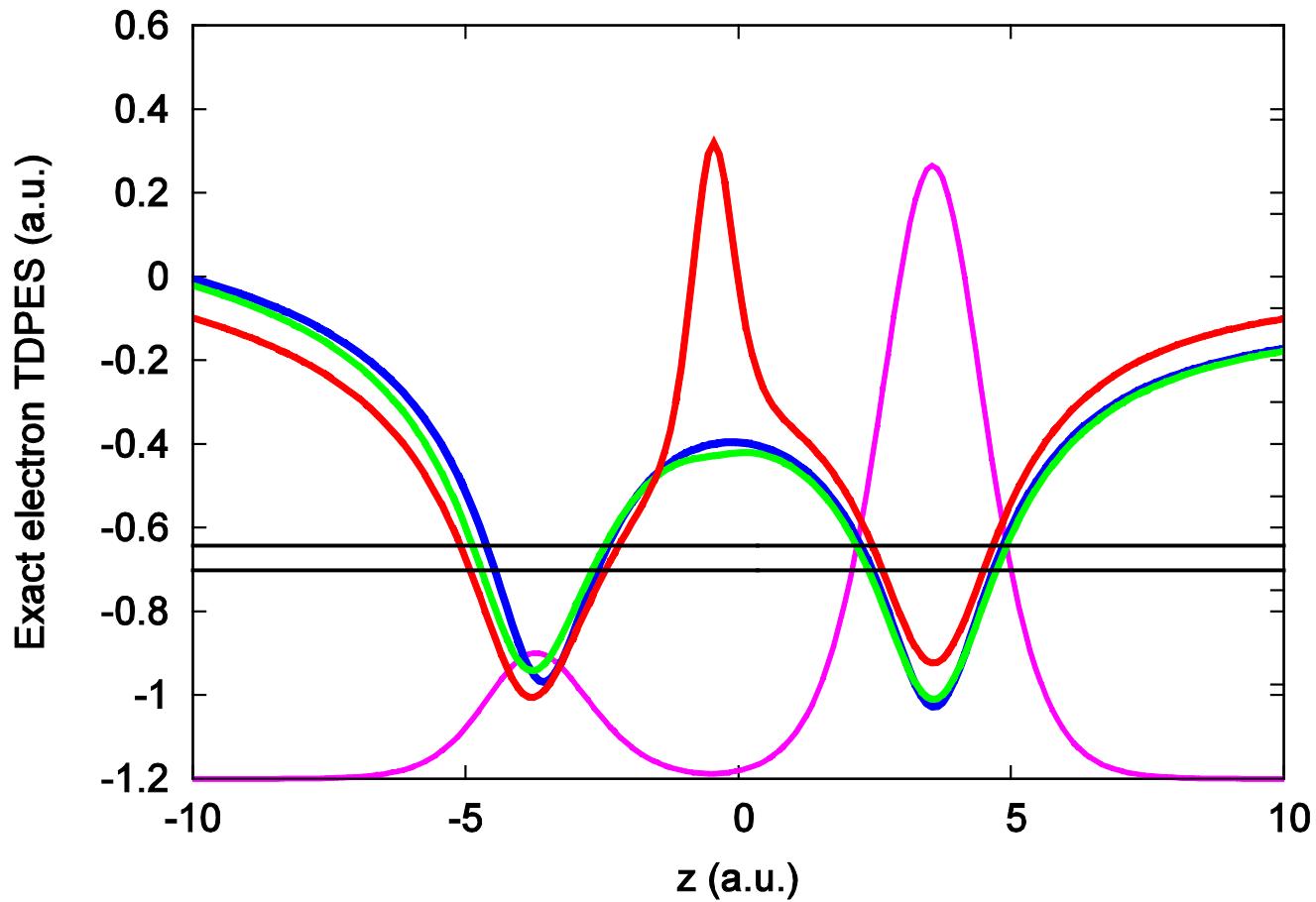
$t = 260$

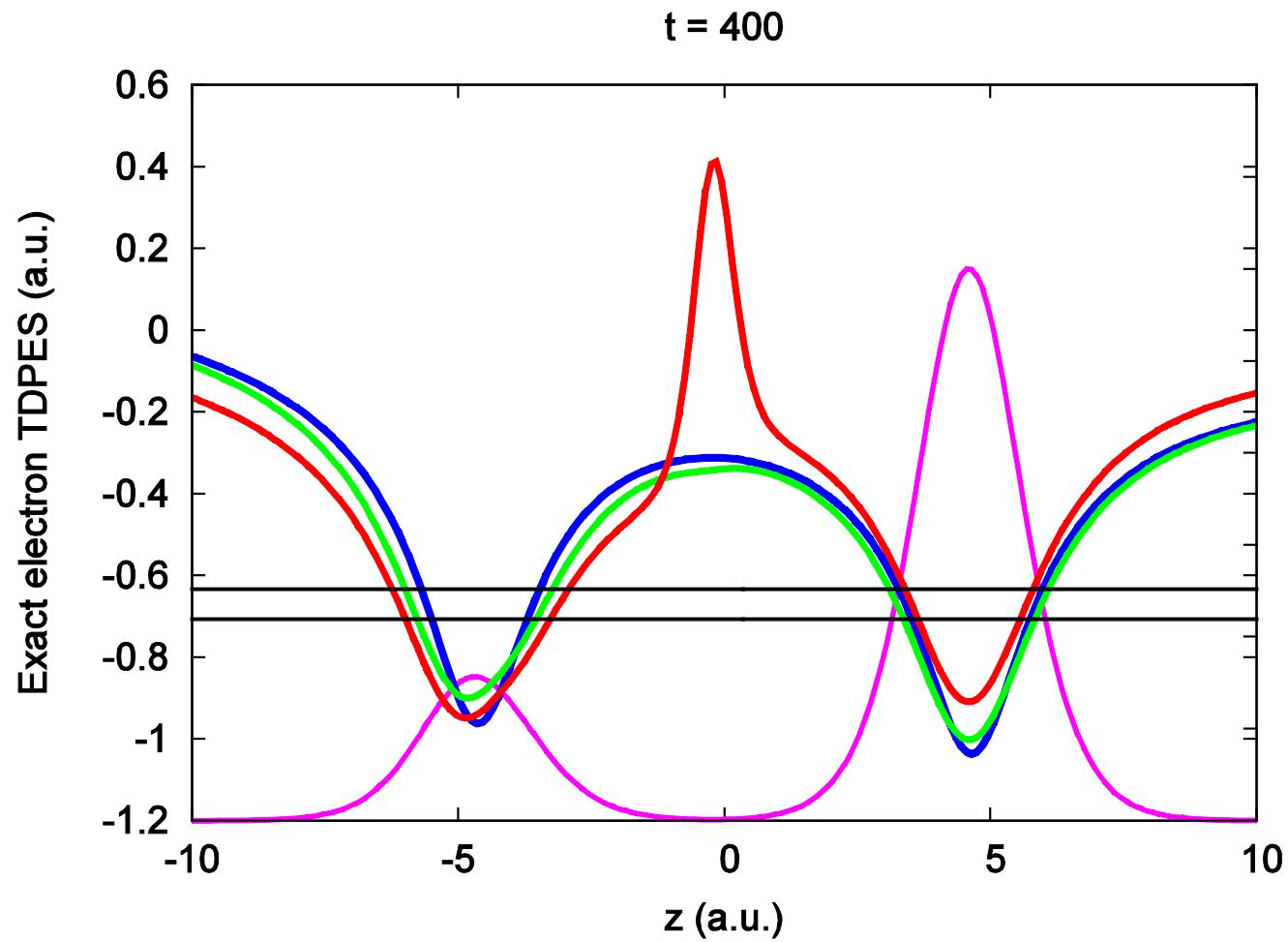


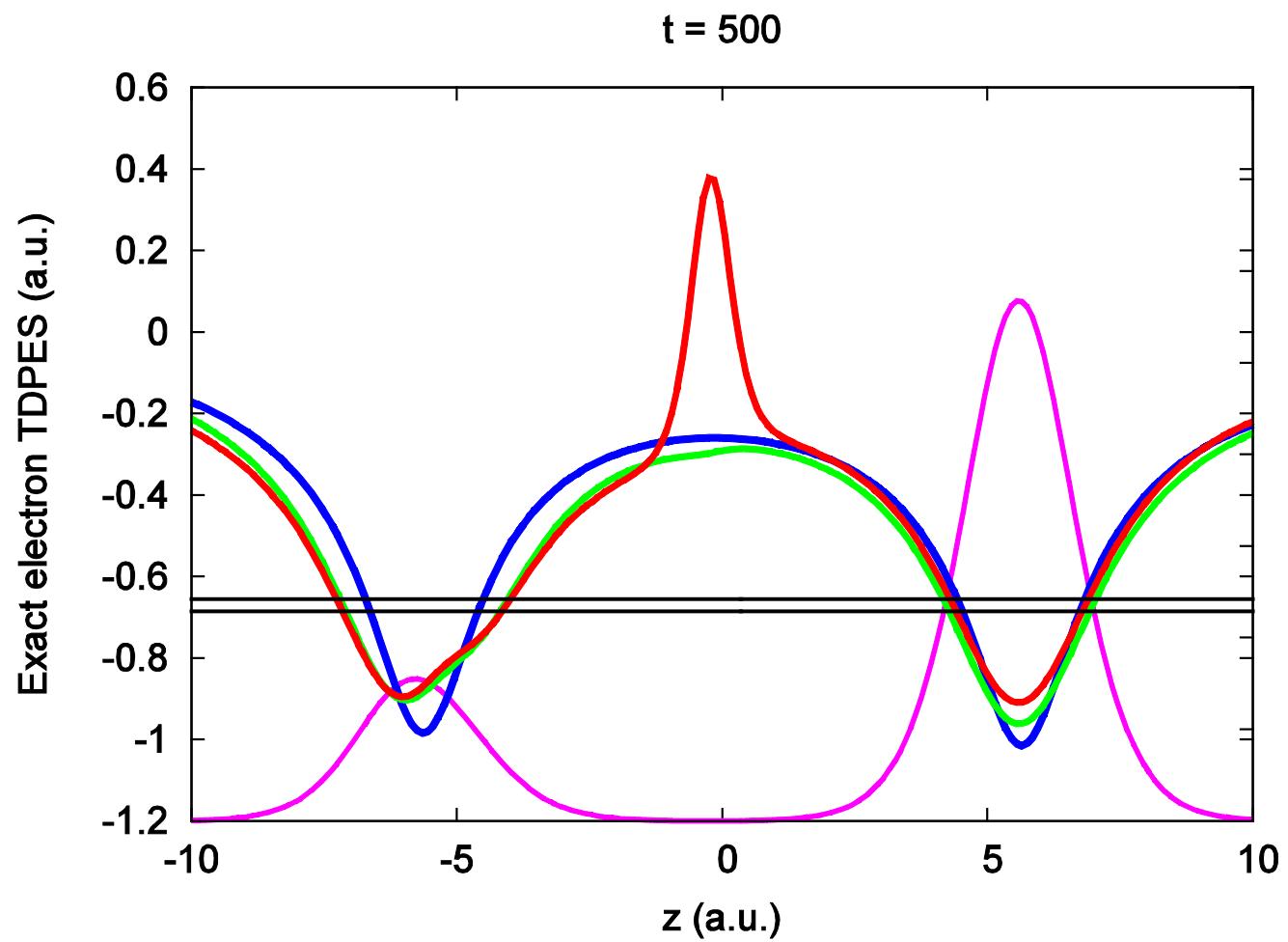
$t = 280$



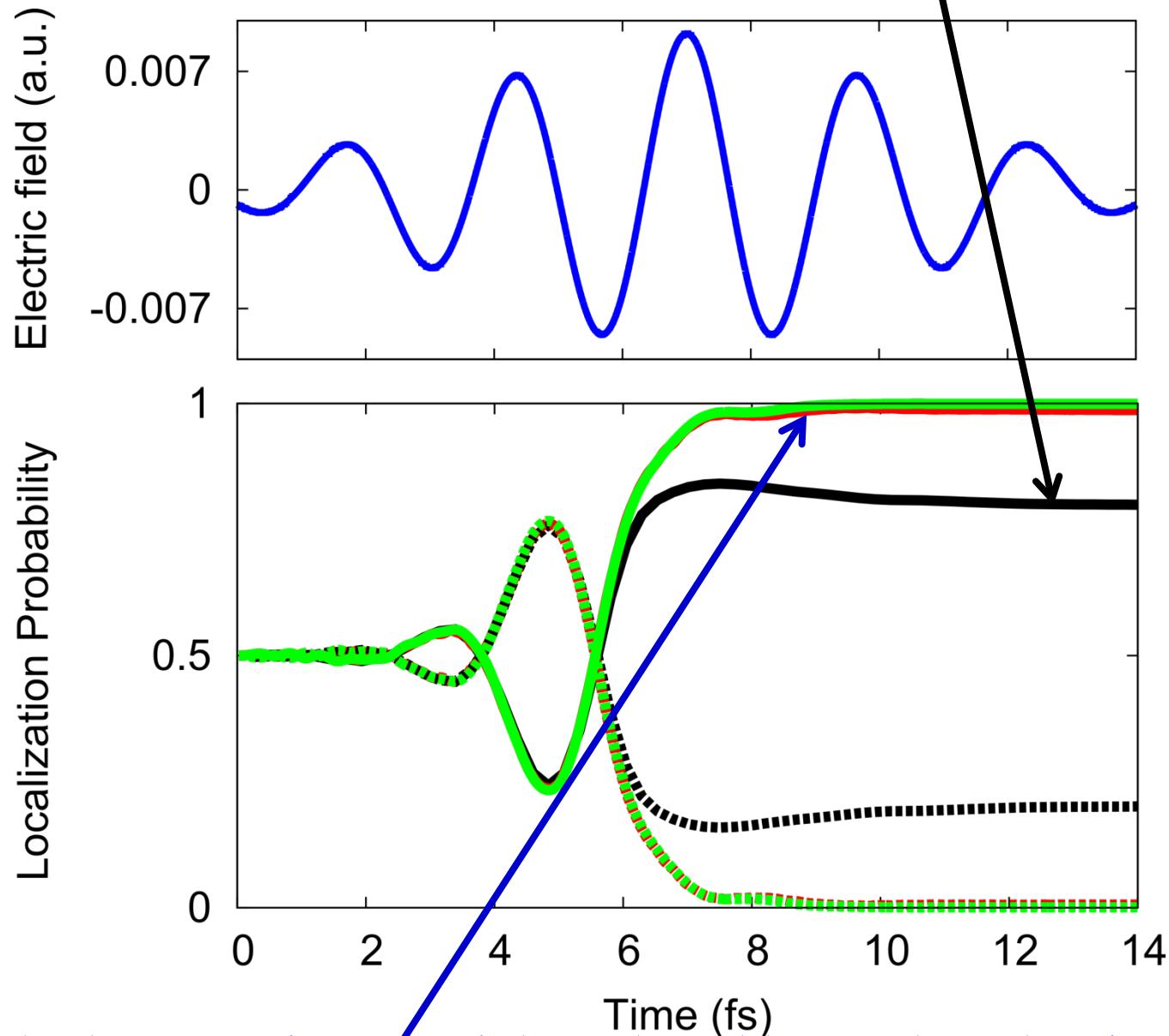
$t = 300$







with exact electronic TDPES



with electrostatic potential produced by nuclear density

## **Summary:**

- $\Psi(\underline{\underline{r}}, \underline{\underline{R}}) = \Phi_{\underline{\underline{R}}}(\underline{\underline{r}}) \cdot \chi(\underline{\underline{R}})$  is exact
- Eqs. of motion for  $\Phi_{\underline{\underline{R}}}(\underline{\underline{r}})$  and  $\chi(\underline{\underline{R}})$  lead to
  - exact potential energy surface
  - exact Berry connection
- both in the static and the time-dependent case
- Exact Berry phase may vanish when BO Berry phase  $\neq 0$
- TD-PES shows jumps resembling surface hopping
- mixed quantum classical algorithms
- reverse the role of electrons and nuclei: Electronic TDPES

# Thanks!



SFB 450  
SFB 685  
SFB 762  
SPP 1145