

# Neutrinos from charm production in the atmosphere

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Based on RE, M.H. Reno & I. Sarcevic, arXiv:0806.0418  
+ work in progress  
(RE, Reno, Sarcevic + K. Kutak, A. Szczurek)

# Main message

QCD is crucial for some astrophysical processes:

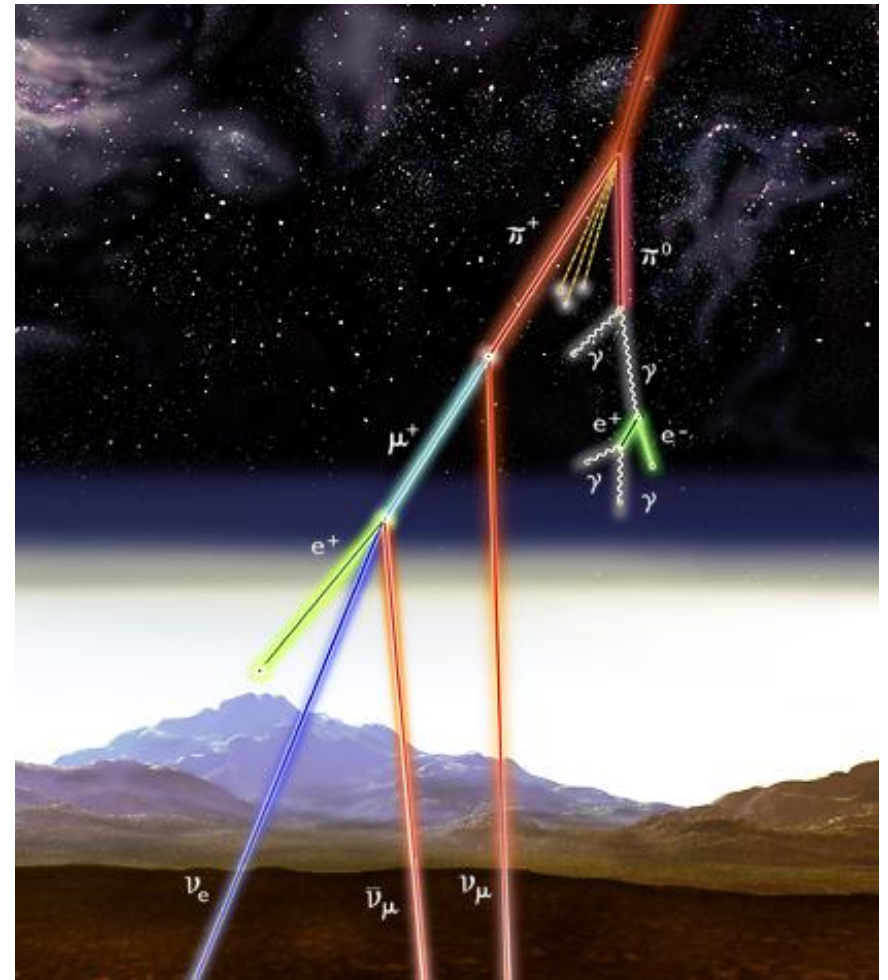
- Atmospheric neutrinos
- Neutrino-nucleon cross-section at high energy
- Interactions in astrophysical sources

For example:

- What happens at **small Bjorken  $x$** ?  
(Much smaller  $x$  than in colliders)
- Forward region  
(Hard to measure at colliders)
- Fragmentation of quarks  $\rightarrow$  hadrons
- Nuclear effects in pA hard interactions

# Atmospheric neutrinos

- Cosmic rays bombard upper atmosphere and collide with air nuclei
- Hadron production: pions, kaons, D-mesons ...
- Interaction & decay  
⇒ cascade of particles
- Semileptonic decays  
⇒ neutrino flux



INFN-Notizie No.1 June 1999

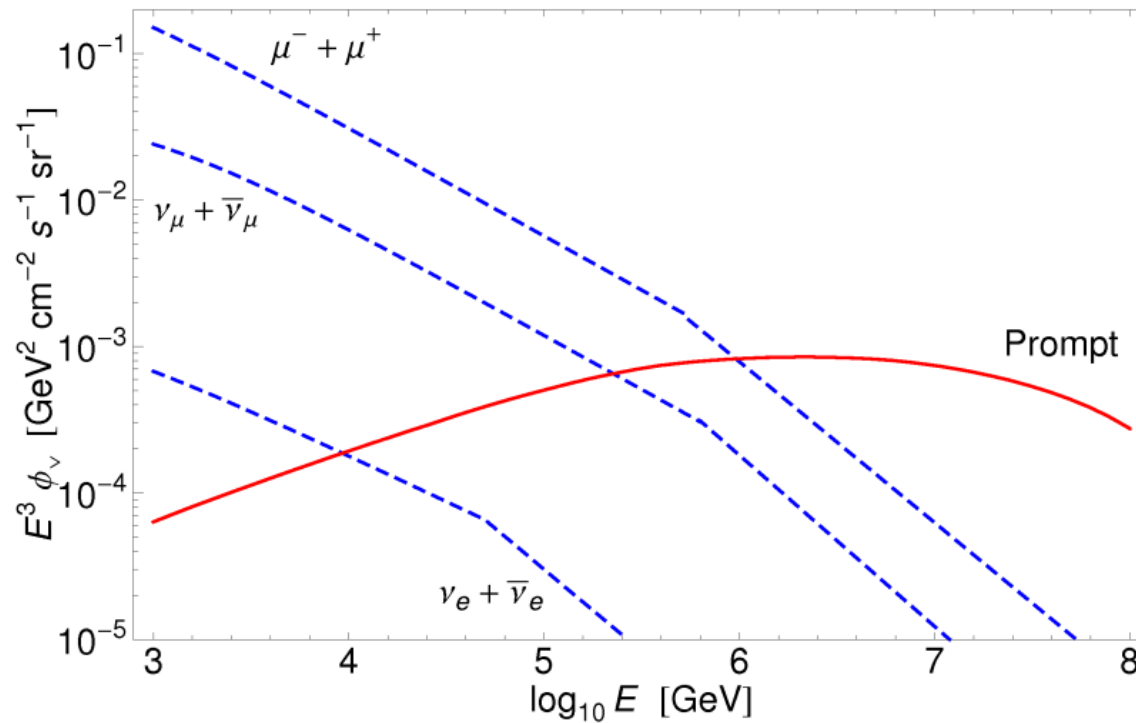
# Conventional neutrino flux

- Pions (and kaons) are produced in more or less every inelastic collision
- $\pi^+$  always decay to neutrinos ( $\pi^+ \rightarrow \mu^+ \nu_\mu$  is 99.98 %)
- *But  $\pi$ ,  $K$  are long-lived* ( $c\tau \sim 8$  meters for  $\pi^+$ )
  - $\Rightarrow$  lose energy through collisions before decaying
  - $\Rightarrow$  neutrino energies are degraded
- This is called the *conventional neutrino flux*

# Prompt neutrino flux

- Hadrons containing heavy quarks (*charm or bottom*) are **extremely short-lived**:
  - ⇒ decay before losing much energy
  - ⇒ neutrino energy spectrum is harder
- However, production cross-section is much smaller
- There is a cross-over energy above which prompt neutrinos dominate over the conventional flux
- This is called the *prompt neutrino flux*

# Prompt vs conventional fluxes of atmospheric neutrinos

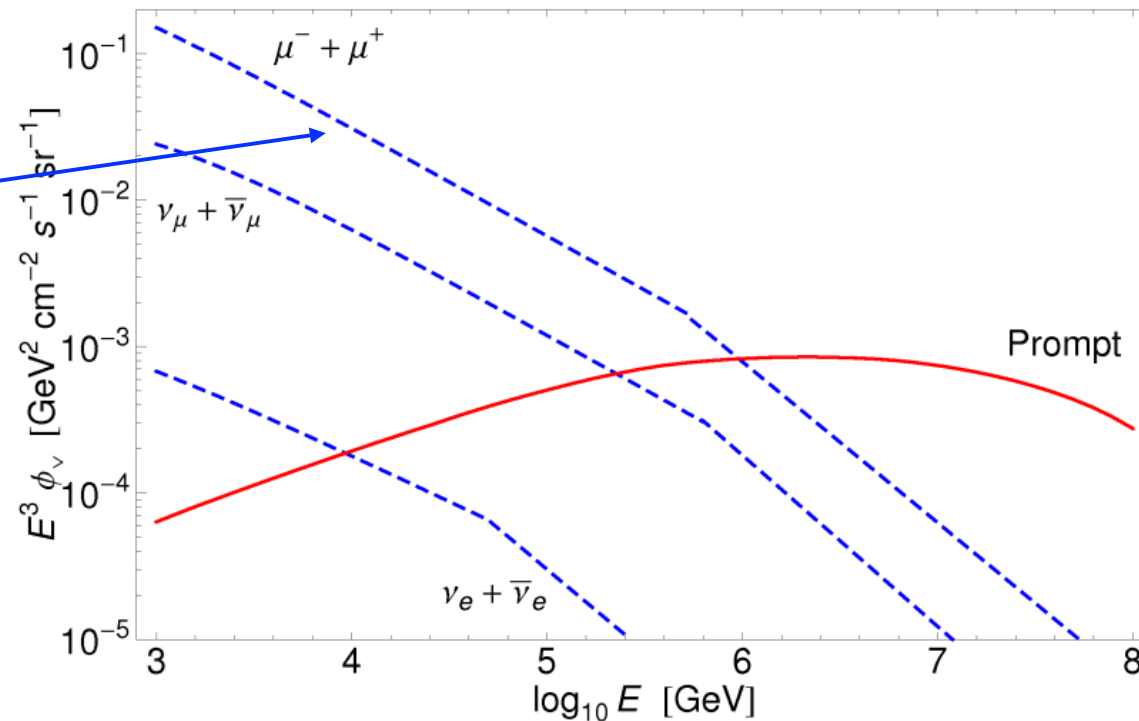


Prompt flux: Enberg, Reno, Sarcevic, arXiv:0806.0418 (in PRD)

Conventional: Gaisser & Honda, Ann. Rev. Nucl. Part. Sci. **52**, 153 (2002)

# Prompt vs conventional fluxes of atmospheric neutrinos

Pions & kaons:  
long-lived  
 $\Rightarrow$  lose  
energy  
before  
decay

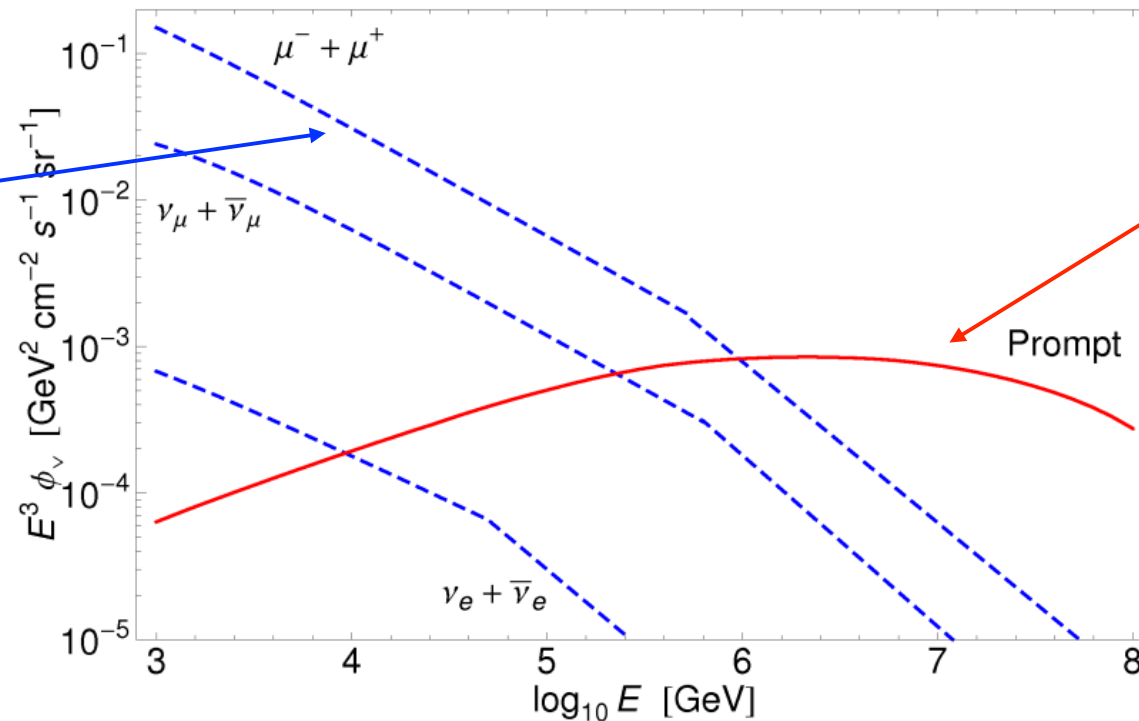


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# Prompt vs conventional fluxes of atmospheric neutrinos

Pions & kaons:  
long-lived  
⇒ lose energy before decay



Charmed mesons:  
short-lived  
⇒ don't lose energy  
⇒ harder spectrum

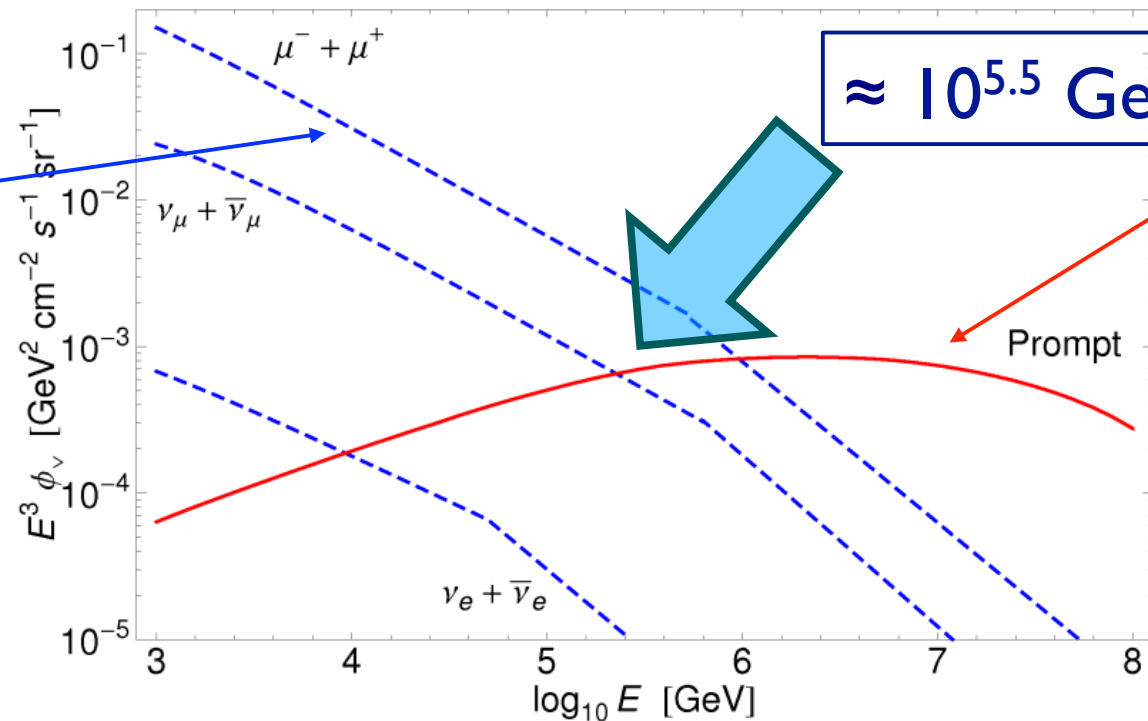
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# Prompt vs conventional fluxes of atmospheric neutrinos

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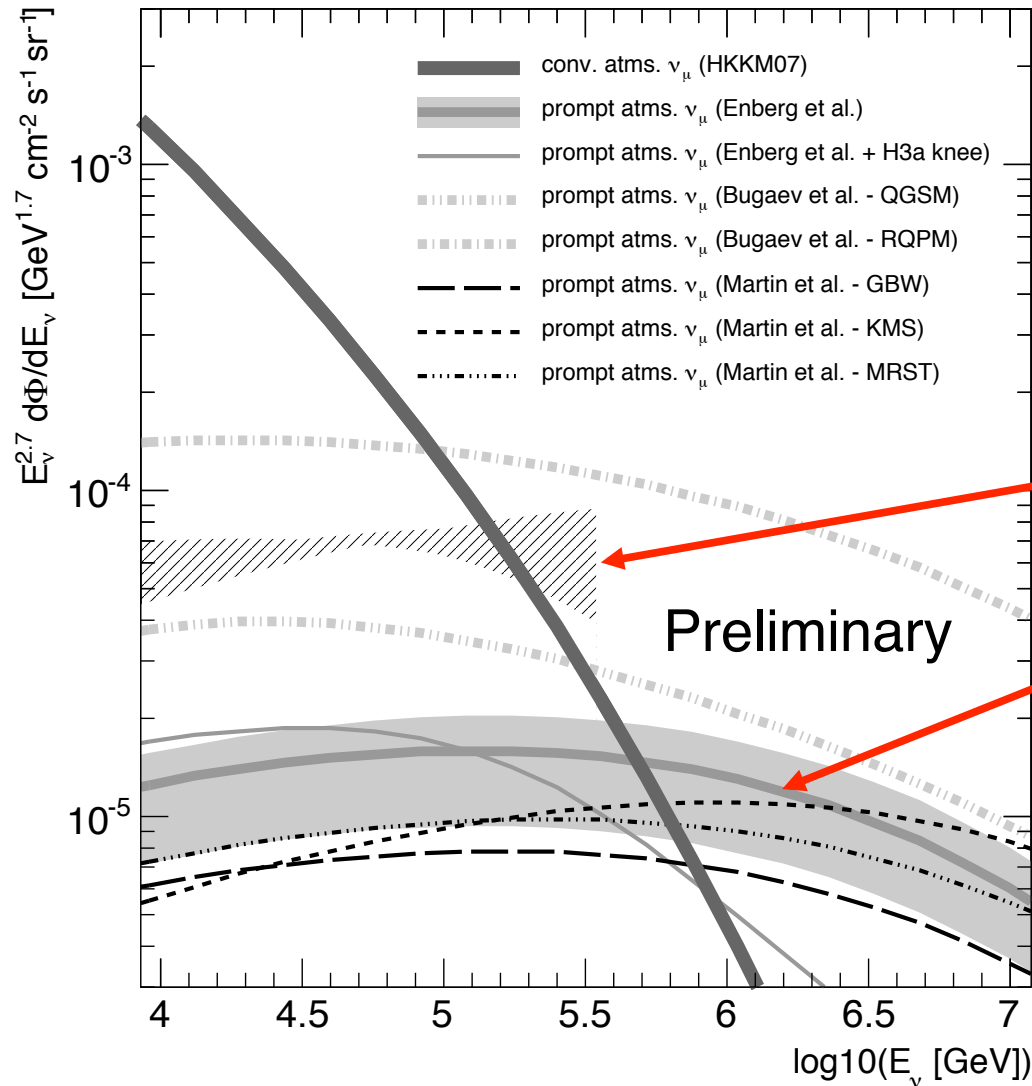


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# IceCube



So far the limits only reach up to roughly the predicted cross-over point  
→ no sign of prompt flux

The atmospheric neutrinos are the major background to e.g. the recent observed high-energy events

A. Schukraft for IceCube, arXiv:1302.0127

# Calculating the flux

- To find the neutrino flux we must solve a set of cascade equations given the incoming cosmic ray flux:

$$\frac{d\phi_N}{dX} = -\frac{\phi_N}{\lambda_N} + S(NA \rightarrow NY)$$

$$\frac{d\phi_M}{dX} = S(NA \rightarrow MY) - \frac{\phi_M}{\rho d_M(E)} - \frac{\phi_M}{\lambda_M} + S(MA \rightarrow MY)$$

$$\frac{d\phi_\ell}{dX} = \sum_M S(M \rightarrow \ell Y)$$

- $X$  is the slant depth: “amount of atmosphere”  
 $\rho d_M$  is the decay length, with  $\rho$  the density of air  
 $\lambda_M$  is the interaction length for hadronic energy loss

# Z-moments

- We solve the cascade equations by introducing Z-moments:

$$Z_{kh} = \int_E^\infty dE' \frac{\phi_k(E', X, \theta)}{\phi_k(E, X, \theta)} \frac{\lambda_k(E)}{\lambda_k(E')} \frac{dn(kA \rightarrow hY; E', E)}{dE}$$

- Then

$$\frac{d\phi_M}{dX} = -\frac{\phi_M}{\rho d_M} - \frac{\phi_M}{\lambda_M} + Z_{MM} \frac{\phi_M}{\lambda_M} + Z_{NM} \frac{\phi_N}{\lambda_N}$$

- Solve equations separately in low- and high-energy regimes where attenuation is dominated by decay and energy loss, respectively, and interpolate

# Particle production

The particle physics inputs are the energy distributions for decay or production

$$\frac{dn(k \rightarrow j; E_k, E_j)}{dE_j} = \frac{1}{\sigma_{kA}(E_k)} \frac{d\sigma(kA \rightarrow jY, E_k, E_j)}{dE_j}$$
$$\frac{dn(k \rightarrow j; E_k, E_j)}{dE_j} = \frac{1}{\Gamma_k} \frac{d\Gamma(k \rightarrow jY; E_j)}{dE_j}$$

along with the interaction lengths, or cooling lengths

$$\lambda_N(E) = \frac{\rho(h)}{\sigma_{NA}(E) n_A(h)}$$

We thus need the charm production cross section  $d\sigma/dx_F$

# Problem with QCD in this process

Charm cross section in LO QCD:

$$\frac{d\sigma_{\text{LO}}}{dx_F} = \int \frac{dM_{c\bar{c}}^2}{(x_1 + x_2)s} \sigma_{gg \rightarrow c\bar{c}}(\hat{s}) G(x_1, \mu^2) G(x_2, \mu^2)$$

where

$$x_{1,2} = \frac{1}{2} \left( \sqrt{x_F^2 + \frac{4M_{c\bar{c}}^2}{s}} \pm x_F \right)$$

CMS energy is large:  $s = 2E_p m_p$  so  $x_1 \sim x_F$   $x_2 \ll 1$

$x_F=1:$	$E=10^5 \rightarrow x \sim 4 \cdot 10^{-5}$	$x_F=0:$	$E=10^5 \rightarrow x \sim 6 \cdot 10^{-3}$
	$E=10^6 \rightarrow x \sim 4 \cdot 10^{-6}$		$E=10^6 \rightarrow x \sim 2 \cdot 10^{-3}$
	$E=10^7 \rightarrow x \sim 4 \cdot 10^{-7}$		$E=10^7 \rightarrow x \sim 6 \cdot 10^{-4}$

So very small  $x$  is needed for forward processes (large  $x_F$ )! 14

# Problem with QCD at small $x$

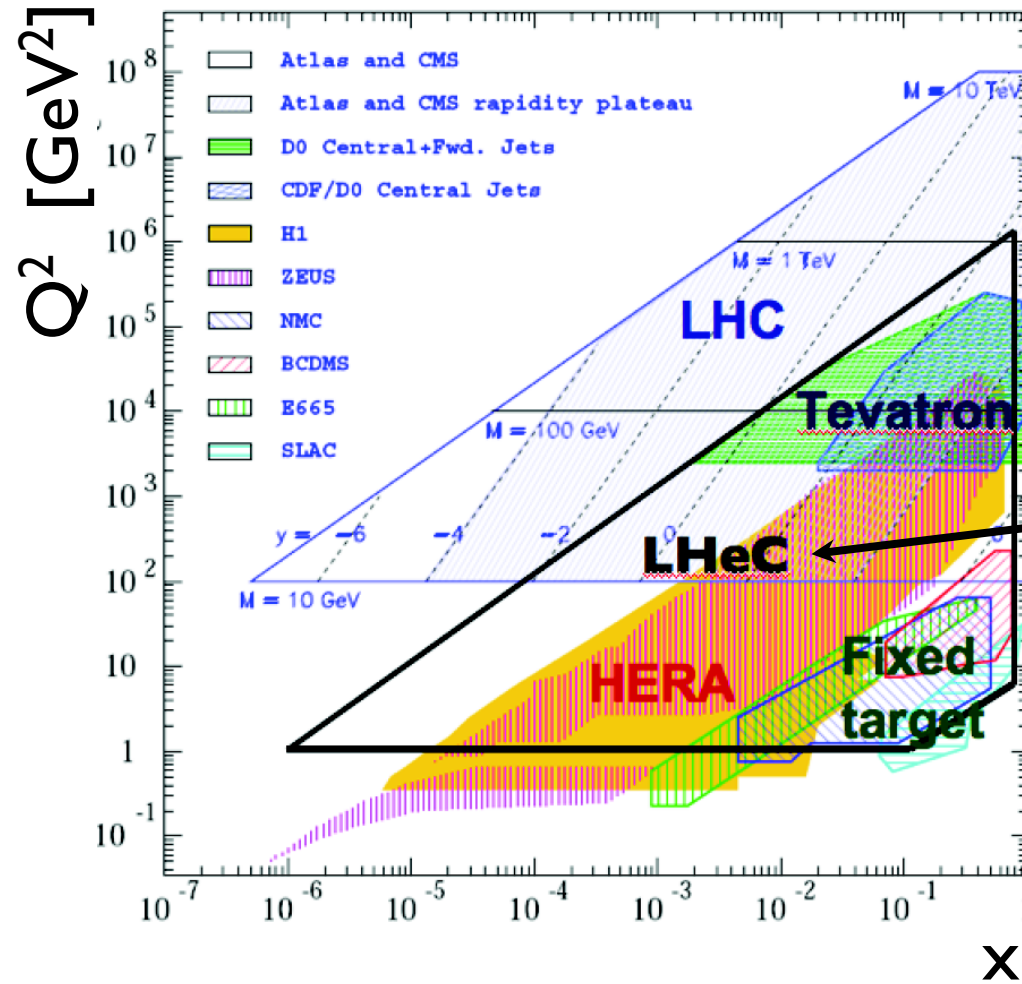
- Parton distribution functions poorly known at small  $x$
- At small  $x$ , large logs must be resummed:  $[\alpha_s \log(1/x)]^n$
- If logs are resummed (**BFKL**):  
power growth of gluon distribution as  $x \rightarrow 0$
- Unitarity would be violated (T-matrix  $> 1$ )

# How small $x$ do we know?

- We haven't measured anything at such small  $x$
- E.g. the MSTW pdf has  $x_{\min} = 10^{-6}$
- **But that is an extrapolation!**
- HERA pdf fits:  $Q^2 > 3.5 \text{ GeV}^2$  and  $x > 10^{-4}$  !



# Kinematic plane



Note  
LHeC!

HERA:  $x_{\min} \sim 10^{-4}$  used for PDF fits ( $Q^2 \sim 3.5 \text{ GeV}^2$ )

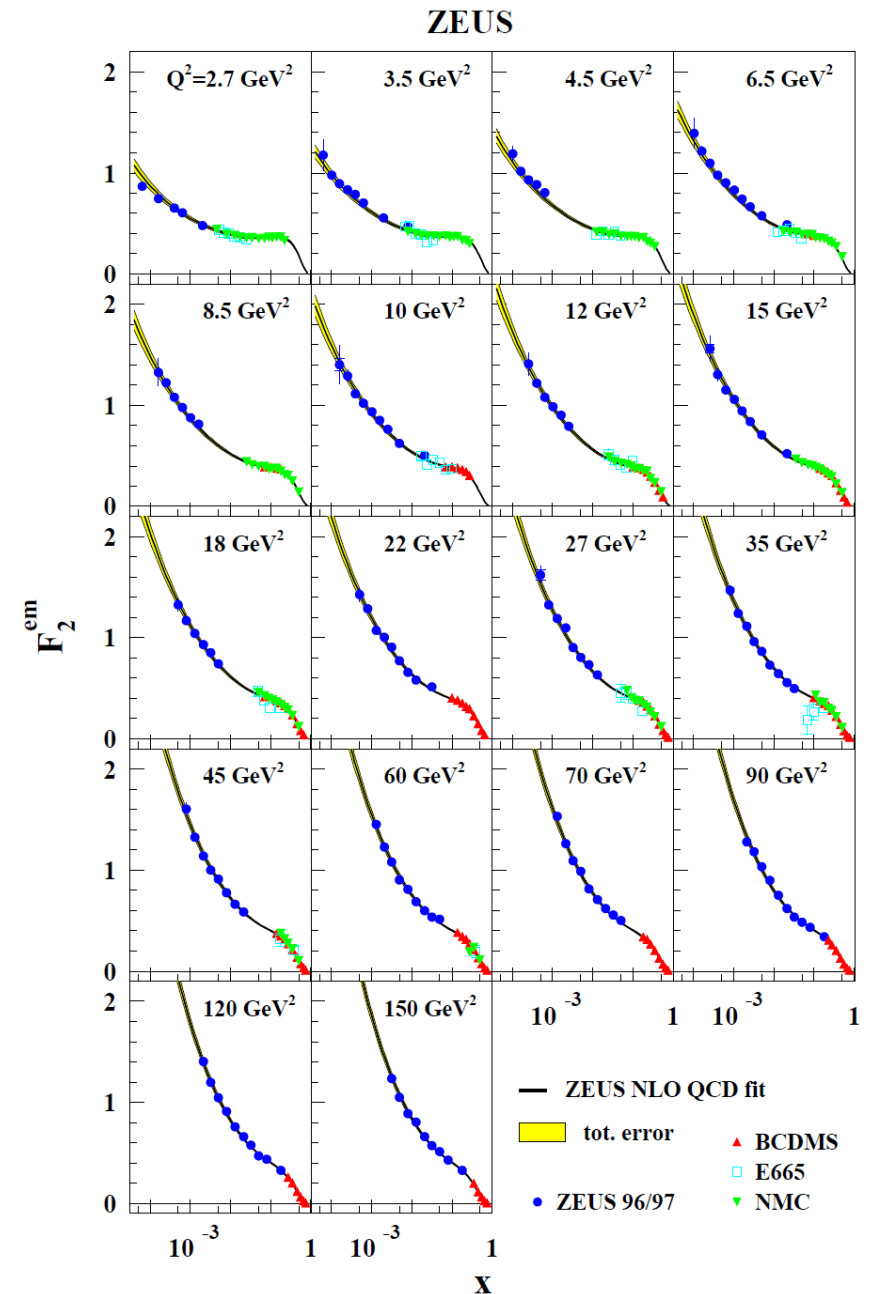
# Small x

$F_2$  measured at HERA (ZEUS)  
as a function of Bjorken- $x$ .

Note the steep power-law rise

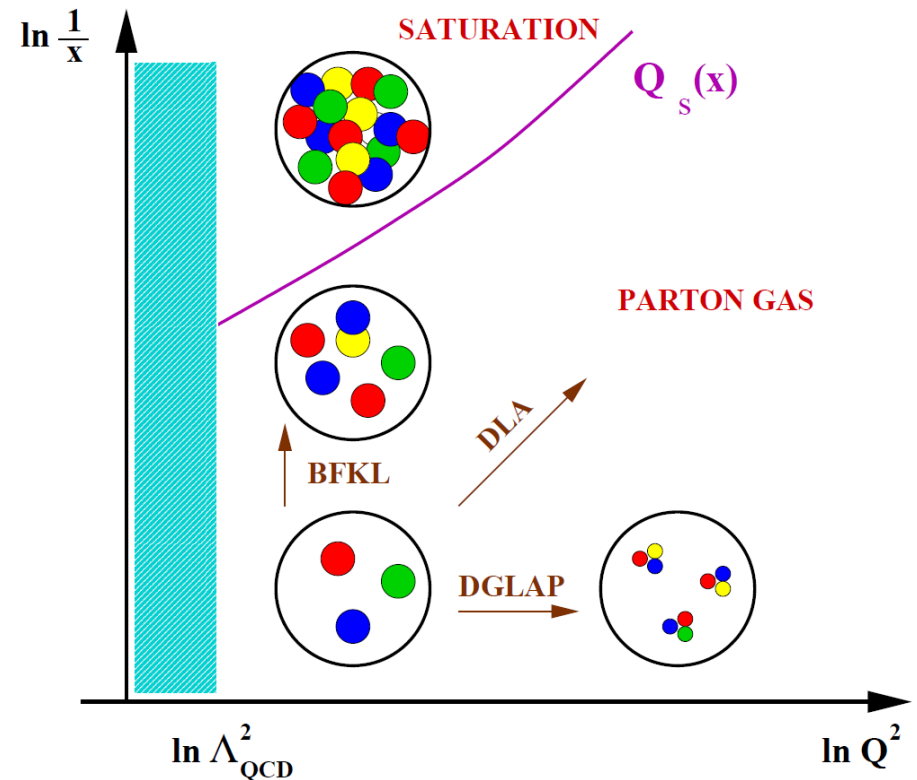
Can this rise continue?

Theoretical answer: no



# Parton saturation

- **Saturation** to the rescue:
  - Number of gluons in the nucleon becomes so large that gluons recombine
  - Reduction in the growth



- This is sometimes called the **color glass condensate**
- Non-linear QCD evolution: **Balitsky-Kovchegov equation**

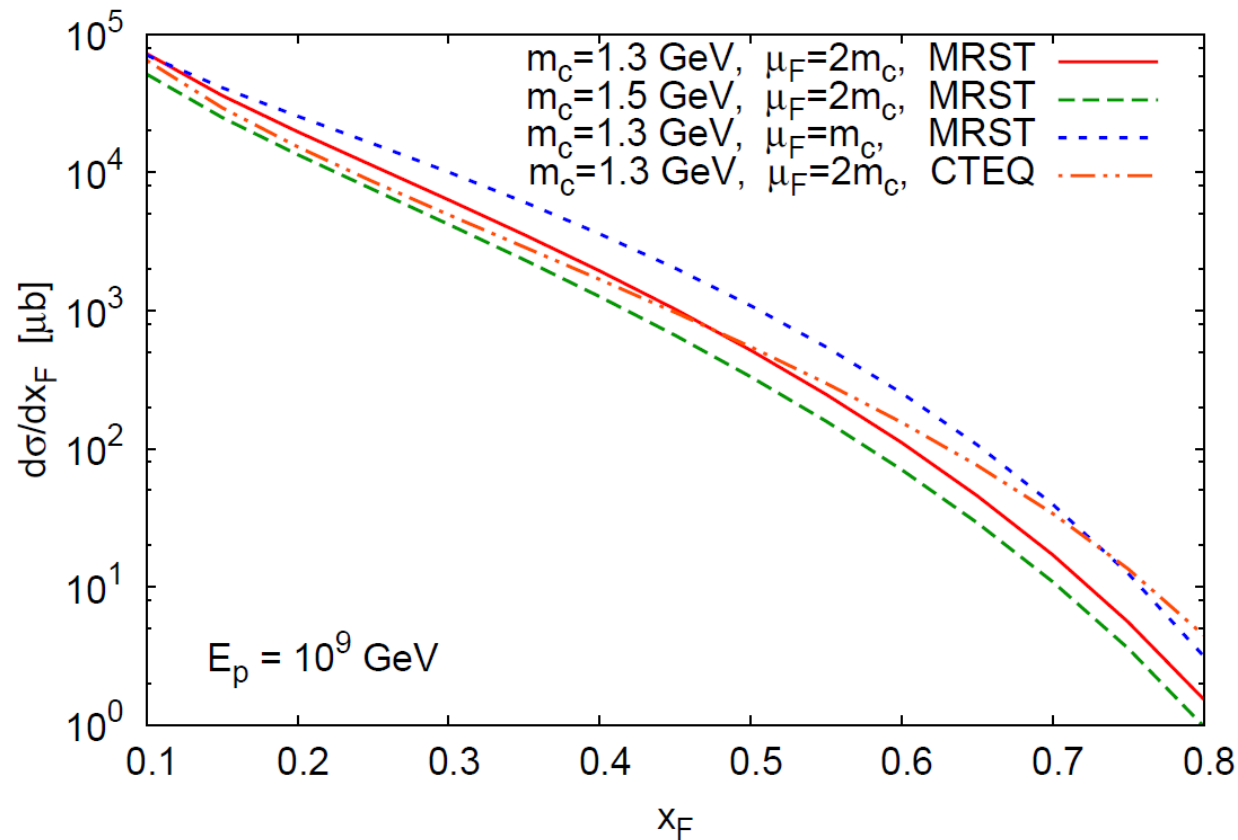
# Saturation: theoretical description

- Gribov-Levin-Ryskin and Mueller-Qiu
- Phenomenological saturation model:  
Golec-Biernat and Wüsthoff
- **Color Glass Condensate:**  
McLerran-Venugopalan, JIMWLK, Balitsky  
(Functional integro-differential eqs. or infinite system of  
nonlinear integro-differential eqs.)
- **Balitsky-Kovchegov equation:**  
Mean field approximation of the above  
(The simplest description: can be more easily used for  
phenomenology — one nonlinear integro-differential eqn.)

# Charm production

- We calculate the charm production cross section  $d\sigma/dx_F$
- We use the **dipole picture** (see *backup slides*), and a solution of the **Balitsky-Kovchegov equation**
- Cross section at large energy suppressed relative to NLO QCD

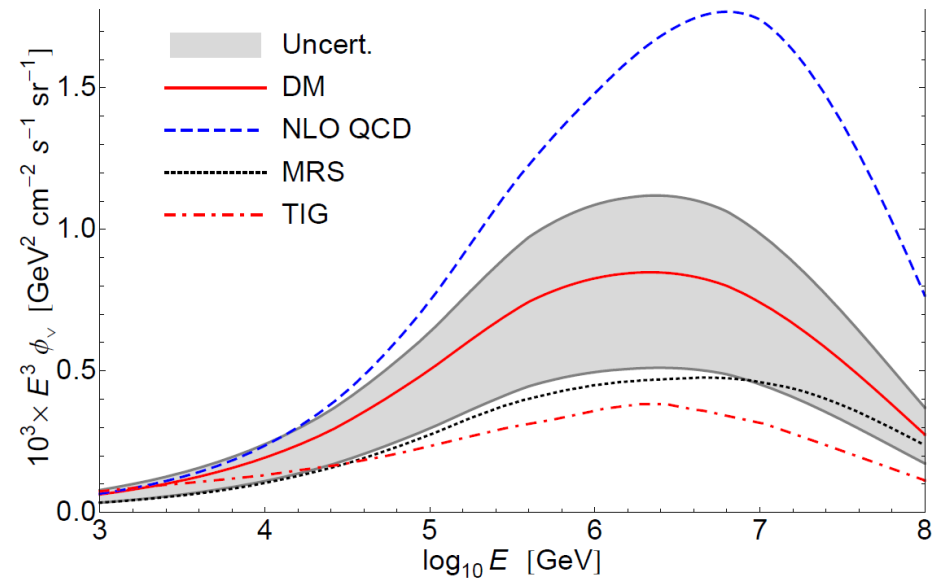
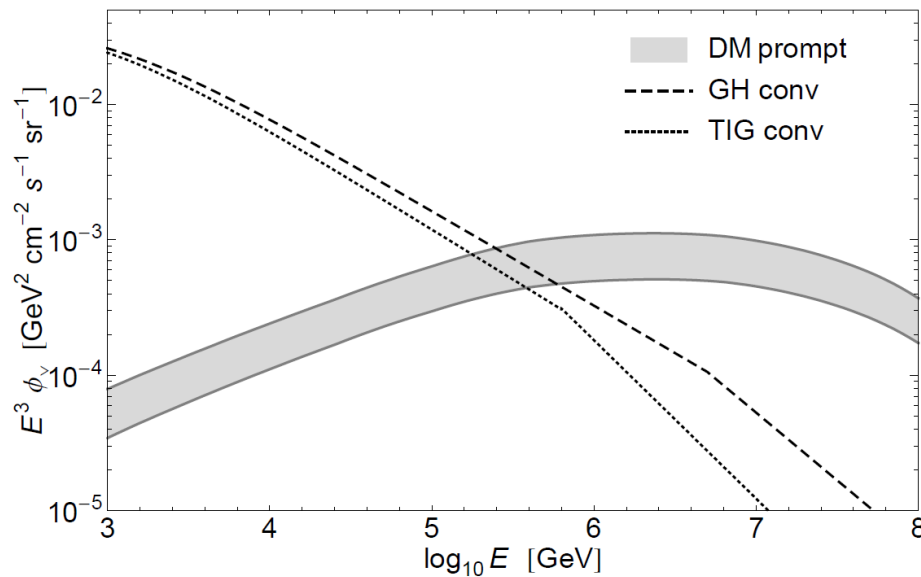
# Uncertainties in charm cross section



Different charm mass, factorization scale, pdf choice

[R. Enberg, M.H. Reno, I. Sarcevic, arXiv:0806.0418 (in PRD)]

# Resulting neutrino fluxes



The band shows uncertainty of the dipole calc.  
Upper line in right plot: old NLO QCD

[R. Enberg, M.H. Reno, I. Sarcevic, arXiv:0806.0418 (in PRD)]

# Fixed order calc at small x

- At  $x \ll 10^{-4}$  a power-law extrapolation of parton distributions is not warranted if there is saturation
- We can take this as an upper limit on the cross section **if** there is no saturation: use modern PDFs
- Improve calc with FONLL:  
NLO QCD with NLL resummation of  $\log(p_T/m)$
- Saturation could in principle be included in pdf fit with data at higher energies



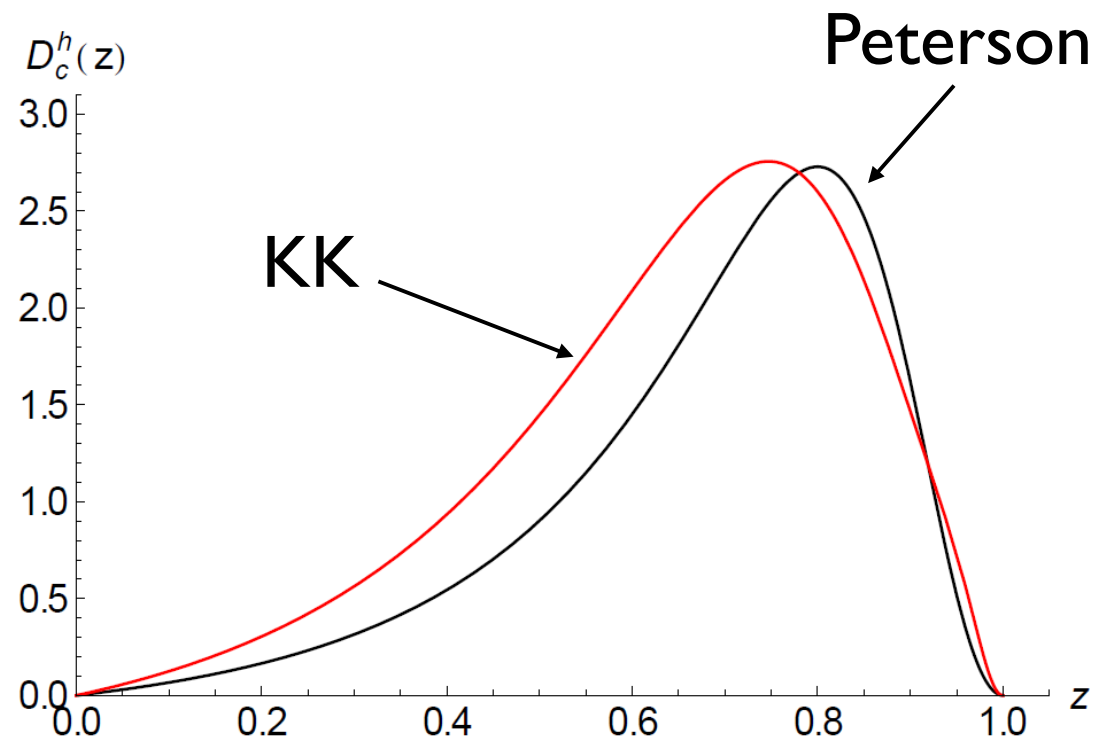
# Quark fragmentation to hadrons

Hadronization degrades energy of quark compared to hadron:  
Use fragmentation functions fitted to data

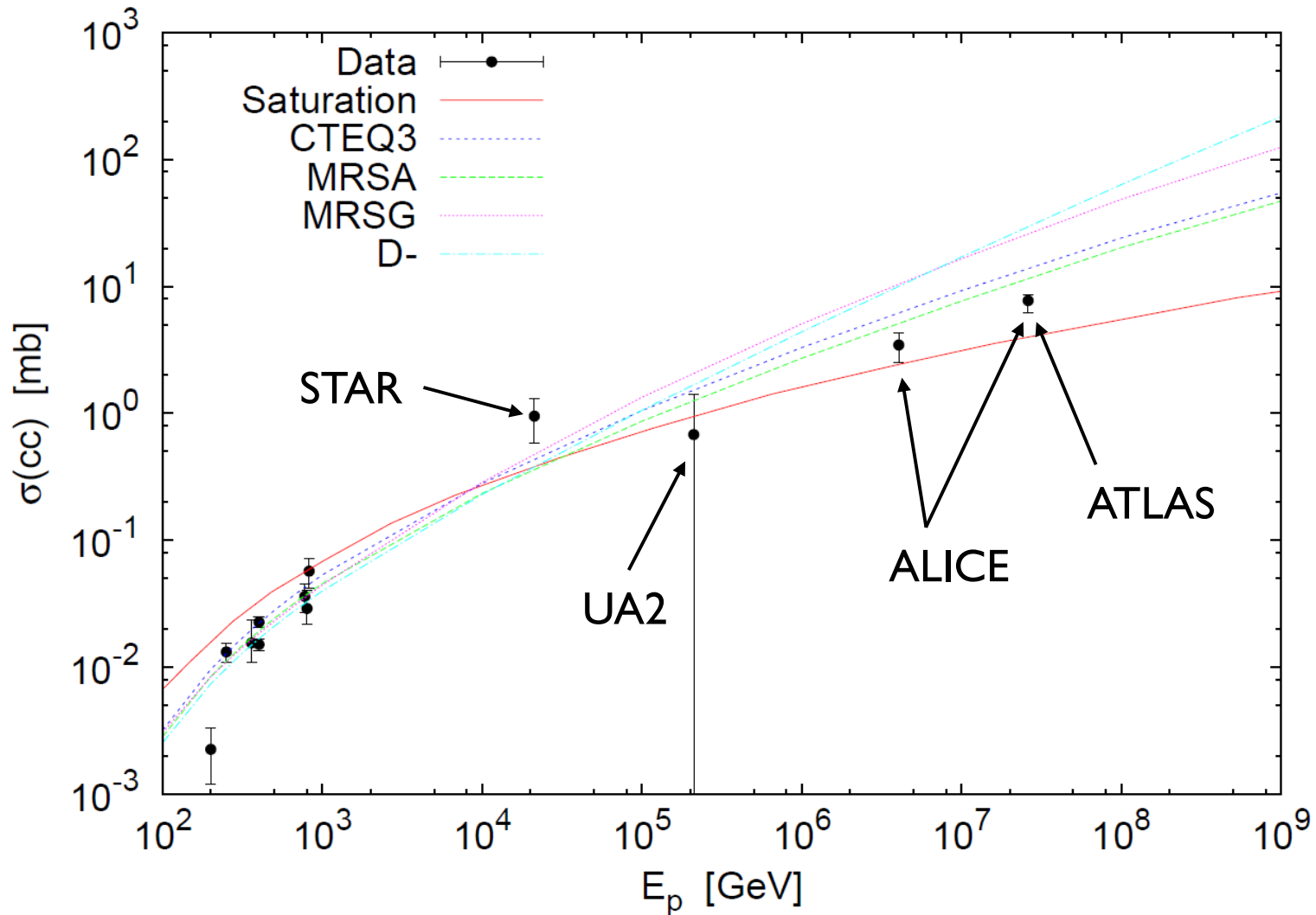
$$\frac{d\sigma(pp \rightarrow hX)}{dE_h} = \int_{E_h}^{\infty} \frac{dE_c}{E_c} \frac{d\sigma(pp \rightarrow cX)}{dE_c} D_c^h(E_h/E_c)$$

Used Kramer-Kniehl (KK)  
and Peterson functions

Uncertainty in normalization  
and average energy fraction

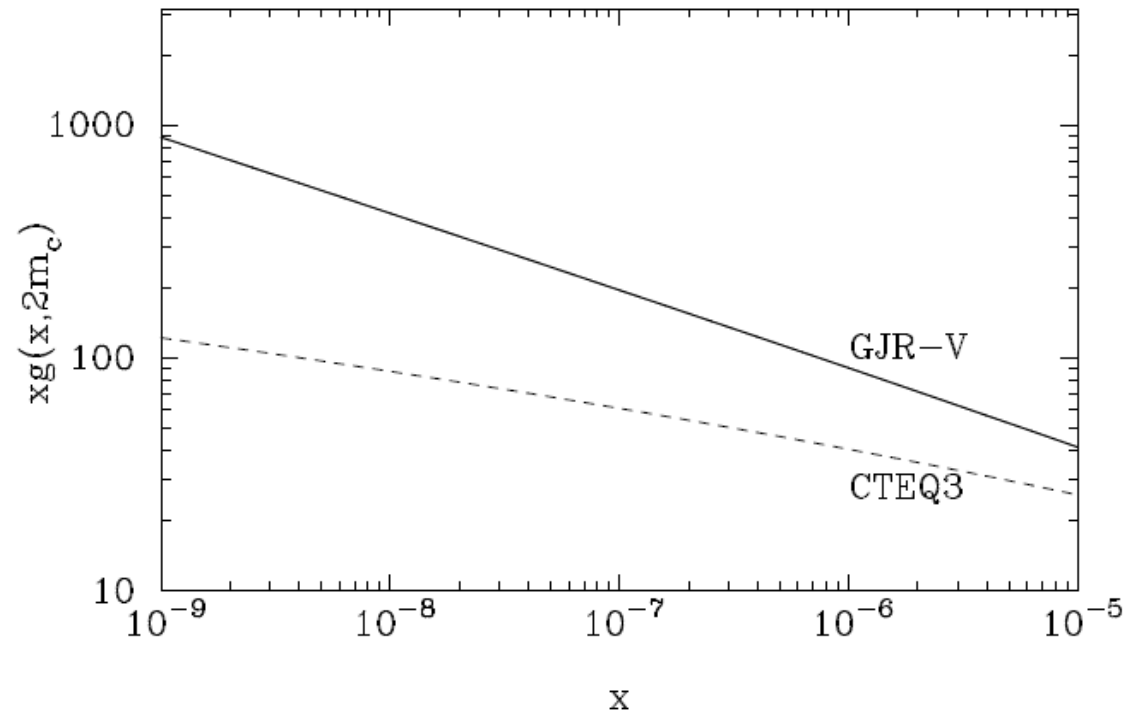


# Total cross section, $pp \rightarrow cc$



Very different energy dependence!

# Gluon pdfs: very small $x$



GJR-V is a new pdf: **extrapolated** down to  $x = 10^{-9}$   
CTEQ3 was used in original calculation

# Intrinsic charm

“Normal” charm parton distribution is generated from gluon splittings

There may be an “intrinsic” non-perturbative charm component in the nucleon

[Brodsky, Hoyer, Peterson, Sakai, 1980]

Would contribute charmed mesons at large  $x_F$

[See e.g. Thunman et al or Bugaev et al.]

# Theoretical uncertainties

Given all these uncertainties, can we get a better handle on how uncertain our prediction is?

Important given that this is a major background for neutrino telescopes and affects their significance calculations

We are investigating the variation in theoretical predictions using different approaches

# Updating the prediction

## Three issues:

- Saturation prediction
  - Compare previous calculation with
    - Running-coupling BK (numerical solution, AAMQS)
    - BK/DGLAP matching (numerical solution)
- Fixed order prediction using small- $x$  PDF
  - Use NLO QCD with NLL resummation (FONLL)
- Nuclear dependence of incoming cosmic ray flux
  - Previously used proton flux only. Assess impact of using e.g. polygonato flux with mixture of elements

**Work in progress!**

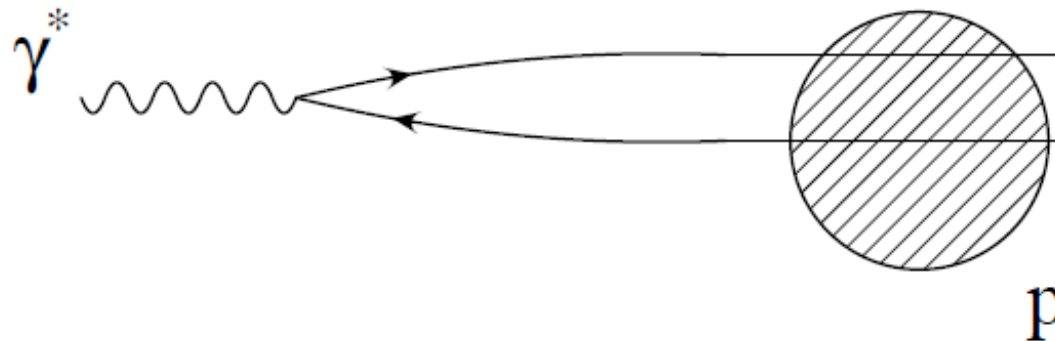
# Backup slides

# Dipole frame picture of DIS

It is convenient to use the **dipole frame**:

→ Go to frame where the photon has very large lightcone  $q^+$  momentum (e.g. proton's rest frame)

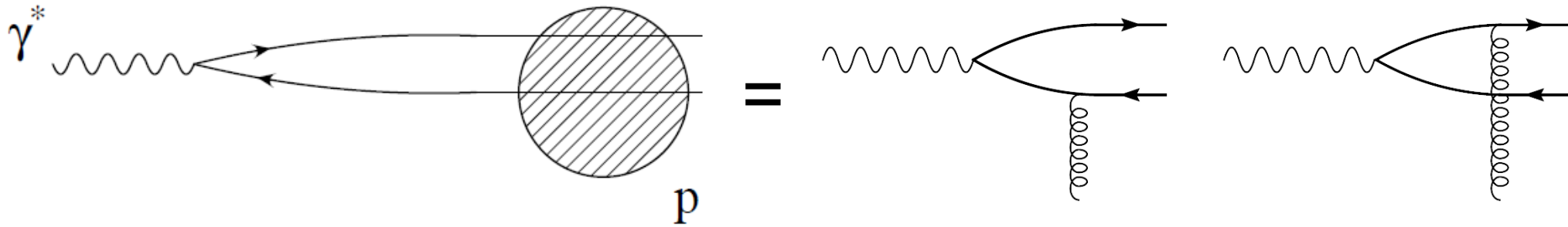
Then the photon fluctuates into a **color dipole** before hitting the target and the dipole scatters on the proton:



Fluctuation is long-lived at small  $x$ :  
Very useful in small- $x$  physics



# DIS at small x in dipole picture



The factorization is different from “standard” pQCD:

$$\sigma(\gamma^* N) = \int_0^1 dz \int d^2 \mathbf{r} |\Psi_T(z, \mathbf{r}, Q^2)|^2 \sigma_{q\bar{q}N}(x, \mathbf{r})$$

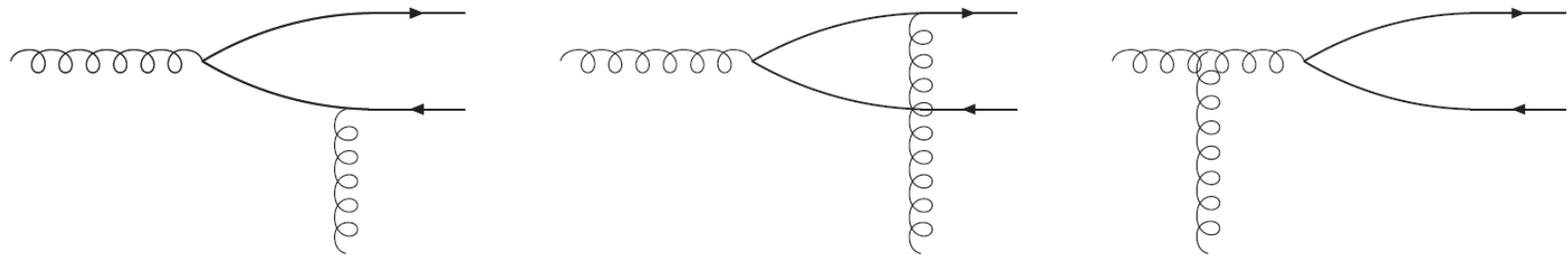
Dipole cross section from BK eqn

The wave function for the fluctuation is given by:

$$|\Psi_T^f(z, \mathbf{r}, Q^2)|^2 = e_f^2 \frac{\alpha_{em} N_c}{2\pi^2} \left[ (z^2 + (1-z)^2) \epsilon^2 K_1^2(\epsilon r) + m_f^2 K_0^2(\epsilon r) \right]$$

# Generalize to hadron-hadron

Generalized to dipole picture for **heavy quark production** in hadron-hadron collisions by Nikolaev, Piller & Zakharov; Raufeisen & Peng; Kopeliovich & Tarasov



$$\frac{d\sigma(pp \rightarrow Q\bar{Q}X)}{dy} \simeq x_1 G(x_1, \mu^2) \sigma^{Gp \rightarrow Q\bar{Q}X}(x_2, \mu^2, Q^2)$$

Gluon distribution  
of the projectile hadron  
→ gives dipole

Scattering of  
this dipole on  
the target hadron

# Dipole cross section from BK

Iancu, Itakura and Munier: model for  $\sigma_d$  from the BK equation:

Match two analytic solutions in different regions:

- Saturated region when the amplitude approaches one
- Color transparency region when it approaches BFKL result

$$\mathcal{N}(rQ_s, Y) = \begin{cases} \mathcal{N}_0 \left( \frac{\tau}{2} \right)^{2\gamma_{\text{eff}}(x, r)}, & \text{for } \tau < 2 \\ 1 - \exp \left[ -a \ln^2(b\tau) \right], & \text{for } \tau > 2 \end{cases}$$

where  $\tau = rQ_s$ ,  $Y = \ln(1/x)$   $\gamma_{\text{eff}}(x, r) = \gamma_s + \frac{\ln(2/\tau)}{\kappa\lambda Y}$

Then  $\sigma_d(x, \mathbf{r}) = \sigma_0 \mathcal{N}(rQ_s, Y)$

Fitted to HERA data at small  $x$ : good description  
(we use an update by Soyez for heavy quarks)