



# Double-Beta Decay, Nuclear Structure, and Neutrino Physics

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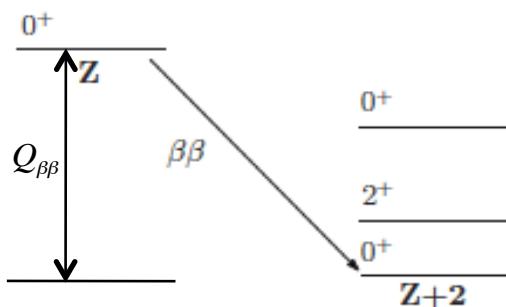


# Overview

- Neutrino physics within and beyond the Standard Model
- DBD mechanisms: light Majorana neutrino exchange, right-handed currents, heavy neutrinos, SUSY R-parity violation,...
- $^{48}\text{Ca}$ :  $2\nu$  and  $0\nu$  shell-model matrix elements
  - The effect of larger model spaces
  - Beyond closure approximation
- $^{136}\text{Xe}$ ,  $^{82}\text{Se}$ , and  $^{76}\text{Ge}$  results

# Classical Double Beta Decay Problem

Isotope	$T_{1/2}(2\nu)$ (years)	$M^{2\nu}$
$^{48}\text{Ca}$	$4.4^{+0.6}_{-0.5} \times 10^{19}$	$0.0238^{+0.0015}_{-0.0017}$
$^{76}\text{Ge}$	$(1.5 \pm 0.1) \times 10^{21}$	$0.0716^{+0.0025}_{-0.0023}$
$^{82}\text{Se}$	$(0.92 \pm 0.07) \times 10^{20}$	$0.0503^{+0.0020}_{-0.0018}$
$^{96}\text{Zr}$	$(2.3 \pm 0.2) \times 10^{19}$	$0.0491^{+0.0023}_{-0.0020}$
$^{100}\text{Mo}$	$(7.1 \pm 0.4) \times 10^{18}$	$0.1258^{+0.0037}_{-0.0034}$
$^{100}\text{Mo}-^{100}\text{Ru}(0^+_1)$	$5.9^{+0.8}_{-0.6} \times 10^{20}$	$0.1017^{+0.0056}_{-0.0063}$
$^{116}\text{Cd}$	$(2.8 \pm 0.2) \times 10^{19}$	$0.0695^{+0.0025}_{-0.0024}$
$^{128}\text{Te}$	$(1.9 \pm 0.4) \times 10^{24}$	$0.0249^{+0.0031}_{-0.0023}$
$^{130}\text{Te}$	$(6.8^{+1.2}_{-1.1}) \times 10^{20}$	$0.0175^{+0.0016}_{-0.0014}$
$^{150}\text{Nd}$	$(8.2 \pm 0.9) \times 10^{18}$	$0.0320^{+0.0018}_{-0.0017}$
$^{150}\text{Nd}-^{150}\text{Sm}(0^+_1)$	$1.33^{+0.45}_{-0.26} \times 10^{20}$	$0.0250^{+0.0029}_{-0.0034}$
$^{238}\text{U}$	$(2.0 \pm 0.6) \times 10^{21}$	$0.0271^{+0.0053}_{-0.0033}$
$^{136}\text{Xe}$	$2.23 \times 10^{21}$	0.020



Adapted from Avignone, Elliot, Engel, Rev. Mod. Phys. 80, 481 (2008) -> RMP08

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A.S. Barabash, PRC 81  
(2010)

2-neutrino double beta decay

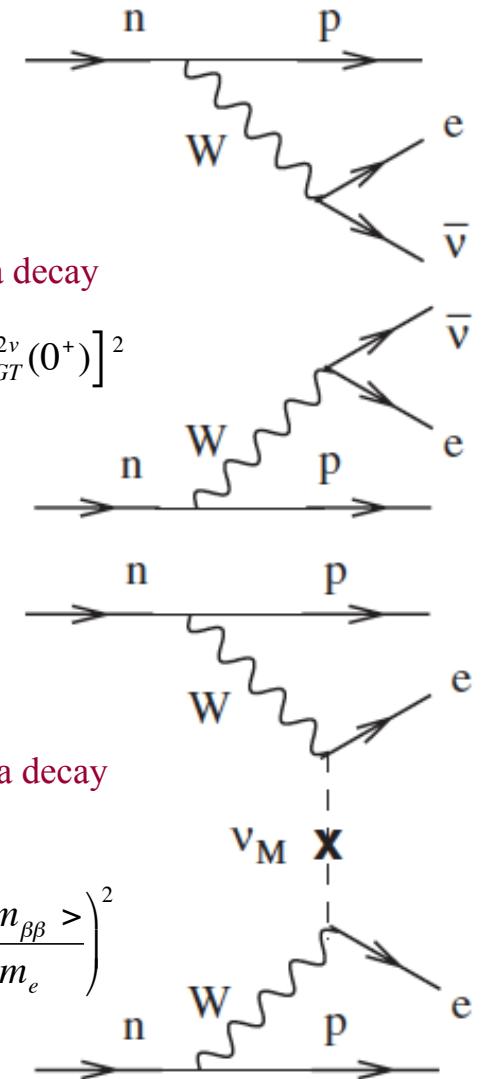
$$T_{1/2}^{-1}(2\nu) = G^{2\nu}(Q_{\beta\beta}) \left[ M_{GT}^{2\nu}(0^+) \right]^2$$

n p

$$T_{1/2}^{-1}(0\nu) = G^{0\nu}(Q_{\beta\beta}) \left[ M^{0\nu}(0^+) \right]^2 \left( \frac{m_{\beta\beta}}{m_e} \right)^2$$

$$\langle m_{\beta\beta} \rangle = \left| \sum_k m_k U_{ek}^2 \right|$$

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$$|\nu_\alpha\rangle = \sum_i U_{\alpha i}^* |\nu_i\rangle$$

$$|\nu_i\rangle = \sum_\alpha U_{\alpha i} |\nu_\alpha\rangle$$

$$U = \begin{bmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{bmatrix} = \begin{bmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{bmatrix} \begin{bmatrix} e^{i\alpha_1/2} & 0 & 0 \\ 0 & e^{i\alpha_2/2} & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$c_{12} \equiv \cos \theta_{12}, \quad s_{12} = \sin \theta_{12}, \quad etc$$

- Tritium decay:



$$m_{\nu_e} = \sqrt{\sum_i |U_{ei}|^2 m_i^2} < 2.2 \text{ eV} (\text{Mainz exp.})$$

KATRIN exp. (in progress): goal  $m_{\nu_e} < 0.3 \text{ eV}$

- Cosmology: CMB power spectrum, BAO, etc,

$$\sum_{i=1}^3 m_i < 0.23 \text{ eV}$$

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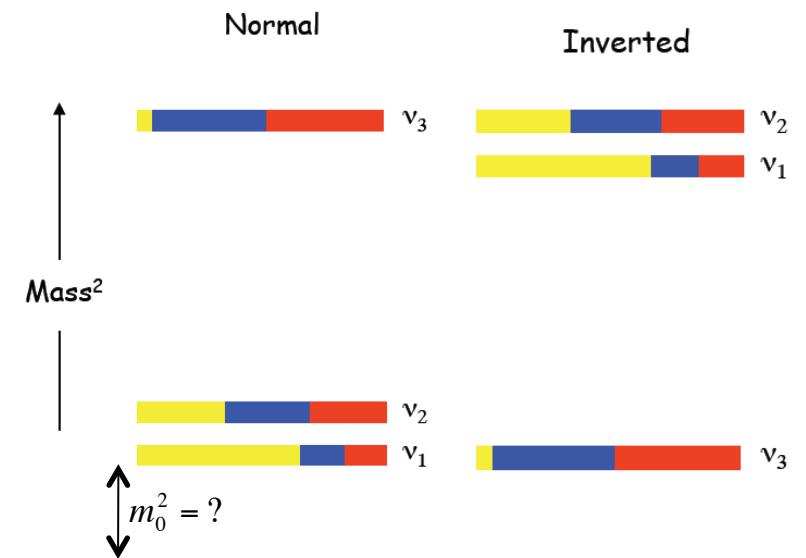
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# Neutrino Masses

PMNS – matrix

$$\Delta m_{21}^2 \approx 7.5 \times 10^{-5} \text{ eV}^2 (\text{solar})$$

$$|\Delta m_{32}^2| \approx 2.4 \times 10^{-3} \text{ eV}^2 (\text{atmospheric})$$

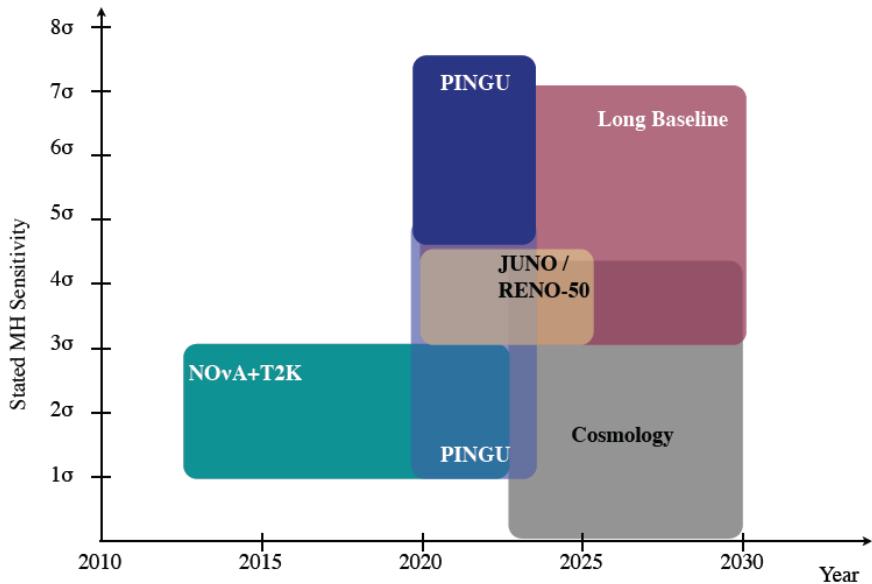
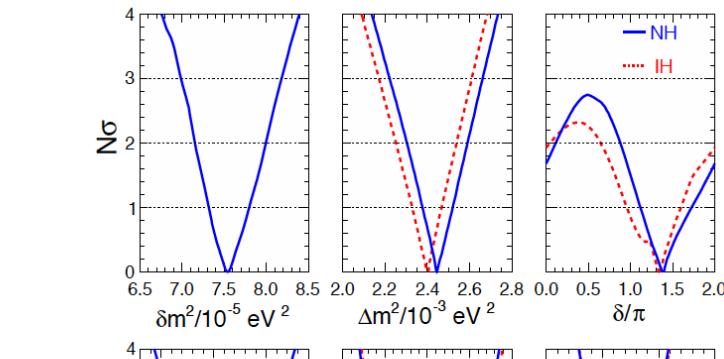


Two neutrino mass hierarchies

$$D = \text{Diag}[e^{i\alpha_1/2}, e^{i\alpha_2/2}, 1]$$

$$U_{PMNS} = \begin{bmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{bmatrix} D$$

LBL Acc + Solar + KL + SBL Reactors + SK Atm



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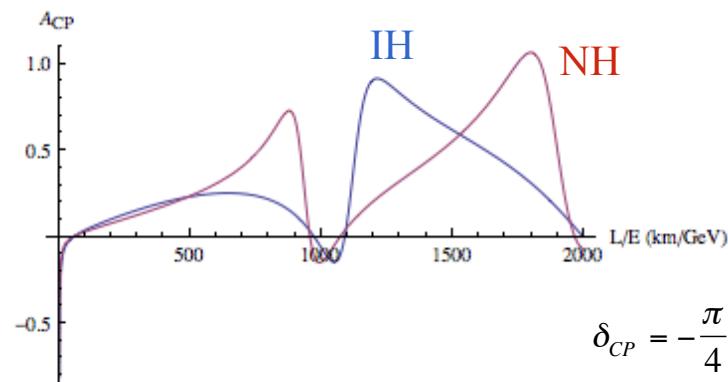
# Neutrino Oscillations

- NH or IH?
- $\delta_{CP} = ?$ ,  $\theta_{23}$  octant?

$$|U_{PMNS}| \approx \begin{bmatrix} 0.82 & 0.55 & 0.15 \\ 0.50 & 0.57 & 0.65 \\ 0.27 & 0.61 & 0.74 \end{bmatrix}$$

$$A_{CP} = \frac{P(\nu_\mu \rightarrow \nu_e) - P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)}{P(\nu_\mu \rightarrow \nu_e) + P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)}$$

LBNE - arXiv :1307.7335



$$\delta_{CP} = -\frac{\pi}{4}$$

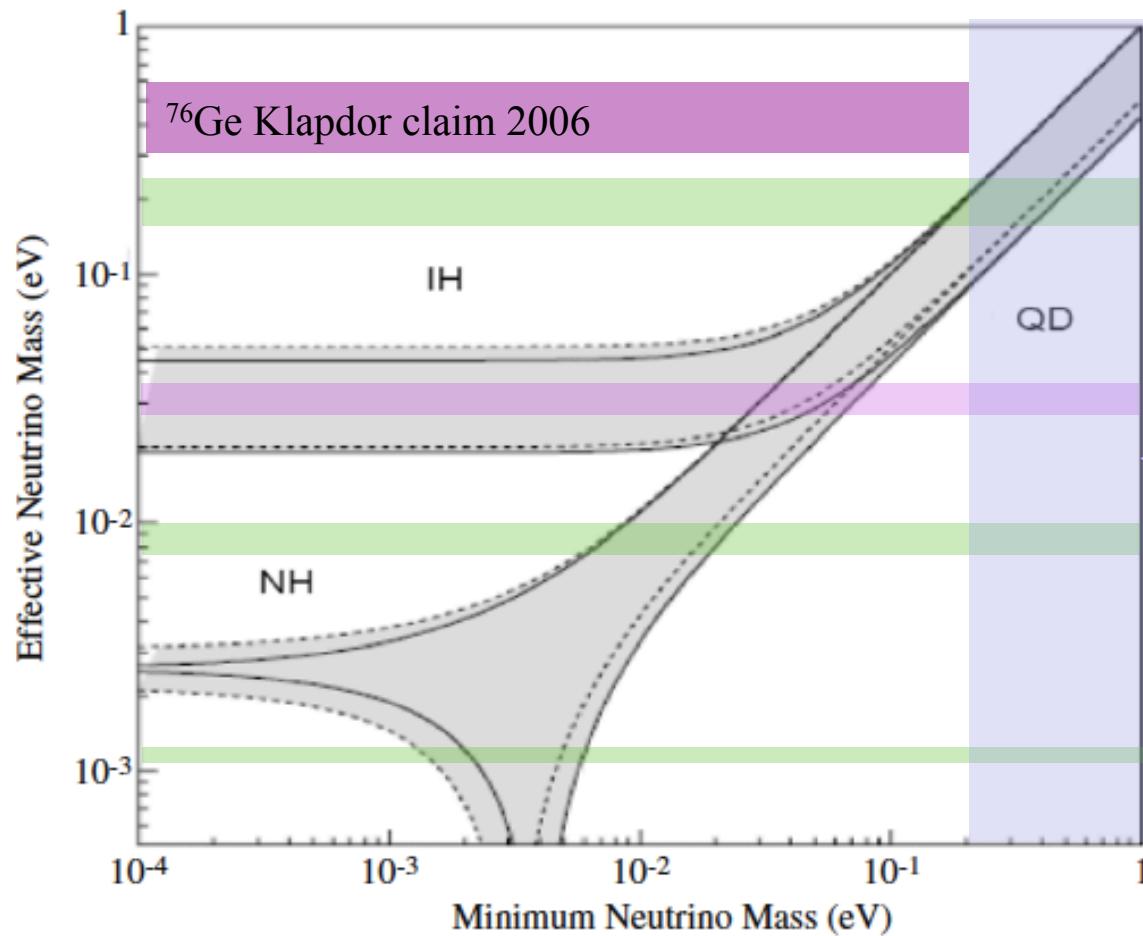
Matter effects not included

- Dirac or Majorana?
- $\alpha_i = ?$
- Unitarity of  $U_{PMNS}$ ?
- Are there  $m \sim 1\text{eV}$  sterile neutrinos?
- Leptogenesis? → Baryogenesis

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# Neutrino $\beta\beta$ effective mass

H. Ejiri / Progress in Particle and Nuclear Physics 64 (2010) 249–257



$$\langle m_{\beta\beta} \rangle = \left| \sum_{k=1}^3 m_k U_{ek}^2 \right|$$

$$= \left| c_{12}^2 c_{13}^2 m_1 + c_{13}^2 s_{12}^2 m_2 e^{i\phi_2} + s_{13}^2 m_3 e^{i\phi_3} \right|$$

$$\phi_2 = \alpha_2 - \alpha_1 \quad \phi_3 = -\alpha_1 - 2\delta$$

$$T_{1/2}(0\nu) = G^{0\nu}(Q_{\beta\beta}) \left[ M^{0\nu}(0^+) \right]^2 \left( \frac{\langle m_{\beta\beta} \rangle}{m_e} \right)^2$$

← CMB constraint

# The Minimal Standard Model

## Quarks

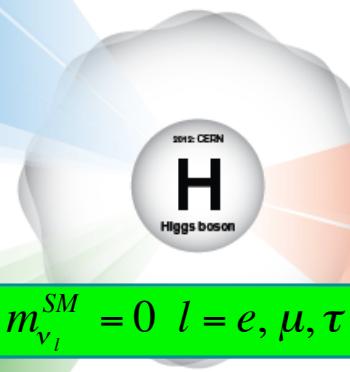
1964: SLAC <b><i>u</i></b> up quark	1974: Brookhaven & SLAC <b><i>c</i></b> charm quark	1978: Fermilab <b><i>t</i></b> top quark
1969: SLAC <b><i>d</i></b> down quark	1974: Manchester University <b><i>s</i></b> strange quark	1977: Fermilab <b><i>b</i></b> bottom quark

## Forces

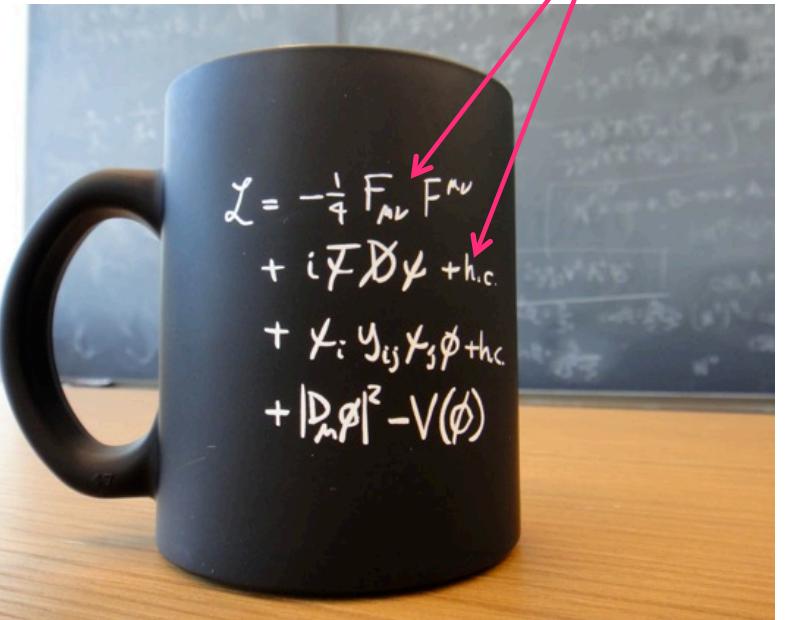
1970: DESY <b><i>g</i></b> gluon
1993: Washington University <b><math>\gamma</math></b> photon
1983: CERN <b><i>W</i></b> <i>W</i> boson
1983: CERN <b><i>Z</i></b> <i>Z</i> boson

## Leptons

1960: Savannah River Park <b><math>\nu_e</math></b> electron neutrino	1962: Brookhaven <b><math>\nu_\mu</math></b> muon neutrino	2010: Fermilab <b><math>\nu_\tau</math></b> tau neutrino
1960: Canadian Laboratory <b><i>e</i></b> electron	1997: Caltech and Harvard <b><math>\mu</math></b> muon	1976: SLAC <b><math>\tau</math></b> tau



$$m_{\nu_l}^{SM} = 0 \quad l = e, \mu, \tau$$



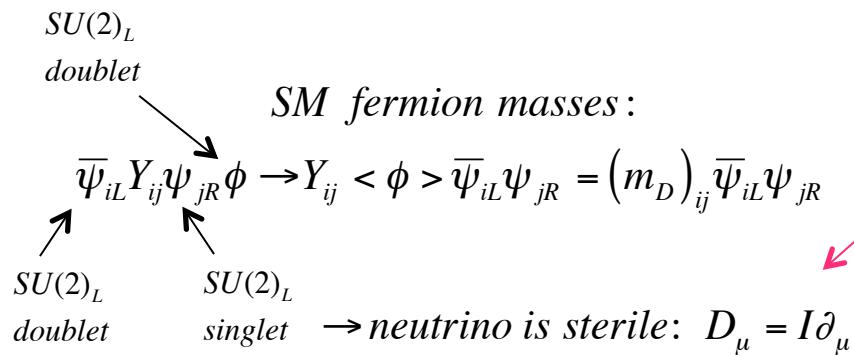
Local Gauge invariance of Lagrangian density  $\mathcal{L}$ :

$$\psi \rightarrow U(x)\psi \quad U_{jk}(x) = \delta_{jk} - ig\theta^a(x)(T^a)_{jk} + O(\theta^2)$$

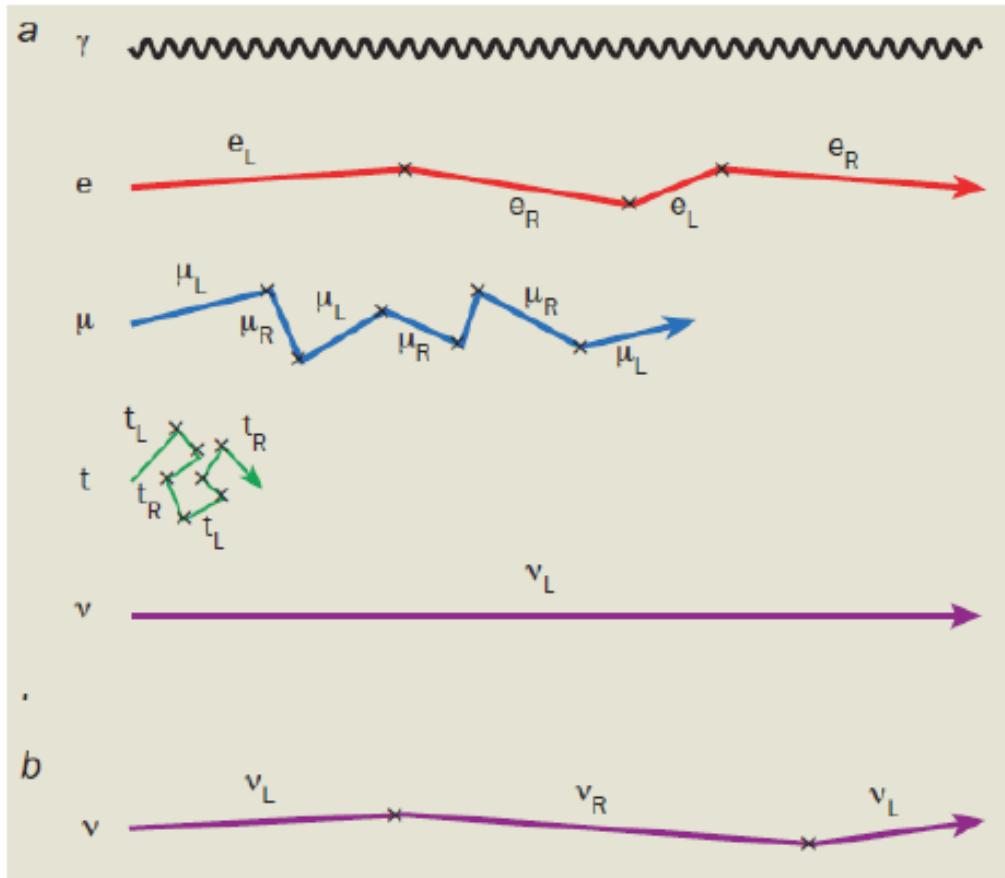
$$D_\mu = I\partial_\mu - igA_\mu^a(x)T^a$$

$$A_\mu(x) \equiv A_\mu^a(x)T^a \rightarrow U(x)A_\mu(x)U^+(x) + \frac{i}{g}U(x)\partial_\mu U^+(x)$$

$$T^a \in GA \quad SM \text{ group: } SU(3)_c \times SU(2)_L \times U(1)_Y$$



# Fermions masses in the Standard Model



Standard Model photon ( $m=0$ )

Standard Model Dirac fermions ( $m>0$ )

Standard Model neutrino ( $m=0$ )

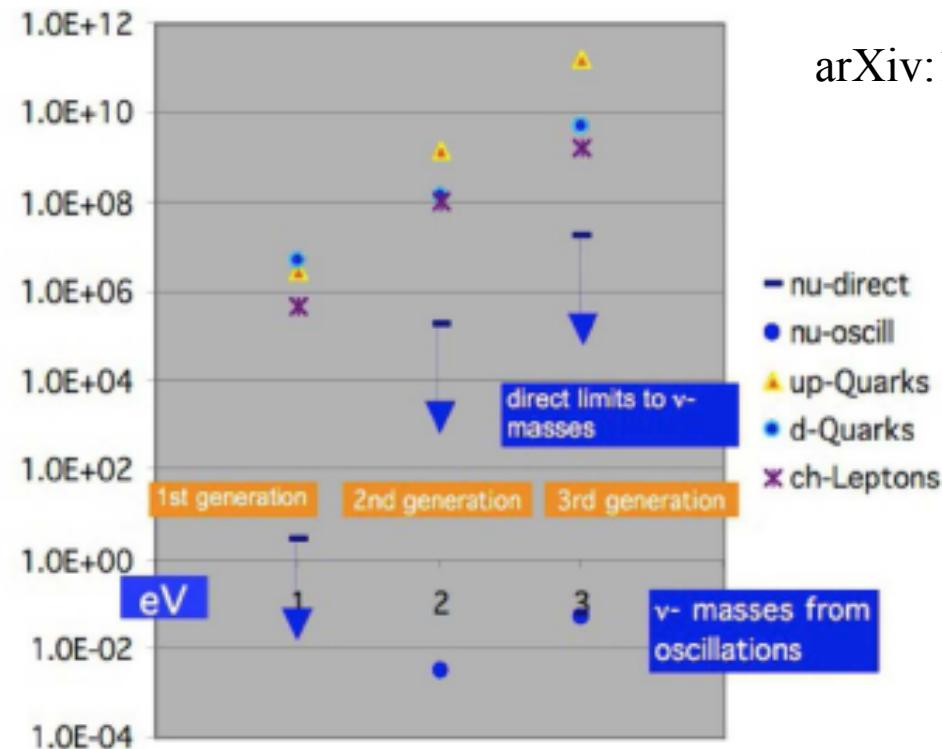
Extended Standard Model Dirac neutrino ( $m>0$ )

$$-\mathcal{L} \supset \frac{1}{2} \bar{\psi}_{iL} Y_{ij} \psi_{jR} \phi \rightarrow \frac{1}{2} m_{Dij} \bar{\psi}_{iL} \psi_{jR} \quad (m_{Dij} = Y_{ij} \langle \phi_2 \rangle)$$

# Too Small Yukawa Couplings?

Standard Model  
fermion masses

arXiv:1406.5503



$$-\mathcal{L} \supset \frac{1}{2} \bar{\psi}_{iL} Y_{ij} \psi_{jR} \phi \rightarrow \frac{1}{2} m_{Dij} \bar{\psi}_{iL} \psi_{jR} \quad (m_{Dij} = Y_{ij} v)$$

$$-\mathcal{L} \supset \frac{1}{2} m_{LR} \bar{\nu}_R^c \nu_L^c$$

*Majorana*

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# The origin of Majorana neutrino masses

$$-\mathcal{L} \supset \frac{1}{2} \left( \overline{\nu_L} \overline{\nu_R'}^c \right) \begin{pmatrix} 0 & m_{LR} \\ m_{LR}^T & M_{RR} \end{pmatrix} \begin{pmatrix} \nu_L' \\ \nu_R' \end{pmatrix} + h.c.$$

Type I see-saw

$$m_{LL}^\nu = -m_{LR}^\nu M_{RR}^{-1} m_{LR}^\nu \rightarrow \begin{cases} m_{LL}^\nu \approx \frac{(100 \text{ GeV})^2}{10^{14} \text{ GeV}} = 0.1 \text{ eV} \\ m_{LL}^\nu \approx \frac{(300 \text{ keV})^2}{1 \text{ TeV}} = 0.1 \text{ eV} \end{cases}$$

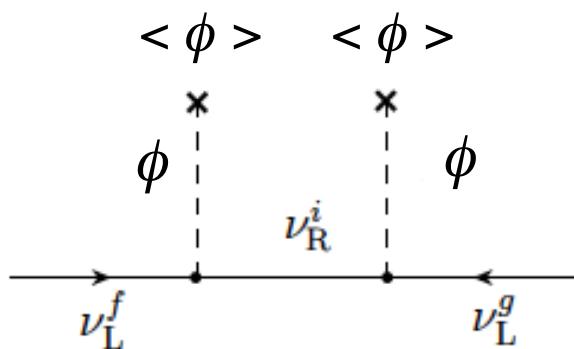


Diagram illustrating the type I see-saw mechanism

arXiv:0710.4947v3

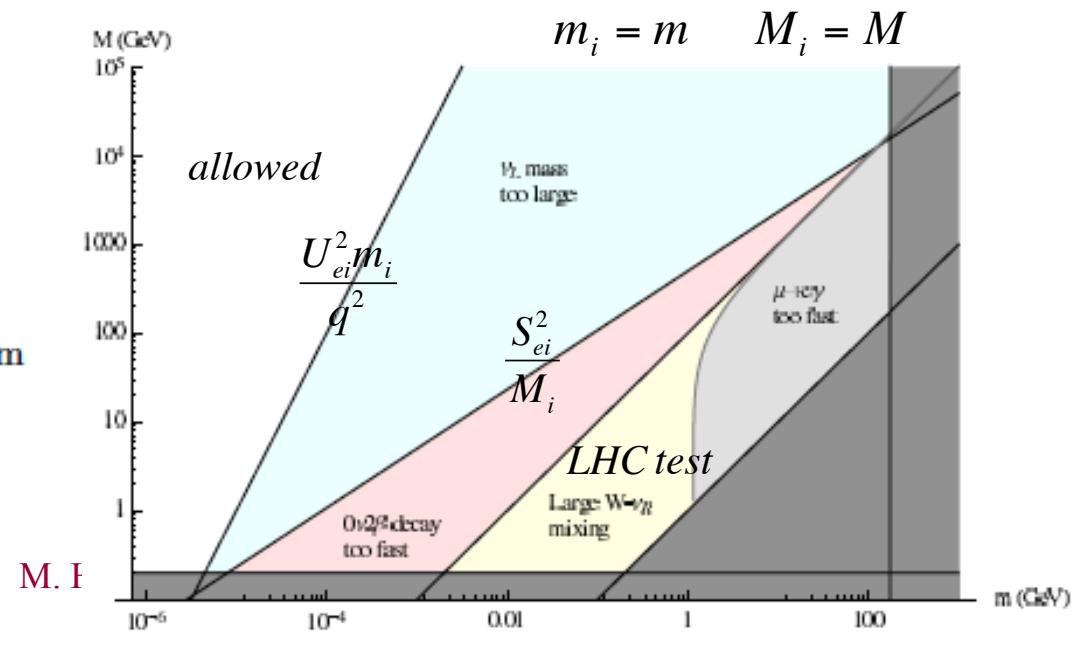
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$$A_{\beta\beta} \propto \frac{U_{ei}^2 m_i}{q^2} - \frac{S_{ei}^2}{M_i}$$

-  $U_{ei}^2 m_i$  term dominates in most cases

- 1 TeV collider Majorana tests not relevant

- Heavy neutrino dominance requires loop corrections

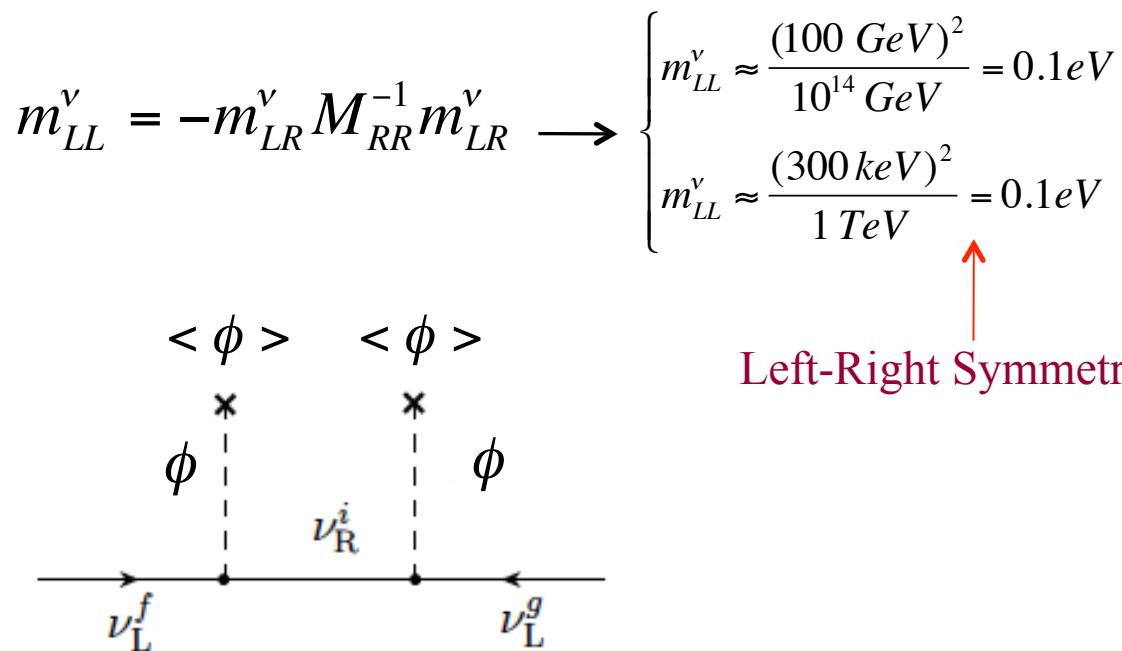


# The origin of Majorana neutrino masses

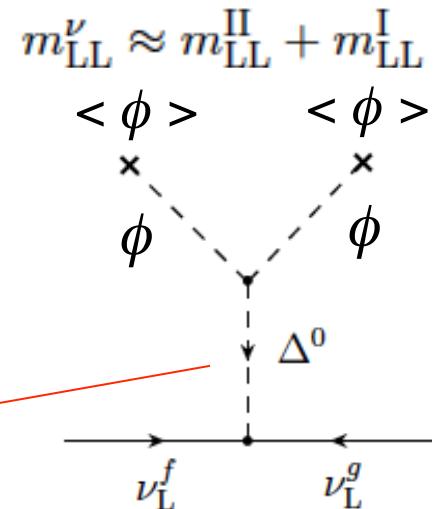
$$-\mathcal{L} \supset \frac{1}{2} \left( \overline{\nu}_L \overline{\nu}_R^{c'} \right) \begin{pmatrix} 0 & m_{LR} \\ m_{LR}^T & M_{RR} \end{pmatrix} \begin{pmatrix} \nu_L^{c'} \\ \nu_R' \end{pmatrix} + h.c.$$

$$\frac{1}{2} \left( \overline{\nu}_L \overline{\nu}_R^{c'} \right) \begin{pmatrix} m_{LL} & m_{LR} \\ m_{LR}^T & M_{RR} \end{pmatrix} \begin{pmatrix} \nu_L^{c'} \\ \nu_R' \end{pmatrix} + h.c.$$

See-saw mechanisms



Left-Right Symmetric model



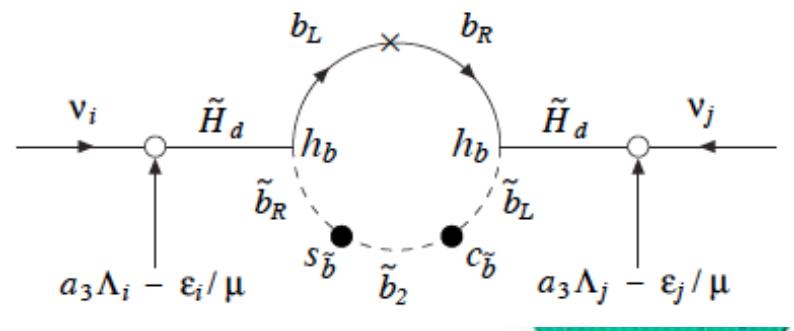
GUT/SUSY R-parity v. mechanism

Diagram illustrating the type I see-saw mechanism

arXiv:0710.4947v3

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# Majorana neutrino masses

$$-\mathcal{L} \supset \frac{1}{2} \left( \overline{\nu}_L \overline{\nu}'^c_R \right) \begin{pmatrix} m_{LL} & m_{LR} \\ m_{LR}^T & M_{RR} \end{pmatrix} \begin{pmatrix} \nu'_L^c \\ \nu'_R \end{pmatrix} + h.c.$$



$$W^+ \text{diag}(m_1, m_2, m_3, M_1, M_2, \dots) W$$

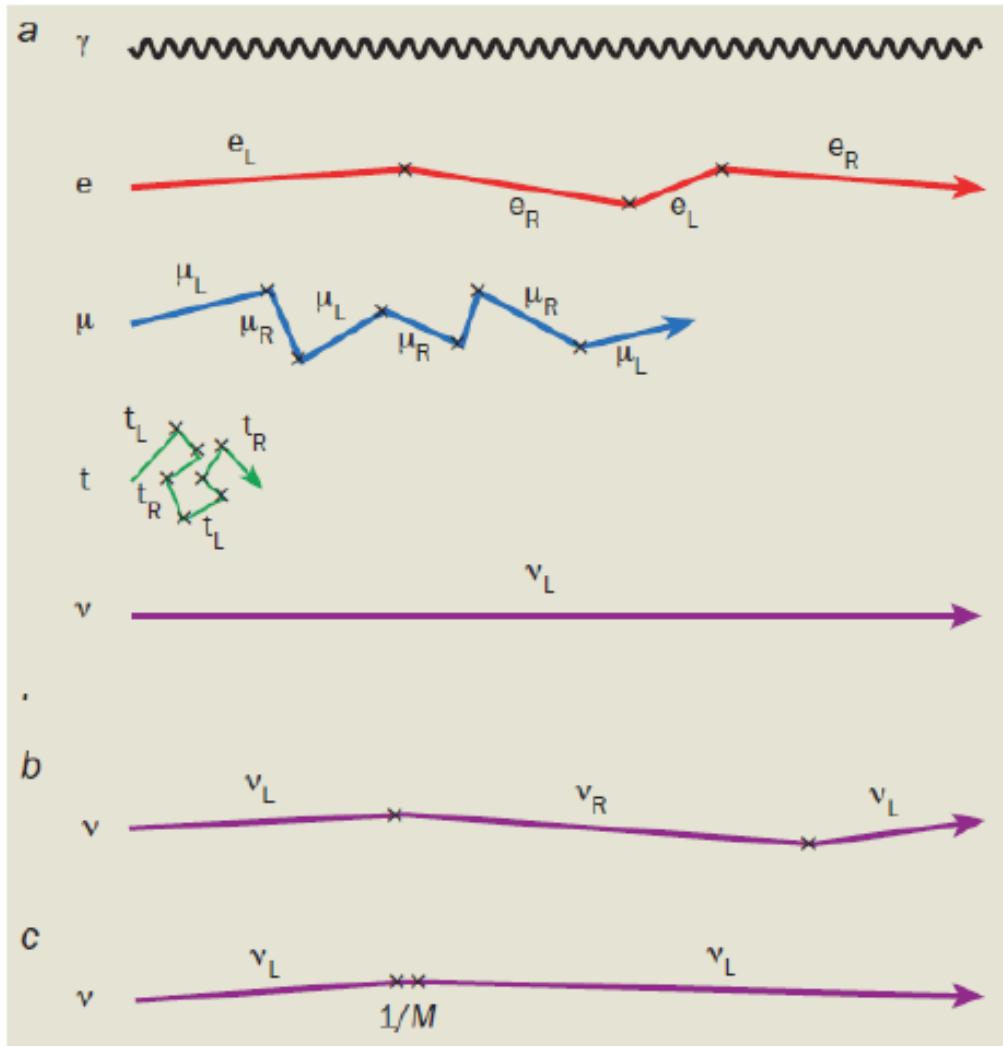
$$\begin{pmatrix} \nu'_L \\ \nu'_R^c \end{pmatrix} = W \begin{pmatrix} \nu_L \\ N_R^c \end{pmatrix} \equiv \begin{pmatrix} U & S \\ T & V \end{pmatrix} \begin{pmatrix} \nu_L \\ N_R^c \end{pmatrix}$$

$$WW^+ = 1 \quad UU^+ \approx 1 \quad VV^+ \approx 1$$

$$|S|, |T| \ll 1$$

Three Generations of Matter (Fermions) spin $\frac{1}{2}$									
	I	II	III						
mass →	2.4 MeV	1.27 GeV	171.2 GeV						
charge →	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$						
name →	u up	c charm	t top						
Quarks	Left	Left	Left	Right	Right	Right			
	2.4 MeV	1.27 GeV	171.2 GeV						
	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$						
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	2.4 MeV	1.27 GeV	171.2 GeV						
	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$						
	d down	s strange	b bottom						
	Left	Left	Left	Right	Right	Right			
	4.8 MeV	104 MeV	4.2 GeV						
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	2.4 MeV	1.27 GeV	171.2 GeV						
	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$						
	u up	c charm	t top						
	Left	Left	Left	Right	Right	Right			
	2.4 MeV	1.27 GeV	171.2 GeV						
	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$						
	u up	c charm	t top						
	Left	Left	Left	Right	Right	Right			
	2.4 MeV	1.27 GeV	171.2 GeV						
	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$						
	u up	c charm	t top						
	Left	Left	Left	Right	Right	Right			
	2.4 MeV	1.27 GeV	171.2 GeV						
	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$						
	u up	c charm	t top						
	Left	Left	Left	Right	Right	Right			
	2.4 MeV	1.27 GeV	171.2 GeV						
	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$						
	u up	c charm	t top						
	Left	Left	Left	Right	Right	Right			
	2.4 MeV	1.27 GeV	171.2 GeV						
	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$						
	u up	c charm	t top						
	Left	Left	Left	Right	Right	Right			
	2.4 MeV	1.27 GeV	171.2 GeV						
	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$						
	u up	c charm	t top						
	Left	Left	Left	Right	Right	Right			
	2.4 MeV	1.27 GeV	171.2 GeV						
	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$						
	u up	c charm	t top						

# Fermion masses in and beyond the Standard Model



Standard Model photon ( $m=0$ )

Standard Model Dirac fermions  
( $m>0$ )

Standard Model neutrino ( $m=0$ )

Beyond Standard Model Dirac  
neutrino ( $m>0$ )

Beyond Standard Model  
Majorana neutrino ( $m>0$ )

- Leptogenesis ( $\Delta L=2$ )  $\Rightarrow$  (SM sphalerons)  $\Rightarrow$  Baryogenesis

$$\eta_B \equiv \frac{n_B - n_{\bar{B}}}{n_\gamma} \approx 6.2 \times 10^{-10} \propto 0.01 \sin \delta_{CP}$$

- Exotic ( $\Delta L=2$ ) decays:
 

$\mu^+ \rightarrow e^+ + \gamma$	$BR < 5.7 \times 10^{-13}$
$\mu^+ \rightarrow e^+ + e^- + e^+$	$BR < 1.0 \times 10^{-12}$
$\mu^- + A(N, Z) \rightarrow e^- + A(N, Z)$	$BR(Au) < 7.0 \times 10^{-13}$

- Larger magnetic moments  $\Rightarrow$  Larger decay rates of heavy neutrino

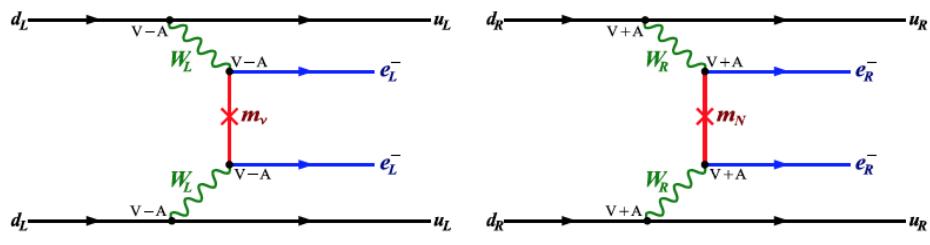
$$\sim 10^{-10} \mu_B (\text{present limit}) \gg \mu_\nu (\text{Majorana}) \approx 10^{-15} \mu_B \gg \mu_{ii}^D (\text{Dirac}) \approx 3.2 \times 10^{-19} \left( \frac{m_i}{1 \text{eV}} \right) \mu_B$$

- Different neutrino contribution to Supernovae explosion mechanism  $\Rightarrow$  different signals measured on Earth detectors

# Possible contributions to $0\beta\beta$ decay

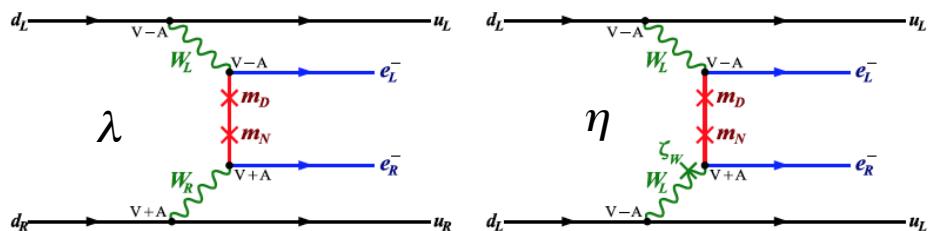
DAS *et al.*

PHYSICAL REVIEW D 86, 055006 (2012)



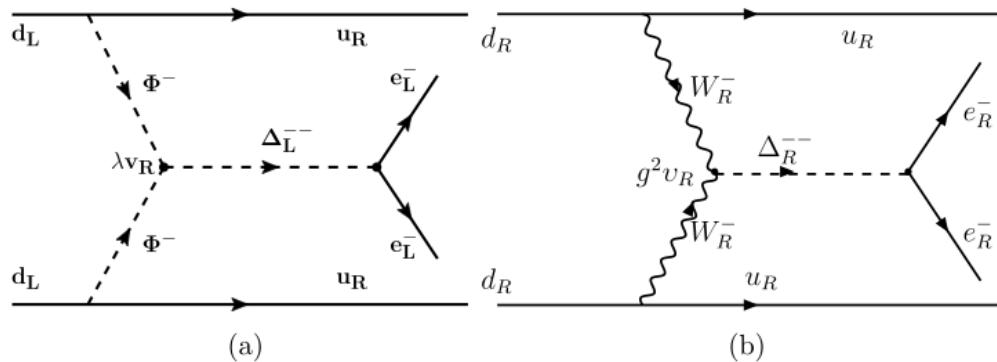
(a)

(b)



(c)

(d)



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Low-energy effective Hamiltonian

$$\mathcal{H}_W = \frac{G_F}{\sqrt{2}} j_L^\mu J_{L\mu}^+ + h.c.$$

$$j_{L/R}^\mu = \bar{e} \gamma^\mu (1 \mp \gamma^5) v_e$$

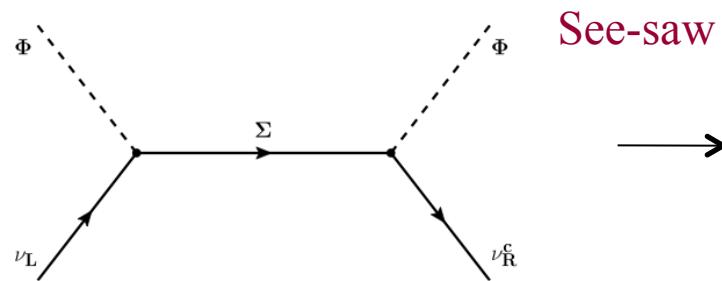
$$\mathcal{H}_W = \frac{G_F}{\sqrt{2}} [j_L^\mu (J_{L\mu}^+ + \kappa J_{R\mu}^+) + j_R^\mu (\eta J_{L\mu}^+ + \lambda J_{R\mu}^+)] + h.c.$$

*Left-right symmetric model*

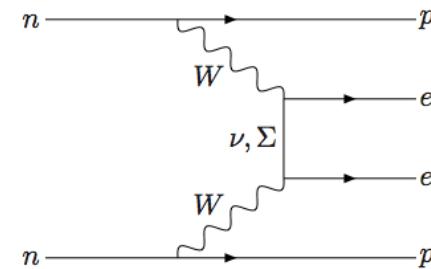
$$-\mathcal{L} \supset \frac{1}{2} h_{\alpha\beta}^T (\bar{\nu}_{\beta L} \bar{e}_{\alpha L}) \begin{pmatrix} \Delta^- & -\Delta^0 \\ \Delta^{--} & \Delta^- \end{pmatrix} \begin{pmatrix} e_R^c \\ -\nu_R^c \end{pmatrix} + h.c$$

*No neutrino exchange*

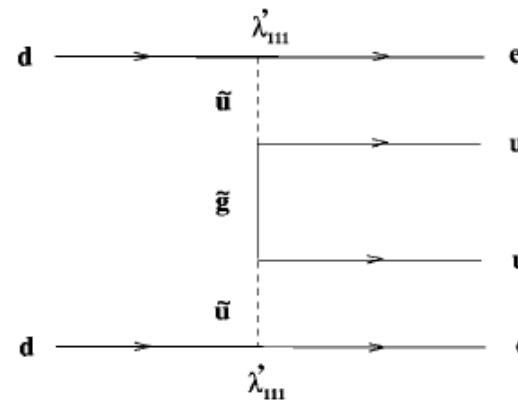
# Contributions to $0\beta\beta$ decay: no neutrino



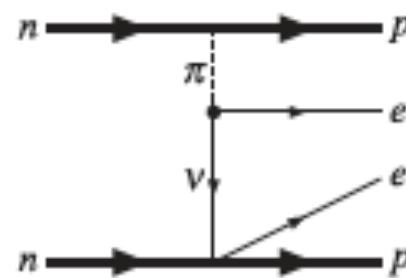
See-saw type III



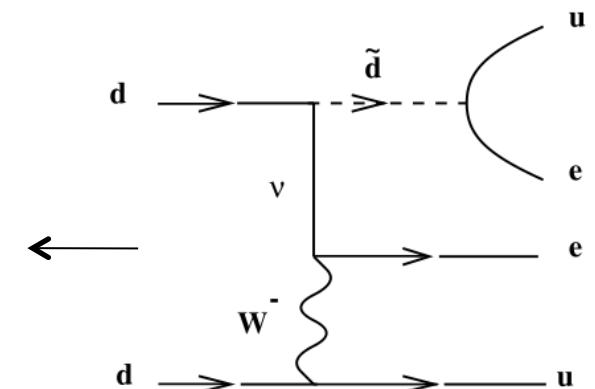
GUT/SUSY R-parity violation



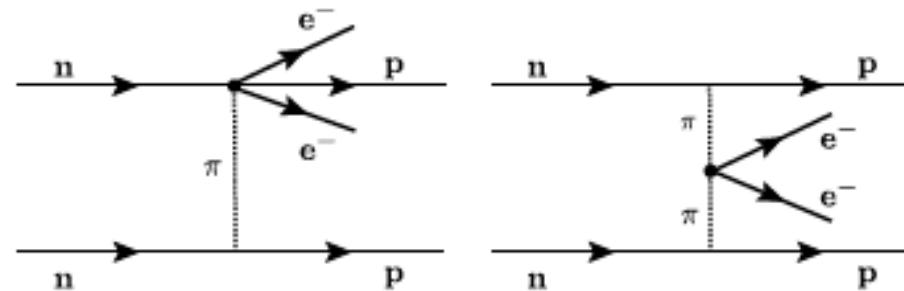
Gluino exchange



Hadronization /w R-parity v.



Squark exchange



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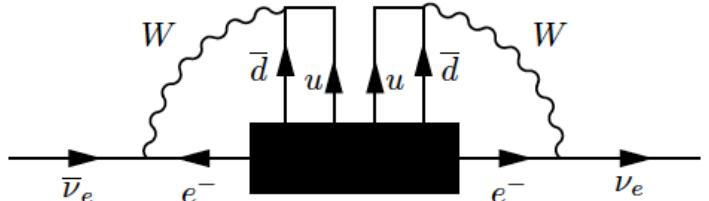
# The Black Box Theorems

## Black box I (electron neutrino)

J. Schechter and J.W.F Valle, PRD 25, 2951 (1982)

E. Takasugi, PLB 149, 372 (1984)

J.F. Nieves, PLB 145, 375 (1984)



$0\nu\beta\beta$  observed  $\Leftrightarrow$   
at some level

- (i) Electron neutrinos are Majorana fermions (with  $m > 0$ ).
- (ii) Lepton number conservation is violated by 2 units

However:

M. Duerr et al, JHEP 06 (2011) 91

$$(\delta m_{\nu_e})_{BB} \sim 10^{-24} \text{ eV} \ll \sqrt{|\Delta m_{32}^2|} \approx 0.05 \text{ eV}$$

## Black box II (all flavors + oscillations)

M. Hirsch, S. Kovalenko, I. Schmidt, PLB 646, 106 (2006)

$0\nu\beta\beta$  observed  $\Leftrightarrow$   
at some level

- (i) Neutrinos are Majorana fermions.
- (ii) Lepton number conservation is violated by 2 units

Regardless of the dominant  $0\nu\beta\beta$  mechanism!

$$(iii) \langle m_{\beta\beta} \rangle = \left| \sum_{k=1}^3 m_k U_{ek}^2 \right| = \left| c_{12}^2 c_{13}^2 m_1 + c_{13}^2 s_{12}^2 m_2 e^{i\phi_2} + s_{13}^2 m_3 e^{i\phi_3} \right| > 0$$

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# DBD signals from different mechanisms

R. Arnold et al.: Probing New Physics Models of Neutrinoless Double Beta Decay with SuperNEMO

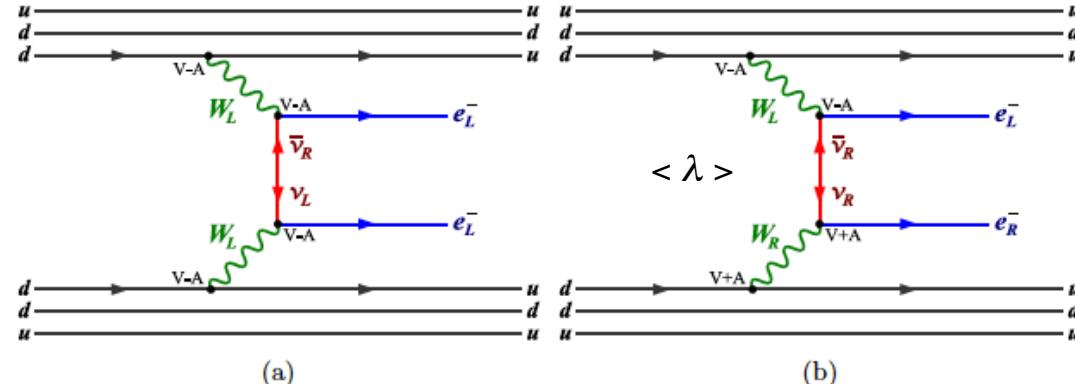
arXiv:1005.1241

$$\mu \approx \frac{m_\nu}{m_e}, \quad (10)$$

$$\eta \approx \tan \zeta \sqrt{\frac{m_\nu}{M_R}}, \quad (11)$$

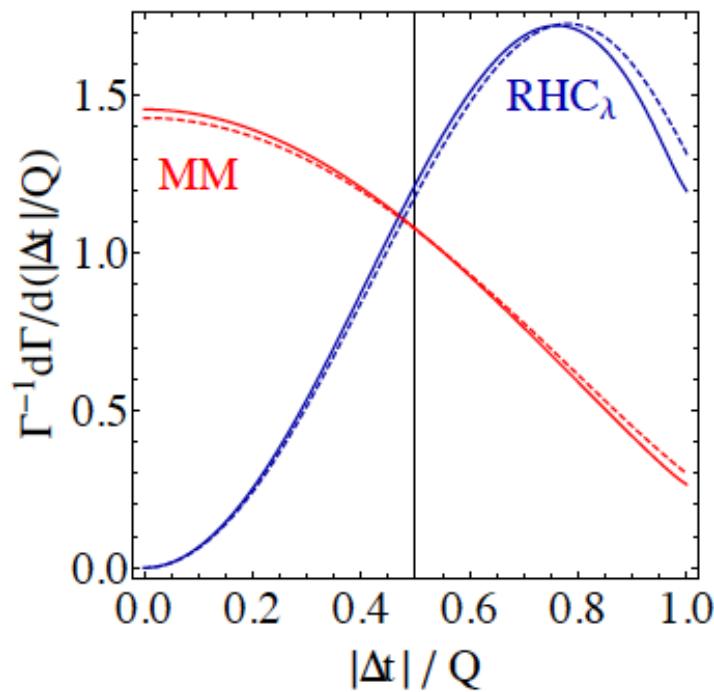
$$\lambda \approx \left( \frac{M_{W_L}}{M_{W_R}} \right)^2 \sqrt{\frac{m_\nu}{M_R}}. \quad (12)$$

$$[T_{1/2}]^{-1} = C_{mm}\mu^2 + C_{\lambda\lambda}\lambda^2 + C_{m\lambda}\mu\lambda. \quad (13)$$



(a)

(b)



$$t = \varepsilon_{e1} - \varepsilon_{e12}$$

M. Horo

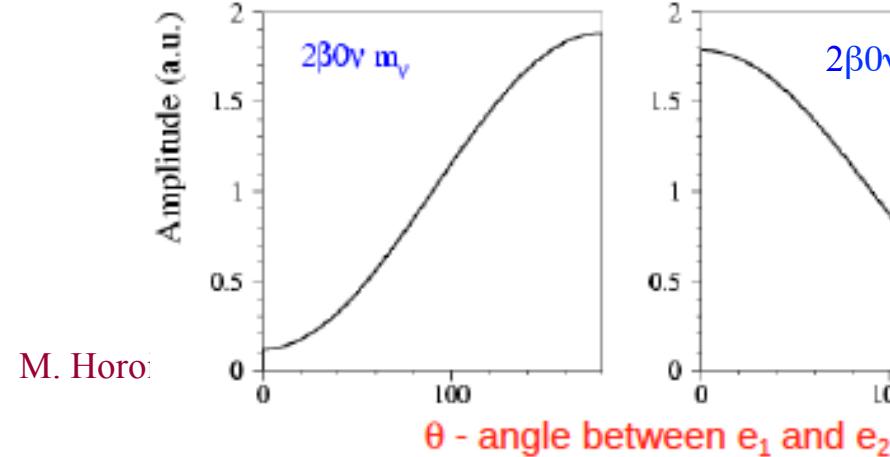
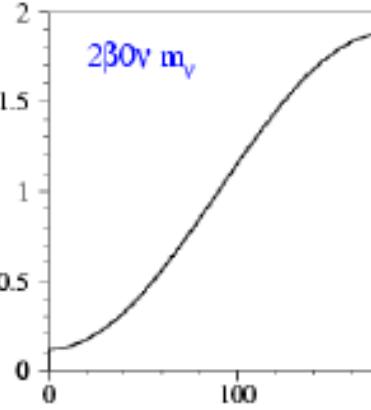
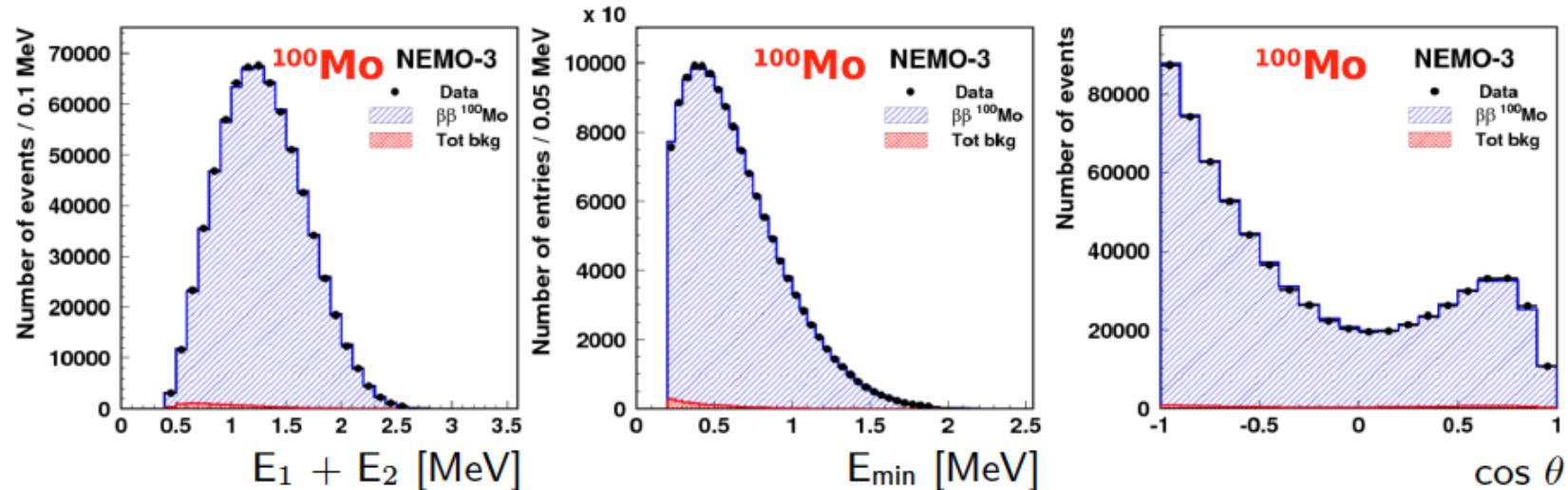
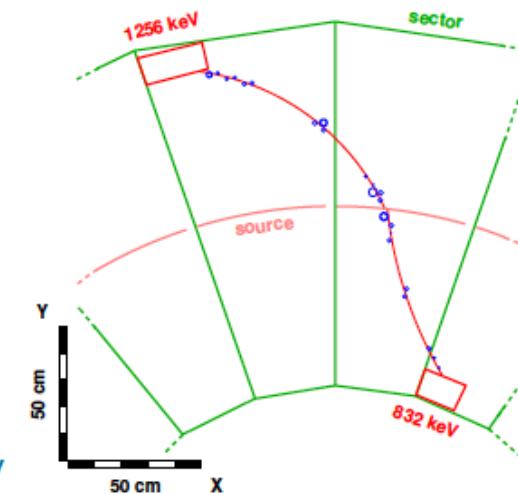


Table 1: Coefficients used in calculating the  $0\nu\beta\beta$  decay rate [30].

Isotope	$C_{mm} [\text{y}^{-1}]$	$C_{\lambda\lambda} [\text{y}^{-1}]$	$C_{m\lambda} [\text{y}^{-1}]$
$^{76}\text{Ge}$	$1.12 \times 10^{-13}$	$1.36 \times 10^{-13}$	$-4.11 \times 10^{-14}$
$^{82}\text{Se}$	$4.33 \times 10^{-13}$	$1.01 \times 10^{-12}$	$-1.60 \times 10^{-13}$
$^{150}\text{Nd}$	$7.74 \times 10^{-12}$	$2.68 \times 10^{-11}$	$-3.57 \times 10^{-12}$

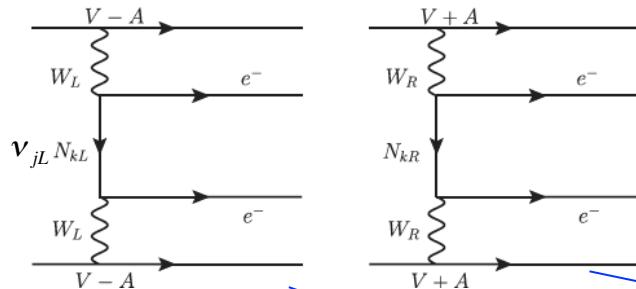
## NEMO-3 $2\nu 2\beta$ of $^{100}\text{Mo}$ Measurement

- ▶ 6.9 kg of  $^{100}\text{Mo}$
- ▶  $\sim 700\,000$   $2\nu 2\beta$  events collected
- ▶ Efficiency  $\mathcal{E}_{2\nu} = 4.3\%$
- ▶ Signal to background ratio S/B = 76
- ▶ Preliminary half-life:  
 $\mathcal{T}_{1/2}^{2\nu} = 7.16 \pm 0.01 \text{ (stat)} \pm 0.54 \text{ (syst)} 10^{18} \text{ y}$   
 compatible with previously published [Phys. Rev. Lett. 95, 182302 (2005)]

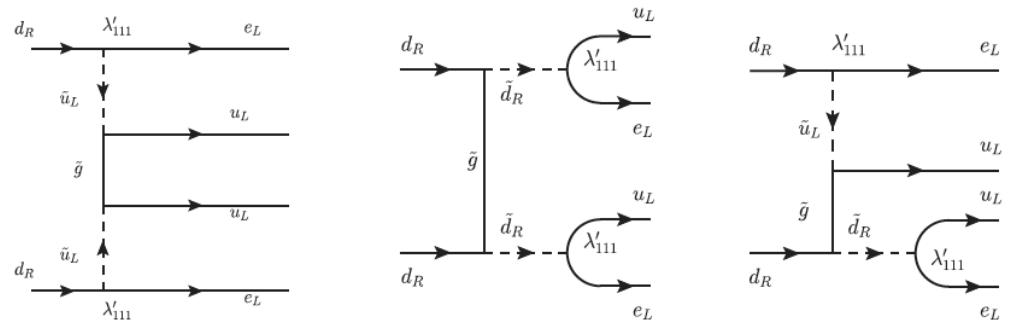


- ▶ 0.7 % systematical uncertainty on the  $2\nu 2\beta$  efficiency above 2 MeV

PRD 83, 113003 (2011)



## The DBD half-life



$$\begin{cases} \nu'_{eL} = \sum_k^{light} U_{ek} \nu_{kL} + \sum_k^{heavy} S_{ek} N_{kL} \\ \nu'_{eR} = \sum_k^{light} T_{ek}^* \nu_{kR} + \sum_k^{heavy} V_{ek}^* N_{kR} \end{cases} \quad \eta_L = \frac{\langle m_{\beta\beta} \rangle}{m_e} \quad \eta_{NL} = \sum_k^{heavy} S_{ek}^2 \frac{m_p}{M_k} \quad \eta_{NR} = \left( \frac{M_{WL}}{M_{WR}} \right)^4 \sum_k^{heavy} V_{ek}^2 \frac{m_p}{M_k}$$

$$\langle \lambda \rangle = \left( \frac{M_{WL}}{M_{WR}} \right)^2 \sum_k^{light} U_{ek} T_{ek}^* \quad \langle \eta \rangle = \zeta \sum_k^{light} U_{ek} T_{ek}^* \quad W_R \approx \xi W_1 + W_2$$

$$\left[ T_{1/2}^{0\nu} \right]^{-1} = G^{0\nu} \left| \sum_j M_j \eta_j \right|^2 = G^{0\nu} \left| M^{(0\nu)} \eta_L + M^{(0N)} (\eta_{NL} + \eta_{NR}) + \tilde{X}_\lambda \langle \lambda \rangle + \tilde{X}_\eta \langle \eta \rangle + M^{(0\lambda')} \eta_{\lambda'} + M^{(0\tilde{q})} \eta_{\tilde{q}} + \dots \right|^2$$

(i)  $\eta_{NL}$  negligible in most models; (ii)  $\langle \eta \rangle$  &  $\langle \lambda \rangle$  ruled in/out by energy or angular distributions

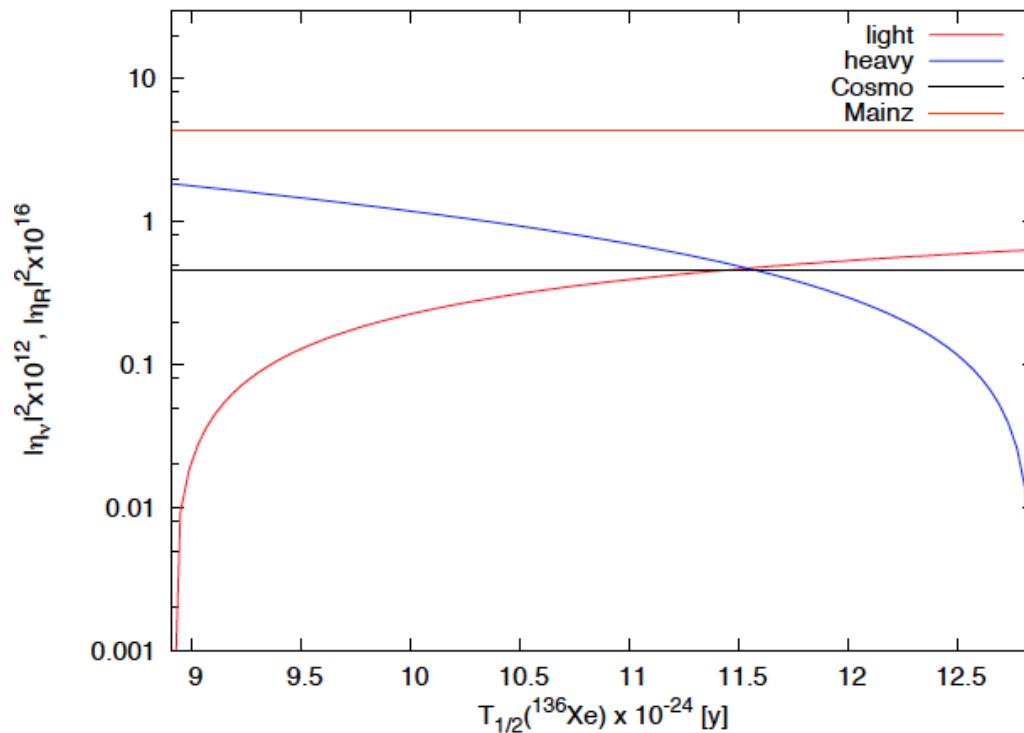
$$\left[ T_{1/2}^{0\nu} \right]^{-1} \cong G^{0\nu} \left| M^{(0\nu)} \eta_L + M^{(0N)} \eta_{NR} \right|^2 \approx G^{0\nu} \left[ |M^{(0\nu)}|^2 |\eta_L|^2 + |M^{(0N)}|^2 |\eta_{NR}|^2 \right] \text{ No interference terms!}$$

# Two Non-Interfering Mechanisms

$$|\eta_\nu|, |\eta_{NR}| \leftarrow \begin{cases} \left[ G_{Ge}^{0\nu} T_{1/2 Ge}^{0\nu} \right]^{-1} = |M_{Ge}^{(0\nu)}|^2 |\eta_\nu|^2 + |M_{Ge}^{(0N)}|^2 |\eta_{NR}|^2 \\ \left[ G_{Xe}^{0\nu} T_{1/2 Xe}^{0\nu} \right]^{-1} = |M_{Xe}^{(0\nu)}|^2 |\eta_\nu|^2 + |M_{Xe}^{(0N)}|^2 |\eta_{NR}|^2 \end{cases}$$

$$|\eta_\nu| = \frac{\langle m_{\beta\beta} \rangle}{m_e} \approx 10^{-6}$$

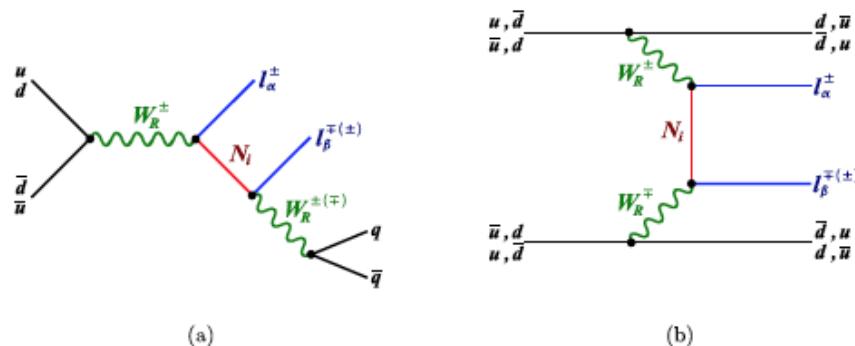
$$|\eta_{NR}| = \left( \frac{M_{WL}}{M_{WR}} \right)^4 \sum_k V_{ek}^2 \frac{m_p}{M_k} \approx 10^{-8}$$



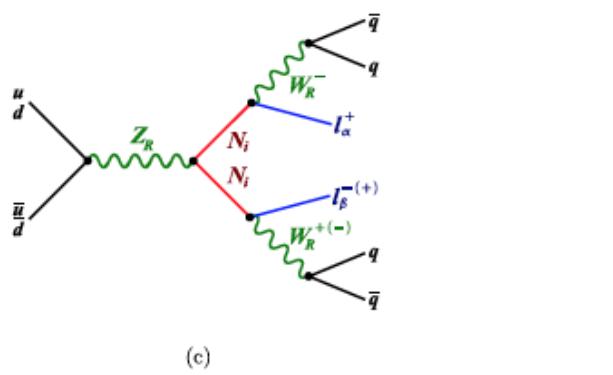
Assume  $T_{1/2}(^{76}\text{Ge}) = 22.3 \times 10^{24} \text{ y}$

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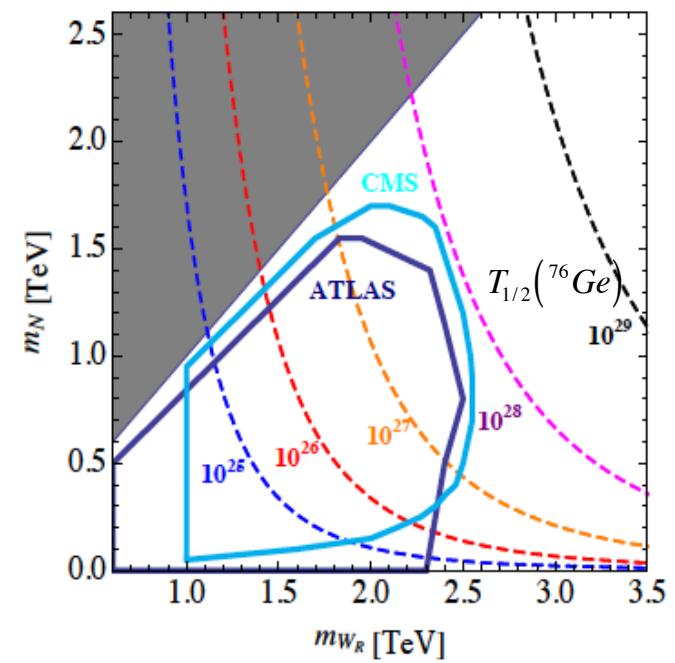
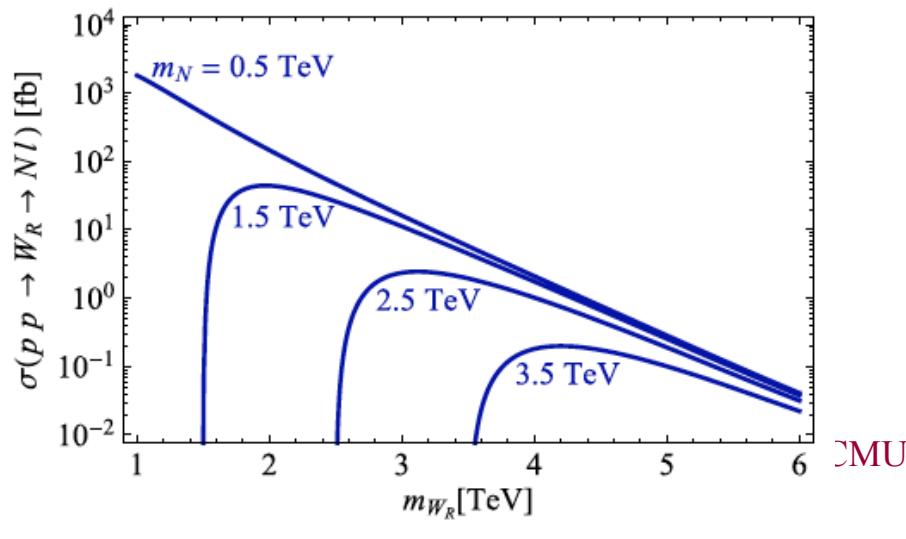
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## Left-right symmetric model



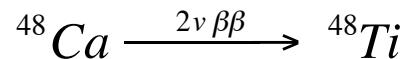
arXiv:1307.4849



# $2\nu$ Double Beta Decay (DBD) of $^{48}\text{Ca}$

$$T_{1/2}^{-1} = G_{2\nu}(Q_{\beta\beta}) [M_{GT}^{2\nu}(0^+)]^2$$

$$M_{GT}^{2\nu}(0^+) = \sum_k \frac{\langle 0_f | \sigma \tau^- | 1_k^+ \rangle \langle 1_k^+ | \sigma \tau^- | 0_i \rangle}{E_k + E_0}$$

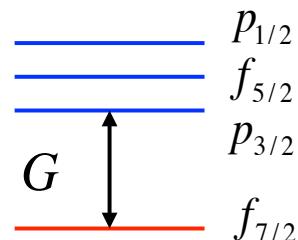


The choice of valence space  
is important!

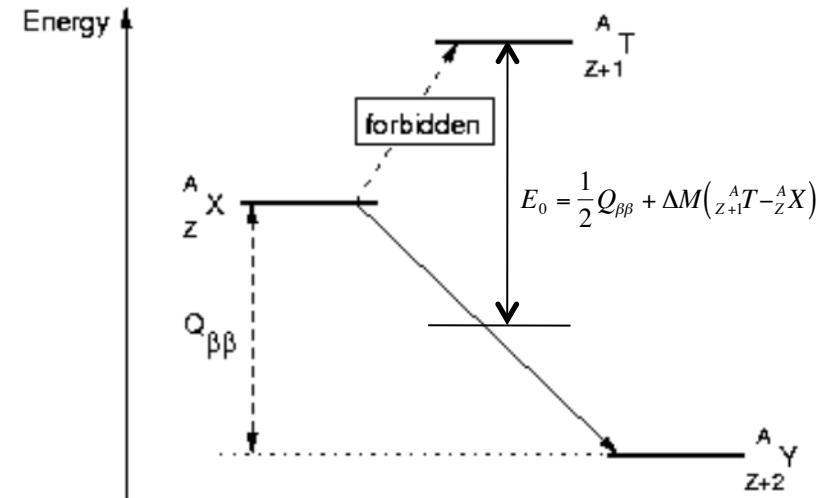
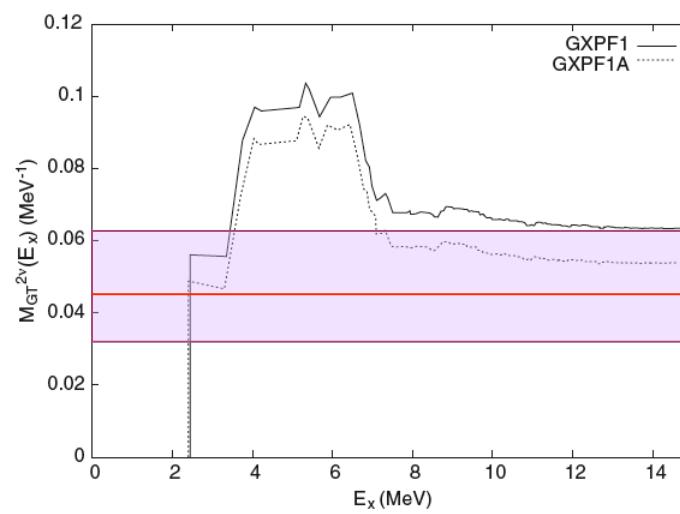
$$B(GT) = \frac{|\langle f | \sigma \cdot \tau | i \rangle|^2}{(2J_i + 1)}$$

ISR	$^{48}\text{Ca}$	$^{48}\text{Ti}$
pf	24.0	12.0
f7 p3	10.3	5.2

Ikeda satisfied in pf!



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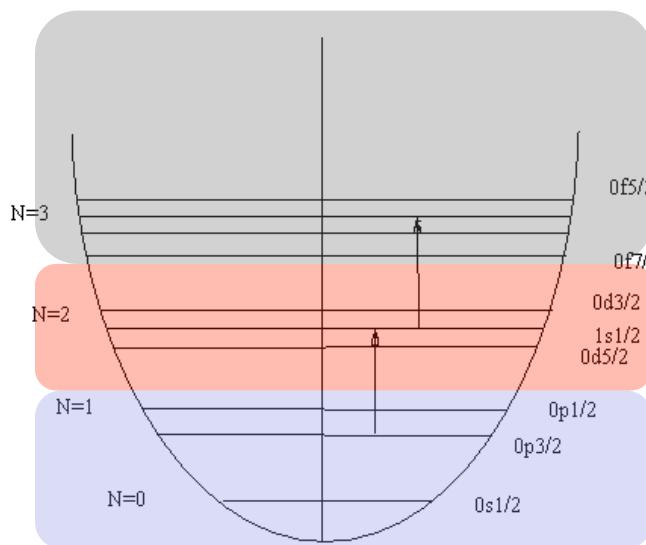


$$\text{Ikeda sum rule}(ISR) = \sum B(GT; Z \rightarrow Z+1) - \sum B(GT; Z \rightarrow Z-1) = 3(N - Z)$$

$$g_A \sigma \tau \xrightarrow{\text{quenched}} 0.77 g_A \sigma \tau$$

Horoi, Stoica, Brown,  
PRC 75, 034303 (2007)

# Shell Model GT Quenching



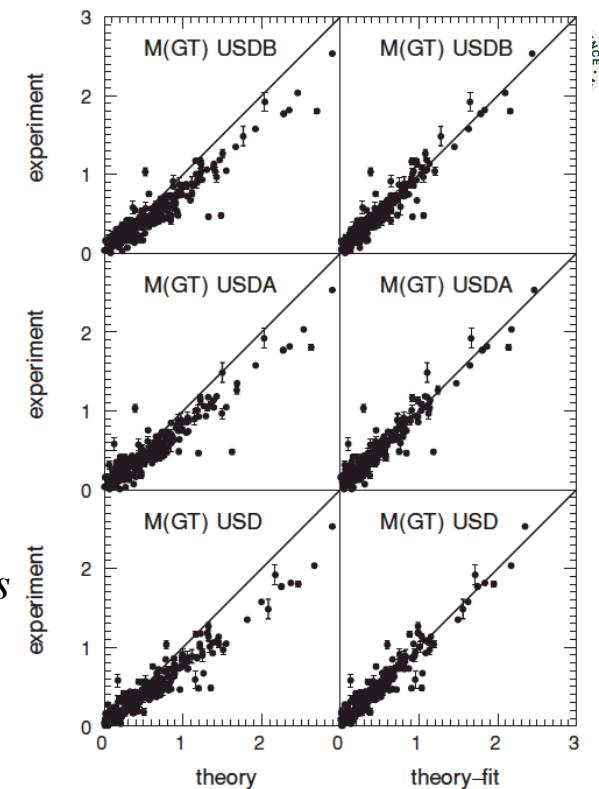
empty

valence

frozen core

$H_{valence} = H_{2-body}$

*can describe most correlations around the Fermi surface!*



$$\sigma\tau \xrightarrow{\text{quenched}} 0.77\sigma\tau$$

core polarization:  
Phys. Rep. **261**, 125  
(1995)

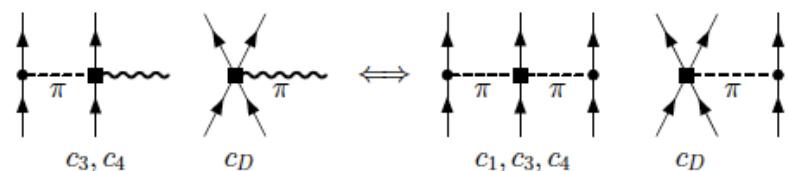
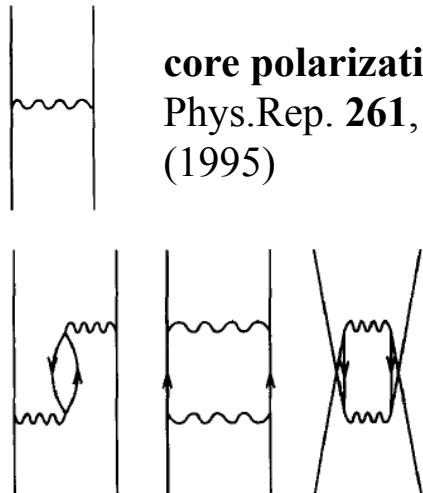


FIG. 1: Chiral 2b currents and 3N force contributions.

J. Menendez, D. Gazit and A. Schwenk, arXiv:1103.3622, PRL

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# Double Beta Decay NME for $^{48}\text{Ca}$

TABLE I. Matrix elements and half-lives for  $2\nu$  decay calculated using GXPF1A interaction and two quenching factors. Matrix elements are in  $\text{MeV}^{-1}$  for transitions to  $0^+$  states and in  $\text{MeV}^{-3}$  for transitions to  $2^+$  states.

$J_n^\pi$	$qf = 0.77$		$qf = 0.74$	
	$M^{2\nu}$	$T_{1/2}^{2\nu}$ (yr)	$M^{2\nu}$	$T_{1/2}^{2\nu}$ (yr)
$0_1^+$	0.054	$3.3 \times 10^{19}$	0.050	$3.9 \times 10^{19}$
$2_1^+$	0.012	$8.5 \times 10^{23}$	0.010	$1.0 \times 10^{24}$
$0_2^+$	0.050	$1.6 \times 10^{24}$	0.043	$1.9 \times 10^{24}$

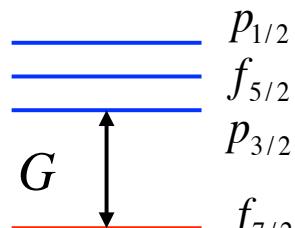
M. Horoi, PRC **87**, 014320 (2013)

$$M_{\text{GT}}^{2\nu}(0^+) = \sum_k \frac{\langle 0_f | \sigma \tau^- | 1_k^+ \rangle \langle 1_k^+ | \sigma \tau^- | 0_i \rangle}{E_k + E_0}$$

$\leftarrow \left( T_{1/2}^{2\nu} \right)_{\text{exp}} = [4.4_{-0.5}^{+0.6}(\text{stat}) \pm 0.4(\text{syst})] \times 10^{19} \text{ yr}$

$$\left[ T_{1/2}^{0\nu} \right]^{-1} = G^{0\nu} \left| \tilde{\eta}_{\nu L} M_\nu^{0\nu} + \tilde{\eta}_N M_N^{0\nu} + \eta_{\lambda'} M_{\lambda'}^{0\nu} + \eta_{\bar{q}} M_{\bar{q}}^{0\nu} \right|^2,$$

TABLE II. Matrix elements for  $0\nu$  decay using the GXPF1A interaction and two SRC models [61], CD-Bonn (SRC1) and Argonne (SRC2). For comparison, the values labeled (a) are taken from Ref. [27], and the value labeled (b) is taken from Ref. [62] for  $g_{pp} = 1$  and no SRC.



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	Model	$M_\nu^{0\nu}$	$M_N^{0\nu}$	$M_{\lambda'}^{0\nu}$	$M_{\bar{q}}^{0\nu}$
$0_1^+$	SRC1	0.90	75.5	618	86.7
	SRC2	0.82	52.9	453	81.8
	others	$2.3^{(\text{a})}$	$46.3^{(\text{a})}$	$392^{(\text{b})}$	
$0_2^+$	SRC1	0.80	57.2	486	84.2
	SRC2	0.75	40.6	357	80.6

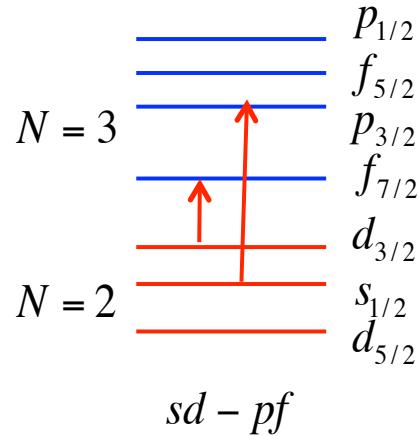
# The effect of larger model spaces for $^{48}\text{Ca}$

M(0v)	SDPFU	SDPFMUP
$0 \ h\omega$	0.941	0.623
$0+2 \ h\omega$	1.182 (26%)	1.004 (61%)

	M(0v)
$0 \ h\omega / \text{GXF1A}$	0.733
$0 \ h\omega + 2^{\text{nd}} \text{ ord.}/\text{GXF1A}$	1.301 (77%)

SDPFU: PRC 79, 014310 (2009)

SDPFMUP: PRC 86, 051301(R) (2012)

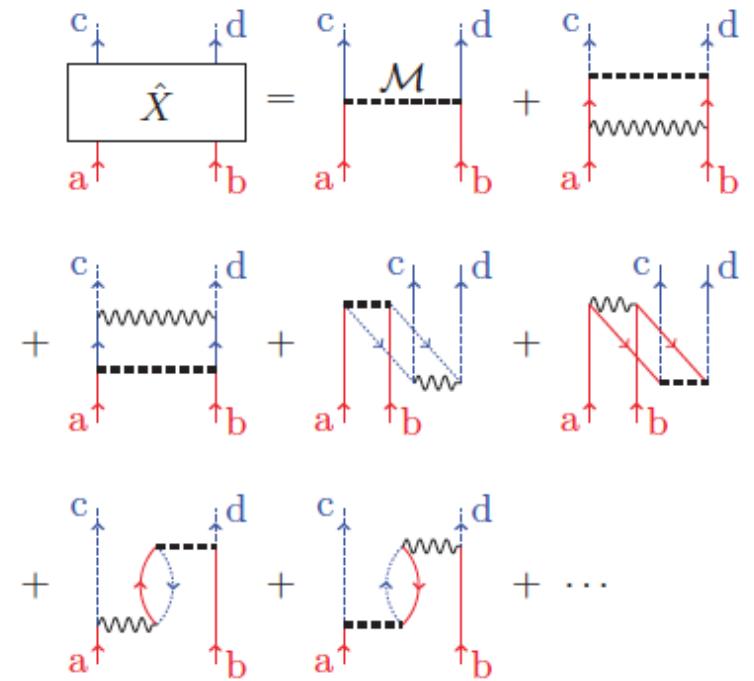


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arXiv:1308.3815, PRC 89, 045502 (2014)

PRC 87, 064315 (2013)



# Closure Approximation and Beyond in Shell Model

$$M_S^{0v} = \sum_{\substack{J, p < p' \\ n < n' \\ p < n}} (\Gamma) \left\langle 0_f^+ \left| \left[ \left( a_p^+ a_{p'}^+ \right)^J \left( \tilde{a}_{n'} \tilde{a}_n \right)^J \right]^0 \right| 0_i^+ \right\rangle \left\langle p p'; J \left| \int q^2 dq \left[ \hat{S} \frac{h(q) j_\kappa(qr) G_{FS}^2 f_{SRC}^2}{q(q + E)} \tau_{1-} \tau_{2-} \right] \right| n n'; J \right\rangle - closure$$

$$M_S^{0v} = \sum_{\substack{pp'nn' \\ J k J}} (\tilde{\Gamma}) \left\langle 0_f^+ \left| \left( a_p^+ \tilde{a}_n \right)^J \right\| J_k \right\rangle \left\langle J_k \left| \left( a_{p'}^+ \tilde{a}_{n'} \right)^J \right\| 0_i^+ \right\rangle \left\langle p p'; J \left| \int q^2 dq \left[ \hat{S} \frac{h(q) j_\kappa(qr) G_{FS}^2 f_{SRC}^2}{q(q + E_k^J)} \tau_{1-} \tau_{2-} \right] \right| n n'; J \right\rangle - beyond$$

Challenge: there are about 100,000  $J_k$  states in the sum for  $^{48}\text{Ca}$

Much more intermediate states for heavier nuclei, such as  $^{76}\text{Ge}!!!$

No-closure may need states out of the model space (not considered).

$$\hat{S} = \begin{cases} \sigma_1 \tau_1 \sigma_2 \tau_2 & \text{Gamow-Teller (GT)} \\ \tau_1 \tau_2 & \text{Fermi (FM)} \\ [3(\vec{\sigma}_1 \cdot \hat{n})(\vec{\sigma}_2 \cdot \hat{n}) - (\vec{\sigma}_1 \cdot \vec{\sigma}_2)] \tau_1 \tau_2 & \text{Tensor (T)} \end{cases}$$

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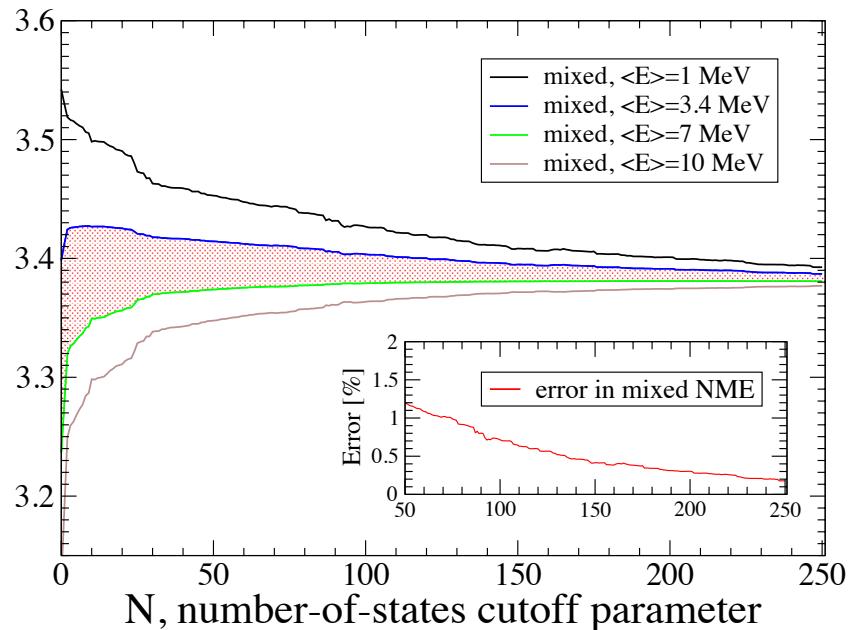
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**Minimal model spaces**

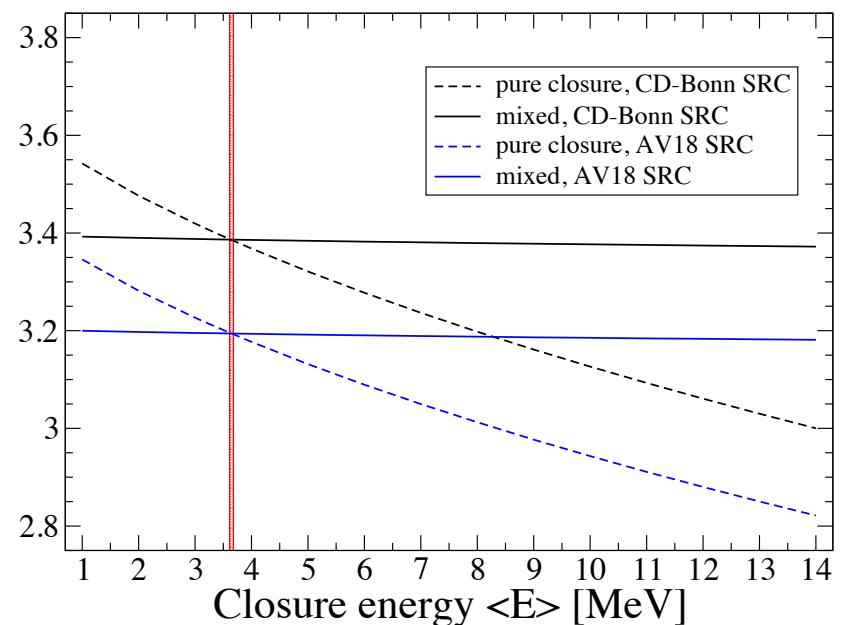
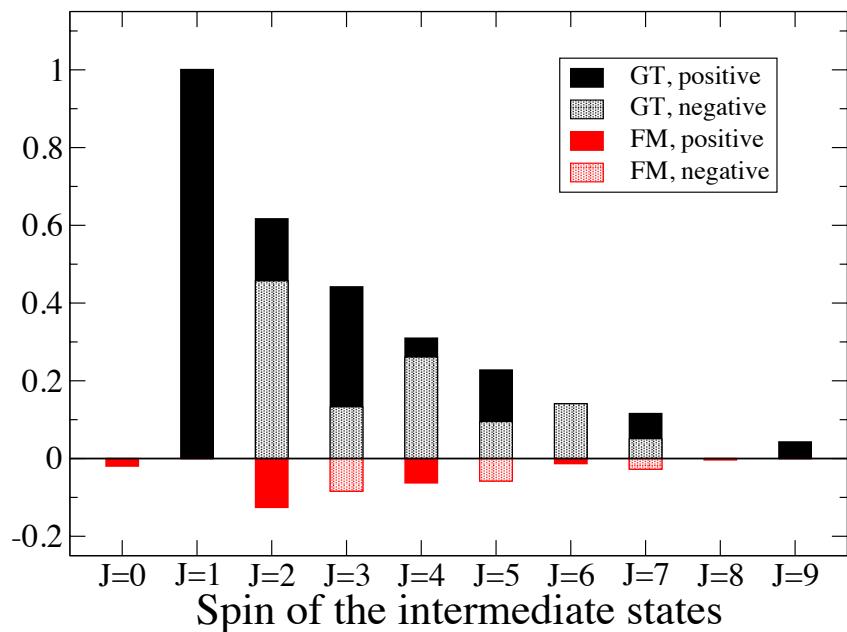
$^{82}\text{Se}$  : 10M states

$^{130}\text{Te}$  : 22M states

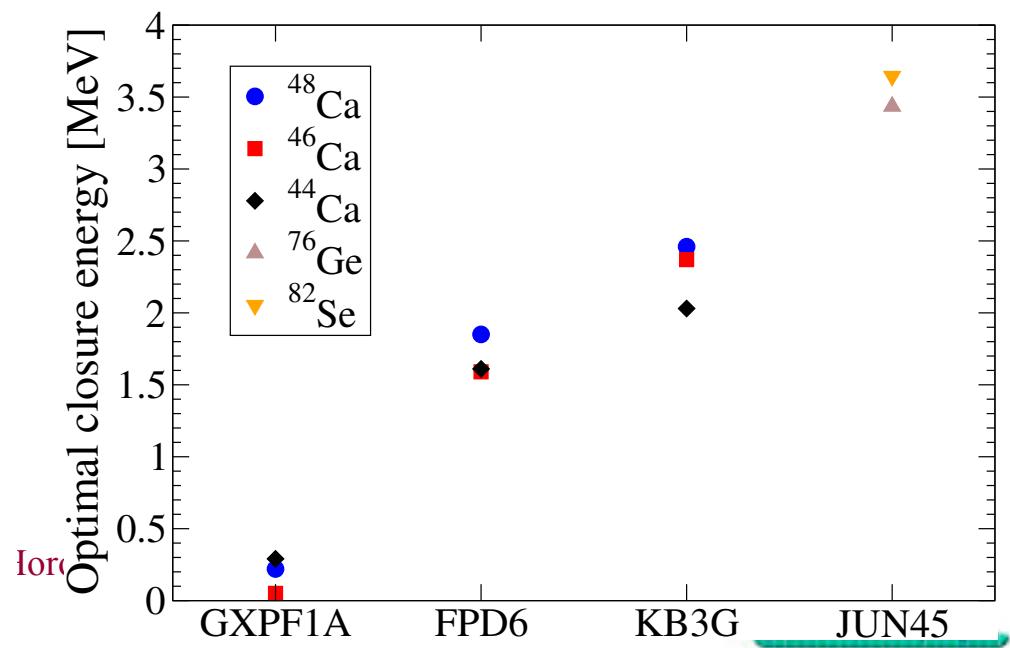
$^{76}\text{Ge}$  : 150M states

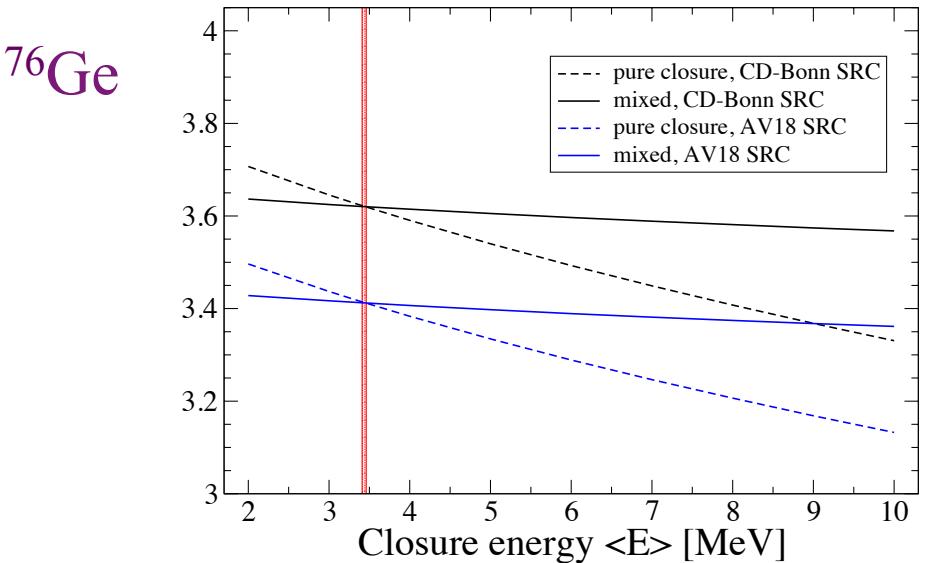
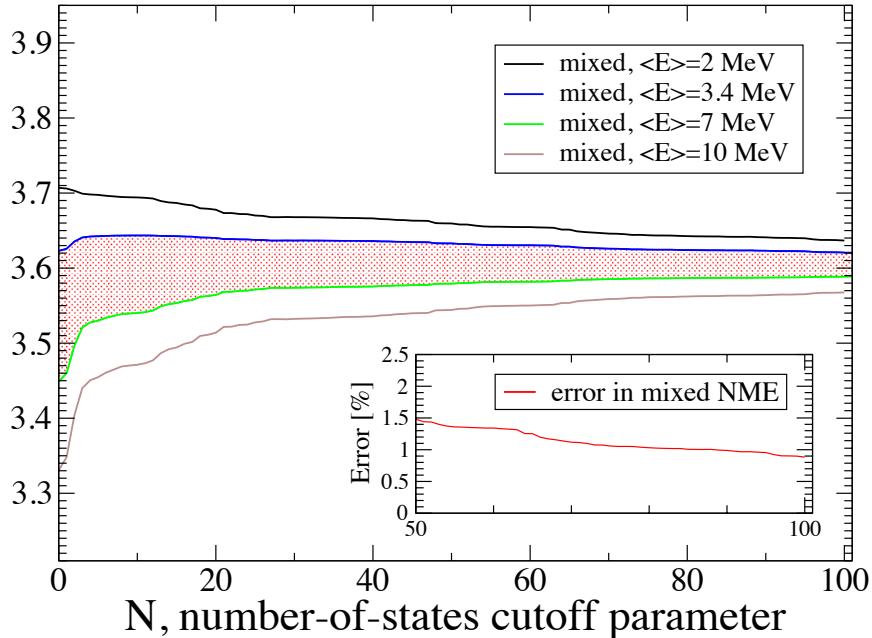


$^{82}\text{Se}$ : PRC 89, 054304 (2014)

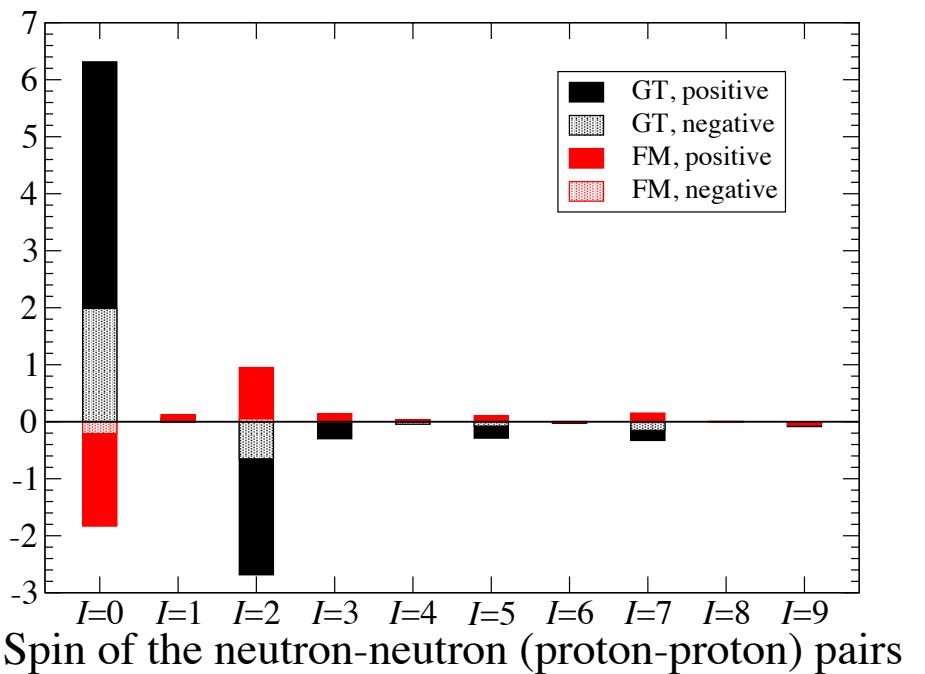
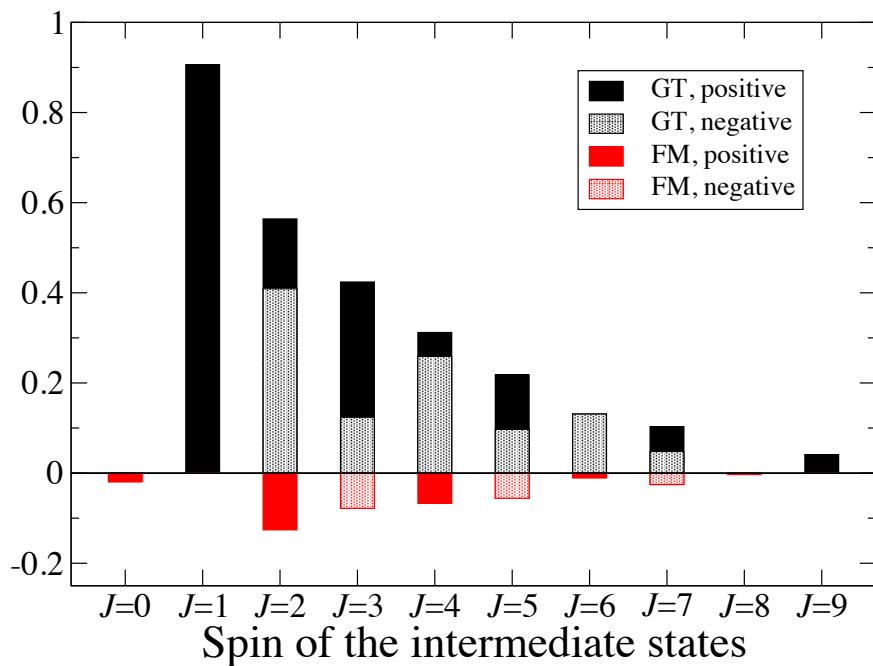


$$M_{\text{mixed}}(N) = M_{\text{no-closure}}(N) + [M_{\text{closure}}(N = \infty) - M_{\text{closure}}(N)]$$



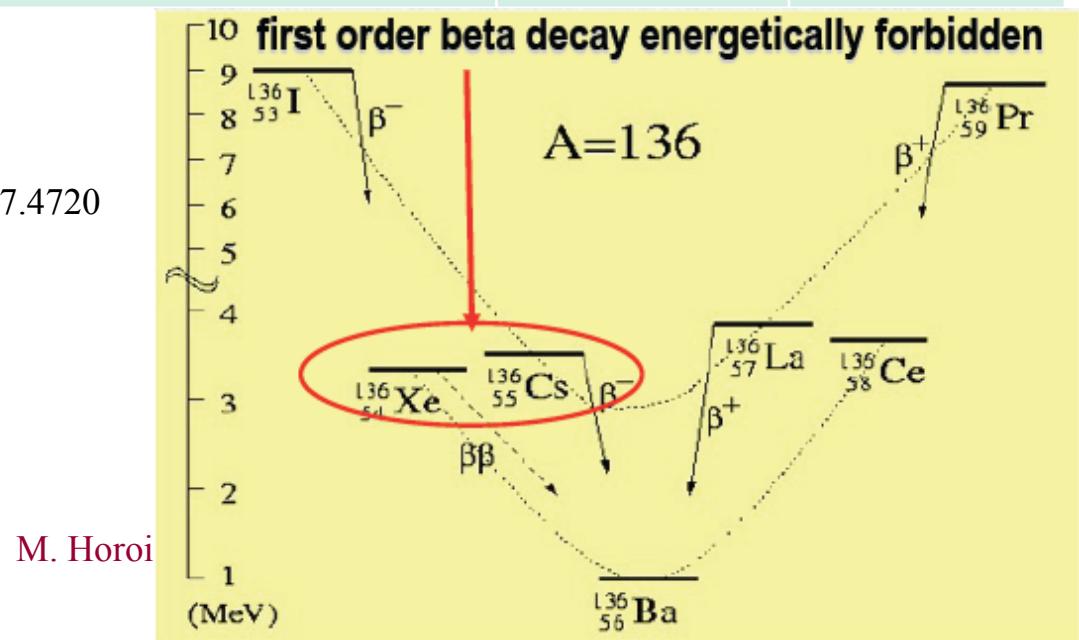
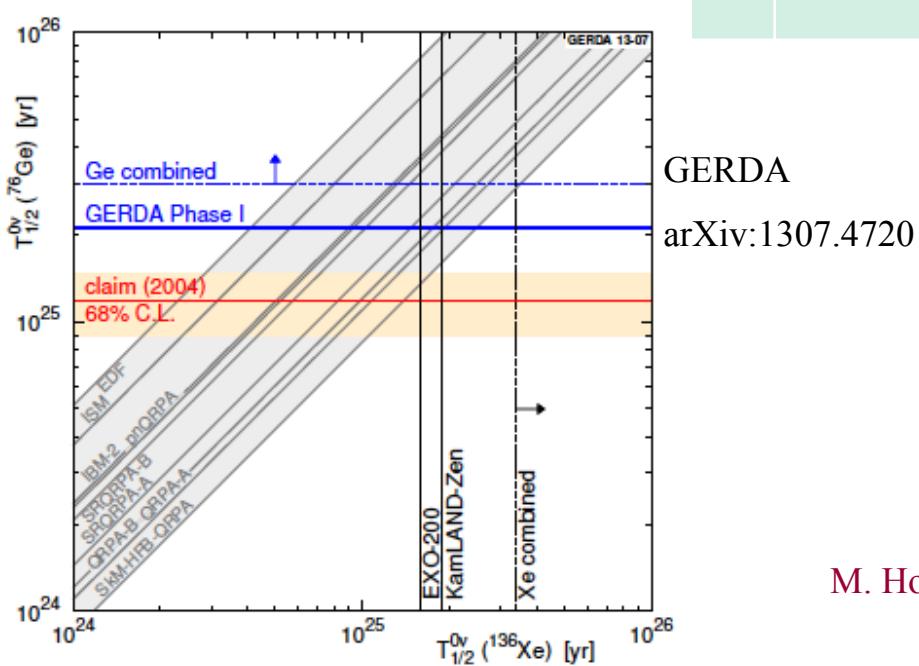


$$M_{\text{mixed}}(N) = M_{\text{no-closure}}(N) + [M_{\text{closure}}(N = \infty) - M_{\text{closure}}(N)]$$



# $^{136}\text{Xe}$ $\beta\beta$ Experimental Results $M_{\text{exp}}^{2\nu} = 0.019 \text{ MeV}^{-1}$

Publication	Experiment	$T^{2\nu}_{1/2}$	$T^{0\nu}_{1/2}$	$T^{0\nu}_{1/2}(\text{Maj})$
PRL 110, 062502	KamLAND-Zen		$> 1.9 \times 10^{25} \text{ y}$	
PRL 107, 212501	EXO-200	$(2.11 \pm 0.04 \pm 0.21) \times 10^{21} \text{ y}$		
PRL 109, 032505	EXO-200	$(2.23 \pm 0.017 \pm 0.22) \times 10^{21} \text{ y}$	$> 1.6 \times 10^{25} \text{ y}$	
PRC 85, 045504	KamLAND-Zen	$(2.38 \pm 0.02 \pm 0.14) \times 10^{21} \text{ y}$	$> 5.7 \times 10^{24} \text{ y}$	
PRC 86, 021601	KamLAND-Zen		$> 6.2 \times 10^{24} \text{ y}$	$> 2.6 \times 10^{24} \text{ y}$



# Other Shell Model Results

Shell Model description of the  $\beta\beta$  decay of  $^{136}\text{Xe}$

E. Caurier<sup>a</sup>, F. Nowacki<sup>a</sup>, A. Poves<sup>b,\*</sup>

Physics Letters B 711 (2012) 62–64

**Table 2**

The ISM predictions for the matrix element of several  $2\nu$  double beta decays (in  $\text{MeV}^{-1}$ ). See text for the definitions of the valence spaces and interactions.

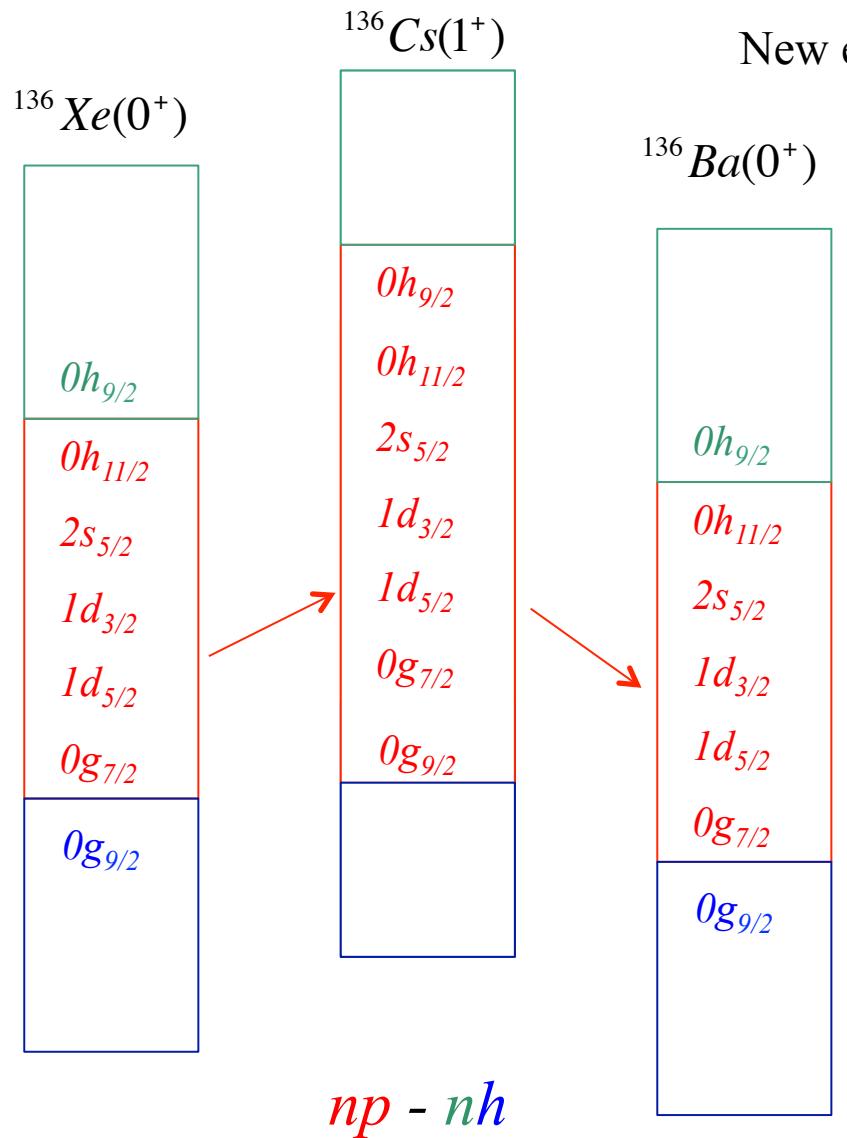
	$M^{2\nu}(\text{exp})$	$q$	$M^{2\nu}(\text{th})$	INT
$^{48}\text{Ca} \rightarrow ^{48}\text{Ti}$	$0.047 \pm 0.003$	0.74	0.047	kb3
$^{48}\text{Ca} \rightarrow ^{48}\text{Ti}$	$0.047 \pm 0.003$	0.74	0.048	kb3g
$^{48}\text{Ca} \rightarrow ^{48}\text{Ti}$	$0.047 \pm 0.003$	0.74	0.065	gxp1
$^{76}\text{Ge} \rightarrow ^{76}\text{Se}$	$0.140 \pm 0.005$	0.60	0.116	gcn28:50
$^{76}\text{Ge} \rightarrow ^{76}\text{Se}$	$0.140 \pm 0.005$	0.60	0.120	jun45
$^{82}\text{Se} \rightarrow ^{82}\text{Kr}$	$0.098 \pm 0.004$	0.60	0.126	gcn28:50
$^{82}\text{Se} \rightarrow ^{82}\text{Kr}$	$0.098 \pm 0.004$	0.60	0.124	jun45
$^{128}\text{Te} \rightarrow ^{128}\text{Xe}$	$0.049 \pm 0.006$	0.57	0.059	gcn50:82
$^{130}\text{Te} \rightarrow ^{130}\text{Xe}$	$0.034 \pm 0.003$	0.57	0.043	gcn50:82
$^{136}\text{Xe} \rightarrow ^{136}\text{Ba}$	$0.019 \pm 0.002$	0.45	0.025	gcn50:82

$$M_{\text{GT}}^{2\nu}(0^+) = \sum_k \frac{\langle 0_f | \sigma \tau^- | 1_k^+ \rangle \langle 1_k^+ | \sigma \tau^- | 0_i \rangle}{E_k + E_0} \quad 0g_{7/2} \ 1d_{5/2} \ 1d_{3/2} \ 2s_{5/2} \ 0h_{11/2} \text{ valence space}$$

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# $^{136}\text{Xe}$ $2\nu\beta\beta$ Results $M_{\text{exp}}^{2\nu} = 0.019 \text{ MeV}^{-1}$



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New effective interaction,  $\sigma\tau \rightarrow 0.74 \sigma\tau$  quenching

$0g_{7/2} 1d_{5/2} 1d_{3/2} 2s_{5/2} 0h_{11/2}$  model space

$$\sum B(GT; Z \rightarrow Z + 1) - \sum B(GT; Z \rightarrow Z - 1) = 52$$

$$Ikeda: \quad 3(N - Z) = 84$$

$$M^{2\nu} = 0.064 \text{ MeV}^{-1}$$

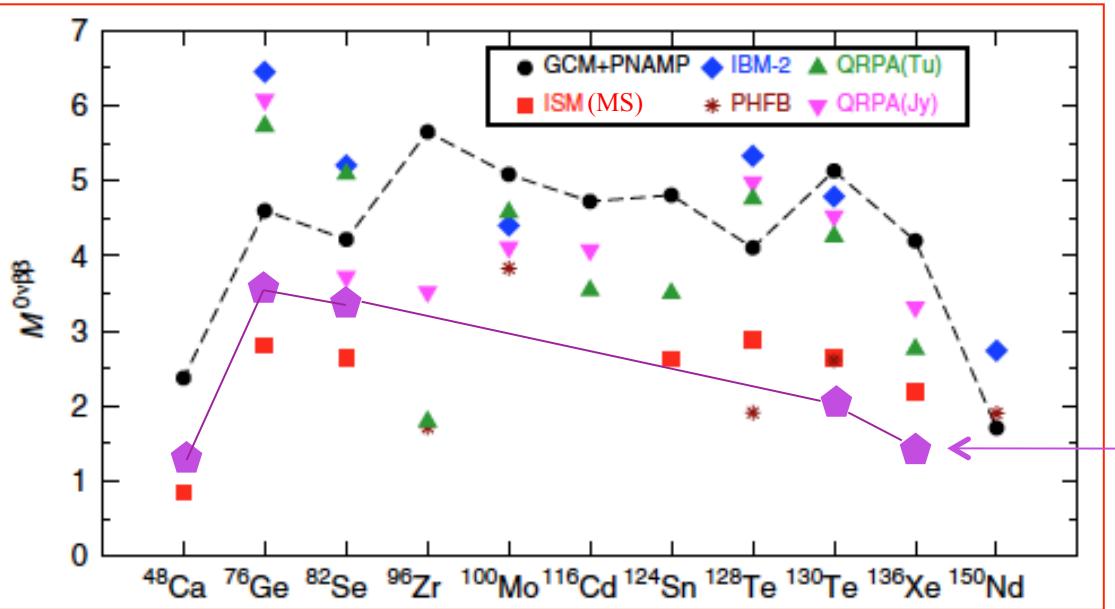
$0g_{9/2} 0g_{7/2} 1d_{5/2} 1d_{3/2} 2s_{5/2} 0h_{11/2} 0h_{9/2}$

$$\sum B(GT; Z \rightarrow Z + 1) - \sum B(GT; Z \rightarrow Z - 1) = 84$$

$$Ikeda: \quad 3(N - Z) = 84$$

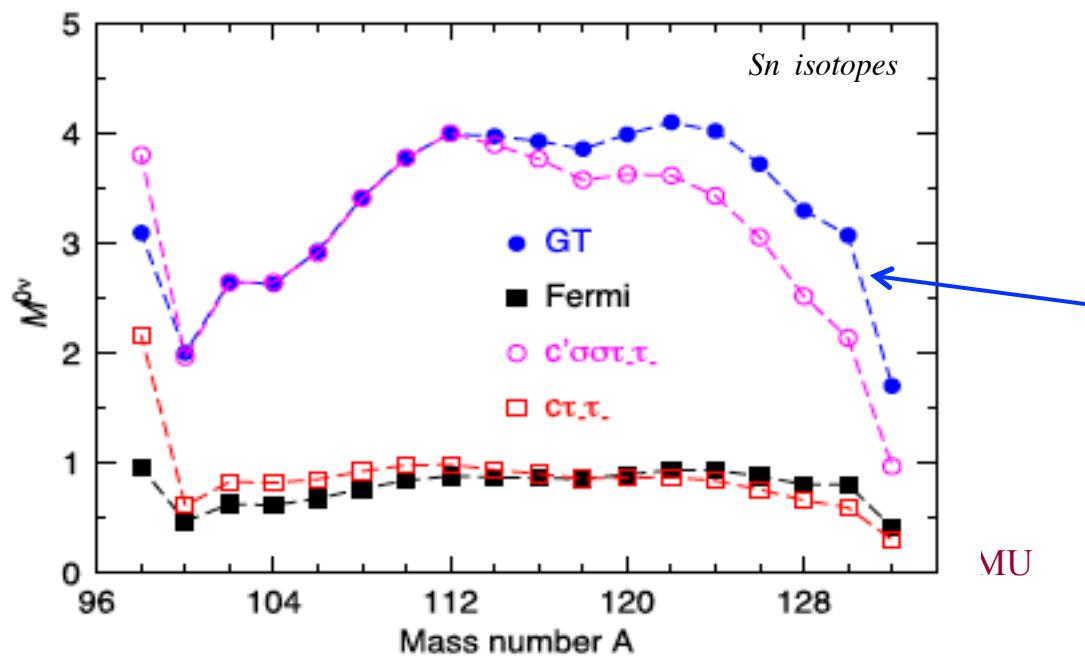
n (0+)	n (1+)	M(2v)
0	0	0.062
0	1	0.091
1	1	0.037
1	2	0.020

# Comparisons of $M^{0\nu\beta\beta}$ Results



From T. Rodriguez, G. Martinez-Pinedo,  
Phys. Rev. Lett. **105**, 252503 (2010)

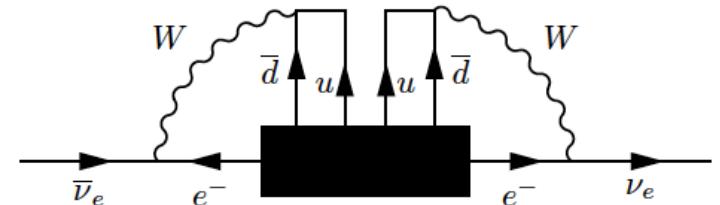
Present Shell Model results:  
Phys. Rev. Lett. **110**, 222502 (2013)  
PRC **89**, 045502 & **88**, 064312 (2013)  
PRC **89**, 054304 (2014)



T. Rodriguez, G. Martinez-Pinedo,  
Phys. Lett. B **719**, 174 (2013)  
Large jump down for magic  
no. of neutrons !!!

# Take-Away Points

Observation of  $0\nu\beta\beta$  will signal **New Physics Beyond the Standard Model.**



Black box II (all flavors + oscillations)

$0\nu\beta\beta$  observed  $\Leftrightarrow$   
at some level

- (i) Neutrinos are Majorana fermions.
- (ii) Lepton number conservation is violated by 2 units

Regardless of the dominant  $0\nu\beta\beta$  mechanism!

$$(iii) \langle m_{\beta\beta} \rangle = \left| \sum_{k=1}^3 m_k U_{ek}^2 \right| = \left| c_{12}^2 c_{13}^2 m_1 + c_{13}^2 s_{12}^2 m_2 e^{i\phi_2} + s_{13}^2 m_3 e^{i\phi_3} \right| > 0$$

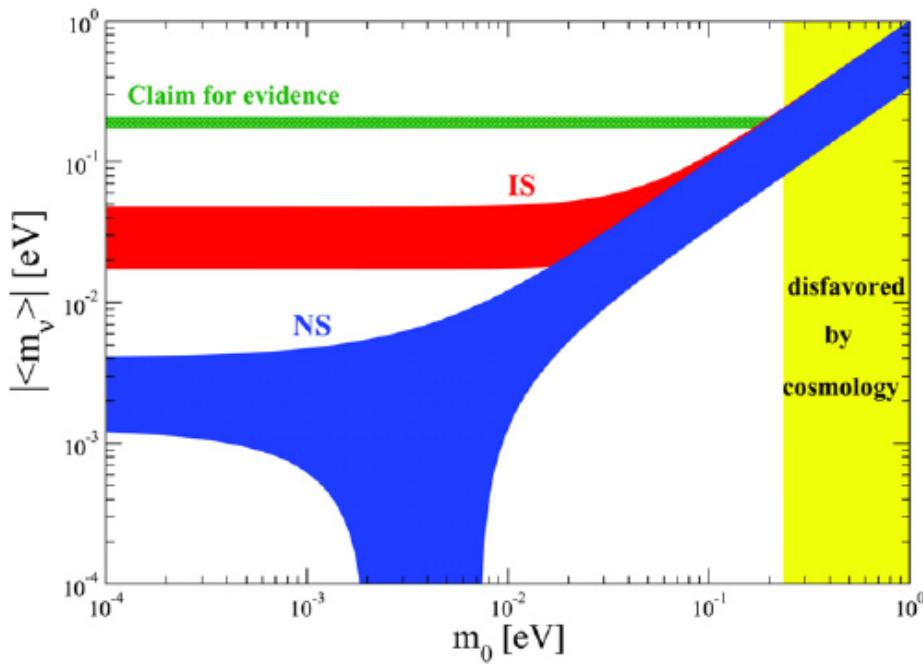
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# Take-Away Points

The analysis and guidance of the experimental efforts need **accurate Nuclear Matrix Elements**.

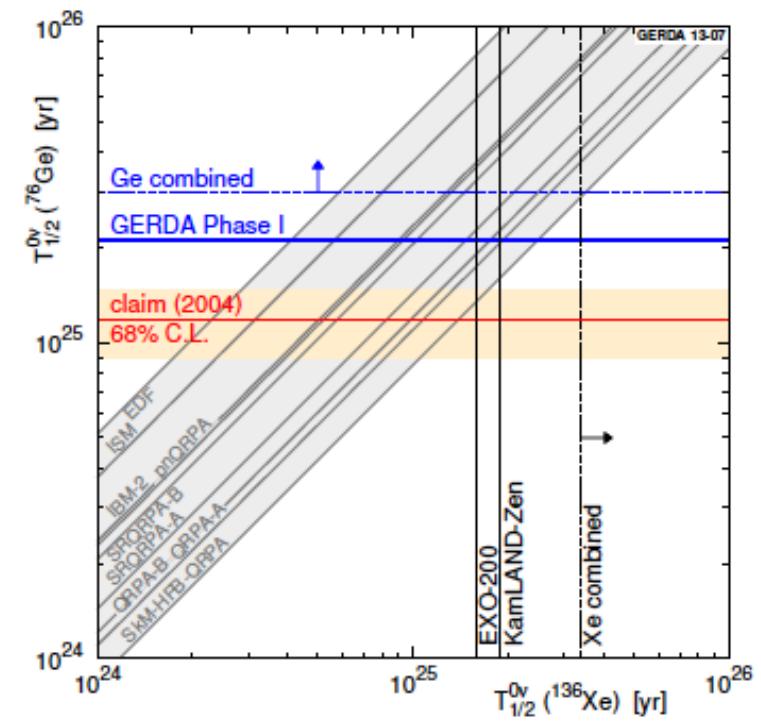
$$\langle m_{\beta\beta} \rangle \equiv \langle m_\nu \rangle = \left| c_{12}^2 c_{13}^2 m_1 + c_{13}^2 s_{12}^2 m_2 e^{i\phi_2} + s_{13}^2 m_3 e^{i\phi_3} \right|$$



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$$T_{1/2}^{-1}(0\nu) = G^{0\nu}(Q_{\beta\beta}) \left[ M^{0\nu}(0^+) \right]^2 \left( \frac{< m_{\beta\beta} >}{m_e} \right)^2$$

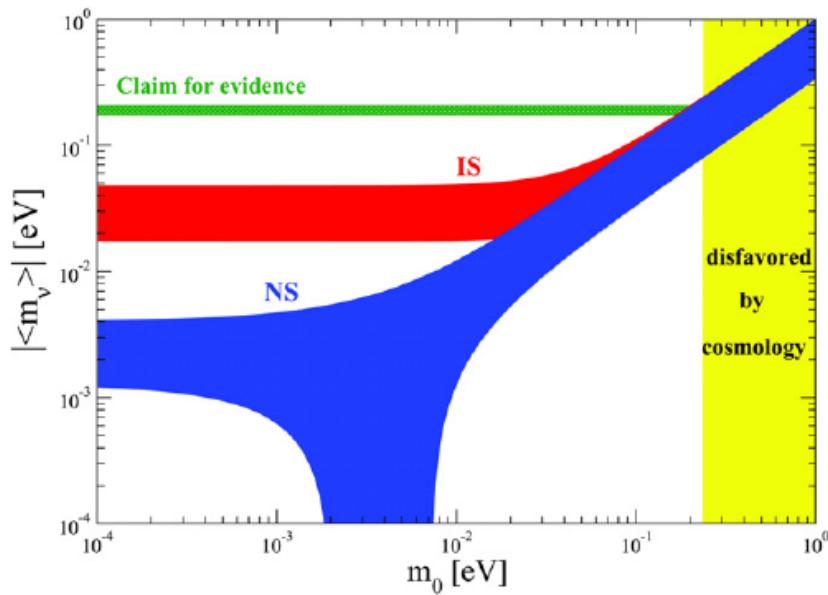
$$\phi_2 = \alpha_2 - \alpha_1 \quad \phi_3 = -\alpha_1 - 2\delta$$



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# Take-Away Points

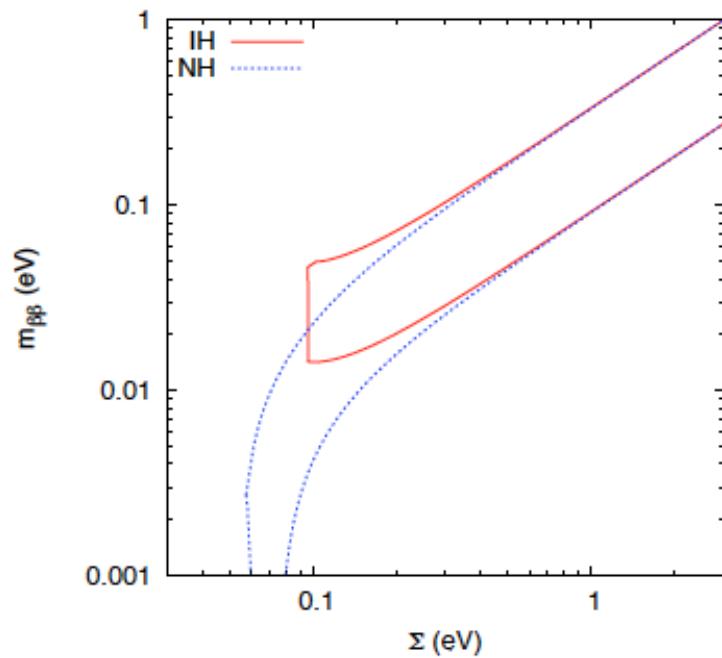
Information about Majorana CP-violation phases may require the mass hierarchy from LBNE, cosmology, etc, but also **accurate Nuclear Matrix Elements**.



$$\langle m_{\beta\beta} \rangle = |c_{12}^2 c_{13}^2 m_1 + c_{13}^2 s_{12}^2 m_2 e^{i\phi_2} + s_{13}^2 m_3 e^{i\phi_3}|$$

$$\phi_2 = \alpha_2 - \alpha_1 \quad \phi_3 = -\alpha_1 - 2\delta$$

$$\Sigma = m_1 + m_2 + m_3 \quad \text{from cosmology}$$



# Take-Away Points

Alternative mechanisms to  $0\nu\beta\beta$  need to be carefully tested: many isotopes, energy and angular correlations.

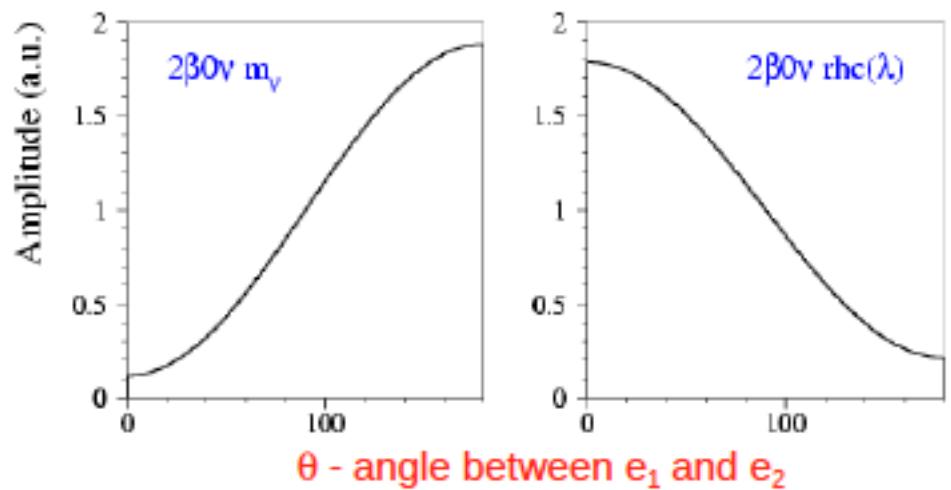
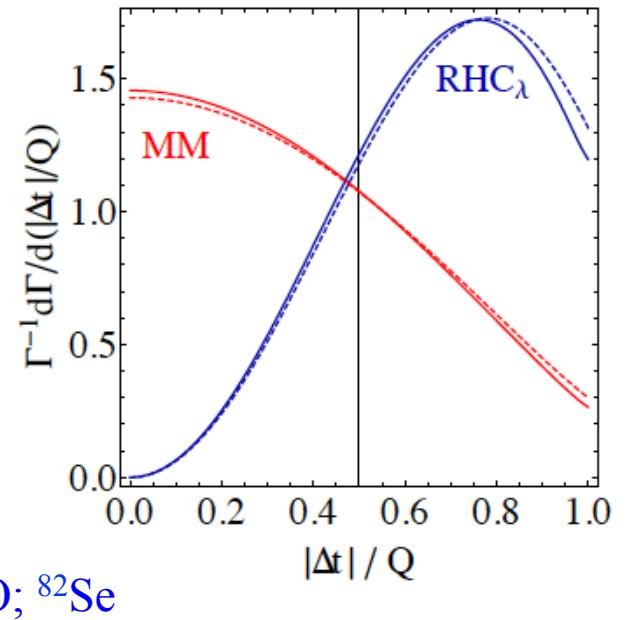
These analyses also require **accurate Nuclear Matrix Elements**.

$$|\eta_\nu|, |\eta_{NR}| \leq \begin{cases} \left[ G_{Ge}^{0\nu} T_{1/2 Ge}^{0\nu} \right]^{-1} = |M_{Ge}^{(0\nu)}|^2 |\eta_\nu|^2 + |M_{Ge}^{(0N)}|^2 |\eta_{NR}|^2 \\ \left[ G_{Xe}^{0\nu} T_{1/2 Xe}^{0\nu} \right]^{-1} = |M_{Xe}^{(0\nu)}|^2 |\eta_\nu|^2 + |M_{Xe}^{(0N)}|^2 |\eta_{NR}|^2 \end{cases}$$

$$\left[ T_{1/2}^{0\nu} \right]^{-1} = G^{0\nu} \left| \sum_j M_j \eta_j \right|^2 = G^{0\nu} \left| M^{(0\nu)} \eta_L + M^{(0N)} (\eta_{NL} + \eta_{NR}) + \tilde{X}_\lambda < \lambda > + \tilde{X}_\eta < \eta > + M^{(0\lambda')} \eta_{\lambda'} + M^{(0\bar{q})} \eta_{\bar{q}} + \dots \right|^2$$

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# Summary and Outlook

- Observation of neutrinoless double beta decay would signal physics beyond the Standard Model: **massive Majorana neutrinos, right-handed currents, SUSY LNV, etc**
- $^{48}\text{Ca}$  and  $^{136}\text{Xe}$  cases suggest that  $2\nu$  double-beta decay can be described reasonably within the shell model with standard quenching, provided that all spin-orbit partners are included.
- Higher order effects for  $0\nu$  NME included: range 1.0 – 1.4
- Reliable  $0\nu\beta\beta$  nuclear matrix elements could be used to identify the dominant mechanism if energy/angular correlations and data for several isotopes become available.
- The effects of the quenching and the missing spin-orbit partners are important (see the  $^{136}\text{Xe}$  case), and they need to be further investigated for  $^{76}\text{Ge}$ ,  $^{82}\text{Se}$  and  $^{130}\text{Te}$ .