



北京大学

Peking University

**Furong Xu**

**B.S. Hu, W.G. Jiang, W.J. Chen (Peking University)**

**James Vary (Iowa State University)**

---

## **Ab-initio calculations of nuclear structure with MBPT**

**Nordita Program on “Computational Challenges in Nuclear and Many-Body Physics”**

**AlbaNova, Stockholm, Sept. 17, 2014**

# Outline

## I. Introduction

What is *ab-initio* ?    Is *ab-initio* enough?

## II. Many-Body Perturbation Theory (MBPT)

starting from realistic *NN* interactions

(e.g., N3LO, JISP16).

## III. Summary

preliminary

# I. Introduction

## What is *ab-initio* calculation?

$$H_{\text{int}} = \sum_{i=1}^A \frac{p_i^2}{2m} + \sum_{i<j} V(|\vec{r}_i - \vec{r}_j|) - \frac{P^2}{2Am} \quad \vec{P} = \sum_{i=1}^A \vec{p}_i$$

$$\hat{H}_{\text{int}} = \sum_{i<j}^A \frac{(\vec{p}_i - \vec{p}_j)^2}{2mA} + \sum_{i<j}^A V_{NN,ij} + \sum_{i<j<k}^A V_{NNN,ijk}$$

### 1) **Realistic** nuclear force !

Reproduce experimental two-body *NN* scattering phase shifts .

### 2) A “**good enough**” theoretical approach to solve the Hamiltonian and **all the symmetries should be preserved!**

## Realistic nuclear forces:

Chiral EFT ( $N^3$ LO), CD Bonn, AV18, JISP16 (bare) ...

### Renormalization

G-Matrix, UCOM,  $V_{\text{low-k}}$ , Okubo-Lee-Suzuki (OLS), SRG

## *ab-initio* methods

In coordinate space: Greens Function Monte Carlo (GFMC)

### In basis space:

No Core Shell Model (NCSM)

No Core Full Configurations (NCFC)

Coupled Cluster (CC)

Many-Body Perturbation Theory (MBPT)

Lattice Nuclear Chiral EFT

...

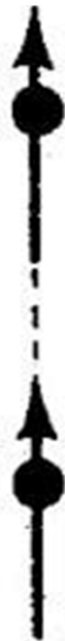
# Phenomenological Nuclear Force

In a simple form

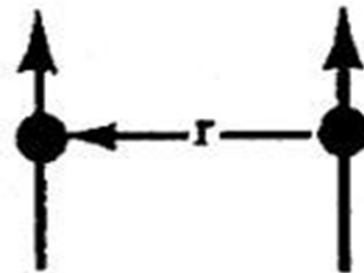
$$V = V_c + V_{LS}(\mathbf{L} \cdot \mathbf{S}) + V_T S_{12},$$

$$S_{12} = \frac{3}{r^2}(\sigma_1 \cdot \mathbf{r})(\sigma_2 \cdot \mathbf{r}) - \sigma_1 \cdot \sigma_2,$$

Tensor force



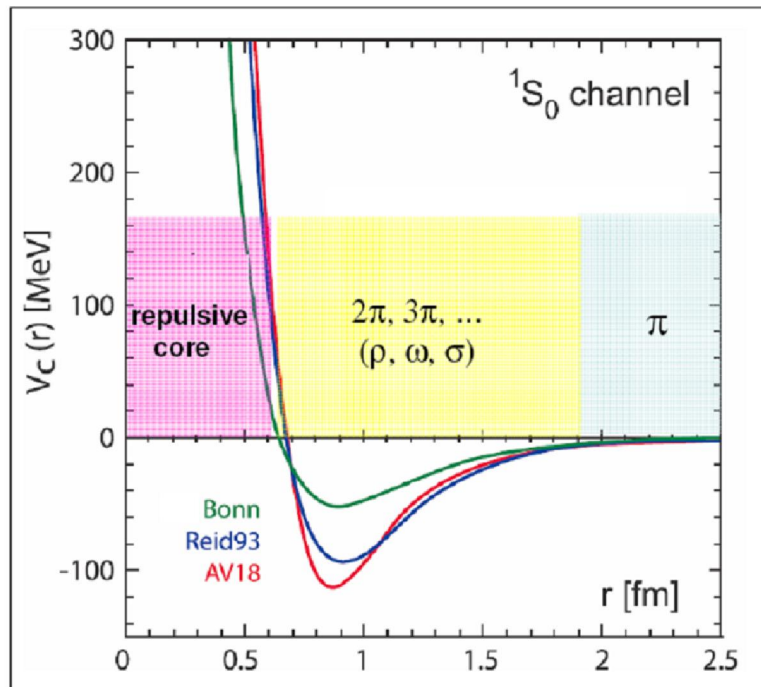
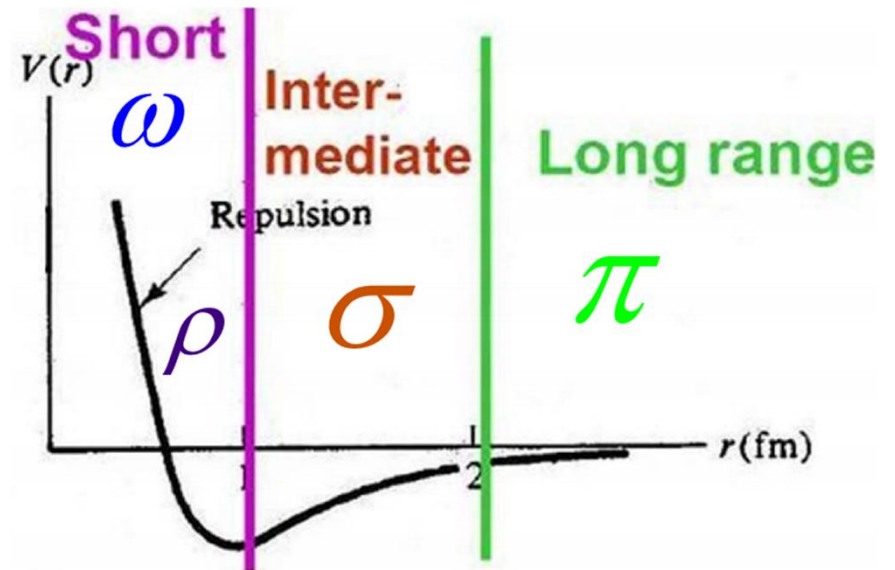
Attractive



Repulsive

# Nuclear force in meson picture

$$V_{\text{OBEP}} = \sum_{\alpha=\pi,\sigma,\rho,\omega,\eta,a_0,\dots} V_{\alpha}$$

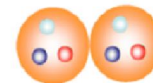


From T. Hatsuda (Oslo 2008)

One-pion exchange  
by Yukawa (1935)



Multi-pions  
by Taketani (1951)



Repulsive core  
by Jastrow (1951)



# Bonn $NN$ potential

- 70's-80's, University of Bonn.
  - CD Bonn is a charge-dependent one-boson-exchange  $NN$  potential.
  - All mesons with masses below nucleon mass are included ( $\pi$ ,  $\rho$ ,  $\omega$ ,  $\sigma$ )
  - Fit about 6000 data (proton-proton, neutron-proton scattering phase shifts)
- 
- and deuteron binding energy.

NO  $3NF$

- 38 parameters

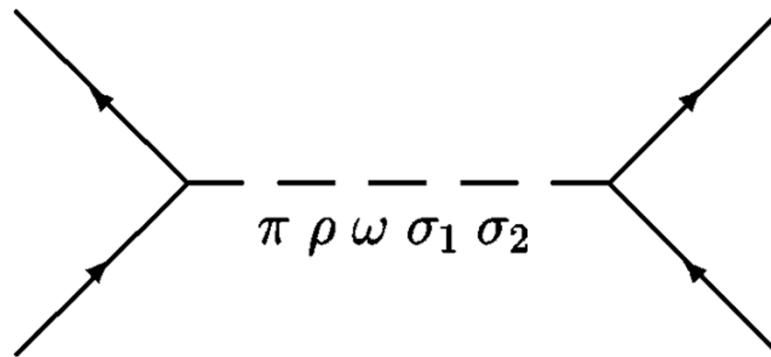


FIG. 1. One-boson exchange Feynman diagrams that define the CD-Bonn  $NN$  potential.

# Chiral EFT ( $N^2LO$ , $N^3LO...$ )

## From QCD to nuclear physics via chiral EFT

- QCD at low energy is strong. **Perturbation is inapplicable !**
- Quarks and gluons are confined into colorless hadrons.
- Nuclear forces are residual color forces (similar to van der Waals forces)

From R. Machleidt, “Nuclear Forces - Lecture 4: NF from EFT (CNSSS13)”

---

QCD=**quarks** + **gluons** (symmetries: spin, isospin, parity, chiral symmetry broken spontaneously)

**Weinberg (1990's)**

**Chiral EFT**=**nucleons**+**pions** (symmetries: spin, isospin, parity, chiral symmetry broken spontaneously)

At low energy, the effective degrees of freedom are nucleon and pion, rather than quark and gluon!



Starting point is an effective chiral  $\pi N$  Lagrangian:

$$L_{\pi N} = L_{\pi N}^{(1)} + L_{\pi N}^{(2)} + L_{\pi N}^{(3)} + \dots$$

Obeys QCD symmetries (spin, isospin, chiral symmetry breaking)

**To develop a low-momentum expansion for chiral EFT (low energy) (Chiral perturbation theory, power counting).**

**Advantages:**

- 1. Gives hierarchy of nuclear force**
- 2. Naturally generates 3NF, 4NF...**
- 3. Provides possibilities to analyze the uncertainties of each hierarchy.**

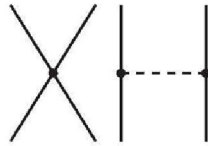
## 2N forces

## 3N forces

## 4N forces

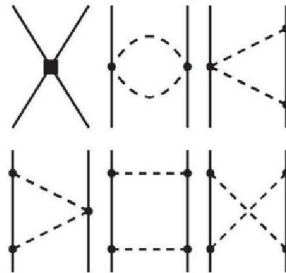
Leading  
Order

$Q^0$   
LO



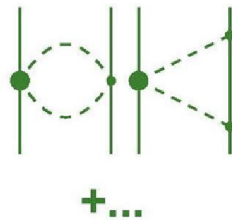
Next-to  
Leading  
Order

$Q^2$   
NLO



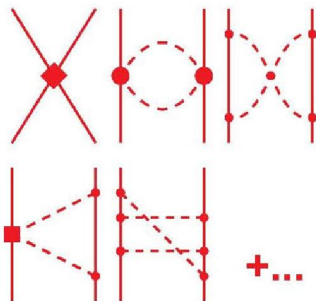
Next-to-  
Next-to  
Leading  
Order

$Q^3$   
 $N^2LO$

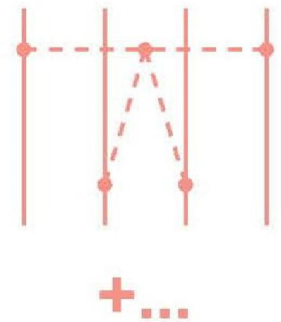
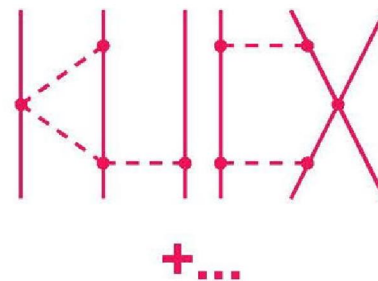
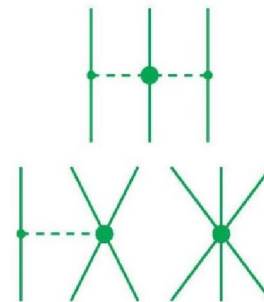


Next-to-  
Next-to  
Leading  
Order

$Q^4$   
 $N^3LO$



The Hierarchy of  
Nuclear Forces



# ChPT

# Conventional meson theory

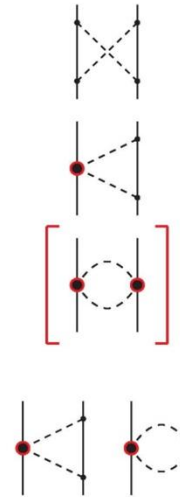
OPE



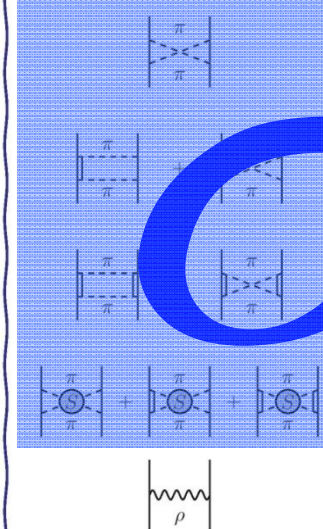
**Chiral perturbation theory (ChPT)** is an expansion in terms of small momenta.

TPE

$\chi$   $2\pi$  exchange

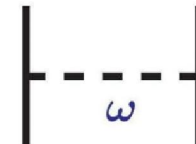


Conventional  $2\pi$  exchange  
(BONN)

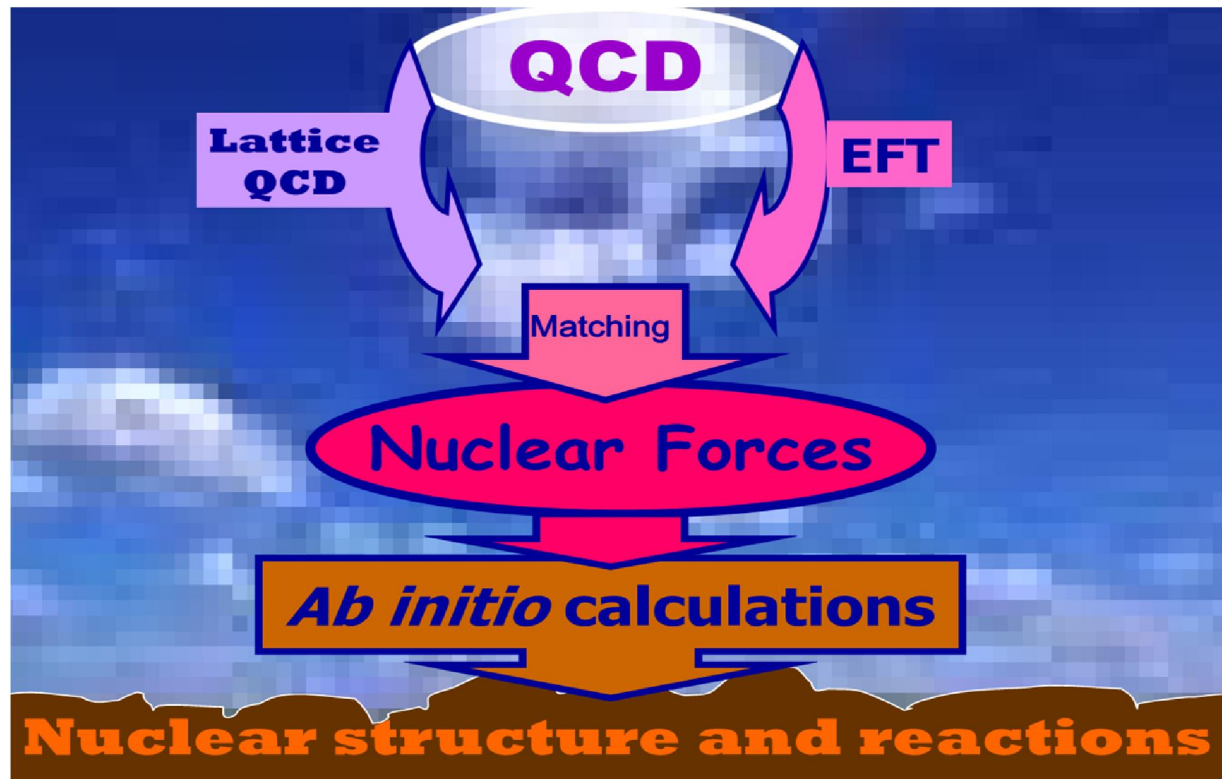


**Meson model** is an expansion in terms of ranges (masses).

Short  
range



After 80 years of struggle, we have now a proper theory (ChPT) for nuclear force that is based upon the fundamental theory of strong interactions, QCD.



## Our *ab-initio* calculations

**Many-Body Perturbation Theory (MBPT) with realistic *NN* force.**

**Hatree-Fock state is chosen as a reference state.**

## MBPT:

$$\hat{H}_{int} = \sum_{i < j}^A \frac{(\vec{p}_i - \vec{p}_j)^2}{2mA} + \sum_{i < j}^A V_{NN,ij} \quad ; \quad H_{int} = \sum_{i=1}^A \frac{p_i^2}{2m} + \sum_{i < j} V(|\vec{r}_i - \vec{r}_j|) - \frac{P^2}{2Am} \quad , \quad \vec{P} = \sum_{i=1}^A \vec{p}_i$$

$$\hat{H} = \hat{H}_0 + (\hat{H} - \hat{H}_0) = \hat{H}_0 + \hat{V}$$

$$H_0 = \sum_{l_1 l_2} \left( \sum_i \langle l_1 i | T + V | l_2 i \rangle \right) a_{l_1}^\dagger a_{l_2} \quad ; \quad H_0 = \sum_{l_1 l_2} \left( \langle l_1 | T | l_2 \rangle + \sum_i \langle l_1 i | V + T_{cor} | l_2 i \rangle \right) a_{l_1}^\dagger a_{l_2}$$

The exact solutions of the A-nucleon system are,

$$\hat{H}\Psi_n = E_n\Psi_n, \quad n = 0, 1, 2, \dots$$

The zero-order part is,

$$\hat{H}_0\Phi_n = E_n^{(0)}\Phi_n, \quad n = 0, 1, 2, \dots$$

For the ground state:

$$\chi_0 = \psi_0 - \phi_0$$

$$\Delta E = E_0 - E_0^{(0)}$$

$$\psi_0 = \sum_{m=0}^{\infty} [\hat{R}_0(E_0^{(0)})(\hat{V} - \Delta E)]^m \phi_0$$

$$\Delta E = \sum_{m=0}^{\infty} \langle \phi_0 | \hat{V} [\hat{R}_0(E_0^{(0)})(\hat{V} - \Delta E)]^m | \phi_0 \rangle$$

where  $\hat{R}_0 = \sum_{i \neq 0} \frac{|\phi_i\rangle \langle \phi_i|}{E_0^{(0)} - E_i^{(0)}}$  is called the resolvent of  $\hat{H}_0$

**Rayleigh-Schrodinger method**

$$E_0 = E_0^{(0)} + E_0^{(1)} + E_0^{(2)} + E_0^{(3)} + \dots$$

**HF energy**

$$E_0^{(1)} = \langle \Phi_0 | \hat{V} | \Phi_0 \rangle$$

$$E_0^{(2)} = \langle \Phi_0 | \hat{V} \hat{R}_0 \hat{V} | \Phi_0 \rangle$$

$$E_0^{(3)} = \langle \Phi_0 | \hat{V} \hat{R}_0 (\hat{V} - \langle \Phi_0 | \hat{V} | \Phi_0 \rangle) \hat{R}_0 \hat{V} | \Phi_0 \rangle$$

$$\psi_0 = \underline{\Phi_0} + \psi_0^{(1)} + \psi_0^{(2)} + \dots$$

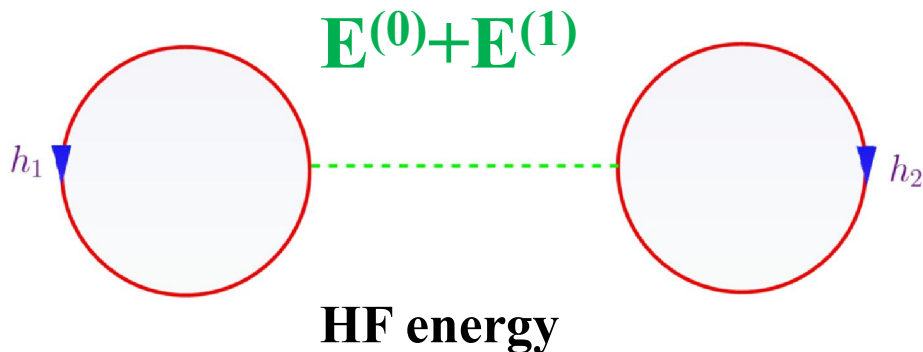
**HF**

$$\psi_0^{(1)} = \hat{R}_0 \hat{V} | \Phi_0 \rangle$$

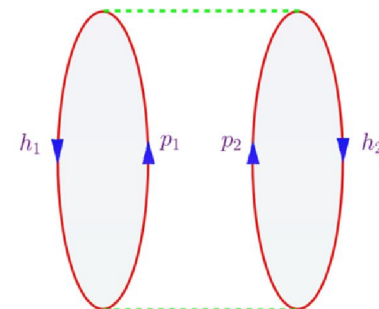
$$\psi_0^{(2)} = \hat{R}_0 (\hat{V} - E_0^{(1)}) \hat{R}_0 \hat{V} | \Phi_0 \rangle$$



# Anti-Symmetrized Goldstone (ASG) diagram expansion

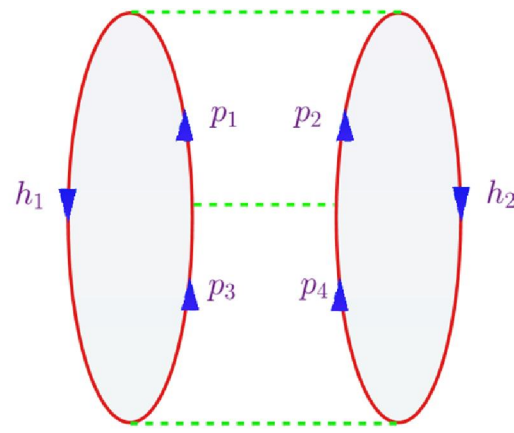
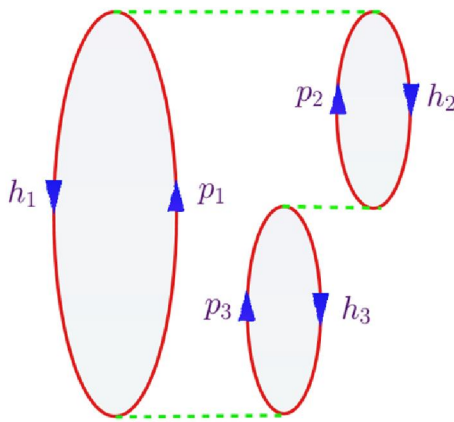
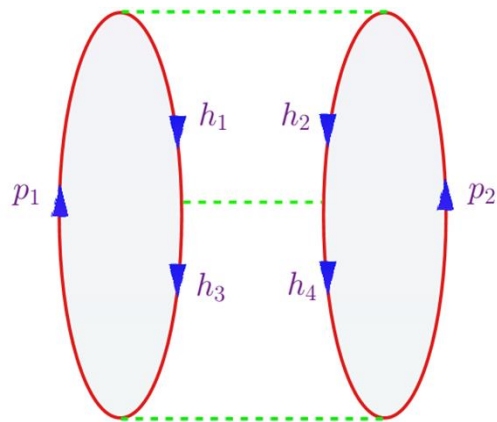


$\mathbf{E}^{(2)}$



$$\frac{1}{4} \sum_{h_1, h_2 < \varepsilon_F} \sum_{p_1, p_2 > \varepsilon_F} \frac{|\langle p_1 p_2 | \hat{H}_{int} | h_1 h_2 \rangle|^2}{\varepsilon_{h_1} + \varepsilon_{h_2} - \varepsilon_{p_1} - \varepsilon_{p_2}}$$

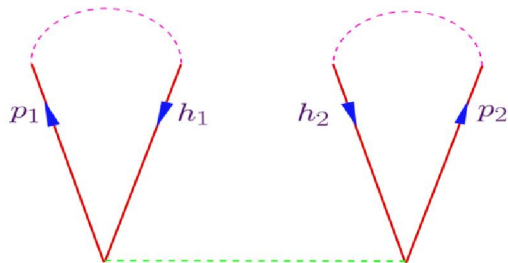
$\mathbf{E}^{(3)}$



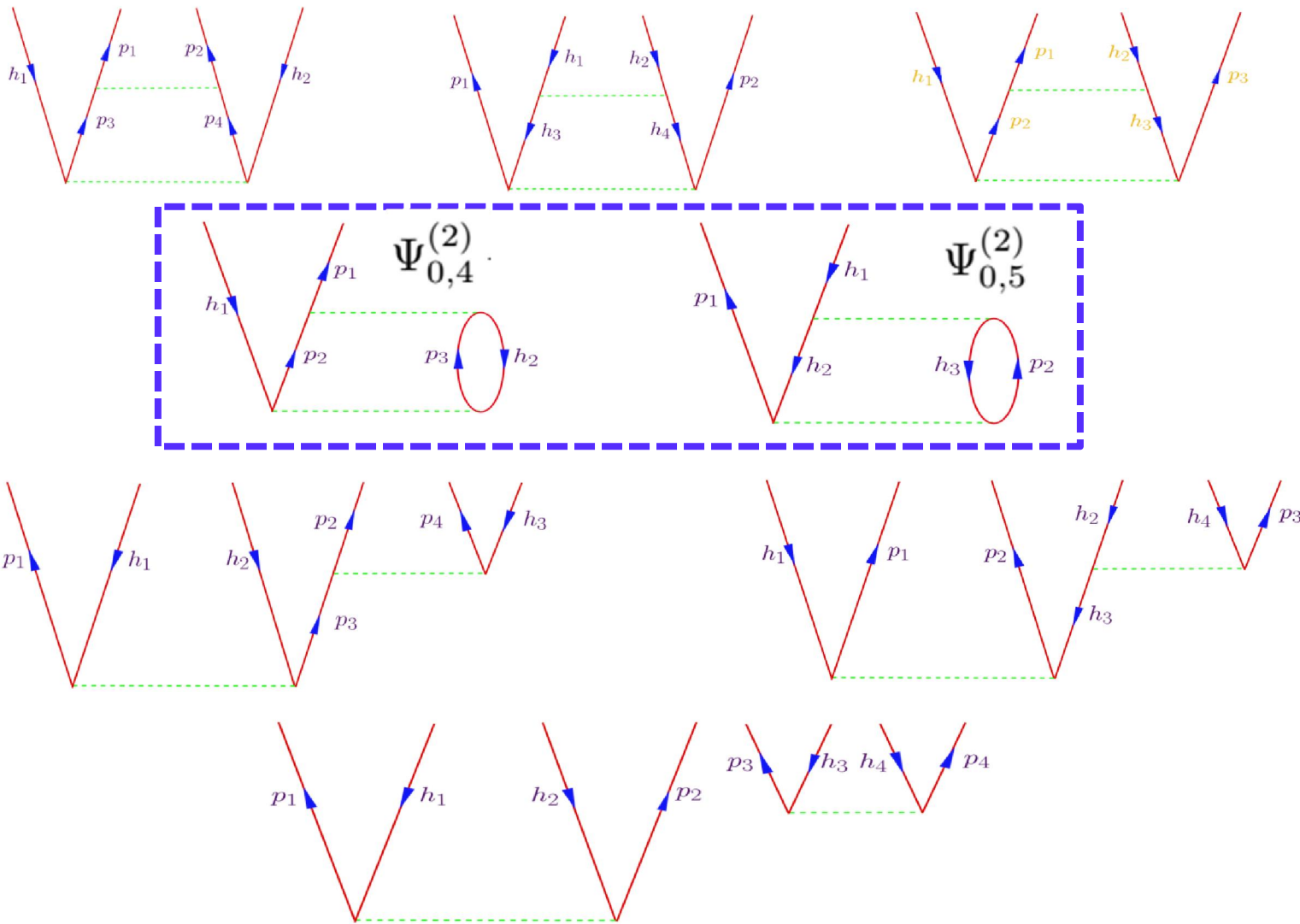
$$2p4h = \frac{1}{8} \sum_{h_1, h_2, h_3, h_4 < \varepsilon_F} \sum_{p_1, p_2 > \varepsilon_F} \frac{\langle p_1 p_2 | \hat{H}_{int} | h_3 h_4 \rangle \langle h_3 h_4 | \hat{H}_{int} | h_1 h_2 \rangle \langle h_1 h_2 | \hat{H}_{int} | p_1 p_2 \rangle}{(\varepsilon_{h_1} + \varepsilon_{h_2} - \varepsilon_{p_1} - \varepsilon_{p_2})(\varepsilon_{h_3} + \varepsilon_{h_4} - \varepsilon_{p_1} - \varepsilon_{p_2})}$$

# ASG diagrams for wave functions

$\psi^{(1)}$



$\psi^{(2)}$



## Density

$$\rho(\vec{r}) = \sum_{k=1}^A \delta^3(\vec{r} - \vec{r}_k) = \sum_{k=1}^A \frac{\delta(r - r_k)}{r^2} \sum_{lm} Y_{lm}^*(\hat{r}_k) Y_{lm}(\hat{r})$$

## In second quantization with HO basis

$$\rho(\vec{r}) = \sum_K \sum_{n_1, l_1, j_1} \sum_{n_2, l_2, j_2} \sum_{m_j} R_{n_1, l_1}(r) R_{n_2, l_2}(r) \frac{-Y_{K0}^*(\hat{r})}{\hat{K}} \left\langle l_1 \frac{1}{2} j_1 || Y_K || l_2 \frac{1}{2} j_2 \right\rangle$$

$$(-1)^{j_2 + m_j} \langle j_1 m_j j_2 - m_j | K 0 \rangle a_{n_1, l_1, j_1, m_j}^\dagger a_{n_2, l_2, j_2, m_j}$$

$$\left\langle l_1 \frac{1}{2} j_1 || Y_K || l_2 \frac{1}{2} j_2 \right\rangle = \frac{1}{\sqrt{4\pi}} \hat{j}_1 \hat{j}_2 \hat{l}_1 \hat{l}_2 (-1)^{j_1 + \frac{1}{2}} \langle l_1 0 l_2 0 | K 0 \rangle \left\{ \begin{matrix} j_1 & j_2 & K \\ l_2 & l_1 & \frac{1}{2} \end{matrix} \right\}$$

For spherically symmetric system(K=0), we can get a more simple form,

$$\rho(\vec{r}) = \sum_{n_1, n_2} \sum_{l, j, m_j} \left[ \frac{R_{n_1, l}(r) R_{n_2, l}(r)}{4\pi} \right] a_{n_1, l, j, m_j}^\dagger a_{n_2, l, j, m_j}$$

**For the ground state, the 2<sup>nd</sup> order correction to density is only from the 4<sup>th</sup> and 5<sup>th</sup> ASG diagrams of the 2<sup>nd</sup>-order wave function, others belong to higher-order corrections, i.e.,**

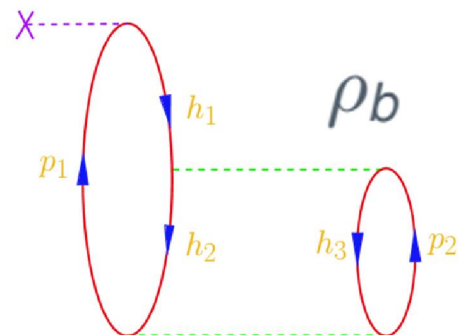
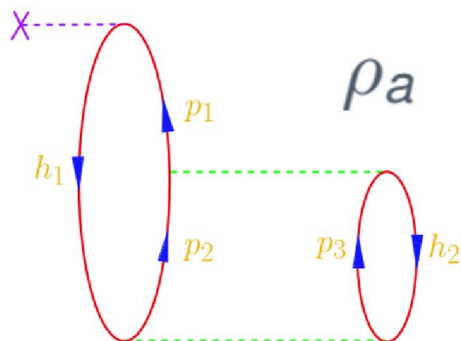
$$\psi'_0 = \Phi_0 + \psi_0^{(1)} + \psi_{0,4}^{(2)} + \psi_{0,5}^{(2)}$$

$$\rho(\vec{r}) = \underbrace{\langle \Phi_0 | \rho(\vec{r}) | \Phi_0 \rangle}_{\text{HF}} + \underbrace{\langle \Psi_0^{(1)} | \Psi_0^{(1)} \rangle}_{\text{1st order}} + \underbrace{2\rho_a + 2\rho_b + \rho_{c1} + \rho_{c2} + \dots}_{\text{2nd order}}$$

**HF**

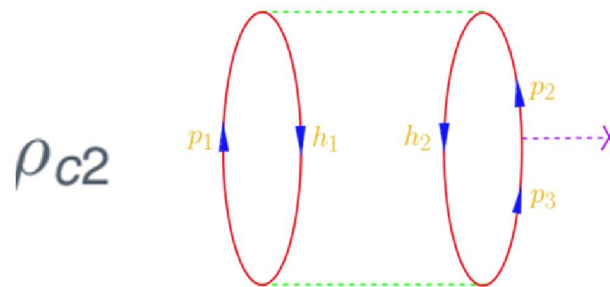
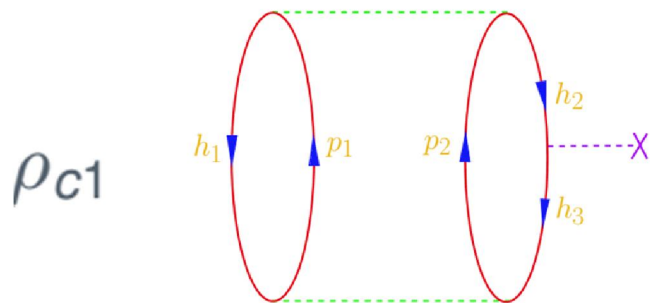
**1<sup>st</sup> order**

**2<sup>nd</sup> order**



$$\frac{1}{2} \sum_{h_1, h_2 < \varepsilon_F} \sum_{p_1, p_2, p_3 > \varepsilon_F} \frac{\langle h_1 h_2 | \hat{H} | p_2 p_3 \rangle \langle p_2 p_3 | \hat{H} | p_1 h_2 \rangle \langle h_1 | \rho | p_1 \rangle}{(\varepsilon_{h_1} - \varepsilon_{p_1})(\varepsilon_{h_1} + \varepsilon_{h_2} - \varepsilon_{p_2} - \varepsilon_{p_3})}$$

$$-\frac{1}{2} \sum_{h_1, h_2, h_3 < \varepsilon_F} \sum_{p_1, p_2 > \varepsilon_F} \frac{\langle p_1 p_2 | \hat{H} | h_2 h_3 \rangle \langle h_2 h_3 | \hat{H} | h_1 p_2 \rangle \langle h_1 | \rho | p_1 \rangle}{(\varepsilon_{h_1} - \varepsilon_{p_1})(\varepsilon_{h_2} + \varepsilon_{h_3} - \varepsilon_{p_1} - \varepsilon_{p_2})}$$



$$-\frac{1}{2} \sum_{h_1, h_2, h_3 < \varepsilon_F} \sum_{p_1, p_2 > \varepsilon_F} \frac{\langle h_1 h_2 | \hat{H} | p_1 p_2 \rangle \langle p_1 p_2 | \hat{H} | h_1 h_3 \rangle \langle h_3 | \rho | h_2 \rangle}{(\varepsilon_{h_1} + \varepsilon_{h_2} - \varepsilon_{p_1} - \varepsilon_{p_2})(\varepsilon_{h_1} + \varepsilon_{h_3} - \varepsilon_{p_1} - \varepsilon_{p_2})}$$

$$\frac{1}{2} \sum_{h_1, h_2 < \varepsilon_F} \sum_{p_1, p_2, p_3 > \varepsilon_F} \frac{\langle p_1 p_3 | \hat{H} | h_1 h_2 \rangle \langle h_1 h_2 | \hat{H} | p_1 p_2 \rangle \langle p_2 | \rho | p_3 \rangle}{(\varepsilon_{h_1} + \varepsilon_{h_2} - \varepsilon_{p_1} - \varepsilon_{p_3})(\varepsilon_{h_1} + \varepsilon_{h_2} - \varepsilon_{p_1} - \varepsilon_{p_2})}$$

## Point-particle distribution radii:

$$\langle r_{pp}^2 \rangle = \frac{\int r^2 \rho_p(\vec{r}) d^3r}{\int \rho_p(\vec{r}) d^3r} \quad \langle r_{nn}^2 \rangle = \frac{\int r^2 \rho_n(\vec{r}) d^3r}{\int \rho_n(\vec{r}) d^3r}$$

## Charge radius:

$$\langle r_{ch}^2 \rangle = \langle r_{pp}^2 \rangle + \langle R \rangle_p^2 \quad (\langle R \rangle_p = 0.8 fm)$$

# NCSM with N<sup>3</sup>LO+SRG

S.K. Bogner *et al.*,

arXiv0708.3754v2 (2007)

Both calculations without 3NF

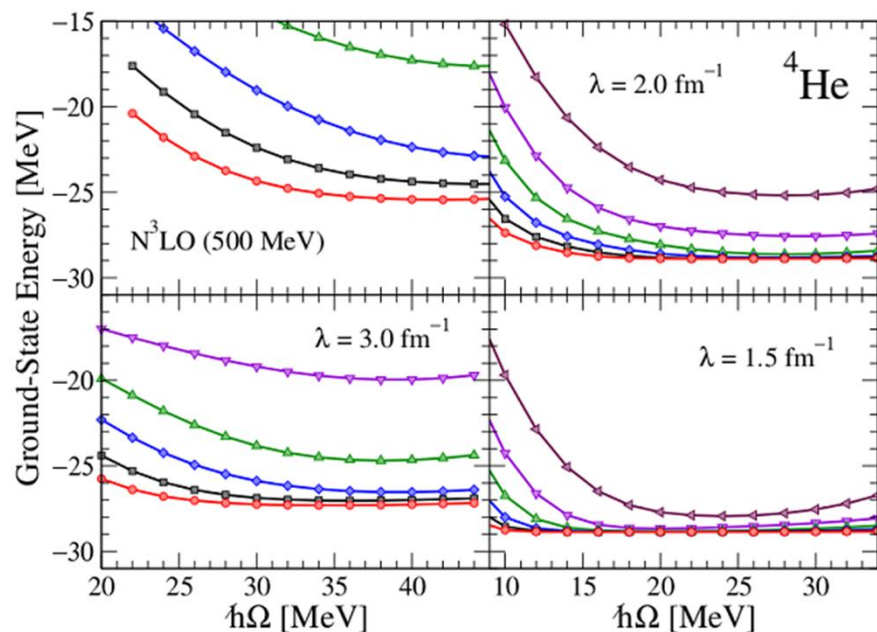
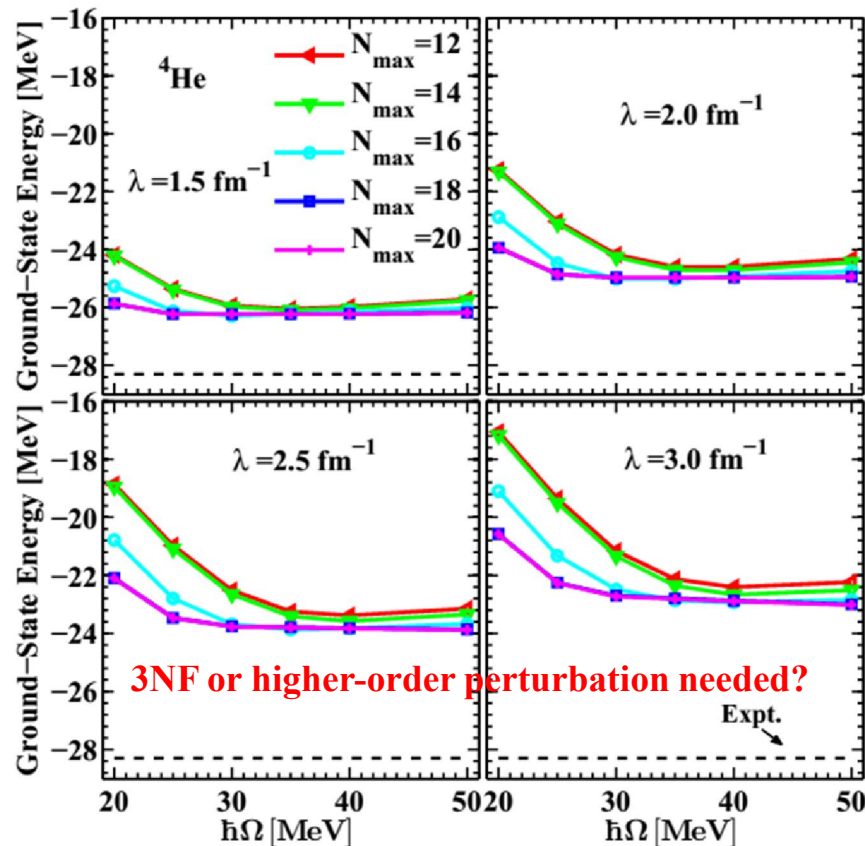


Fig. 3. Ground-state energy of <sup>4</sup>He as a function of  $\hbar\Omega$  at four different values of  $\lambda$  ( $\infty$ ,  $3$ ,  $2$ ,  $1.5 \text{ fm}^{-1}$ ). The initial potential is the 500 MeV N<sup>3</sup>LO NN-only potential from Ref. [13]. The legend from Fig. 1 applies here.

<sup>4</sup>He



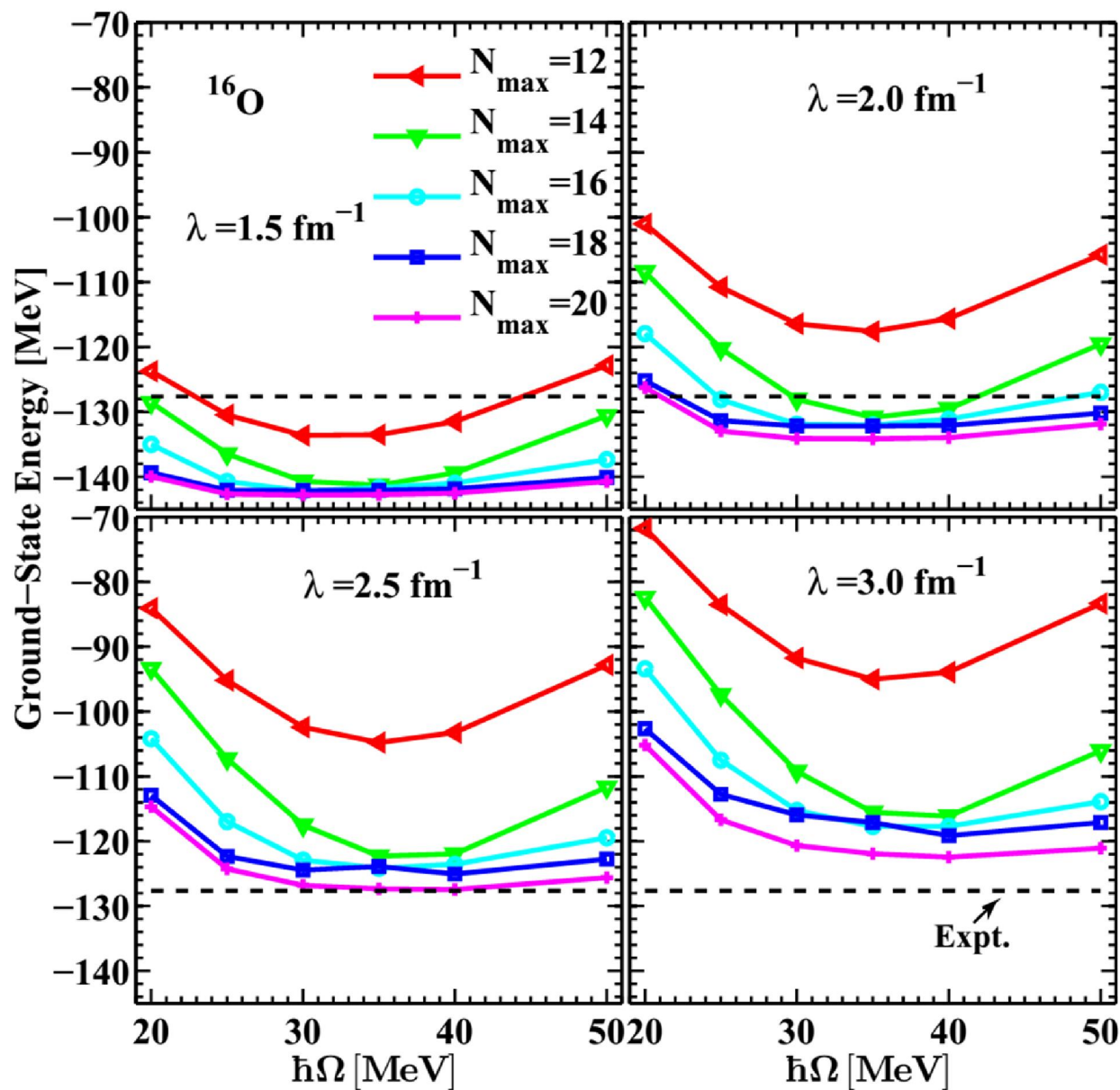
3NF or higher-order perturbation needed?

Expt.



# Our MBPT calculations with $N^3\text{LO}+\text{SRG}$

$^{16}\text{O}$





R. Roth *et al.* (2006) PRC 73, 044312

AV18, UCOM, corrections to 3<sup>rd</sup> order in energy calculations,  
2<sup>nd</sup> order in radius calculations

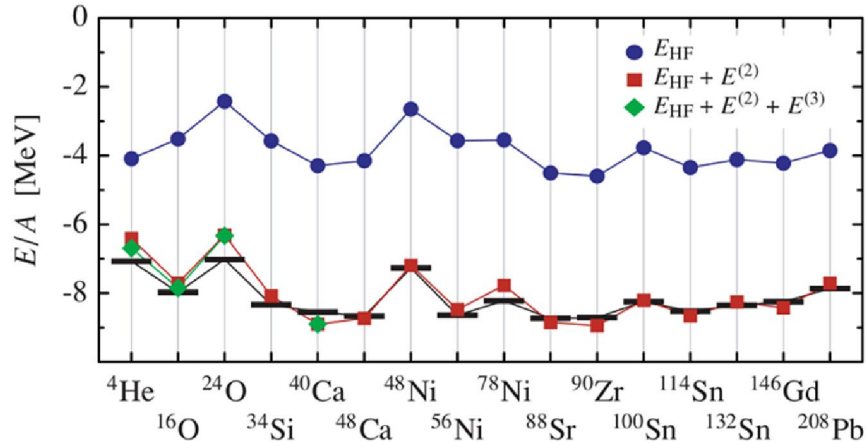


FIG. 5. (Color online) Ground-state energies for selected closed-shell nuclei in HF approximation and with added second- and third-order MBPT corrections. The correlated AV18 potential with  $I_\vartheta = 0.09 \text{ fm}^3$  was used. The bars indicate the experimental binding energies [31].

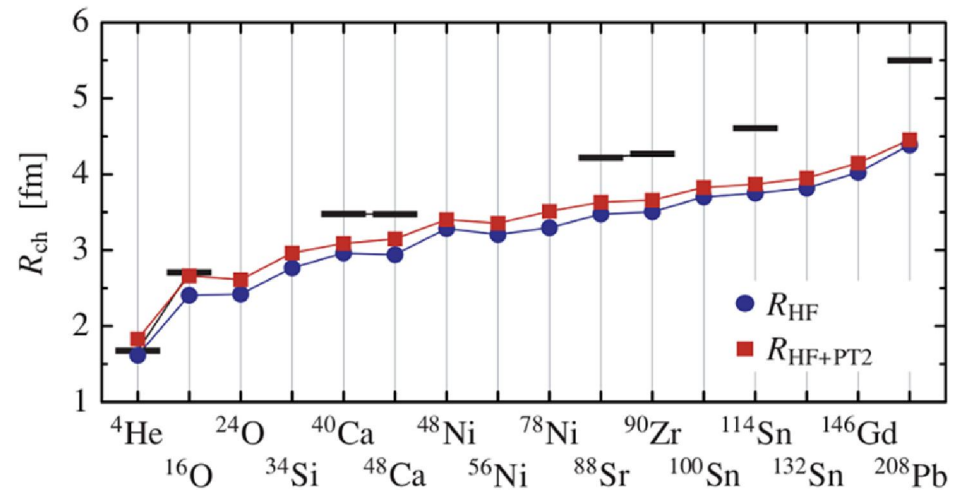


FIG. 8. (Color online) Charge radii for selected closed-shell nuclei in the HF approximation and with added second-order MBPT corrections. The correlated AV18 potential with  $I_\vartheta = 0.09 \text{ fm}^3$  was used. The bars indicate experimental charge radii [32].

## Our calculations and compared with data

$N^3LO$ [4] with SRG for  ${}^4He$  ( $N_{max} = 20$ ,  $\hbar\Omega = 35MeV$  and  $\lambda = 2.0fm^{-1}$ )

Observable	p-rms(fm)	$E_{g.s.}(MeV)$
Expreiment	1.450	-28.296
HF	1.8380	-9.1657
Second-order correction	-0.0622	-13.7430
Third-order correction	—	-2.0587
C.M. motion correction	-0.0854	—
MBPT	1.6903	-24.9675

**${}^4He$**

Bare JISP16[10–12] for  ${}^4He$  ( $N_{max} = 14$  and  $\hbar\Omega = 10MeV$ )

Observable	p-rms(fm)	$E_{g.s.}(MeV)$
Expreiment	1.450	-28.296
NCSH	—	-28.297
HF	1.5714	-22.4143
Second-order correction	0.0160	-4.3126
Third-order correction	—	-0.8031
C.M. motion correction	-0.3695	—
MBPT	1.2179	-27.5301

$N^3LO[4]$  with SRG for  $^{16}O$  ( $N_{max} = 20$ ,  $\hbar\Omega = 35MeV$  and  $\lambda = 2.0fm^{-1}$ )

Observable	p-rms(fm)	$E_{g.s.}$ (MeV)
Expreiment	2.58	-127.62
§ HF	2.3874	-36.6856
Second-order correction	-0.0504	-90.0375
Third-order correction	—	-7.4287
C.M. motion correction	-0.0158	—
MBPT	2.3211	-134.1518

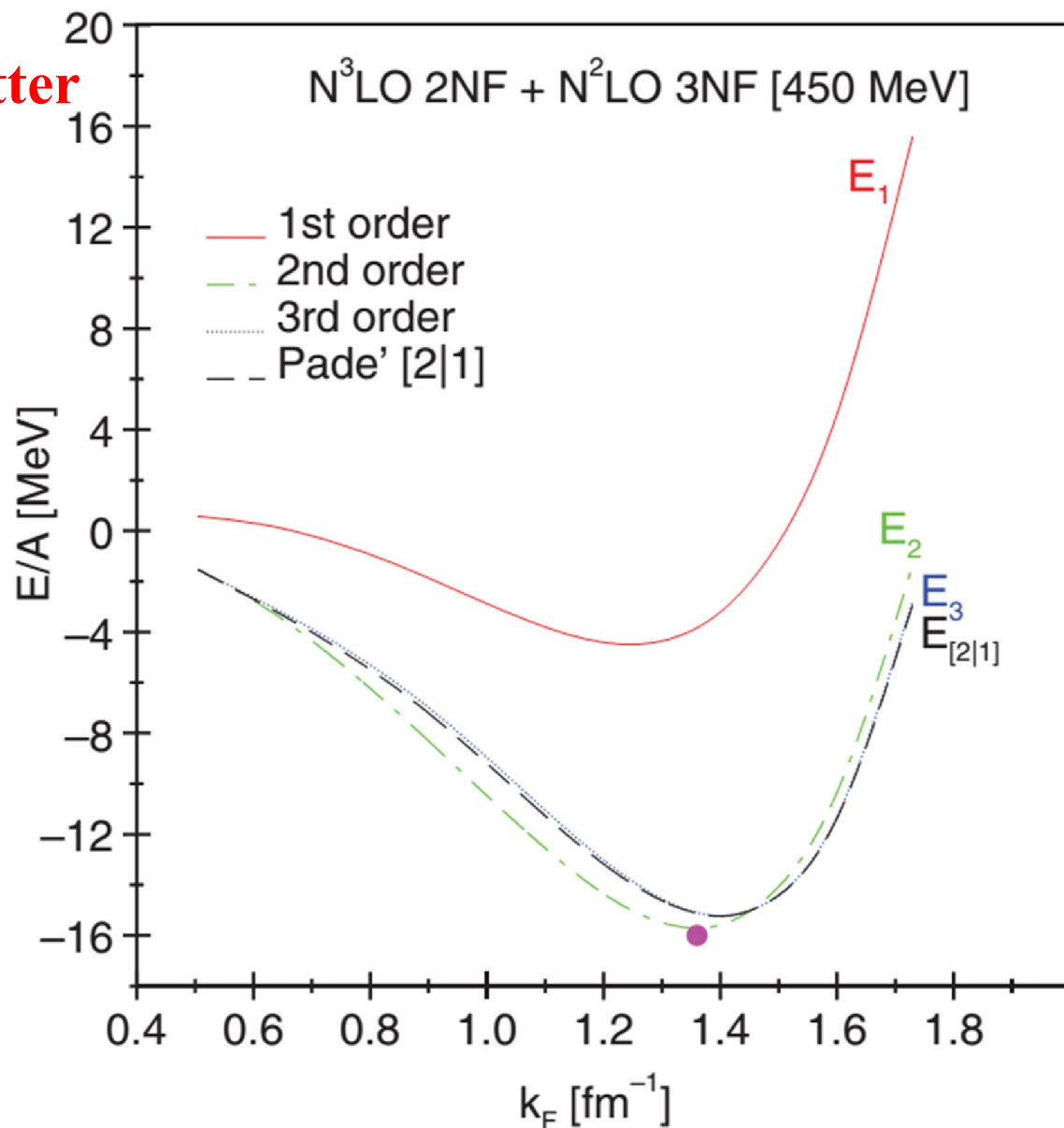
$^{16}O$

Bare JISP16[10–12] for  $^{16}O$  ( $N_{max} = 10$  and  $\hbar\Omega = 15MeV$ )

Observable	p-rms(fm)	$E_{g.s.}$ (MeV)
Expreiment	2.58	-127.62
NCSH( $N_{max} = 6$ )	—	-126.2
§ HF	1.8693	-70.8461
Second-order correction	0.0618	-51.7671
Third-order correction	—	-3.2451
C.M. motion correction	-0.0453	—
MBPT	1.8858	-125.8583

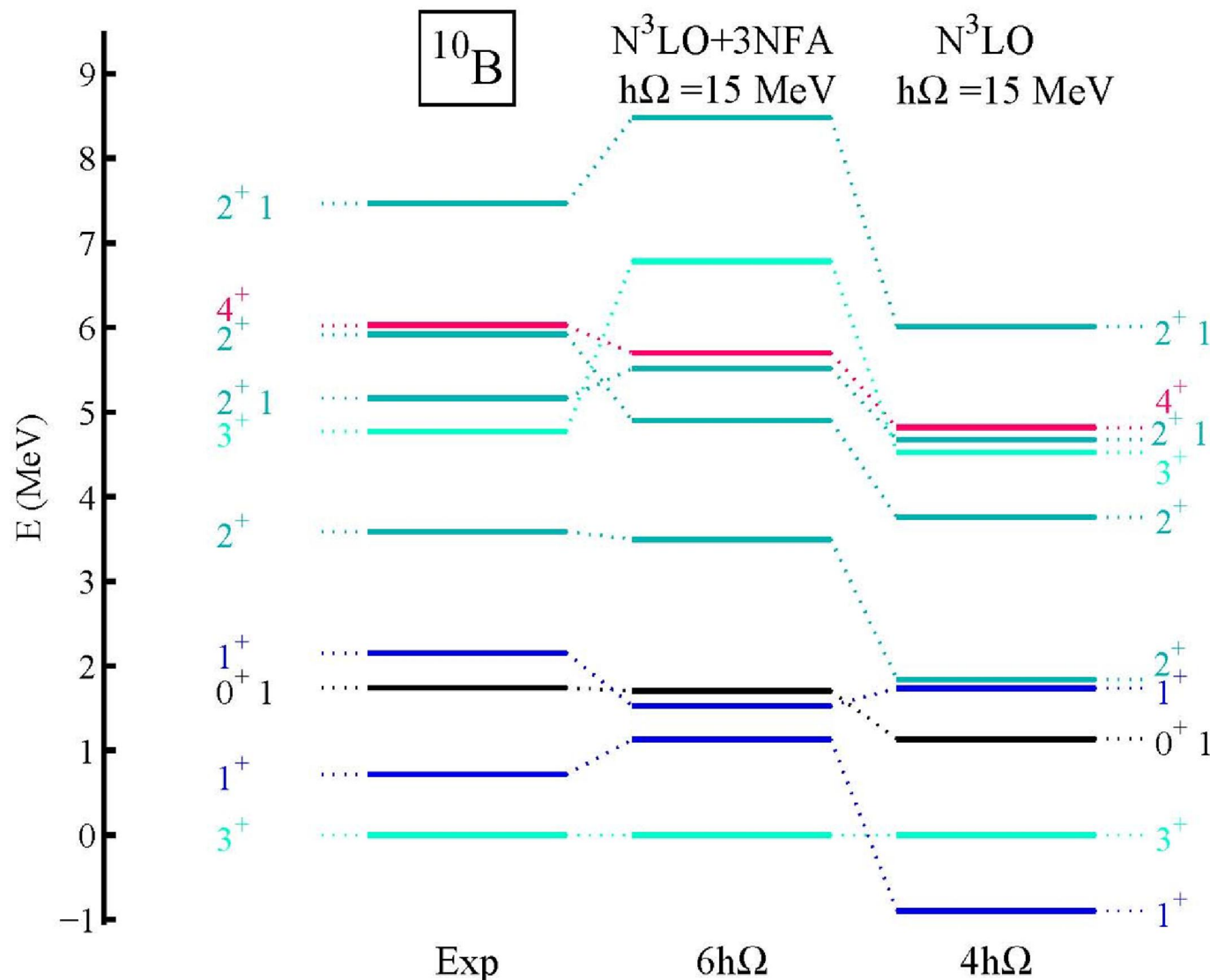
JISP 16 vs N3LO:  
better in energy,  
worse in radius,  
in  $^4He$  and  $^{16}O$

# MBPT calculations for symmetric nuclear matter



**L. Coraggio *et al.*, PRC 89, 044321 (2014)**

# Calculating the properties of light nuclei using chiral 2N and 3N forces



**3NF is crucial**

From R. Machleidt

**2N (N3LO)  
+3N (N2LO)**

**2N (N3LO)  
only**

# III. Summary

*Ab-initio* MBPT calculations based on realistic interactions

## 1. Why *ab-initio*?

- i) To understand the nature of nuclear force;
- ii) To develop theories or methods with less assumptions or approximations;

## 2. Why non *ab-initio*?

- i) Calculations easier (simpler),  
give chance to calculate most nuclei (heavier) in the nuclear chart
- ii) May be quantitatively good  
.....



A scenic view of the Peking University campus. In the foreground, there is a body of water reflecting the sky. To the left, a weeping willow tree hangs over the water. In the background, a tall, multi-tiered pagoda stands prominently among other trees and buildings. The sky is clear and blue.

*Thank you for your attention*

**Peking University Campus**

**Stockholm, Sept. 17, 2014**