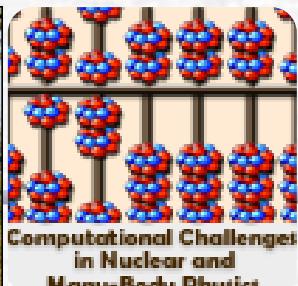


# The isospin- and angular-momentum-projected DFT and beyond: formalism and applications

Wojciech Satuła

in collaboration with: J. Dobaczewski, W. Nazarewicz, M. Rafalski & M. Konieczka

- MR DFT: short presentation of main building blocks of our approach
  - mean-field (or nuclear DFT)
  - symmetry (rotational, isospin) breaking and restoration
  - unphysical (spontaneous) symmetry violation isospin projection
  - Coulomb rediagonalization (explicit symmetry violation)
- isospin impurities
- superallowed beta decay (sources of theoretical errors and limitations of the „static” MR DFT)
- Extension: → toward NO CORE shell model (CI) with basis cutoff dictated by the self-consistent p-h configurations
  - examples:  $^{32}\text{Cl}$ - $^{32}\text{S}$ ,  $^{62}\text{Zn}$ - $^{62}\text{Ga}$ ,  $^{38}\text{Ca}$ - $^{38}\text{K}$ ,  $^{42}\text{Sc}$
- Summary & perspectives.



Computational Challenges  
in Nuclear and  
Many-Body Physics

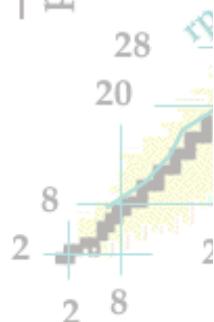
# The nuclear effective theory

is based on a simple and very intuitive assumption that low-energy nuclear theory is independent on high-energy dynamics

ultraviolet  
cut-off

Skyrme interaction:

$$\lim_{a \rightarrow 0} \delta_a$$



$$v(1,2) = t_0(1 + x_0 \hat{P}_\sigma) \delta(\mathbf{r}_{12})$$

$$+ \frac{1}{2} t_1(1 + x_1 \hat{P}_\sigma) (\hat{\mathbf{k}}'^2 \delta(\mathbf{r}_{12}) + \delta(\mathbf{r}_{12}) \hat{\mathbf{k}}^2)$$

$$+ t_2(1 + x_2 \hat{P}_\sigma) \hat{\mathbf{k}}' \delta(\mathbf{r}_{12}) \hat{\mathbf{k}}$$

$$+ \frac{1}{6} t_3(1 + x_3 \hat{P}_\sigma) \rho_0^\gamma(\mathbf{R}) \delta(\mathbf{r}_{12})$$

$$+ iW_0(\boldsymbol{\sigma}_1 + \boldsymbol{\sigma}_2) (\hat{\mathbf{k}}' \times \delta(\mathbf{r}_{12}) \hat{\mathbf{k}}),$$

$$\mathbf{r}_{12} = \mathbf{r}_1 - \mathbf{r}_2; \mathbf{R} = (\mathbf{r}_1 + \mathbf{r}_2)/2;$$

$$\hat{\mathbf{k}} = \overrightarrow{\frac{1}{2i}(\nabla_1 - \nabla_2)} \quad \text{relative momenta}$$

$$\hat{P}_\sigma = \frac{1}{2}(1 + \boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2) \quad \text{spin exchange}$$

$$v_S(q^2) \approx v_S(0) + v_S^{(1)}(0)q^2 + v_S^{(2)}(0)q^4 \dots,$$

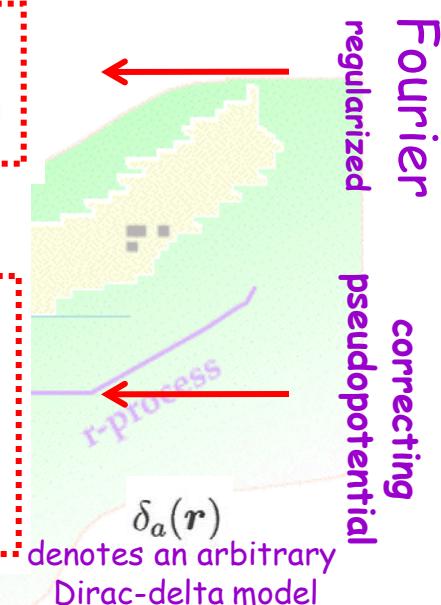
$$v_{eff}(\mathbf{r}) \approx v_{long}(\mathbf{r})$$

$$+ ca^2 \delta_a(\mathbf{r})$$

$$+ d_1 a^4 \nabla^2 \delta_a(\mathbf{r}) + d_2 a^4 \nabla \delta_a(\mathbf{r}) \nabla$$

$$+ \dots$$

$$+ g_1 a^{n+2} \nabla^n \delta_a(\mathbf{r}) + \dots,$$



$\delta_a(\mathbf{r})$   
denotes an arbitrary  
Dirac-delta model

LO

NLO

density dependence

spin-orbit

SV

# Skyrme local energy density functional

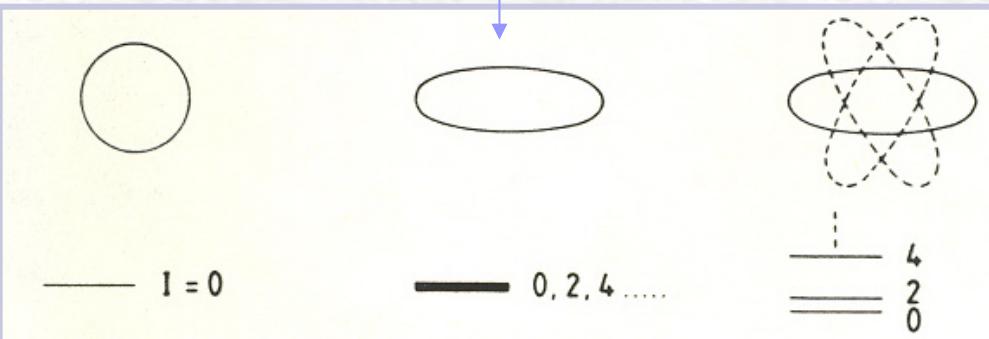
Skyrme (hadronic) interaction conserves such symmetries like:

- rotational (spherical) symmetry
- isospin symmetry:  $V_{nn}^{LS} = V_{pp}^{LS} = V_{np}^{LS}$  (in reality approximate)
- parity...

● Self-consistent solutions (Slater dets) break these symmetries (are deformed) spontaneously

$$\hat{R}(Q)|\varphi(Q_0)\rangle = |\varphi(Q')\rangle$$

$$\langle \varphi | \hat{H} | \varphi \rangle = \langle \varphi | \hat{R}^\dagger(Q) \hat{H} \hat{R}(Q) | \varphi \rangle$$



advantages:

builts in correlations into single Slater determinant

disadvantages:

symmetry must be restored to compare theory to data

Restoration → beyond mean-field → multi-reference density functional theory

# Isospin symmetry restoration

There are two sources of the isospin symmetry breaking:

- **unphysical**, caused solely by the HF approximation

→ Engelbrecht & Lemmer,  
PRL24, (1970) 607

- **physical**, caused mostly by Coulomb interaction

(also, but to much lesser extent, by the strong force isospin non-invariance)

- Find self-consistent HF solution (including Coulomb) → deformed Slater determinant  $|\text{HF}\rangle$ :

$$|\text{HF}\rangle = \sum_{T \geq |T_z|} b_{T,T_z} |\alpha; T, T_z\rangle$$

See: Caurier, Poves & Zucker,  
PL 96B, (1980) 11; 15

- Apply the isospin projector:

$$\hat{P}_{T_z T_z}^T = \frac{2T+1}{2} \int_0^\pi d\beta \sin \beta d_{T_z T_z}^{T*}(\beta) \hat{R}(\beta)$$

$$|\alpha; T, T_z\rangle = \frac{1}{b_{T,T_z}} \hat{P}_{T_z T_z}^T |\text{HF}\rangle$$

in order to create good isospin „basis“:

- Diagonalize total Hamiltonian in „good isospin basis“  $|\alpha, T, T_z\rangle$   
→ takes physical isospin mixing

$$\sum_{T' \geq |T_z|} \langle \alpha; T, T_z | \hat{H} | \alpha; T', T_z \rangle a_{T', T_z}^n = E_{n, T_z}^{\text{AR}} a_{T, T_z}^n$$

$$\alpha_C^{\text{AR}} = 1 - |a_{T=T_z}^{\text{n=1}}|^2$$

$$|\alpha; n, T_z\rangle = \sum_{T \geq |T_z|} a_{T, T_z}^n |\alpha; T, T_z\rangle,$$

# Isospin-projection is non-singular:

W.Satuła, J.Dobaczewski, W.Nazarewicz, M.Rafalski, PRC81 (2010) 054310

$$\tilde{O}(\beta) = \begin{pmatrix} \cos \frac{\beta}{2} I_N & -\sin \frac{\beta}{2} O \\ \sin \frac{\beta}{2} O^\dagger & \cos \frac{\beta}{2} I_Z \end{pmatrix}$$

**SVD**

SVD eigenvalues  
(diagonal matrix)

$$O = WDV^\dagger$$

$$\tilde{O}(\beta)^{-1} = \begin{pmatrix} \tilde{W} \frac{\cos \frac{\beta}{2}}{\cos^2 \frac{\beta}{2} I_N + \sin^2 \frac{\beta}{2} \tilde{D}^2} \tilde{W}^\dagger & \tilde{W} \frac{\sin \frac{\beta}{2}}{\cos^2 \frac{\beta}{2} I_N + \sin^2 \frac{\beta}{2} \tilde{D}^2} \tilde{D} \tilde{V}^\dagger \\ V \frac{-\sin \frac{\beta}{2}}{\cos^2 \frac{\beta}{2} I_Z + \sin^2 \frac{\beta}{2} D^2} D W^\dagger & V \frac{\cos \frac{\beta}{2}}{\cos^2 \frac{\beta}{2} I_Z + \sin^2 \frac{\beta}{2} D^2} V^\dagger \end{pmatrix}$$

$$\rightarrow \tilde{O}(\beta)_{ij}^{-1} \sim \frac{1}{\cos \frac{\beta}{2}}$$

singularity (if any) at  $\beta=\pi$   
is inherited by a transition  
density  $\tilde{\rho}$

$$\tilde{\rho} = \sum_{ij} \Psi_i^* \tilde{O}_{ij}^{-1} \Phi_j$$

## Power [ $\cos(\beta/2)$ ] counting:

$$\mathcal{H}(\beta) \sim \tilde{\rho}^\eta(\beta) \operatorname{Det}\tilde{O}(\beta)$$

$$\int d\beta \sin \beta d_{T_z T_z}^T(\beta) \tilde{\rho}^\eta(\beta) \operatorname{Det}\tilde{O}(\beta) \propto \int d\beta \cos^\xi \frac{\beta}{2}$$

$$\uparrow \quad \uparrow \quad \uparrow \quad \uparrow$$

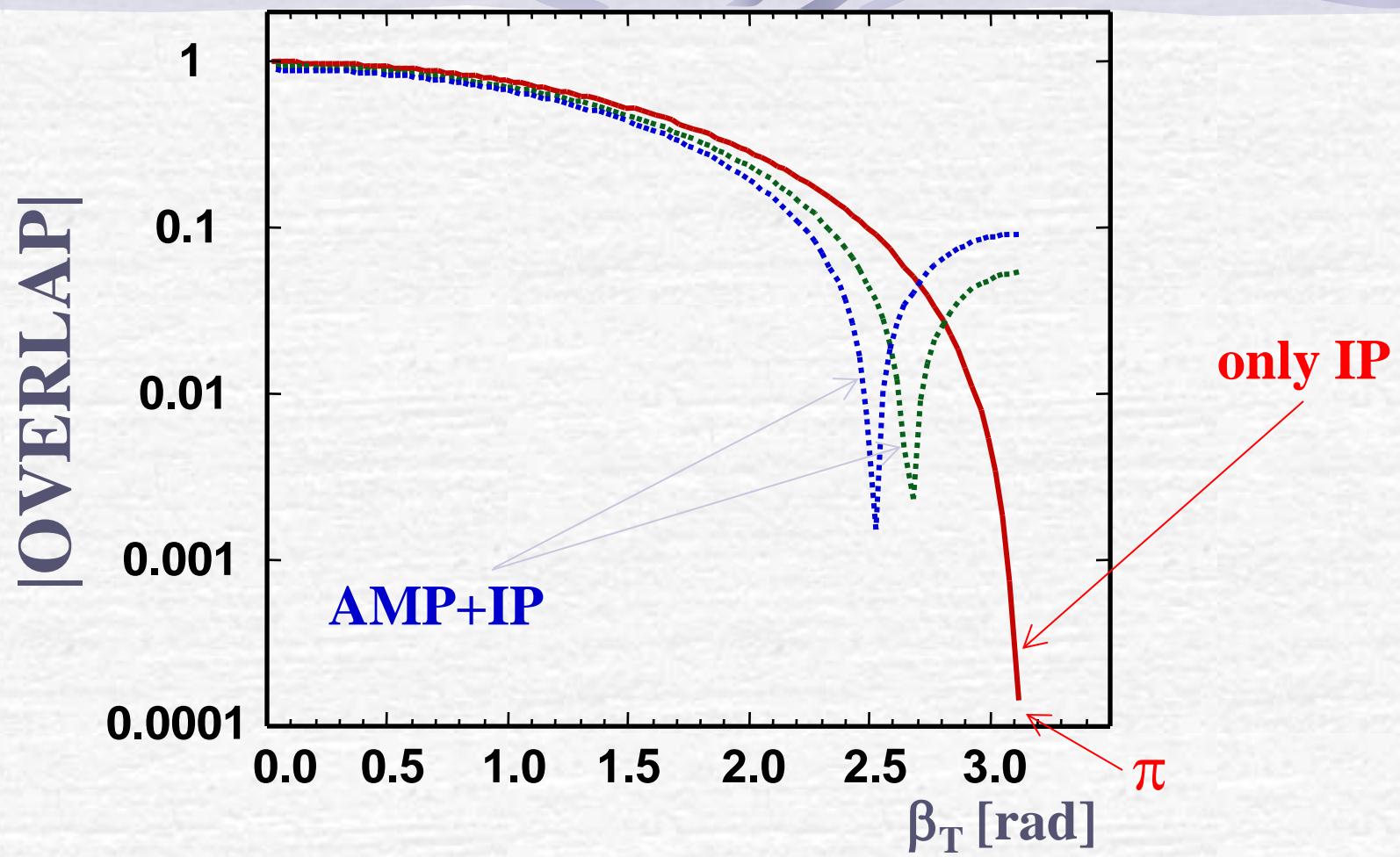
$$1 + |N-Z| - \eta + |N-Z| + 2k$$

in the worst case  
i.e. for  $N=Z$  and  $k=1$

$\uparrow$   $k$  is a multiplicity of  
zero singular values

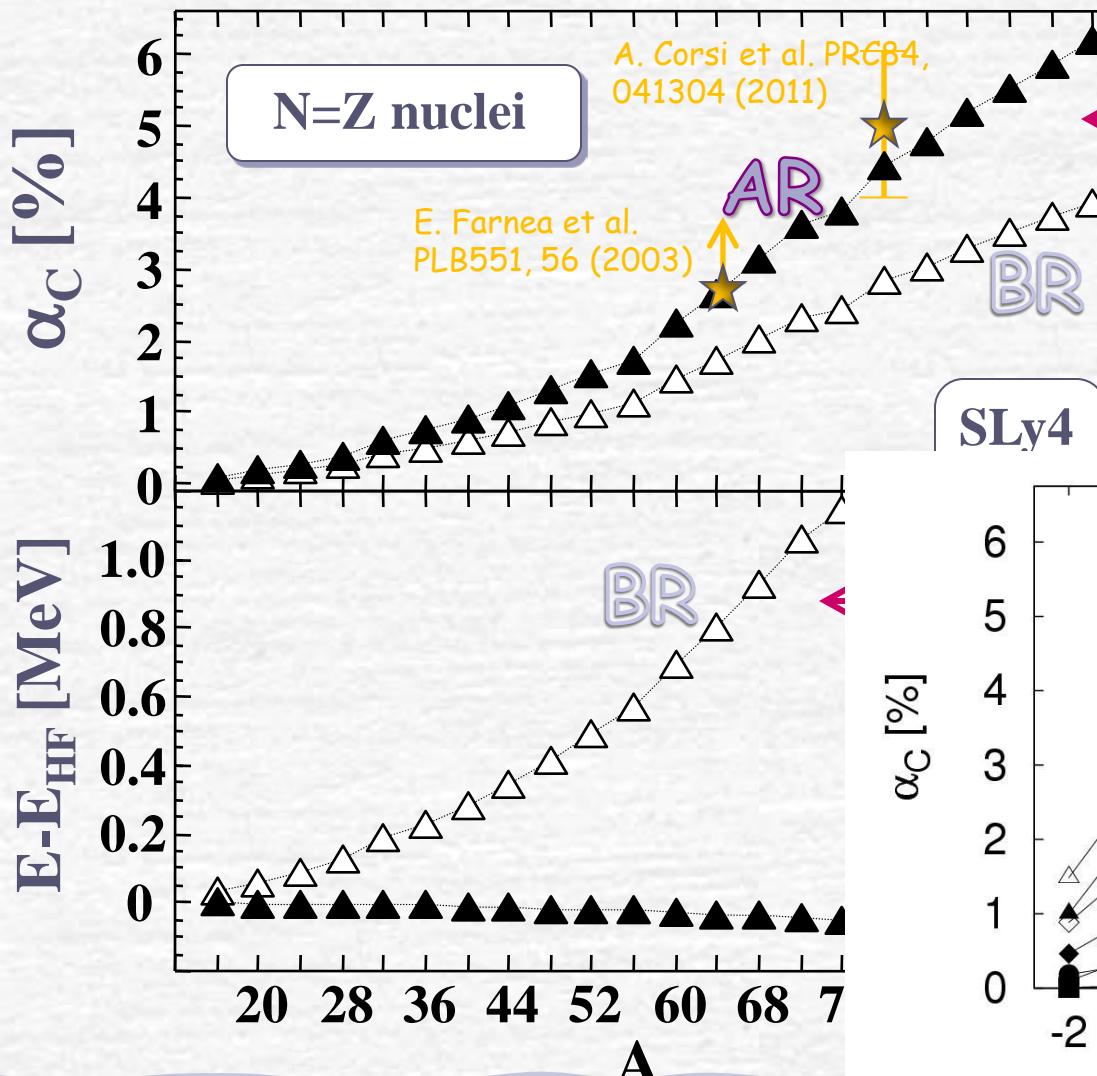
$\eta > 3$  to get a  
singularity

$$|\operatorname{Det}\tilde{O}(\beta)| = \left| \cos \frac{\beta}{2} \right|^{N-Z} \prod_{i=1}^Z \left( \cos^2 \frac{\beta}{2} + \sin^2 \frac{\beta}{2} D_i^2 \right)$$



Coupled AMP+IP projection is singular forcing us to use  
the Skyrme interaction SV  
(or density-independent interaction)

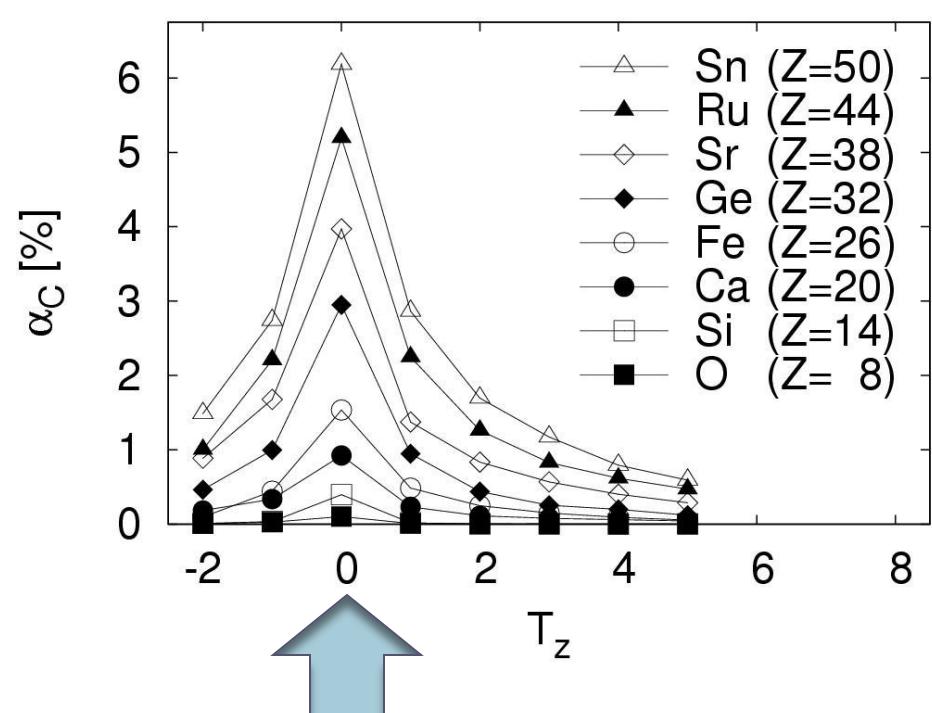
# Isospin mixing & energy in the ground states of e-e N=Z nuclei:



This is not a single Sl  
There are no constraints on

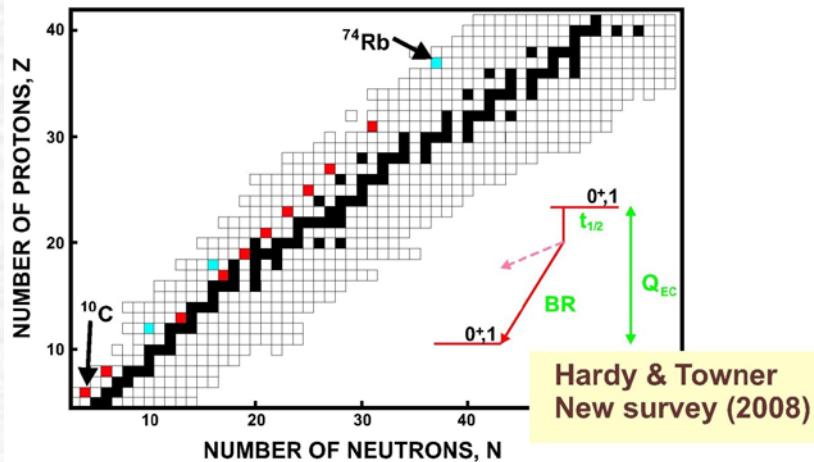
HF tries to reduce the isospin mixing by:  
 $\Delta\alpha_C \sim 30\%$   
 in order to minimize the total energy

Projection increases the



# Superallowed $0^+ > 0^+$ Fermi beta decays (testing the Standard Model)

adopted from J.Hardy's, ENAM'08 presentation



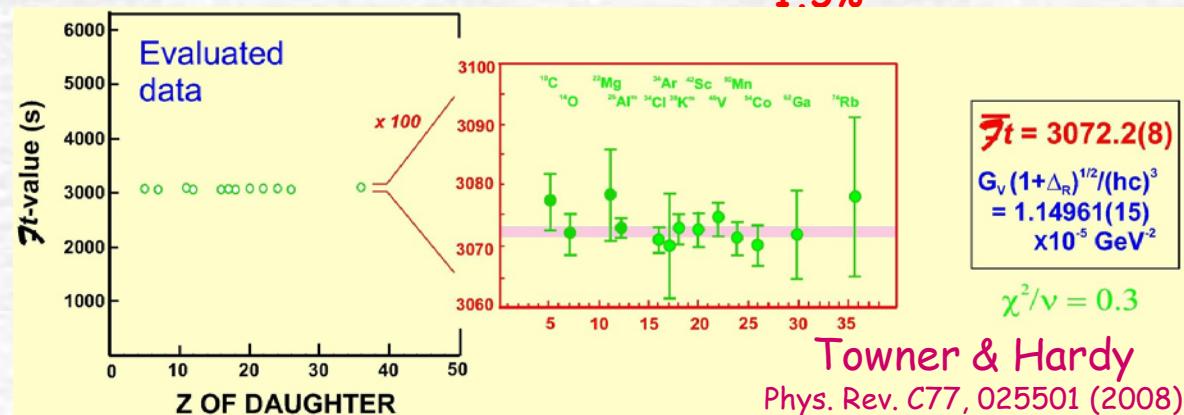
10 cases measured with accuracy  $ft \sim 0.1\%$   
3 cases measured with accuracy  $ft \sim 0.3\%$

→ test of the CVC hypothesis  
(Conserved Vector Current)

INCLUDING RADIATIVE CORRECTIONS

$$\bar{f}t = ft(1 + \delta'_R)[1 - (\delta_c - \delta_{NS})] = \frac{K}{2G_V^2(1 + \Delta_R)}$$

1.5%      0.3%      - 1.5%      ~2.4%



$$\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix}_{\text{weak eigenstates}} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}_{\text{CKM}} \begin{pmatrix} d \\ s \\ b \end{pmatrix}_{\text{mass eigenstates}}$$

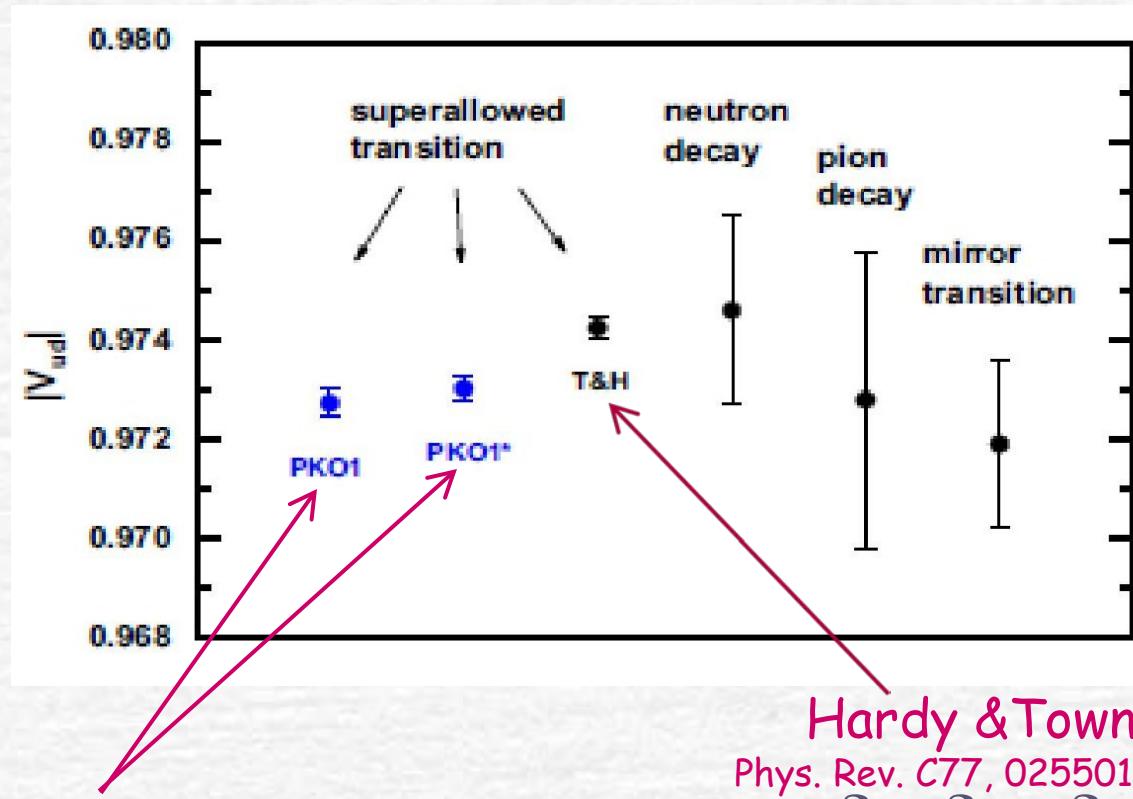
Cabibbo-Kobayashi eigenstates  
-Maskawa

$|V_{ud}| = 0.97418 \pm 0.00026$   
→ test of unitarity of the CKM matrix

$$|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = 0.9997(6)$$

0.9490(4)      0.0507(4)      <0.0001

# model dependence & model limitations



Liang & Giai & Meng  
Phys. Rev. C79, 064316 (2009)

spherical RPA  
Coulomb exchange treated in the  
Slater approximation

Hardy & Towner  
Phys. Rev. C77, 025501 (2008)

$\delta_C = \delta_{C1} + \delta_{C2}$

mean field  
radial mismatch of  
the wave functions

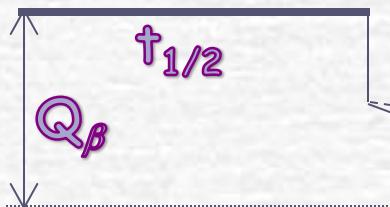
shell model  
configuration mixing

Miller & Schwenk  
Phys. Rev. C78 (2008) 035501; C80 (2009) 064319

# How to calculate the superallowed Fermi beta decay using the DFT framework?

$T_z = -/+1$   
 $(N-Z = -/+2)$

$J=0^+, T=1$



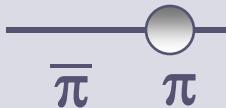
$$|\langle T_{+/-} \rangle|^2 = 2(1 - \delta_C)$$

BR

$J=0^+, T=1$

$T_z = 0 \ (N-Z = 0)$

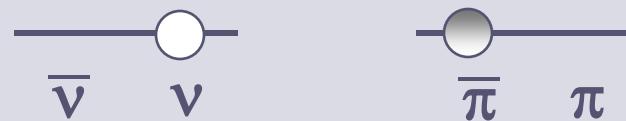
## MEAN FIELD



CORE

aligned configurations

$$v \otimes \pi \quad \text{or} \quad \bar{v} \otimes \bar{\pi}$$



CORE

anti-aligned configurations

$$v \otimes \bar{\pi} \quad \text{or} \quad \bar{v} \otimes \pi$$

## ISOSPIN PROJECTION

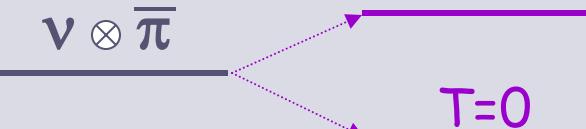
$$v \otimes \pi$$

$T=0$

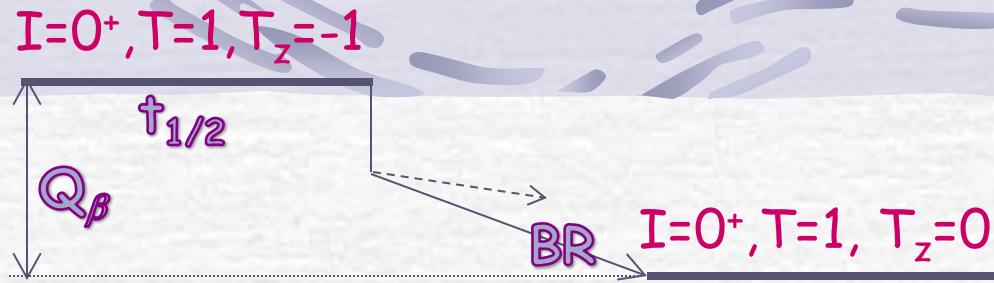
$$v \otimes \bar{\pi}$$

$T=1$

Mean-field can differentiate between  
 $v \otimes \pi$  and  $v \otimes \bar{\pi}$   
only through time-odd polarizations!



$T=1$  state  
is beyond mean-field!

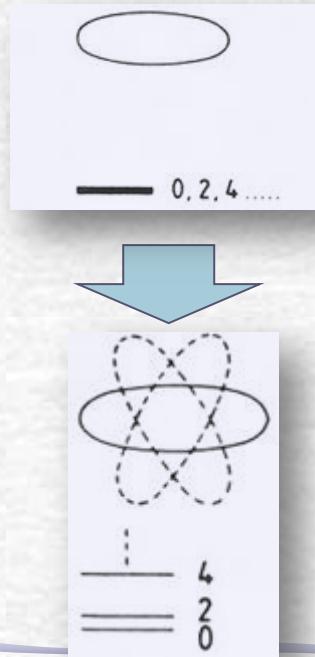


## Skyrme-Hartree-Fock DF

ground state  
in  $N-Z=+/-2$  ( $e-e$ ) nucleus

↓

Project on good isospin  
( $T=1$ ) and angular  
momentum ( $I=0$ )  
(and perform Coulomb  
rediagonalization)



antialigned state  
in  $N=Z$  ( $o-o$ ) nucleus

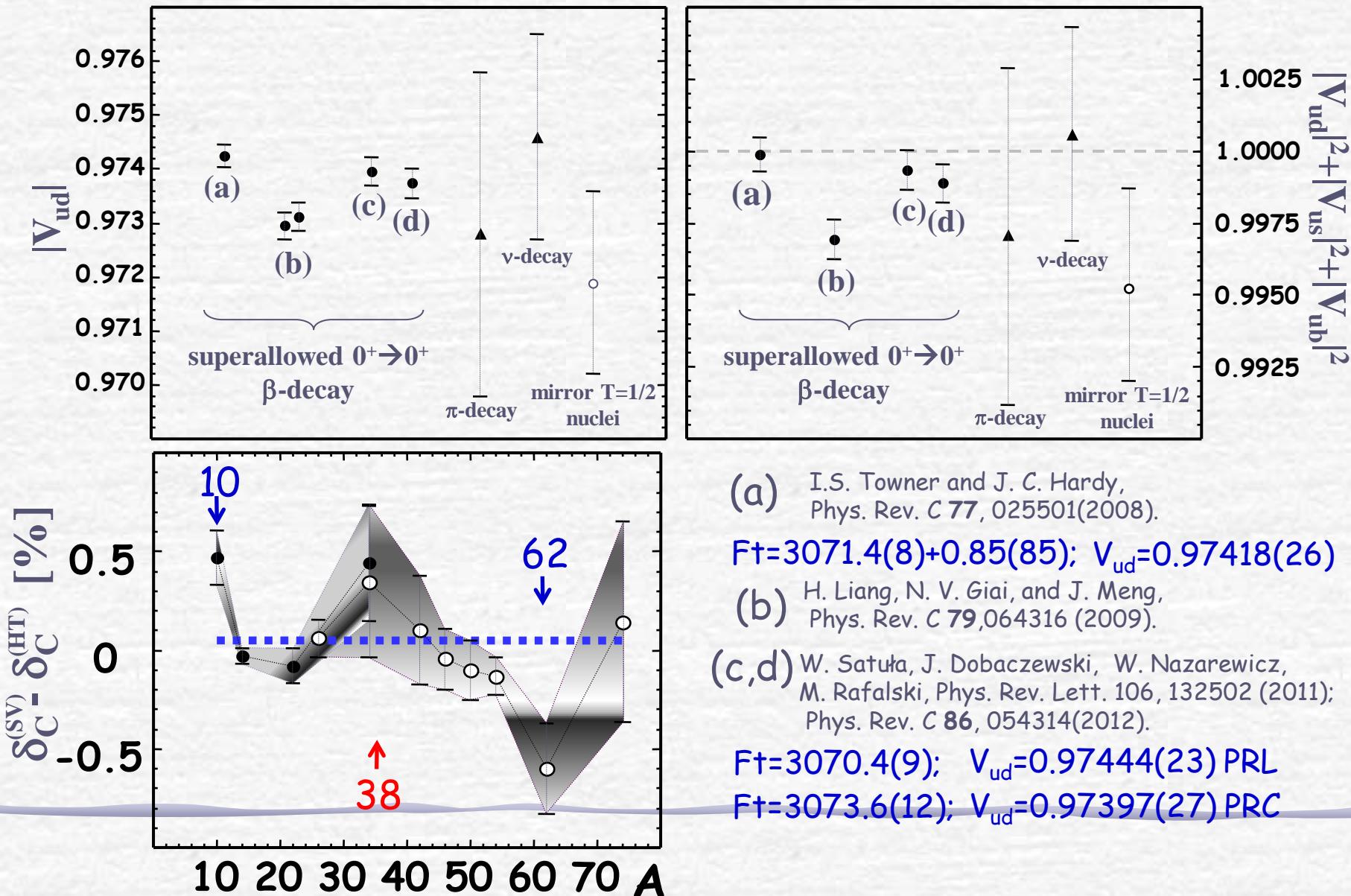
↓

Project on good isospin  
( $T=1$ ) and angular  
momentum ( $I=0$ )  
(and perform Coulomb  
rediagonalization)

$$|\langle T \approx 1, T_z = +/ - 1, I = 0 | T_{+/-} | I = 0, T \approx 1, T_z = 0 \rangle|^2 = 2(1 - \delta_C)$$

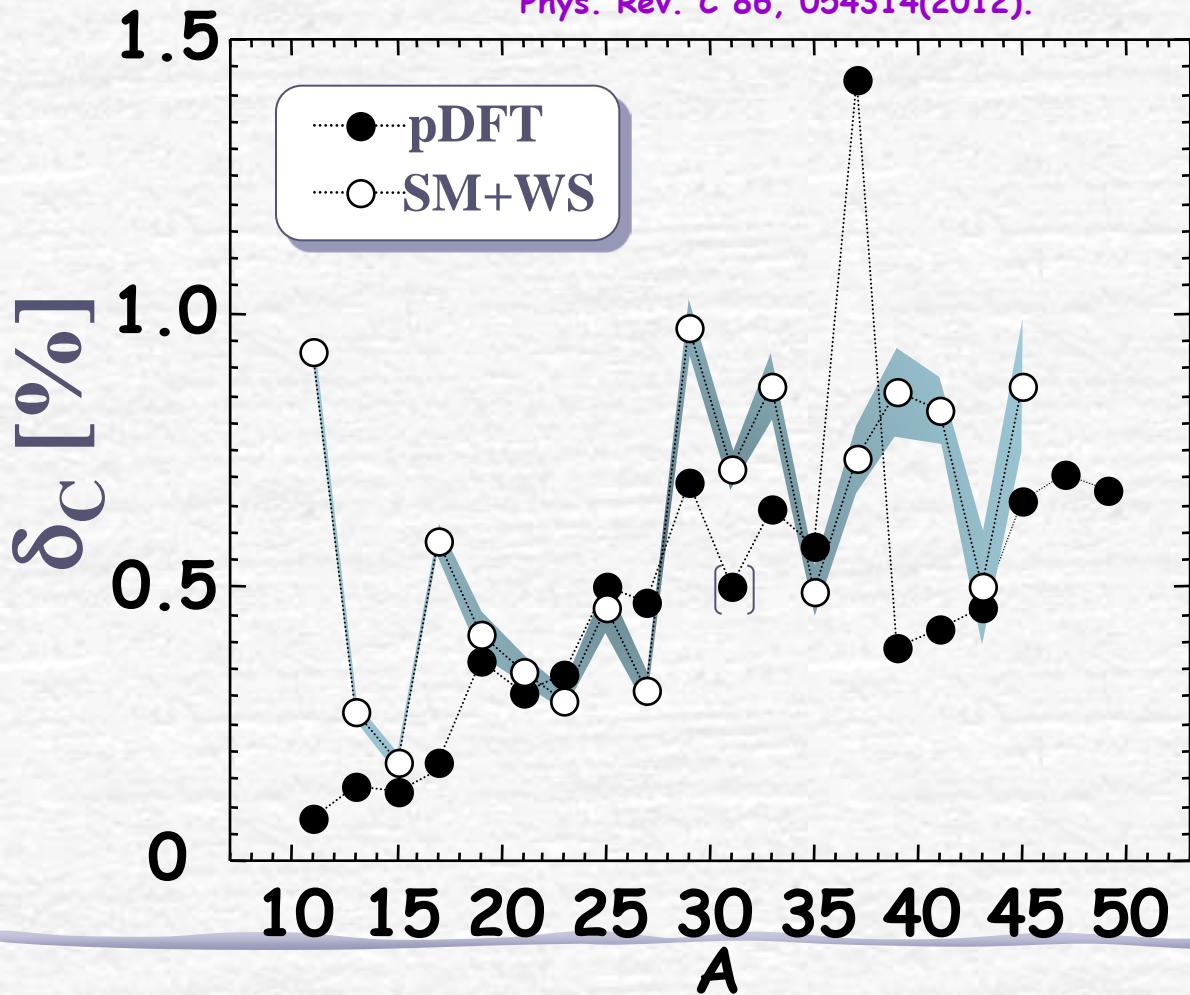
# Tests of the weak-interaction flavor-mixing sector of the Standard Model of elementary particles

## $|V_{ud}|$ & unitarity - world survey



# ISB corrections to the Fermi transitions in T=1/2 mirrors

W. Satuła, J. Dobaczewski, W. Nazarewicz, M. Rafalski  
Phys. Rev. C 86, 054314(2012).



SM+WS results from:  
N. Severijns, M. Tandecki,  
T. Phalet, and I. S. Towner,  
Phys. Rev. C 78, 055501 (2008).

# THEORETICAL UNCERTAINTIES

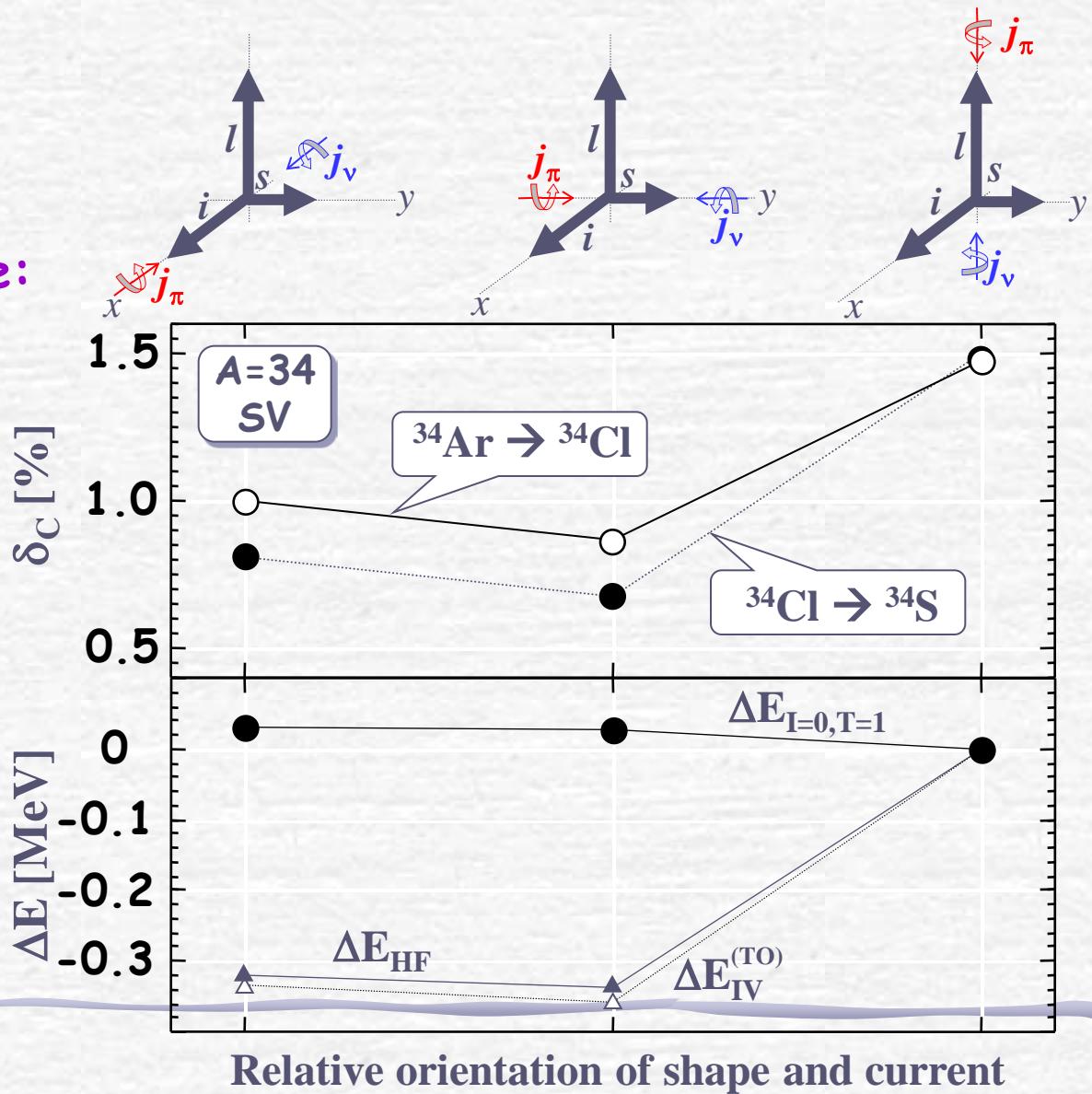
Basis-size dependence:  
~5%

Functional dependence:

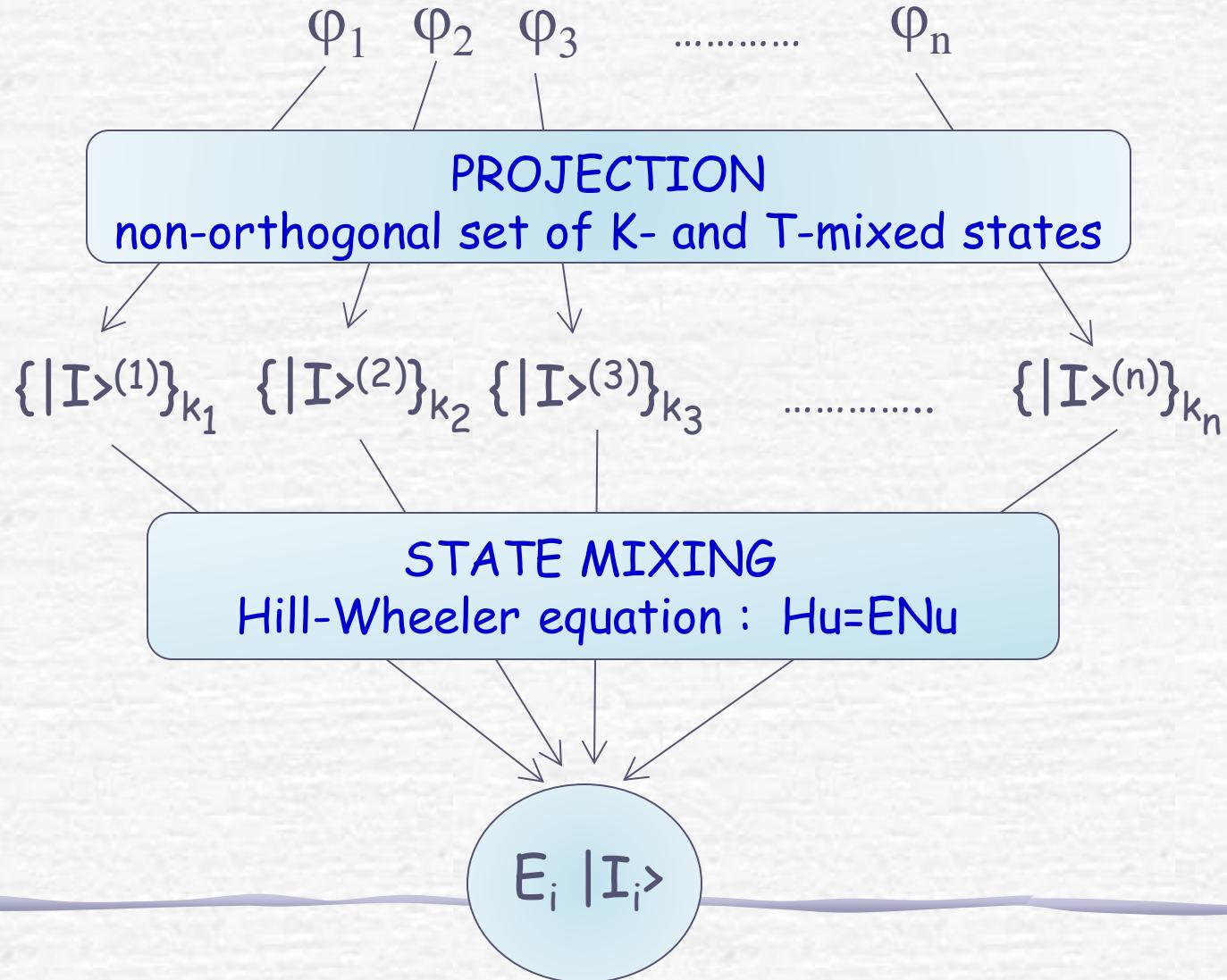
**SV:**  $F_t = 3073.6(12)$   
 $V_{ud} = 0.97397(27)$   
 $|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = 0.99935(67)$

**SHZ2:**  $F_t = 3075.0(12)$   
 $V_{ud} = 0.97374(27)$   
 $|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = 0.99890(67)$   
 $a_{sym} = 42.2 \text{ MeV}!!!$

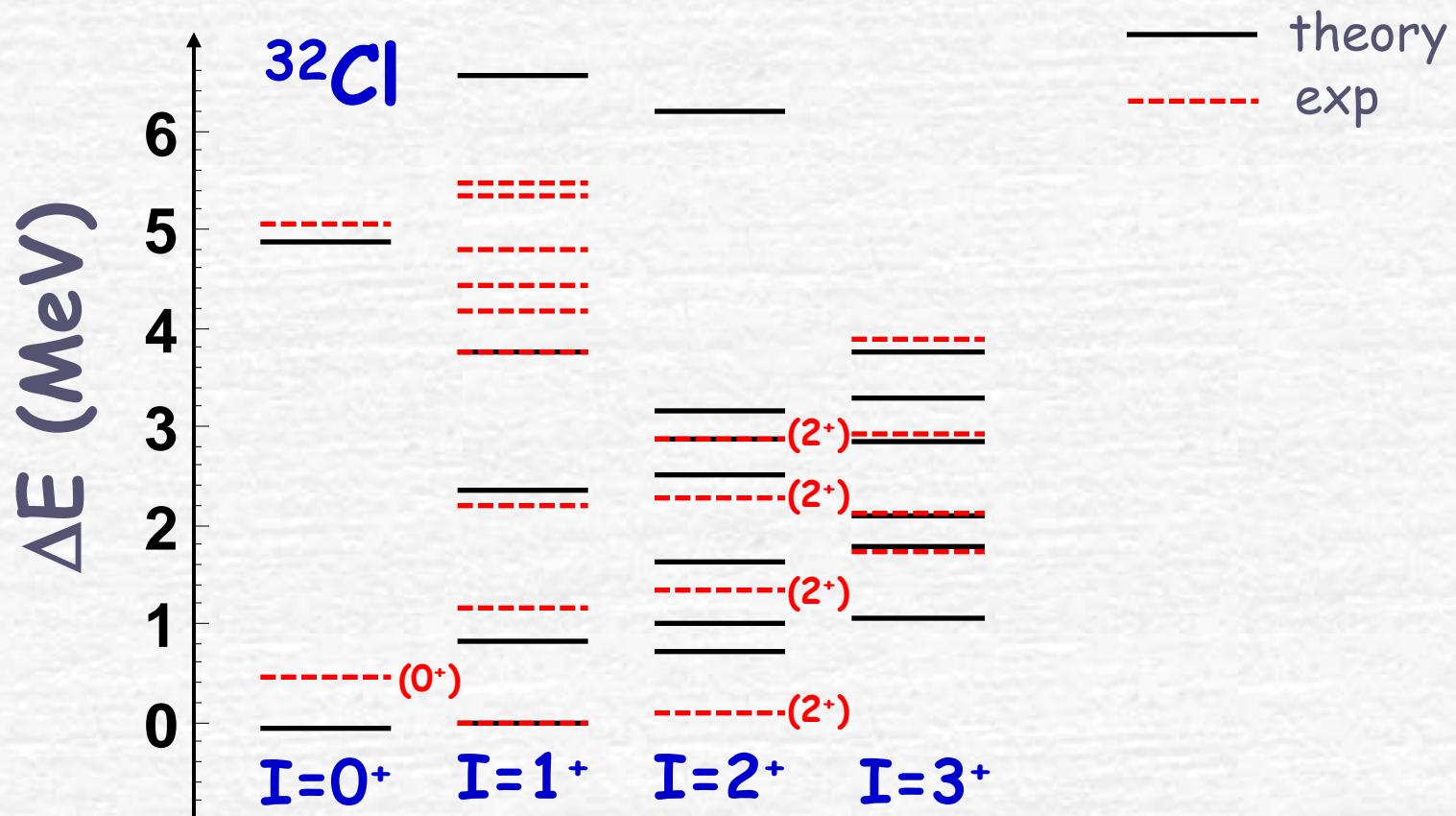
Configuration dependence:

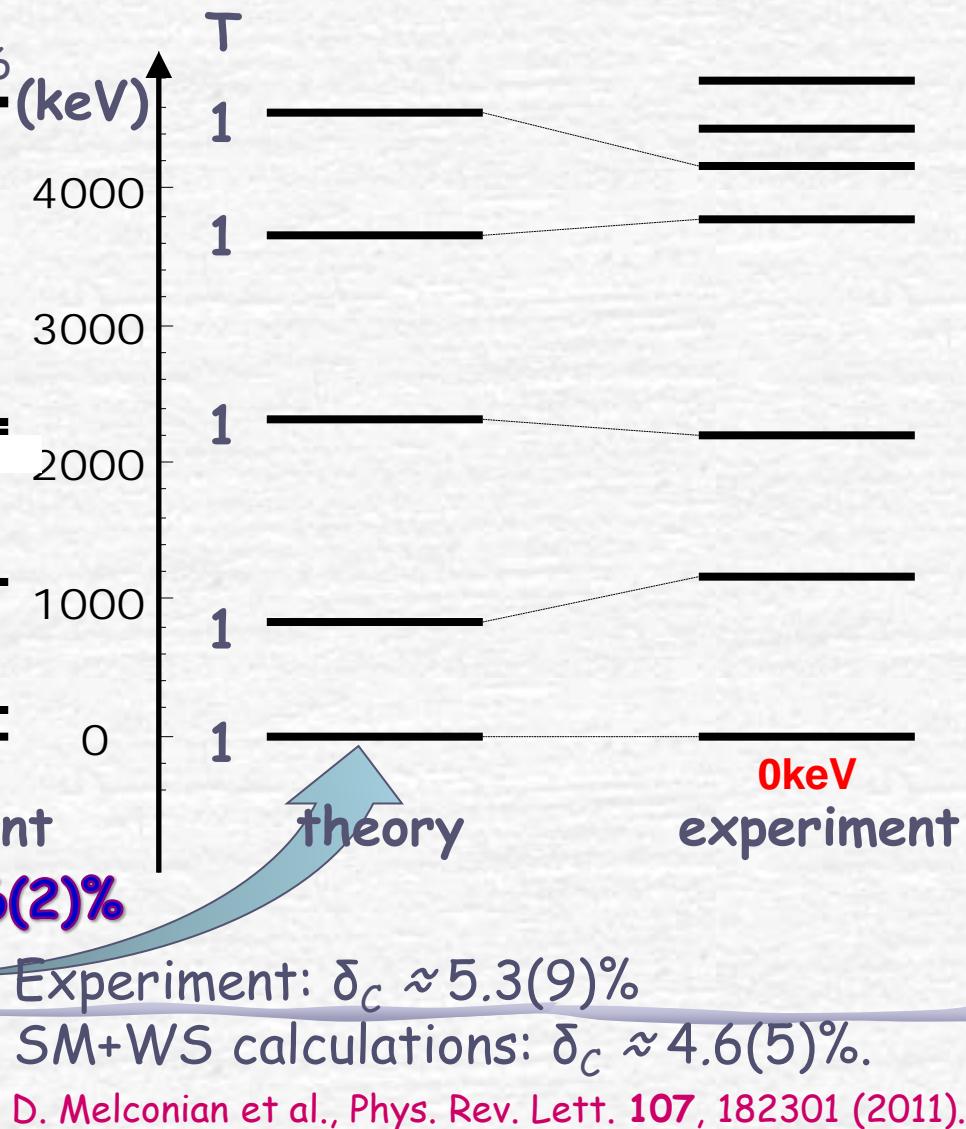
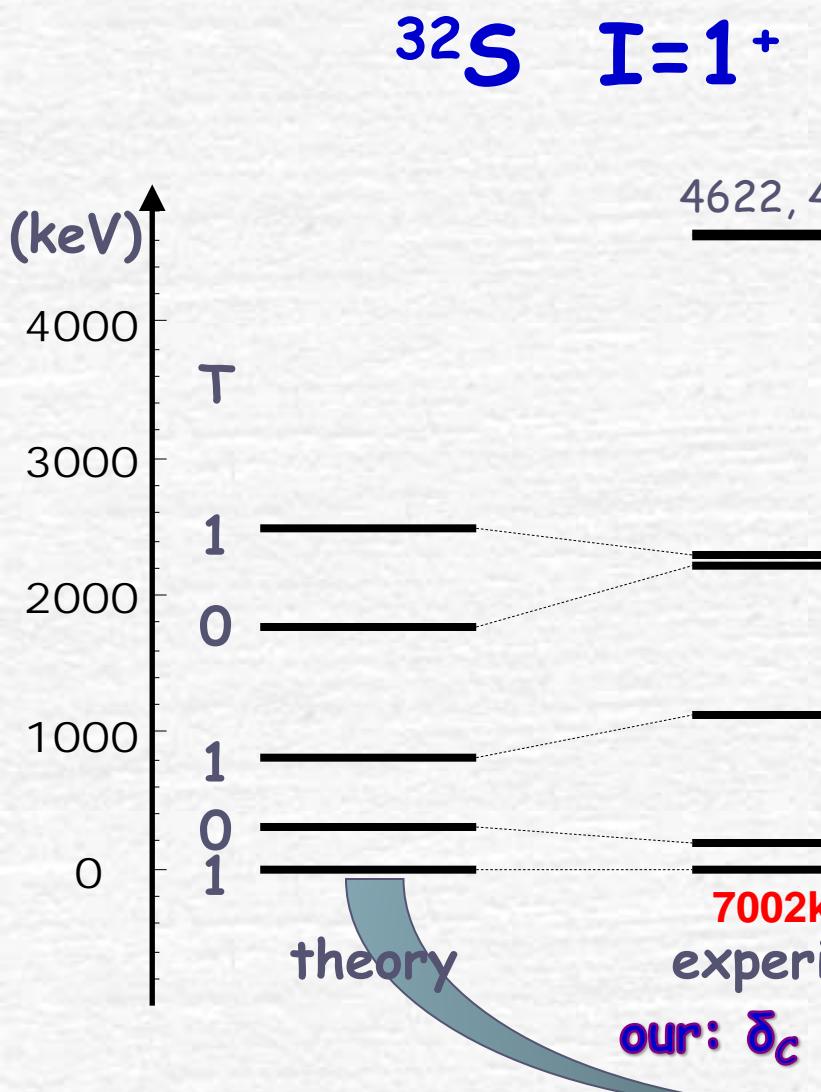


MEAN-FIELD  
compute „n“ self-consistent Slater determinants  
corresponding to low-lying p-h excitations



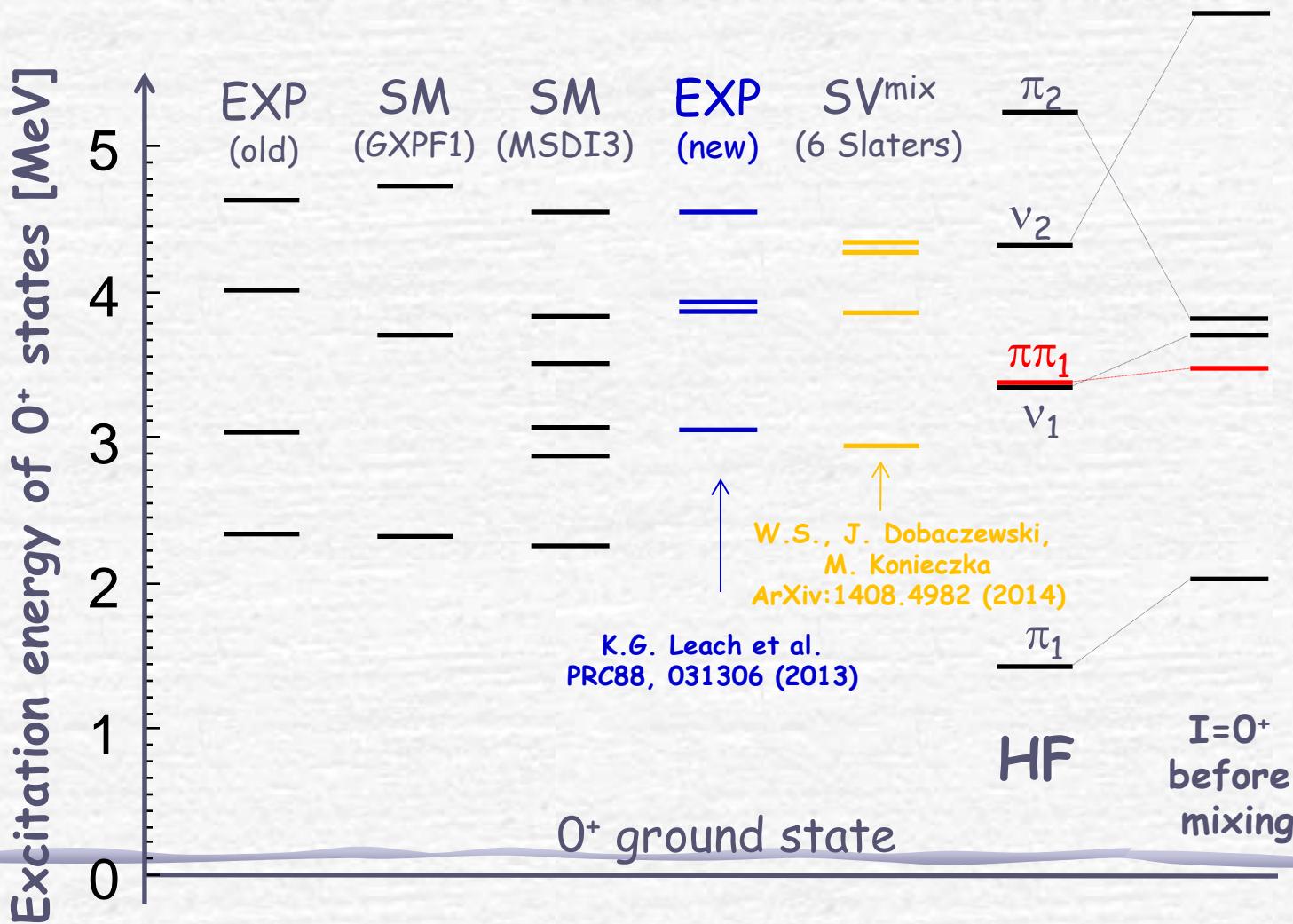
# No-core configuration interaction (shell) model with basis cutoff dictated by the self-consistent p-h DFT states

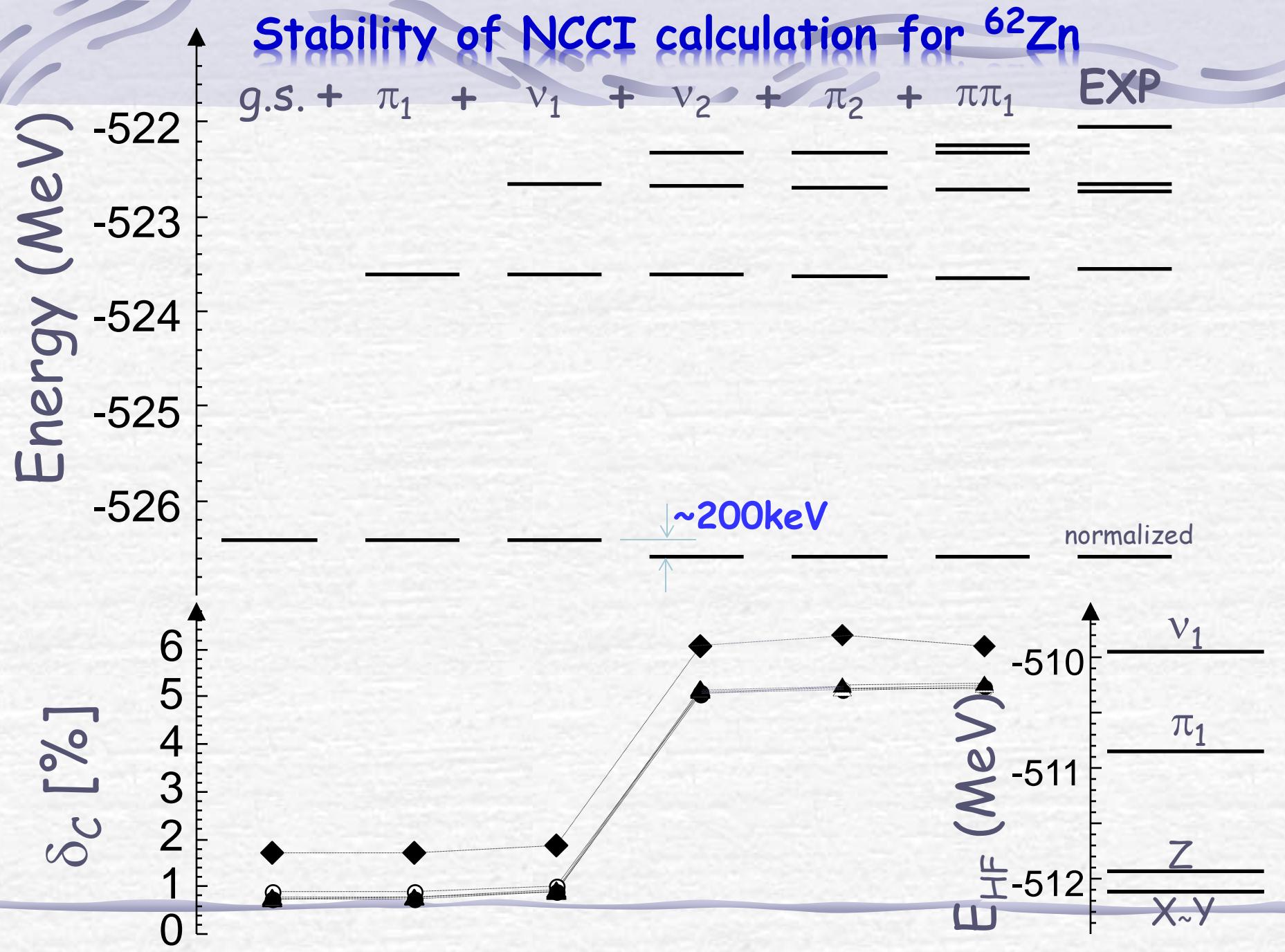




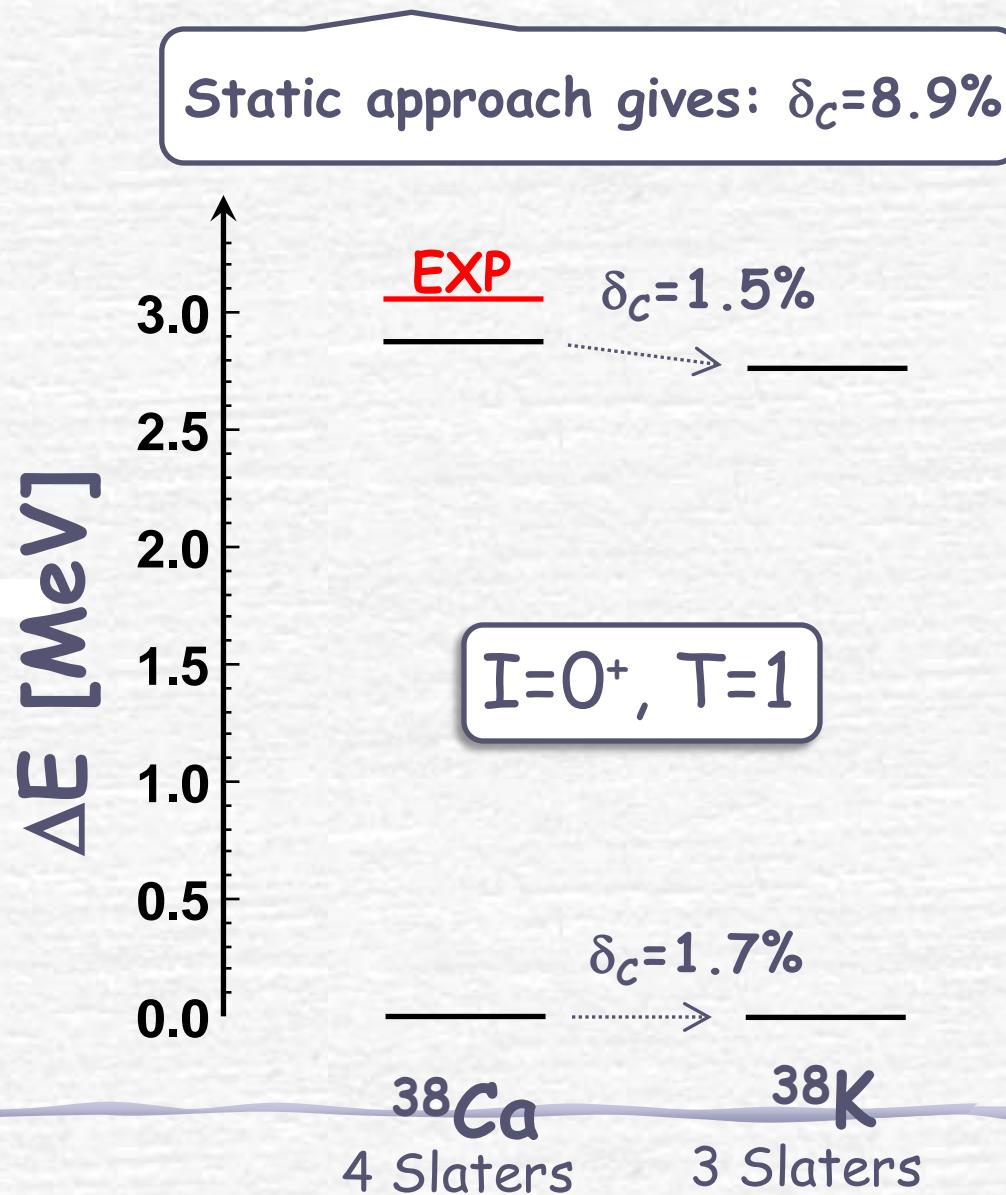
# No-core configuration-interaction formalism based on the isospin and angular momentum projected DFT

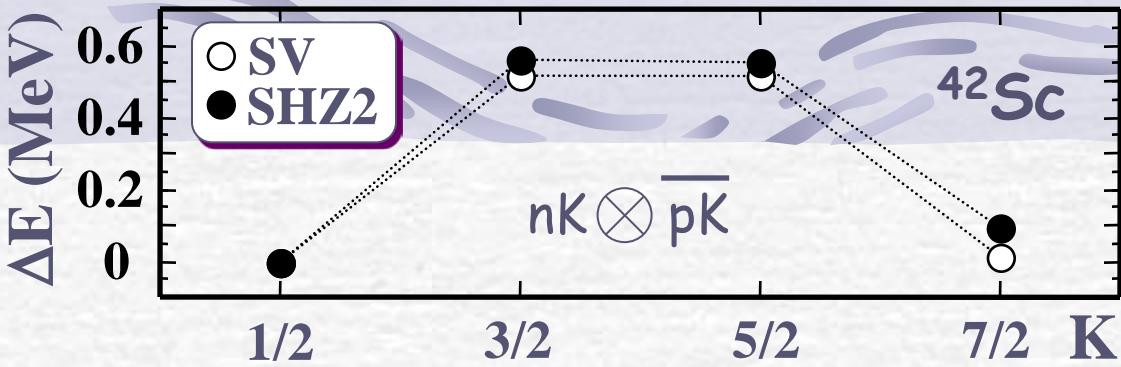
## $^{62}\text{Zn}$ , $I=0^+$ states below 5 MeV



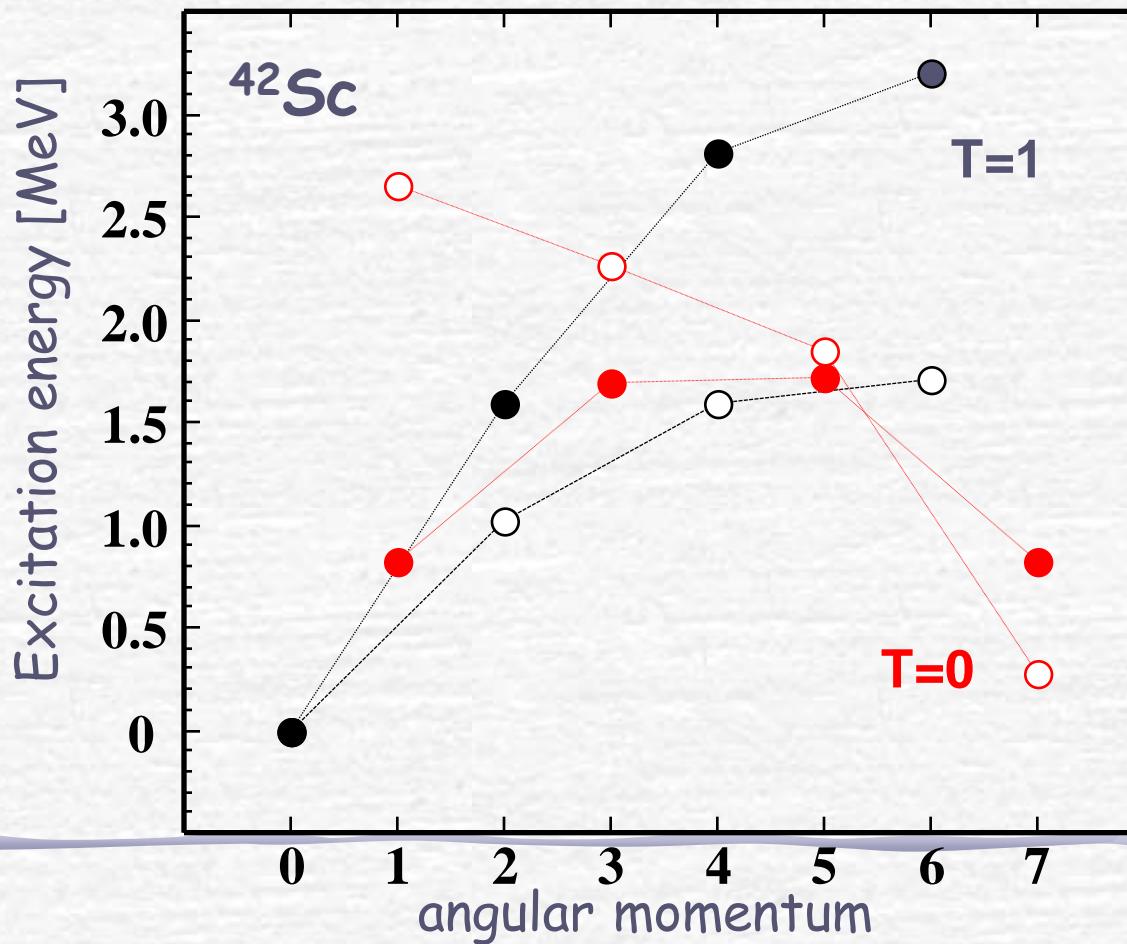


# A case of $A=38$ ( $^{38}\text{Ca} \rightarrow ^{38}\text{K}$ )





Mixing of states projected from the antialigned configurations:



# Summary

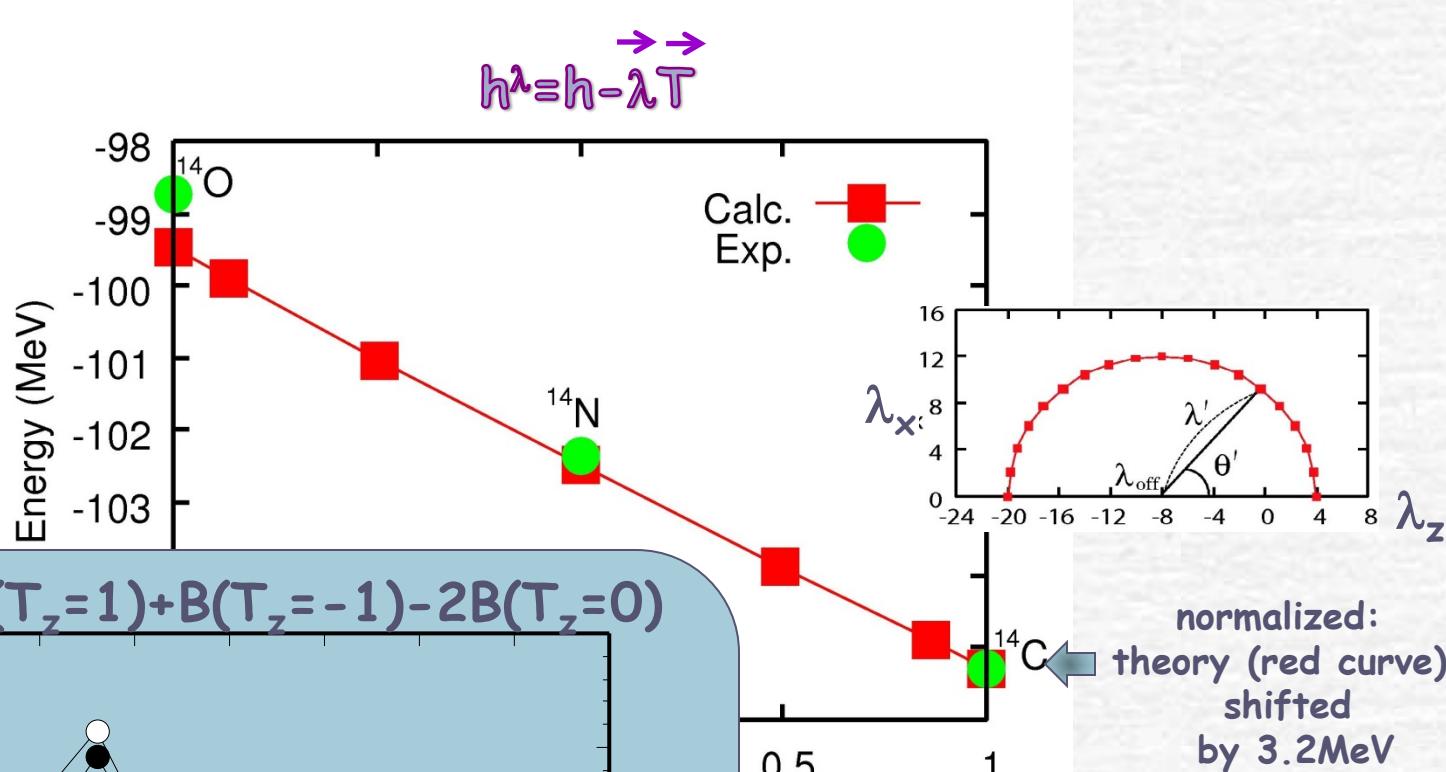
- Isospin symmetry breaking corrections from the „static” (single Slater based) double-projected DFT are in very good agreement with the Hardy-Towner results.
- ● We have to go BEYOND „STATIC” MR-EDF in order to address high-quality spectroscopic data available today.

First attempts are very encouraging at least concerning energy spectra!!!

..... perspectives:

# $T=1, I=0^+$ isobaric analogue states from self-consistent 3D-isocranked HF: $h^\lambda = h - \lambda \vec{T}$

K. Sato, J. Dobaczewski, T. Nakatsukasa, and W. Satuła, Phys. Rev. C88 (2013), 061301



normalized:  
theory (red curve)  
shifted  
by 3.2 MeV

separable  
solution

$$V_{IMK}^{2B} \equiv \langle \Psi | \hat{V}_{2B} \hat{P}_{MK}^I | \Psi \rangle = \frac{2I+1}{8\pi^2} \int d\Omega D_{MK}^{I*}(\Omega) \langle \Psi | \hat{V}_{2B} | \tilde{\Psi} \rangle$$

$$\langle \Psi | \hat{V}_{2B} | \tilde{\Psi} \rangle \sim \frac{1}{\langle \Psi | \tilde{\Psi} \rangle^{1+n}}$$

inherited from Skyrme

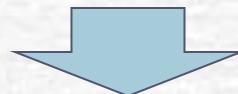
### Regularization:

$$V_{IMK}^{2B,n} = \frac{2I+1}{8\pi^2} \int d\Omega D_{MK}^{I*}(\Omega) \langle \Psi | \hat{V}_{2B} | \tilde{\Psi} \rangle \langle \Psi | \tilde{\Psi} \rangle^n$$

$$\langle \Psi | \hat{V}_{2B} | \tilde{\Psi} \rangle \rightarrow \langle \Psi | \widetilde{\hat{V}_{2B}} | \tilde{\Psi} \rangle$$

$$V_{IMK}^{2B,n} = \frac{2I+1}{8\pi^2} \int d\Omega D_{MK}^{I*}(\Omega) \langle \Psi | \widetilde{\hat{V}_{2B}} | \tilde{\Psi} \rangle$$

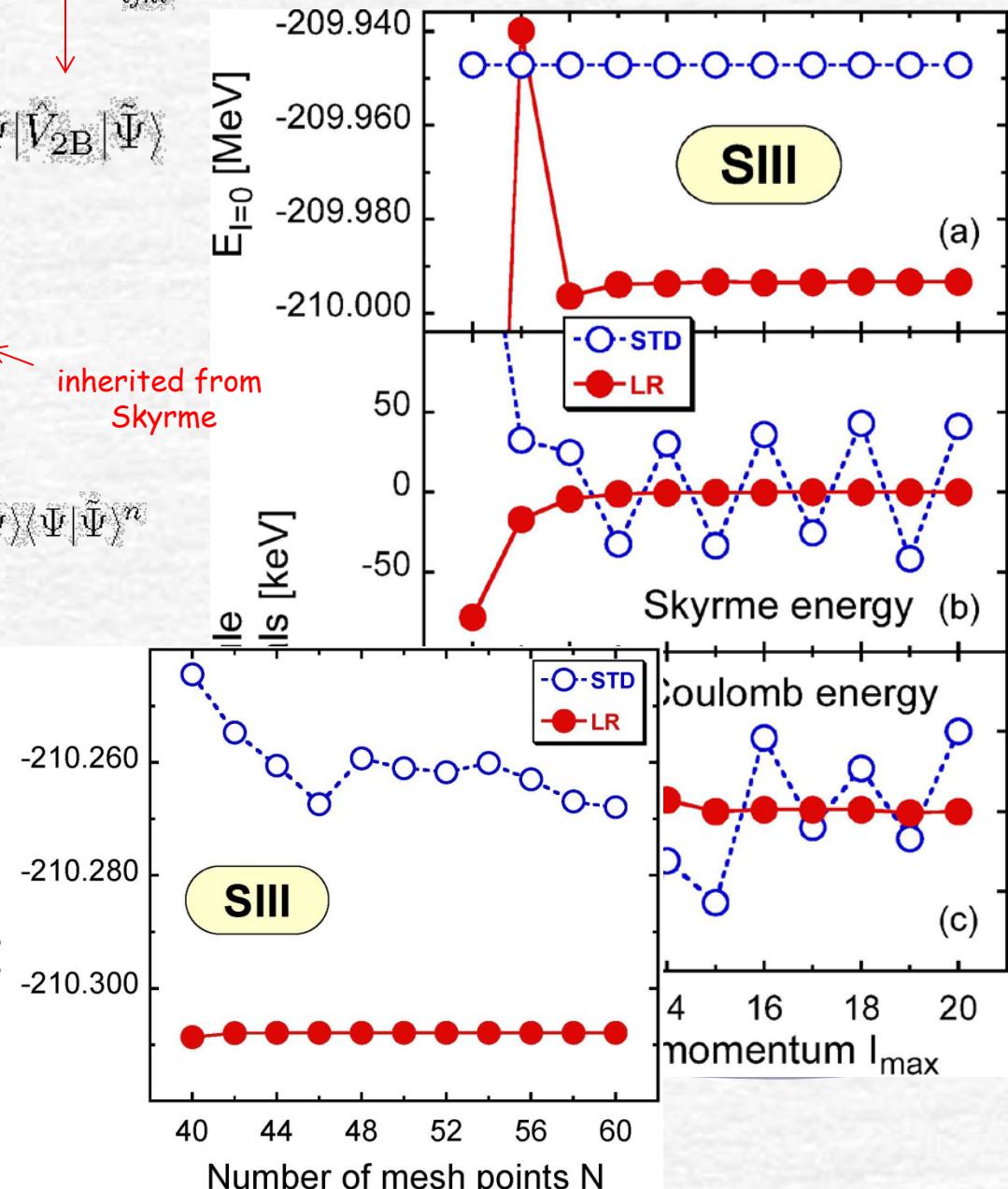
$$V_{IMK}^{2B,n} \equiv \tilde{V}_{IMK}^{2B,n}$$



Regularized energies  
can be calculated by sol  
set of linear equatio

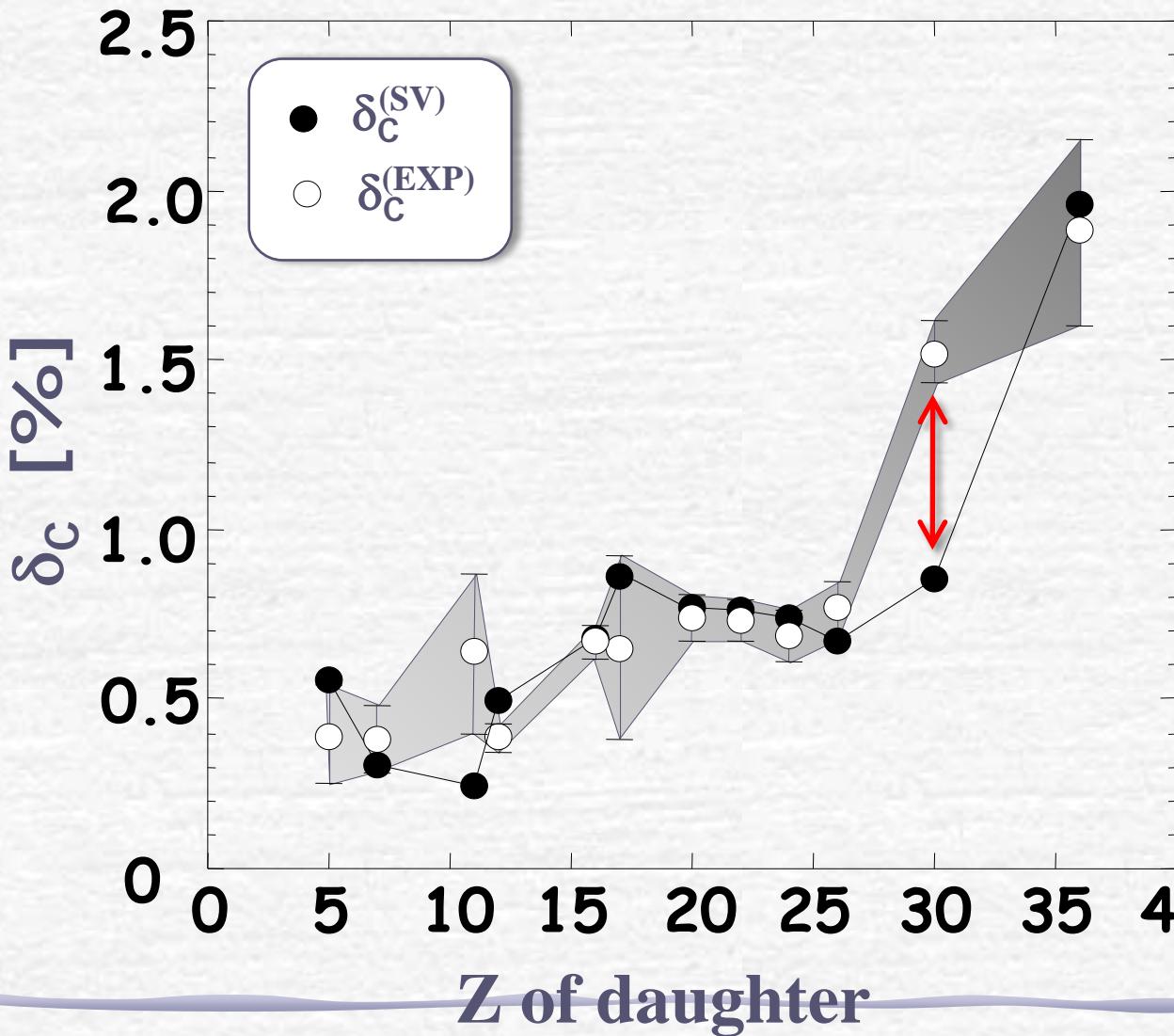
bilinear in transition density matrix:

$$\frac{\langle \Psi | \hat{V}_{2B} | \tilde{\Psi} \rangle}{\langle \Psi | \tilde{\Psi} \rangle} = \frac{1}{4} \sum_{ijkl} V_{ijkl} [\tilde{\rho}] (\tilde{\rho}_{ki}\tilde{\rho}_{lj} - \tilde{\rho}_{li}\tilde{\rho}_{kj})$$



# Confidence level test based on the CVC hypothesis

T&H PRC82, 065501 (2010)



$$\delta_C^{(EXP)} = 1 + \delta_{NS} - \frac{Ft}{f t (1 + \delta'_R)}$$

Minimize RMS deviation  
between the calculated  
and experimental  $\delta_C$  with  
respect to  $Ft$

$\chi^2/n_d = 5.2$   
for  $Ft = 3070.0s$   
75% contribution to the  
 $\chi^2$  comes from  $A=62$

From: W. Satuła, J. Dobaczewski,  
W. Nazarewicz, M. Rafalski  
Phys. Rev. Lett. 106, 132502 (2011).