

# On the flavor composition of the high-energy neutrino events in IceCube

based on

O. Mena, SPR and A.C. Vincent, arXiv:1404.0017v2

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IFIC, CSIC-U. Valencia, Valencia



News in Neutrino Physics



Stockholm, April 28, 2014







# THE ICECUBE TELESCOPE

At the South Pole

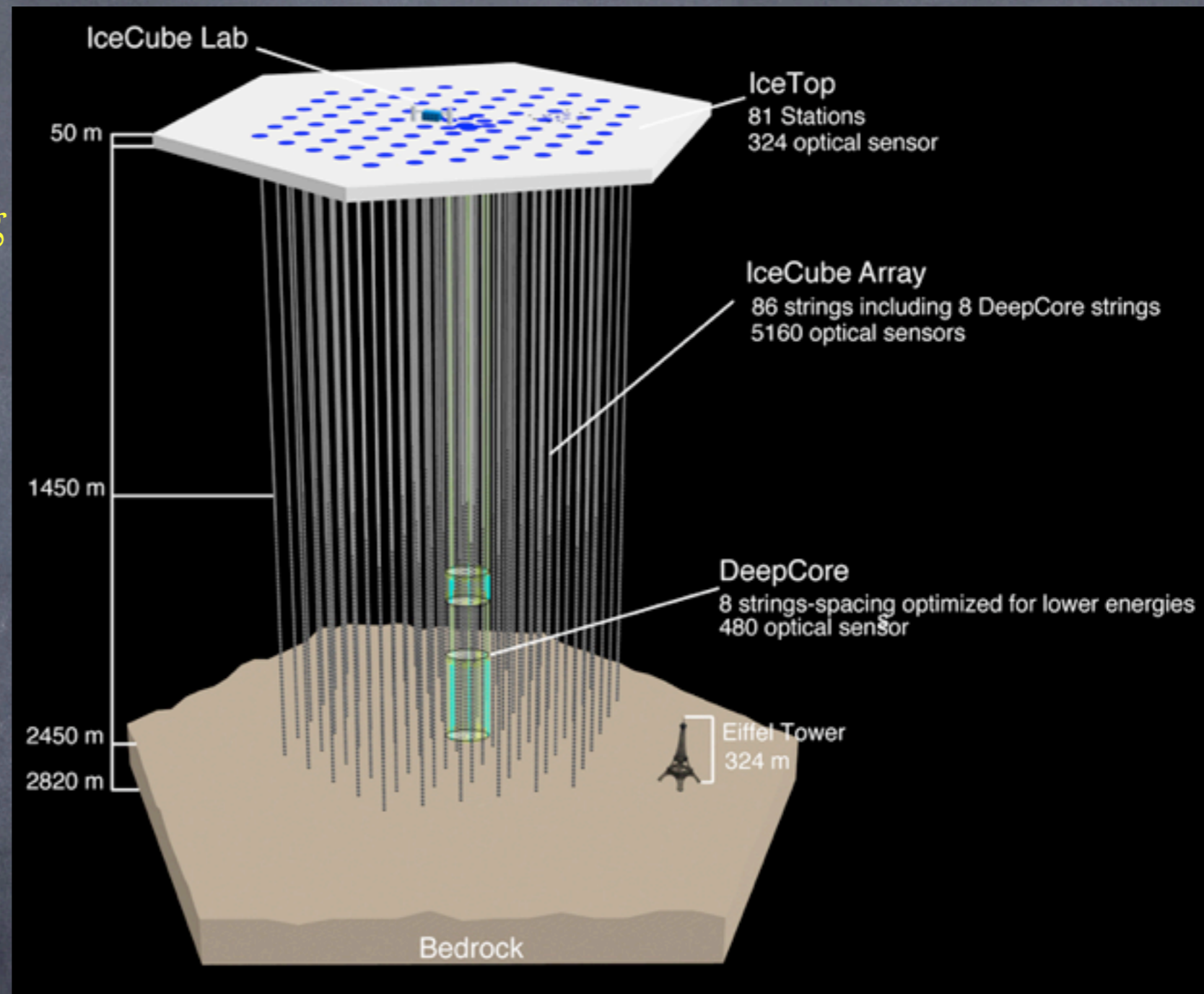
86 strings with 60 DOM/string  
125 m apart on triangular grid

17 m vertical spacing between  
PMTs

8 DeepCore strings 75 m apart

81 IceTop stations: two tanks/  
station, two DOMs/tank

completed in 2010

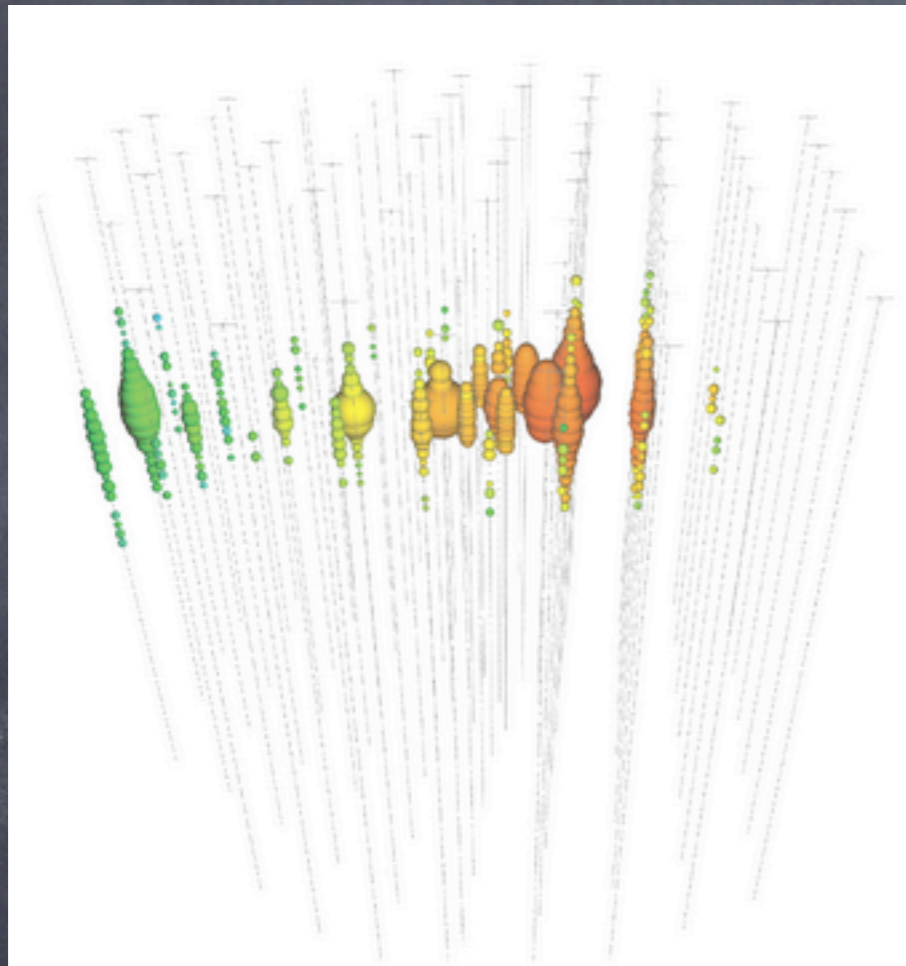


Secondary particles detected via Cherenkov radiation



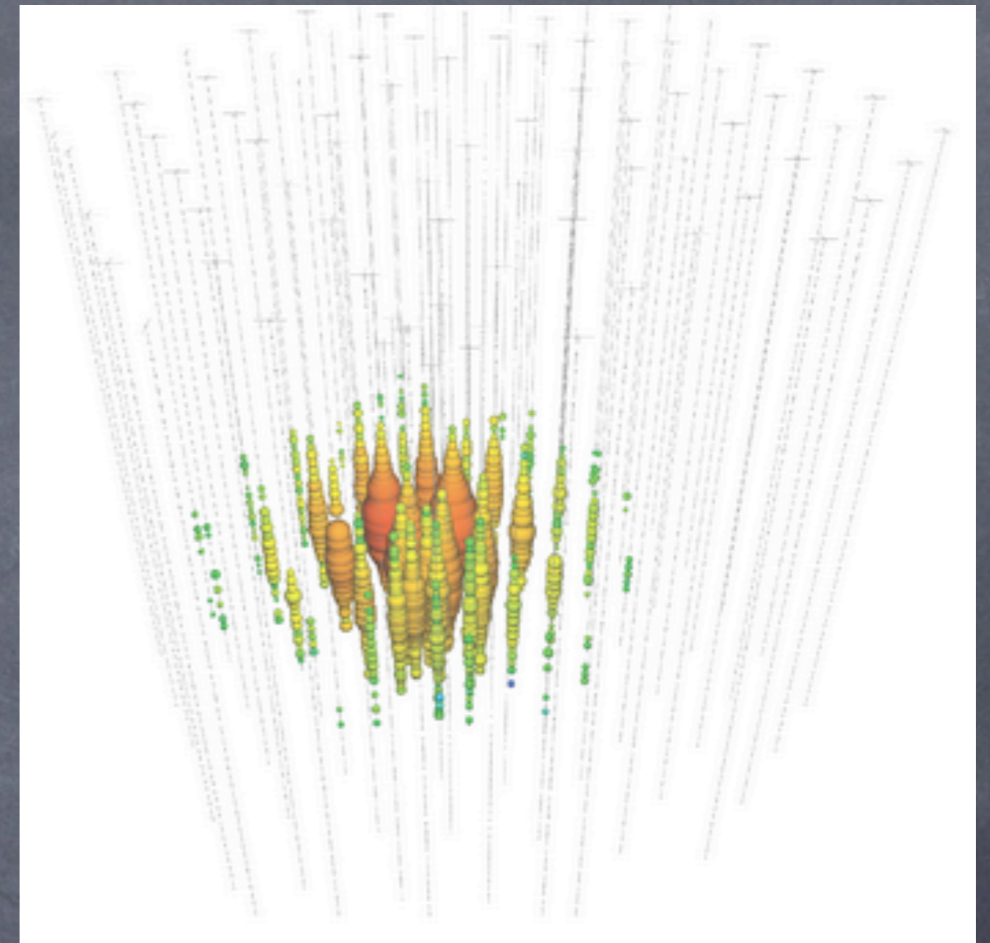
# TYPE OF EVENTS

## Muon tracks



CC  $\nu_{\mu}$  + 18% CC  $\nu_{\tau}$   
Good angular resolution

## Showers



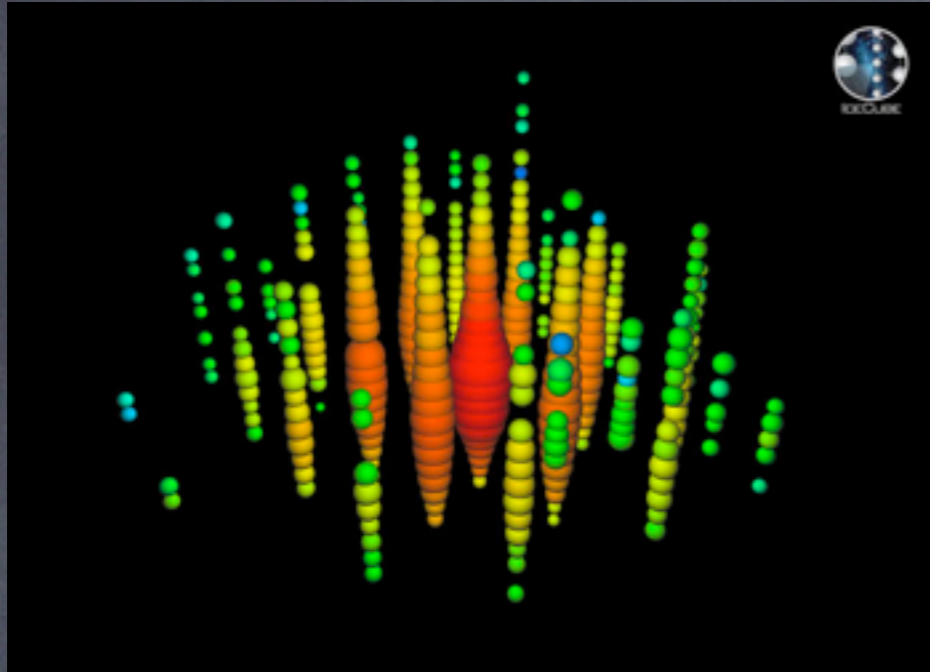
NC + CC  $\nu_e$  + 82% CC  $\nu_{\tau}$   
Poor angular resolution

Backgrounds: atmospheric muons and neutrinos



# THE FIRST PEV NEUTRINOS

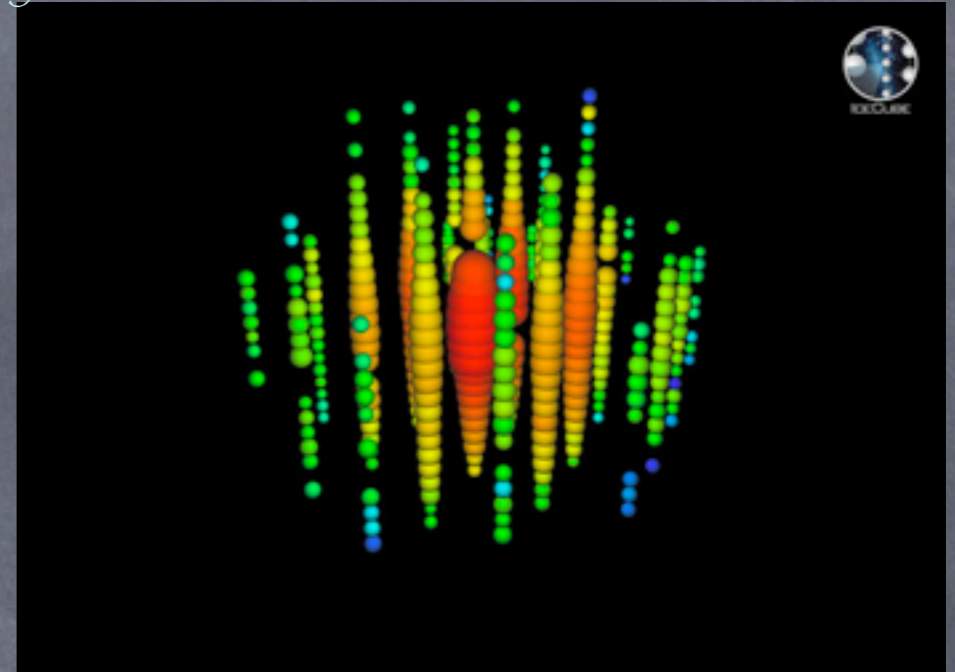
M. G. Aartsen et al. [IceCube Collaboration], Phys. Rev. Lett. 111:021103, 2013



January 3, 2012: 1.14 PeV

Ernie

(or Epi, Egas, Ernesto, Ênio, Ernest, Enrique, Erling, Yenik, Edi, Emil, Arik, Shadi, Anis...)



August 9, 2011: 1.04 PeV

Bert

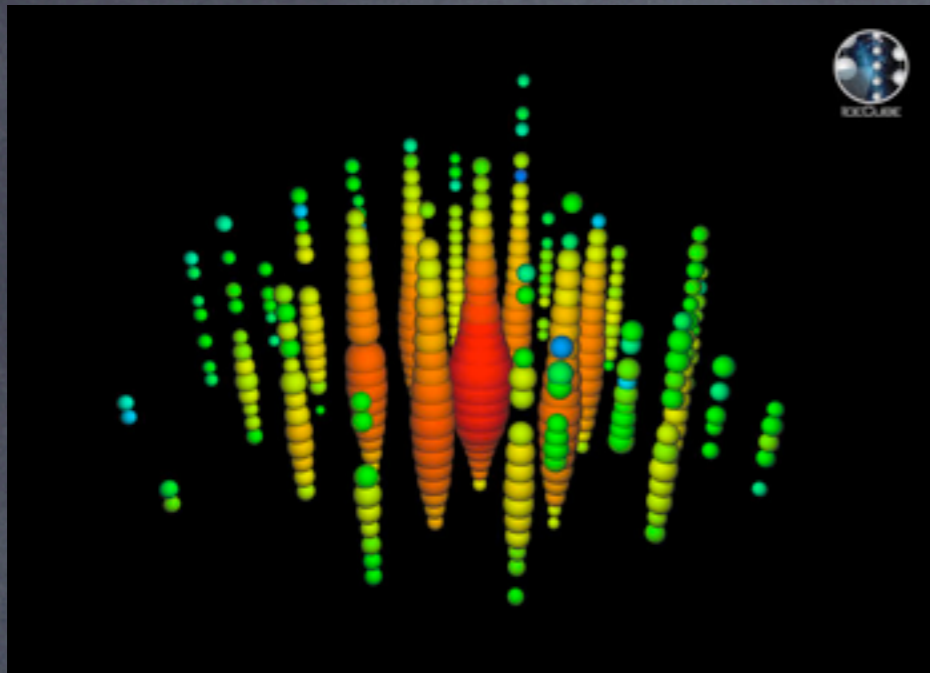
(or Blas, Becas, Berto, Beto, Bart, Bernt, Vlas, Büdü, Hubert, Bentz, Hadi, Badr...)





# THE FIRST PEV NEUTRINOS

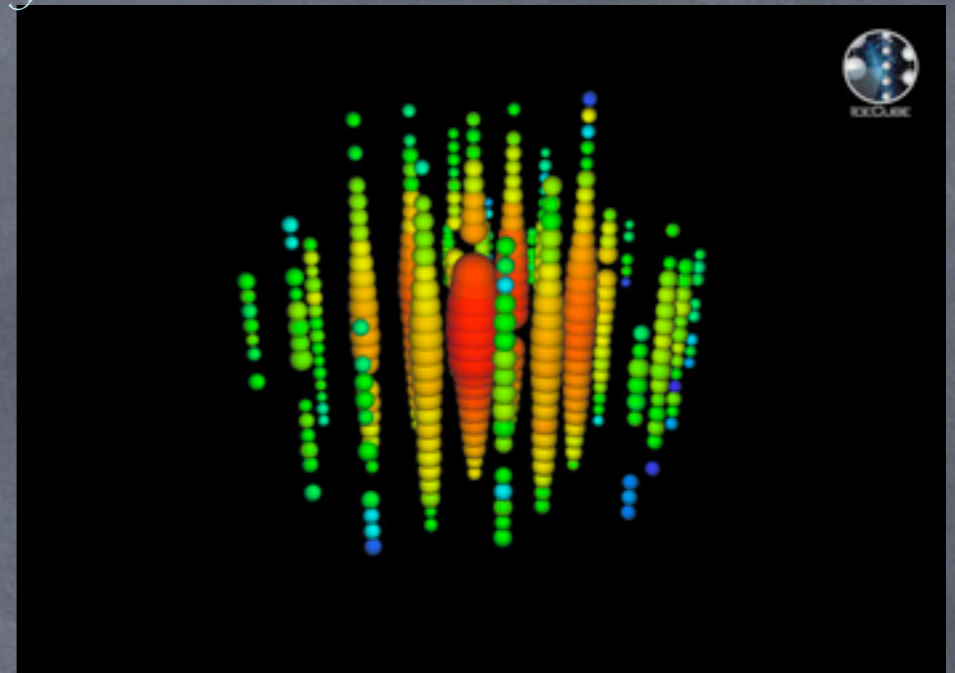
M. G. Aartsen et al. [IceCube Collaboration], Phys. Rev. Lett. 111:021103, 2013



January 3, 2012: 1.14 PeV

Ernie

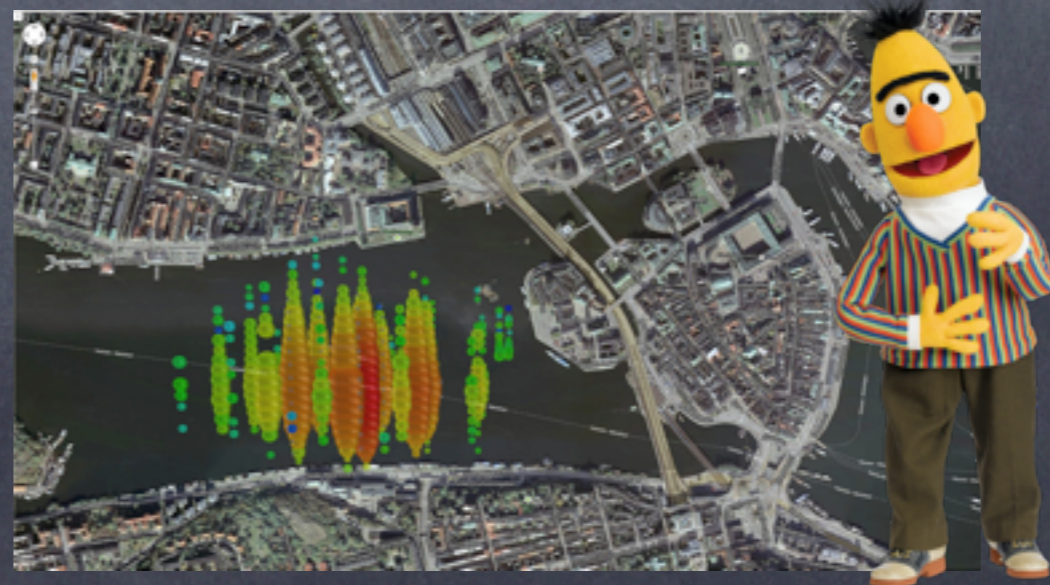
(or Epi, Egas, Ernesto, Ênio, Ernest, Enrique, Erling, Yenik, Edi, Emil, Arik, Shadi, Anis...)



August 9, 2011: 1.04 PeV

Bert

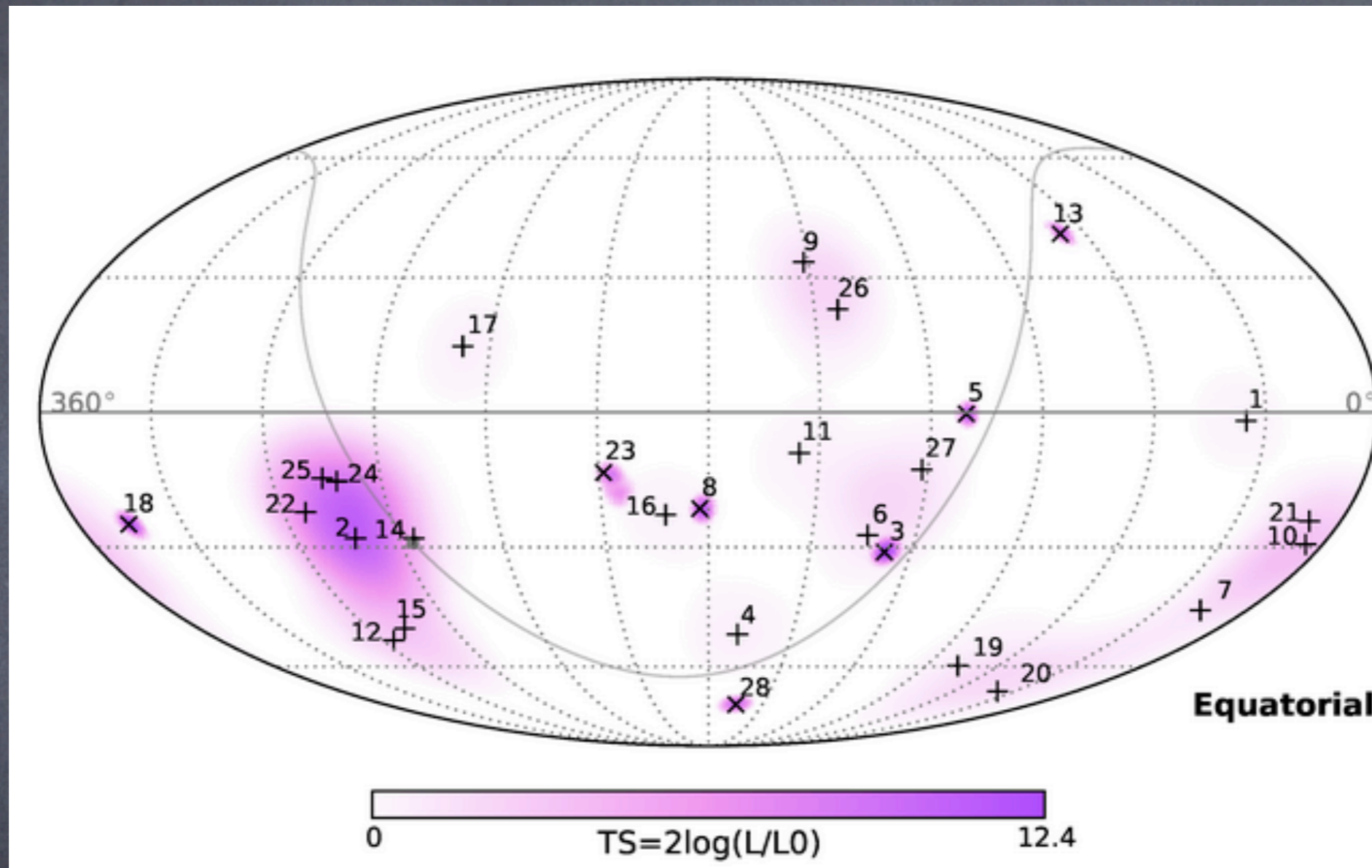
(or Blas, Becas, Berto, Beto, Bart, Bernt, Vlas, Büdü, Hubert, Bentz, Hadi, Badr...)





# +26 EVENTS ABOVE 30 TEV

M. G. Aartsen et al. [IceCube Collaboration], Science 342: 1242856, 2013



From May 2010 to May 2012:

**7 tracks + 21 showers**

**between 30 TeV and 2 PeV (deposited energy)**



**7 tracks : 21 showers**



# 7 tracks : 21 showers

What is the compatibility of that event ratio with different neutrino flavor ratios (assuming isotropy of the sources)?



flavor ratios at source:

$$(\alpha_e : \alpha_\mu : \alpha_\tau)$$

flavor ratios at Earth:

$$(\alpha_{e,\oplus} : \alpha_{\mu,\oplus} : \alpha_{\tau,\oplus})$$

$$\{\alpha_{j,\oplus}\} = \sum_k |U_{jk}|^2 |U_{ki}|^2 \{\alpha_i\}$$

Neutrino

$$N_a = \alpha_{e,\oplus} (N_{\nu_e}^{sh,CC} + N_{\nu_e}^{sh,NC}) + \alpha_{\mu,\oplus} (N_{\nu_\mu}^{tr} + N_{\nu_\mu}^{sh,NC}) + \alpha_{\tau,\oplus} (N_{\nu_\tau}^{tr} + N_{\nu_\tau}^{sh,CC} + N_{\nu_\tau}^{sh,NC})$$

$$p_a^{tr}(\{\alpha_{i,\oplus}\}) \equiv \text{fraction of astrophysical signal tracks} = \frac{\alpha_{\mu,\oplus} N_{\nu_\mu}^{tr} + \alpha_{\tau,\oplus} N_{\nu_\tau}^{tr}}{N_a}$$



# SHOWERS IN ICECUBE

## Neutral Current events : all flavors

deposited energy = hadronic shower energy

$$N_{\nu_i}^{sh,NC} = T \cdot N_A \int_{E_{min}}^{\infty} dE_{\nu} M^{NC}(E_{\nu}) Att_{\nu_i}(E_{\nu}) \phi(E_{\nu}) \int_{y_{min}}^{y_{max}} dy \frac{d\sigma^{NC}(E_{\nu}, y)}{dy}$$

time of observation:  
662 days

effective  
detector mass

attenuation/regeneration  
factor

$y = 1 - E'_{\nu} / E_{\nu}$   
 $E_{\nu} y = \text{shower energy}$

$$y_{min} = E_{min} / E_{\nu}$$

$$y_{max} = \min\{1, E_{max} / E_{\nu}\}$$

neutrino flux  
(taken as power-law)

DIS NC  
differential cross section



# SHOWERS IN ICECUBE

## Charged Current events : $\nu_e$

deposited energy = hadronic shower energy +  
electromagnetic shower energy =  
neutrino energy

$$N_{\nu_e}^{sh,CC} = T \cdot N_A \int_{E_{\min}}^{\infty} dE_\nu M_{\nu_e}^{CC}(E_\nu) Att_{\nu_e}(E_\nu) \phi(E_\nu) \int_0^1 dy \frac{d\sigma_{\nu_e}^{CC}(E_\nu, y)}{dy} \times \theta(E_{\max} - E_\nu)$$



# SHOWERS IN ICECUBE

## Charged Current events : $\nu_\tau$

deposited energy = hadronic shower energy + hadronic shower (from tau decay) energy

$$N_{\nu_\tau}^{sh,CC-had} = T \cdot N_A \int_{E_{min}}^{\infty} dE_\nu M_{\nu_\tau}^{CC}(E_\nu) Att_{\nu_\tau}(E_\nu) \phi(E_\nu) \int_0^1 dy \frac{d\sigma_{\nu_\tau}^{CC}(E_\nu, y)}{dy} \times \int_0^1 \frac{dn(\tau \rightarrow had)}{dz} \times \theta(E_\nu(y + (1-y)(1-z)) - E_{min}) \theta(E_{max} - E_\nu(y + (1-y)(1-z)))$$

spectrum of the daughter neutrino in hadronic tau decays

deposited energy = hadronic shower energy + electromagnetic shower (from tau decay) energy

$$N_{\nu_\tau}^{sh,CC-em} = T \cdot N_A \int_{E_{min}}^{\infty} dE_\nu M_{\nu_\tau}^{CC}(E_\nu) Att_{\nu_\tau}(E_\nu) \phi(E_\nu) \int_0^1 dy \frac{d\sigma_{\nu_\tau}^{CC}(E_\nu, y)}{dy} \times \int_0^1 \frac{dn(\tau \rightarrow e)}{dz} \times \theta(E_\nu(y + (1-y)z) - E_{min}) \theta(E_{max} - E_\nu(y + (1-y)z))$$

spectrum of the electron in tau decays



# CONTAINED TRACKS IN ICECUBE

## Contained Charged Current events : $\nu_\mu$

deposited energy\* = hadronic shower energy

$$N_{\nu_\mu}^{tr} = T \cdot N_A \int_{E_{\min}}^{\infty} dE_\nu M_{\nu_\mu}^{CC}(E_\nu) Att_{\nu_\mu}(E_\nu) \phi(E_\nu) \int_{y_{\min}}^{y_{\max}} dy \frac{d\sigma_{\nu_\mu}^{CC}(E_\nu, y)}{dy}$$

## Contained Charged Current events : $\nu_\tau$

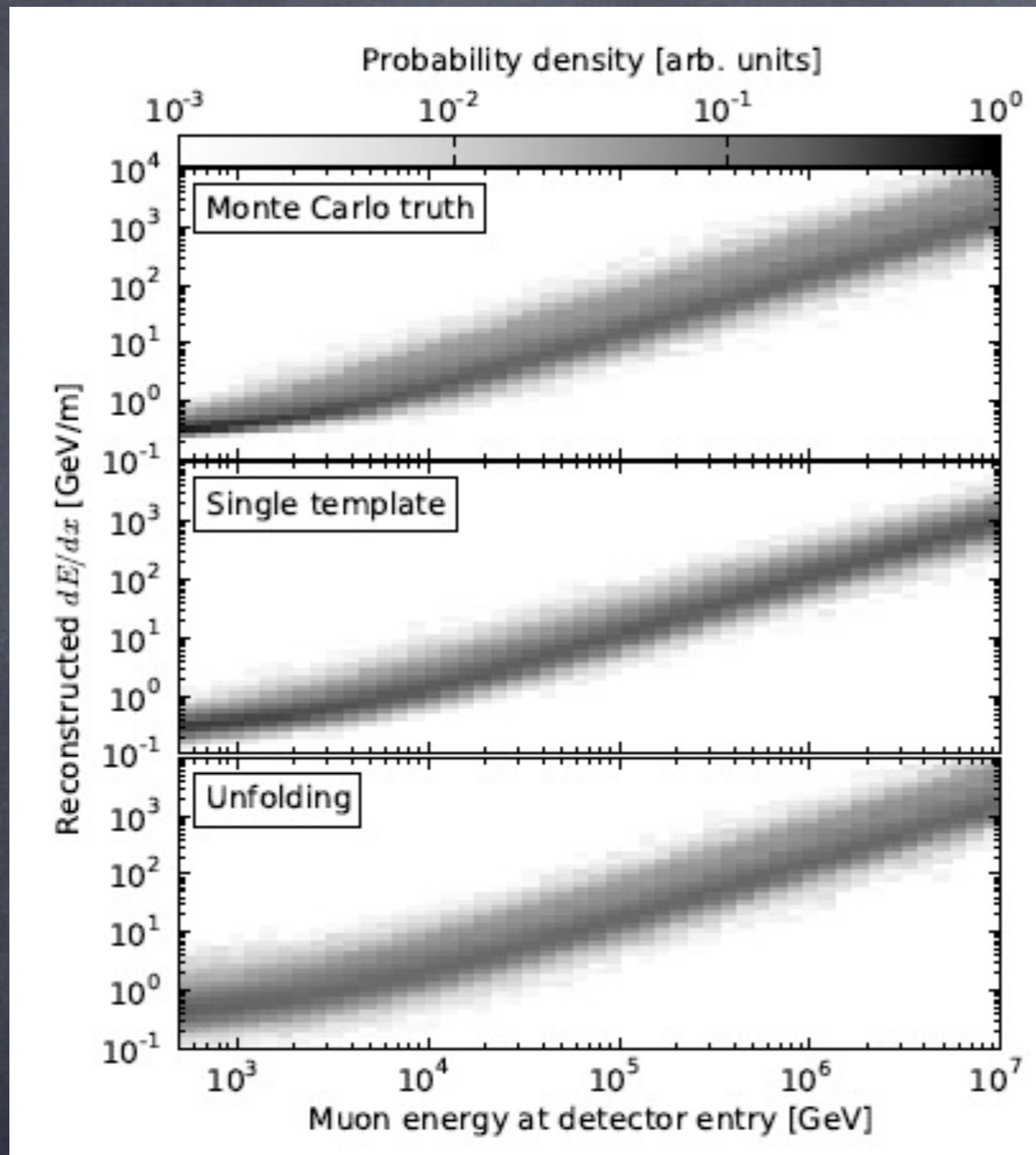
deposited energy\* = hadronic shower energy

$$N_{\nu_\tau}^{tr} = T \cdot N_A \int_{E_{\min}}^{\infty} dE_\nu M_{\nu_\tau}^{CC}(E_\nu) Att_{\nu_\tau}(E_\nu) \phi(E_\nu) \int_{y_{\min}}^{y_{\max}} dy \frac{d\sigma_{\nu_\tau}^{CC}(E_\nu, y)}{dy} \times Br(\tau \rightarrow \mu)$$

\* the deposited energy by the muon in the detector is neglected



\* the deposited energy by the muon in the detector is neglected:  
underestimation of the number tracks



the muon deposits little energy in the detector

$$\frac{E_f}{E_i} \simeq e^{-d/(10\text{km})} \geq 0.9$$

$$\Delta E \leq 0.1 E_i$$

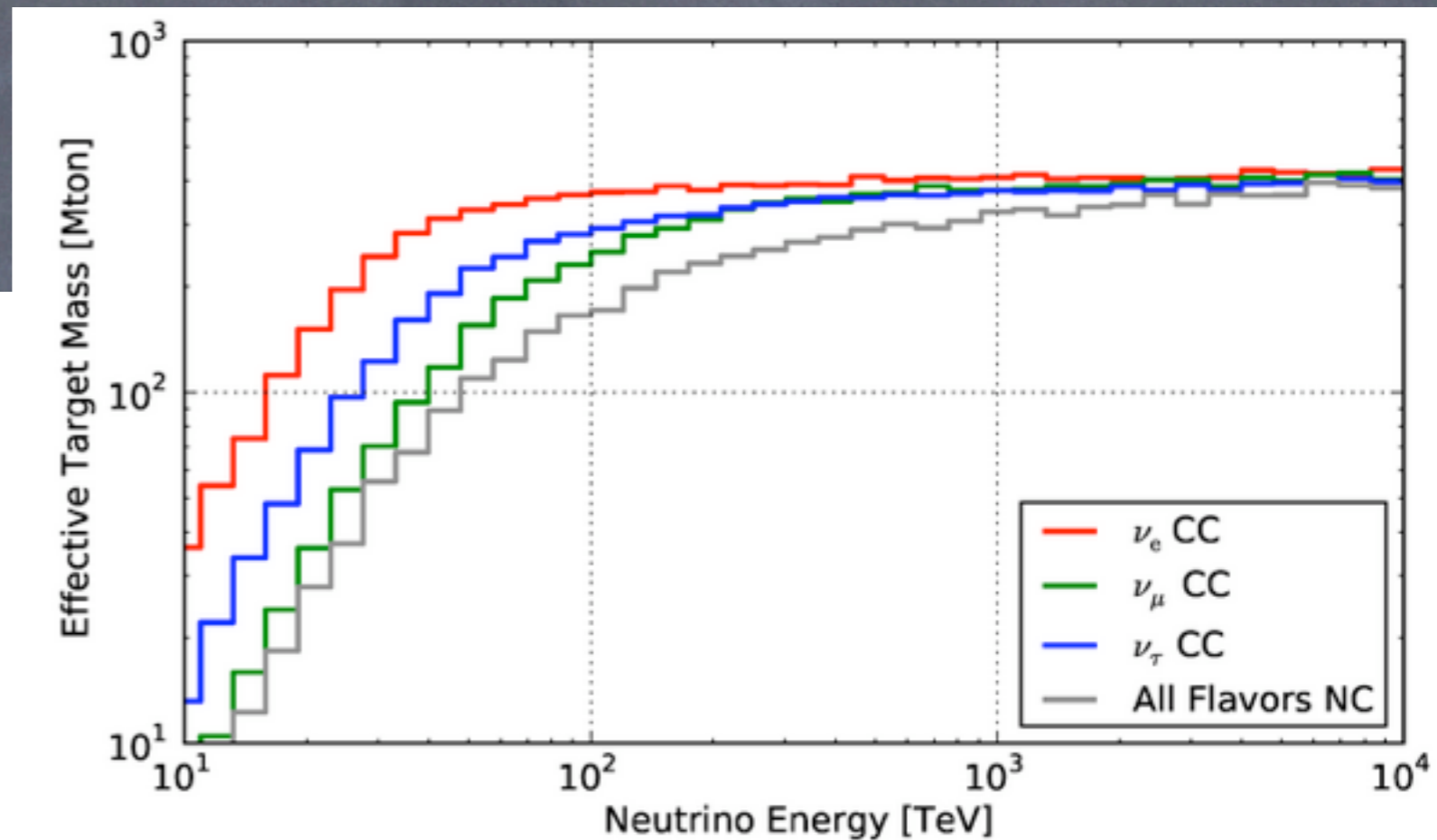
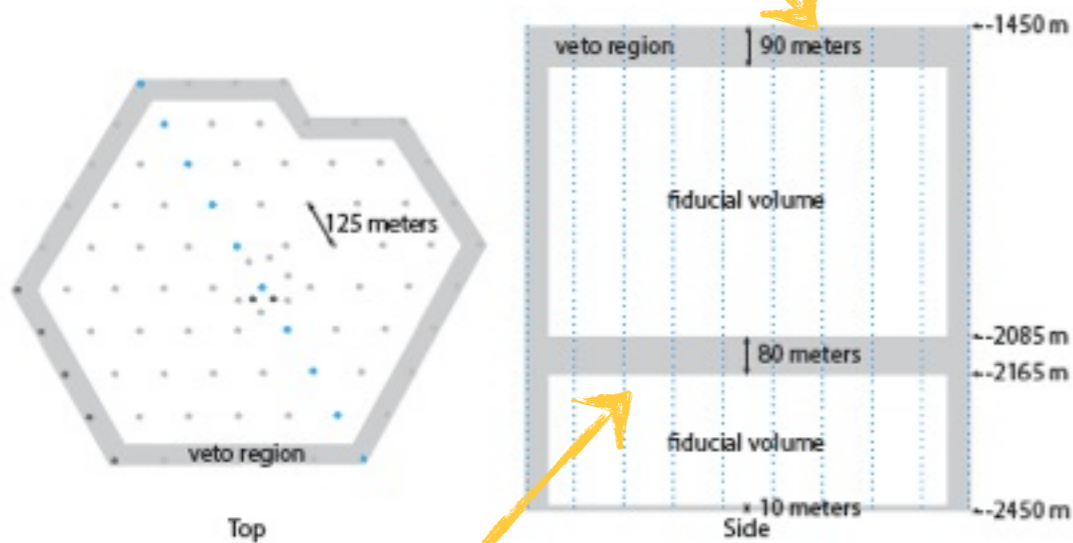
M. G. Aartsen et al. [IceCube Collaboration], JINST 9:P03009, 2014



# EFFECTIVE MASSES

~400 Mton effective target mass

Rejection of atmospheric muons

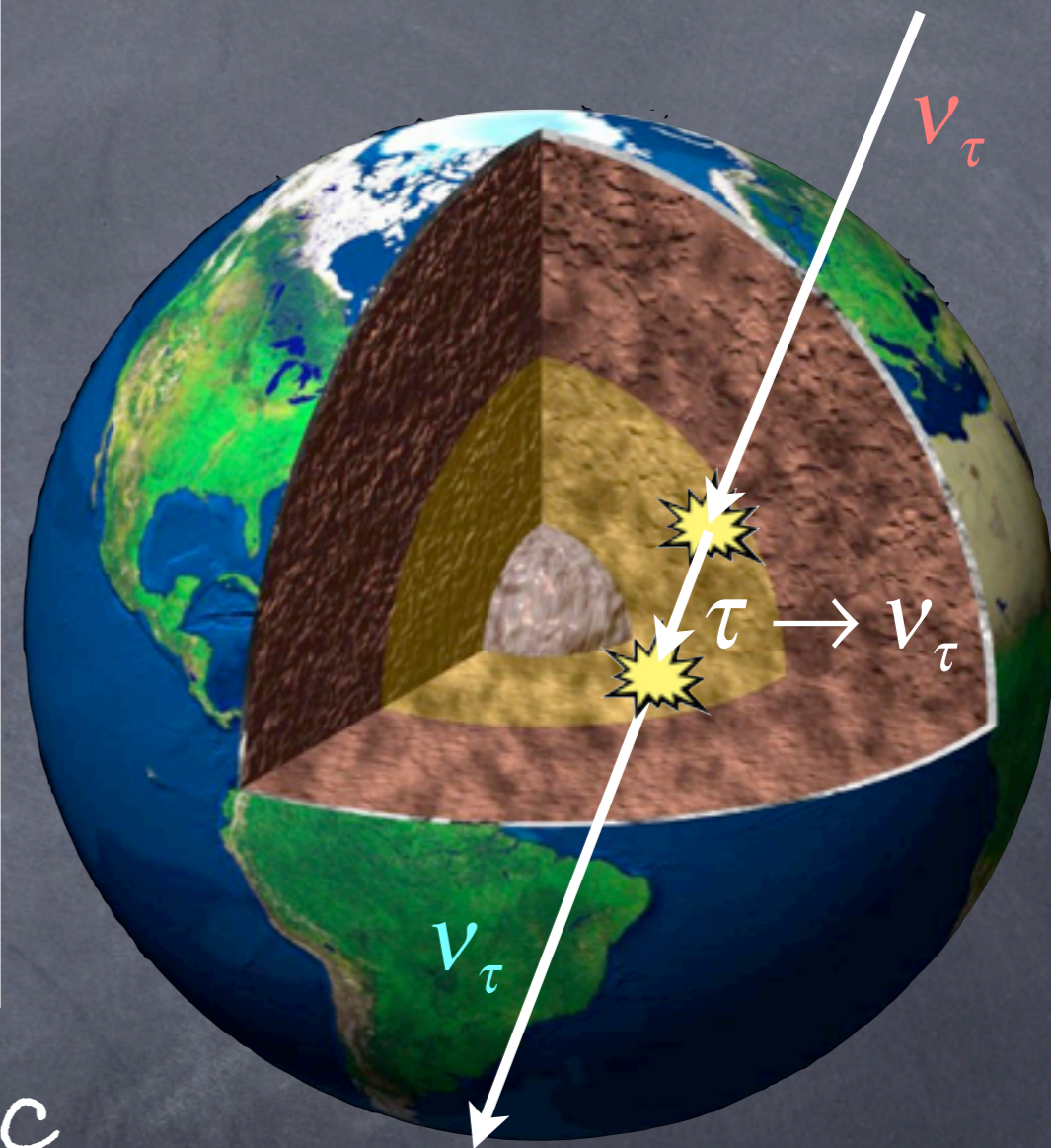
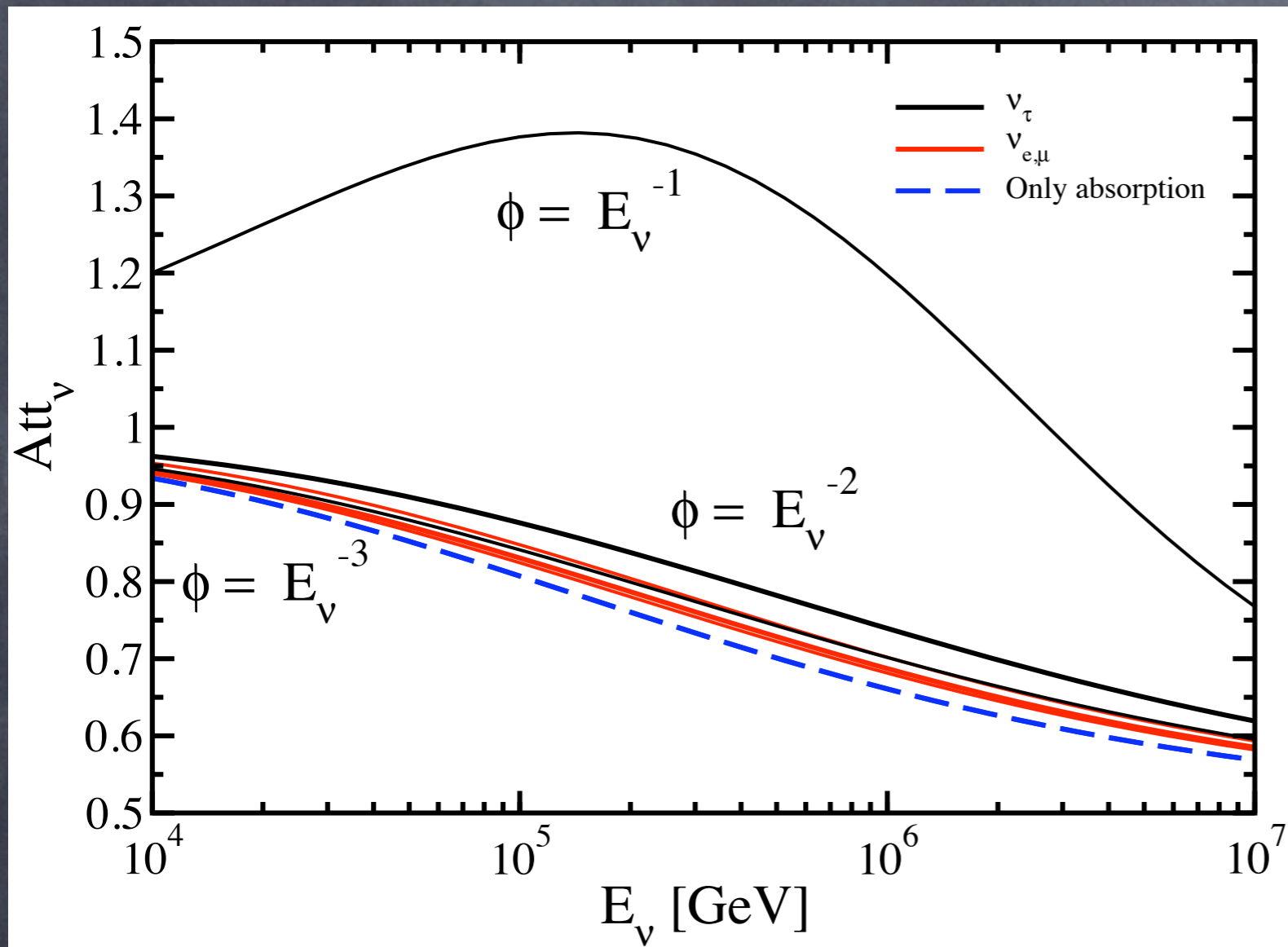


M. G. Aartsen et al. [IceCube Collaboration], Science 342: 1242856, 2013

High dust concentration



# ATTENUATION/REGENERATION FACTORS



attenuation, redistribution due to NC  
and regeneration due to tau decays

V. A. Naumov and L. Perrone, *Astropart. Phys.* 10:239, 1999

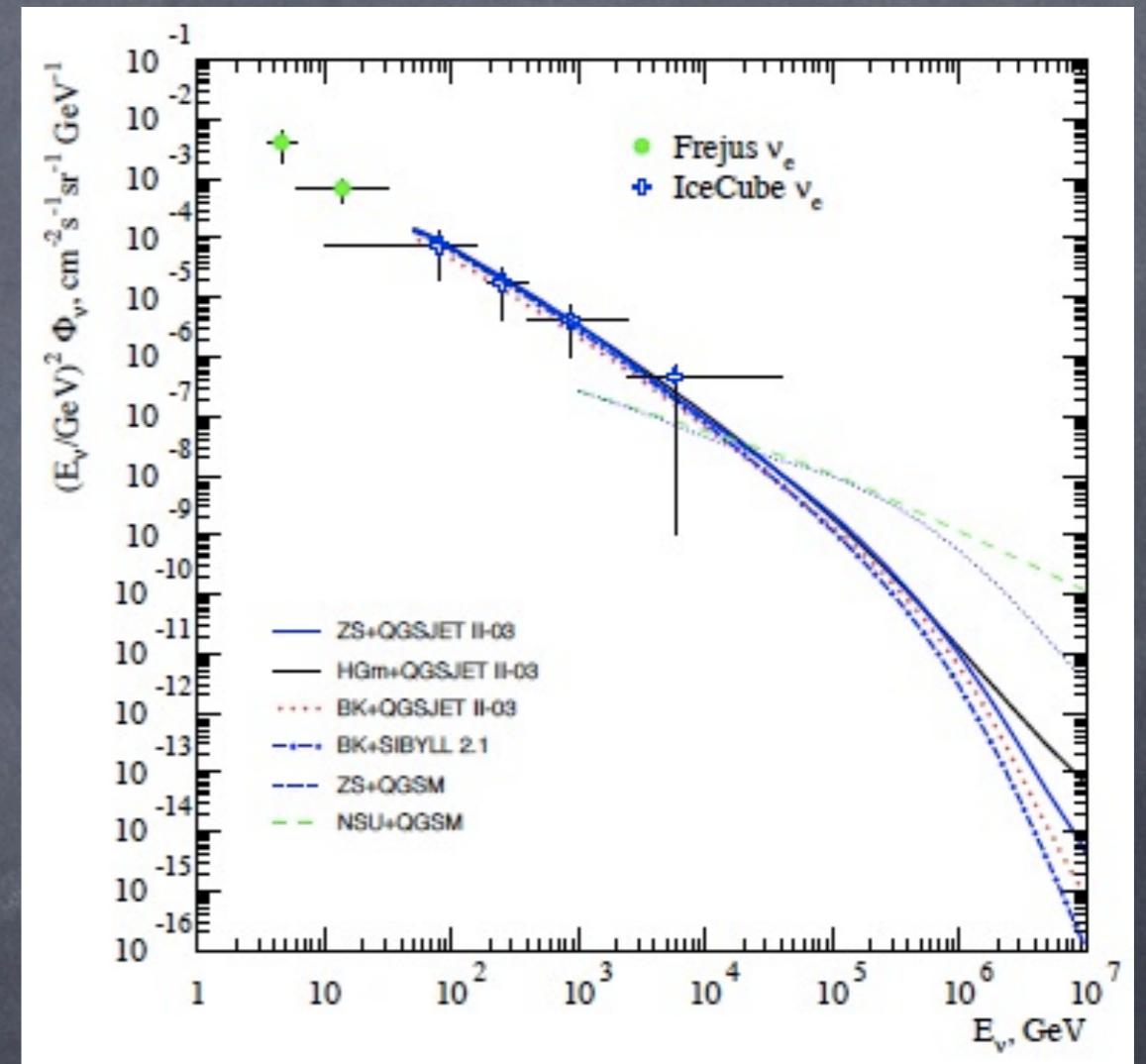
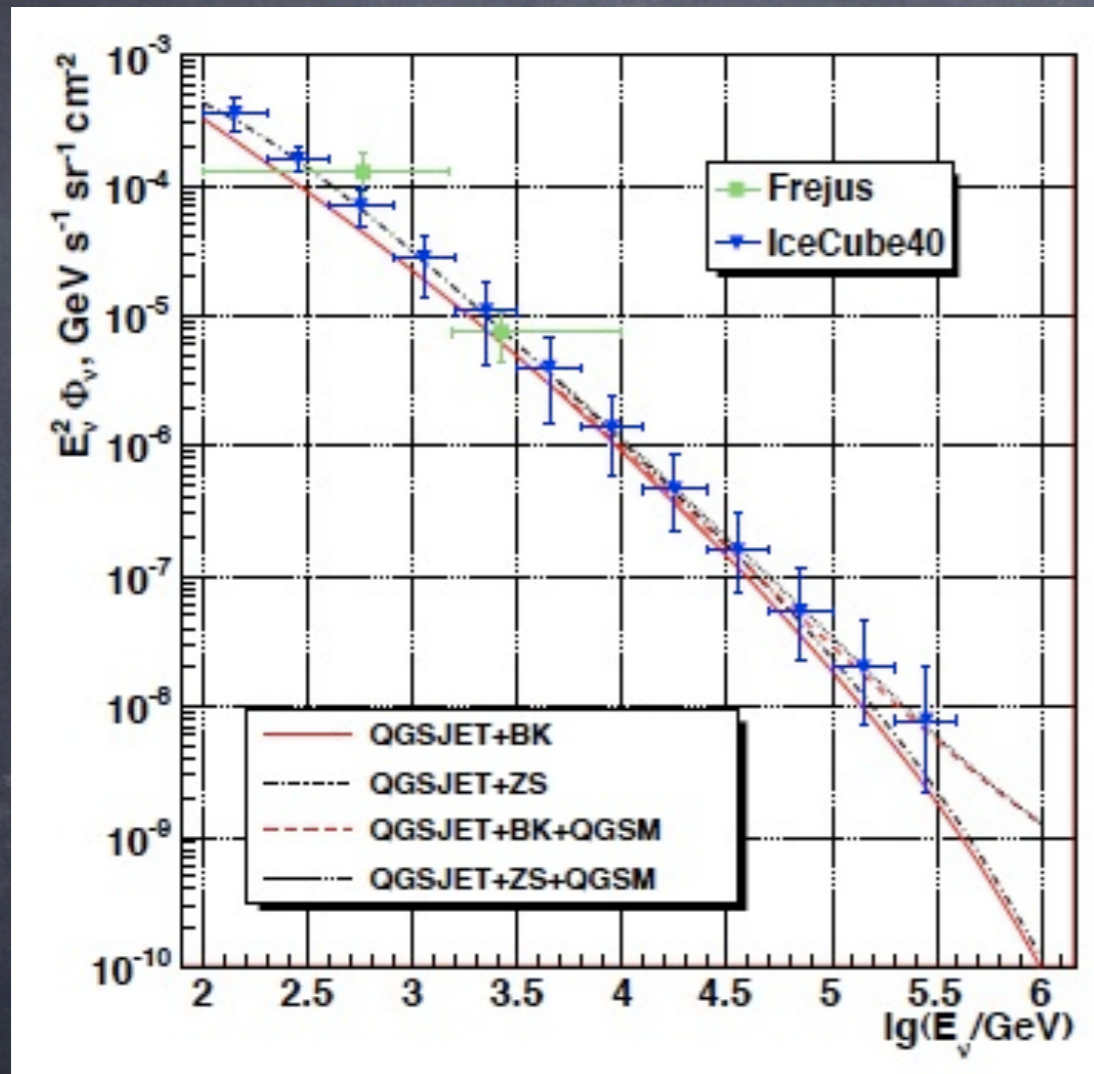
S. Iyer, M. H. Reno and I. Sarcevic, *Phys. Rev. D* 61:053003, 2000

S. Rakshit and E. Reya, *Phys. Rev. D* 74:103006, 2006



# ATMOSPHERIC NEUTRINO BACKGROUND

We use them to compute the proportion of tracks and showers  
but the number of background events is fixed



T. S. Sinigovskaya, E. V. Ogorodnikova, S. I. Sinigovsky, arXiv:1306.5907



# STATISTICAL ANALYSIS

$$\mathcal{L}(\{\alpha_{i,\oplus}\}, N_a | N_{tr}, N_{sh}) = e^{-(p_a^{tr} N_a + b_\mu + p_v^{tr})} \frac{(p_a^{tr} N_a + b_\mu + p_v^{tr} b_v)^{N_{tr}}}{N_{tr}!} \times e^{-(p_a^{sh} N_a + p_v^{sh})} \frac{(p_a^{sh} N_a + p_v^{sh} b_v)^{N_{sh}}}{N_{sh}!}$$

$b_\mu \equiv$  atmospheric muon background = **6**

$b_v \equiv$  atmospheric neutrino background = **4.6** ( $p_v^{tr} = 0.51$ )

$N_{tr} \equiv$  number of observed tracks = **7**

$N_{sh} \equiv$  number of observed showers = **21**

We maximize  $\mathcal{L}$  with respect to  $N_a$  and define the test statistic:

Exact definition of p-value:  
no need to approximate it  
with the  $\chi^2$  result

$$\lambda(N_{tr}, N_{sh} | \{\alpha_{i,\oplus}\}) = -2 \ln \left( \frac{\mathcal{L}_p(\{\alpha_{i,\oplus}\} | N_{tr}, N_{sh})}{\mathcal{L}_p(\{\alpha_{i,\oplus}\}_{\max} | N_{tr}, N_{sh})} \right)$$

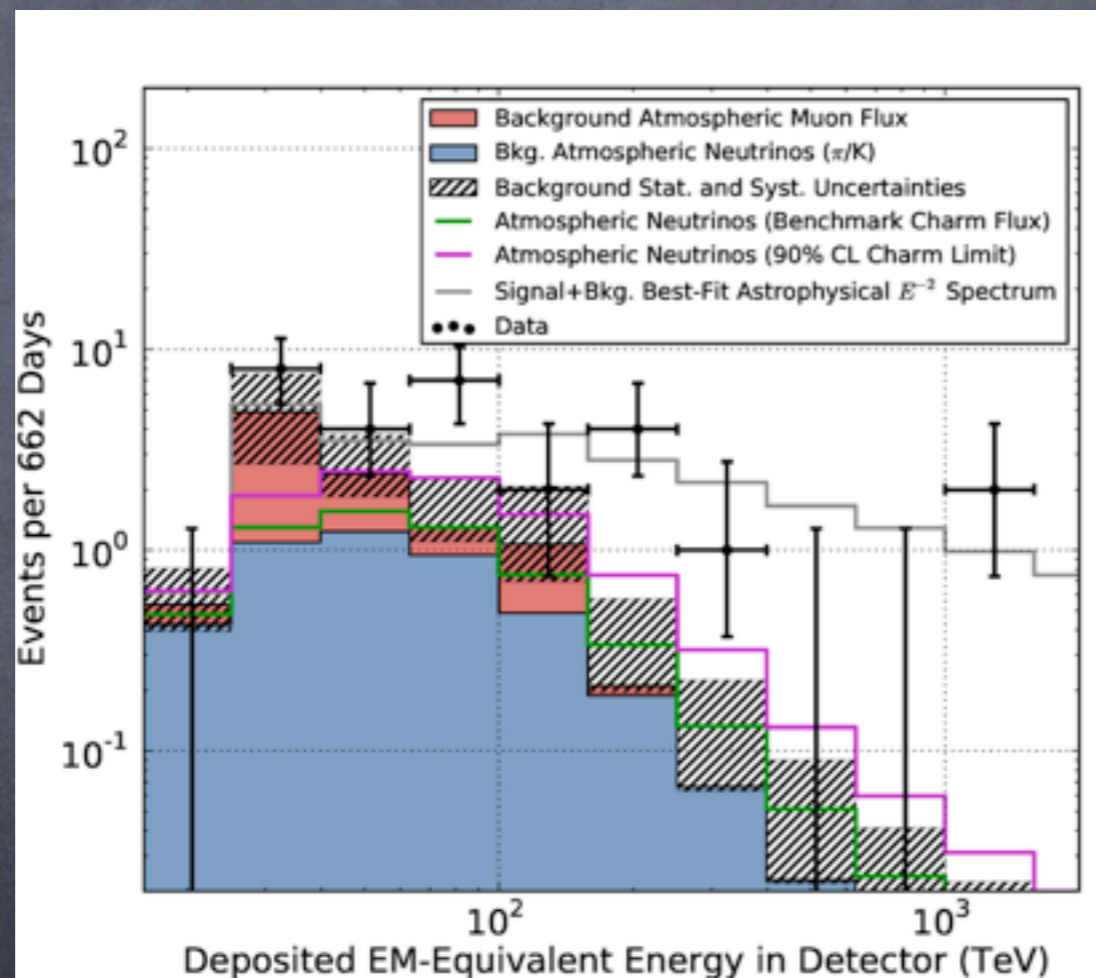
$$p(\{\alpha_{i,\oplus}\}) = \sum_{N_{tr}, N_{sh}} P(N_{tr}, N_{sh} | \{\alpha_{i,\oplus}\}) \quad ; \quad P(N_{tr}, N_{sh} | \{\alpha_{i,\oplus}\}) \equiv \mathcal{L}_p(\{\alpha_{i,\oplus}\} | N_{tr}, N_{sh})$$

$$\forall \lambda(N_{tr}, N_{sh} | \{\alpha_{i,\oplus}\}) > \lambda(N_{tr} = 7, N_{sh} = 21 | \{\alpha_{i,\oplus}\})$$



# 7 tracks : 21 showers

What is the compatibility of that event ratio with different neutrino flavor ratios (assuming isotropy of the sources)?



Good fit for a  $E^{-2}$  spectrum

M. G. Aartsen et al. [IceCube Collaboration], Science 342: 1242856, 2013

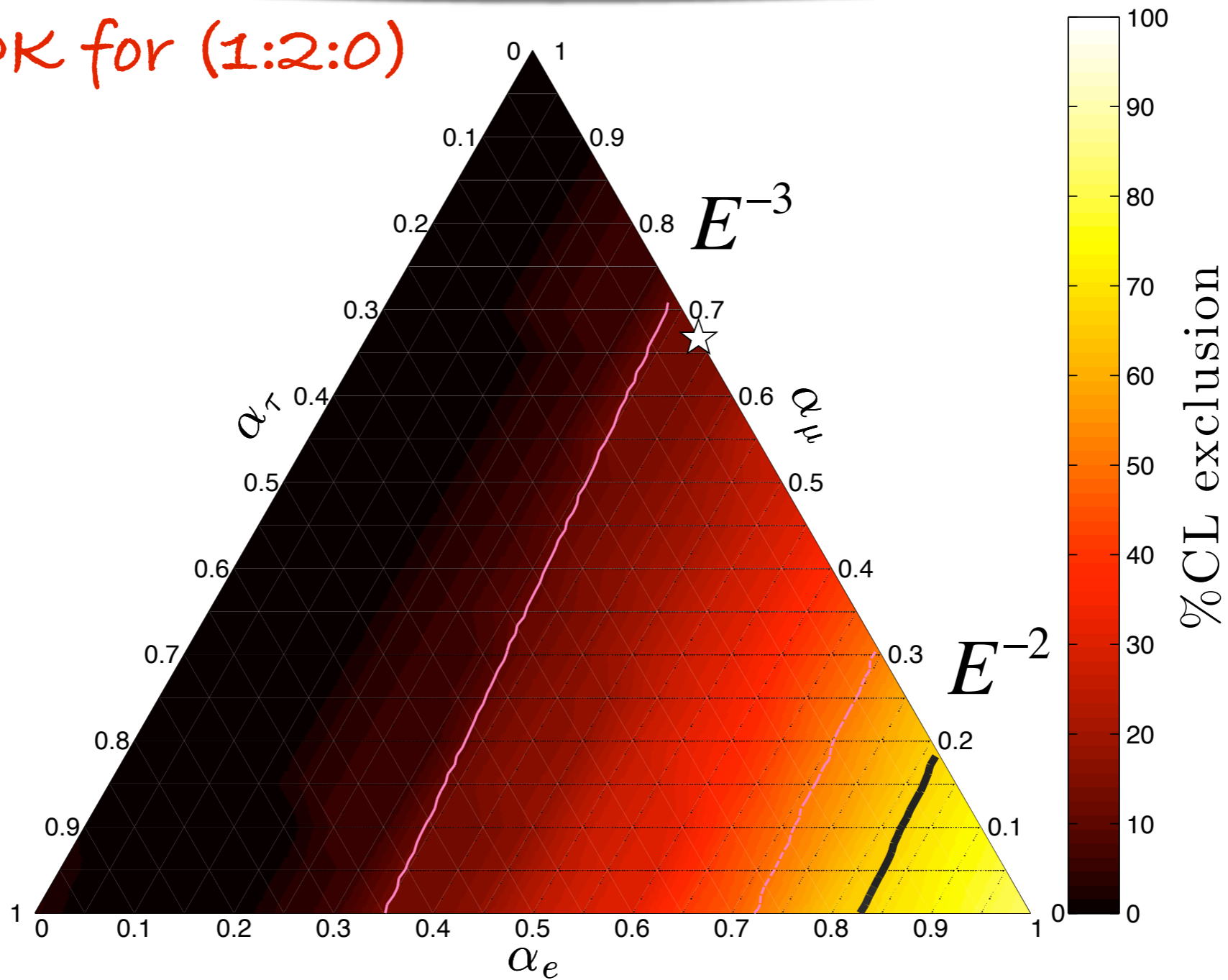
For (1 : 1 : 1) and  $E^{-2}$  : ~ 20% tracks and ~ 80% showers



# NO BACKGROUND?

Flavor ratios with averaged oscillations

OK for (1:2:0)



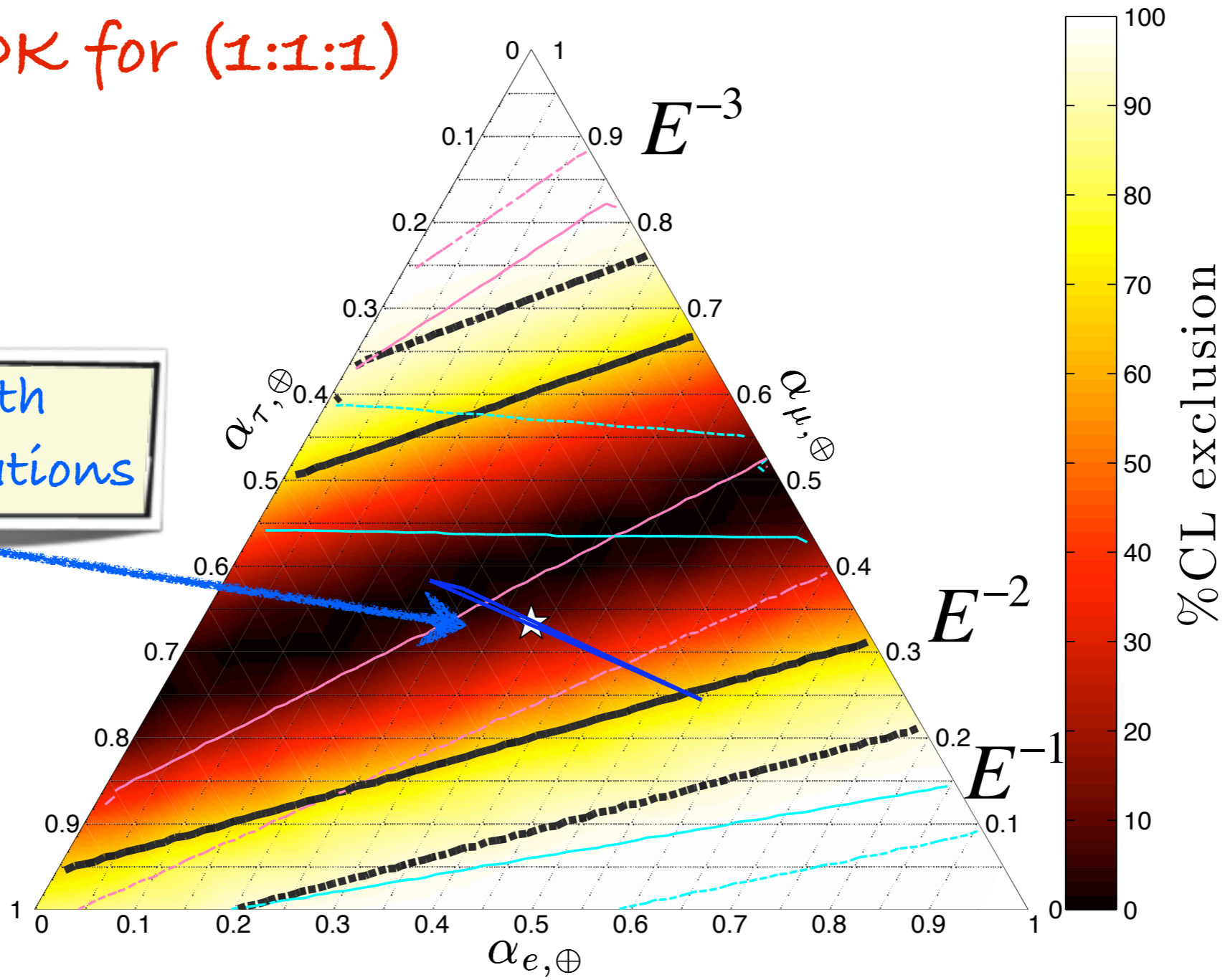
Color code for  $E^{-2}$



# NO BACKGROUND?

OK for (1:1:1)

Flavor ratios with averaged oscillations



Color code for  $E^{-2}$



# BUT THERE IS BACKGROUND...

observed  $\rightarrow$  7 tracks : 21 showers

background  $\rightarrow$  8.3 tracks : 2.3 showers



# BUT THERE IS BACKGROUND...

observed  $\rightarrow$  7 tracks : 21 showers

background  $\rightarrow$  8.3 tracks : 2.3 showers

astrophysical =

observed - background



$$\text{astrophysical tracks} = 7 - 8.3 = 0$$



**BUT THERE IS BACKGROUND...**

observed  $\rightarrow$  7 tracks : 21 showers

background  $\rightarrow$  8.3 tracks : 2.3 showers

astrophysical =

observed - background



$$\text{astrophysical tracks} = 7 - 8.3 = 0$$

**Only showers in the astrophysical signal!**

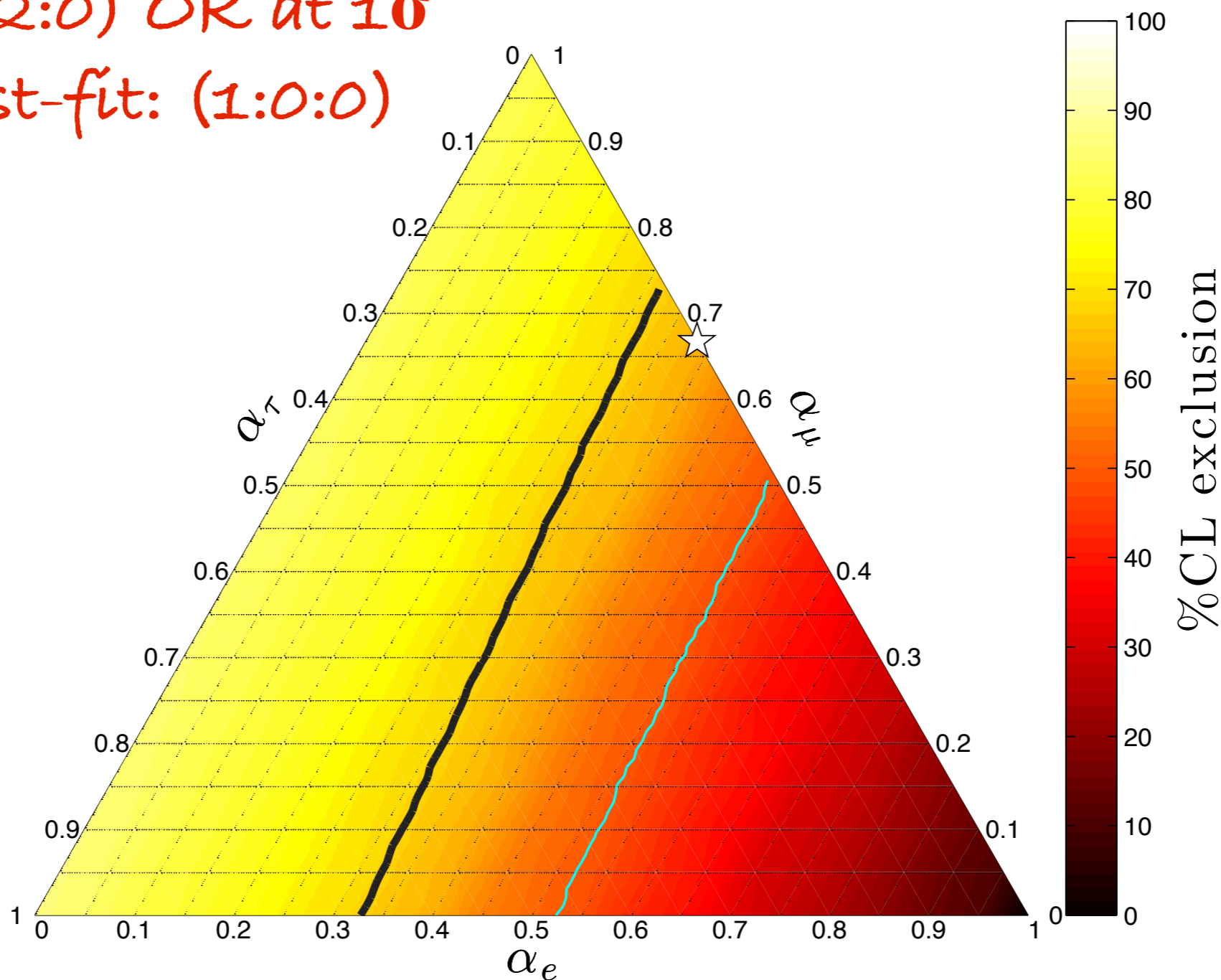


# 2-YEAR RESULTS

Flavor ratios with averaged oscillations

For  $E^{-2}$

(1:2:0) OK at  $1\sigma$   
Best-fit: (1:0:0)



O. Mena, SPR and A. C. Vincent, arXiv:1404.0017v2

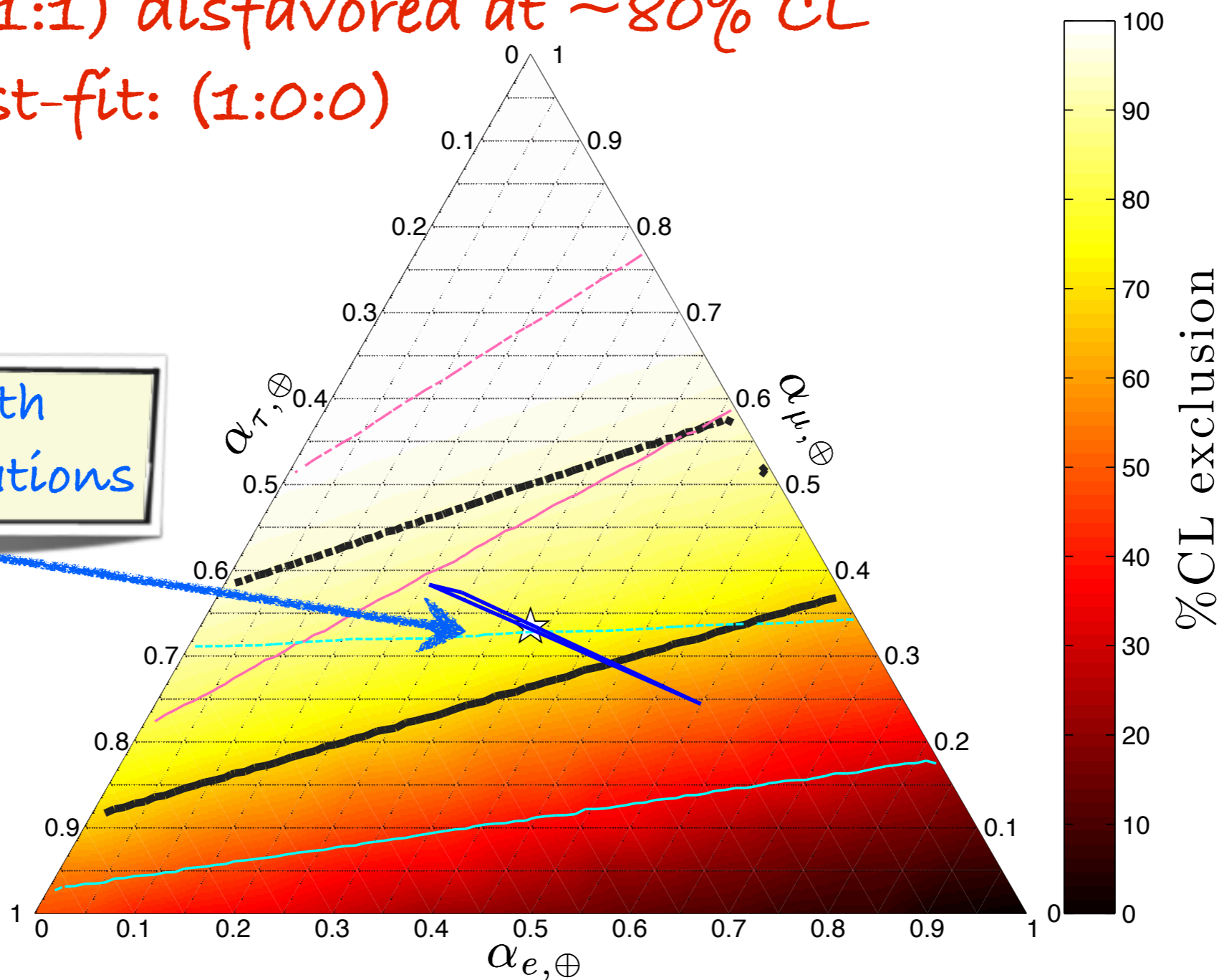


# 2-YEAR RESULTS

For  $E^{-2}$

(1:1:1) disfavored at  $\sim 80\%$  CL  
Best-fit: (1:0:0)

Flavor ratios with averaged oscillations



O. Mena, SPR and A. C. Vincent, arXiv:1404.0017v2

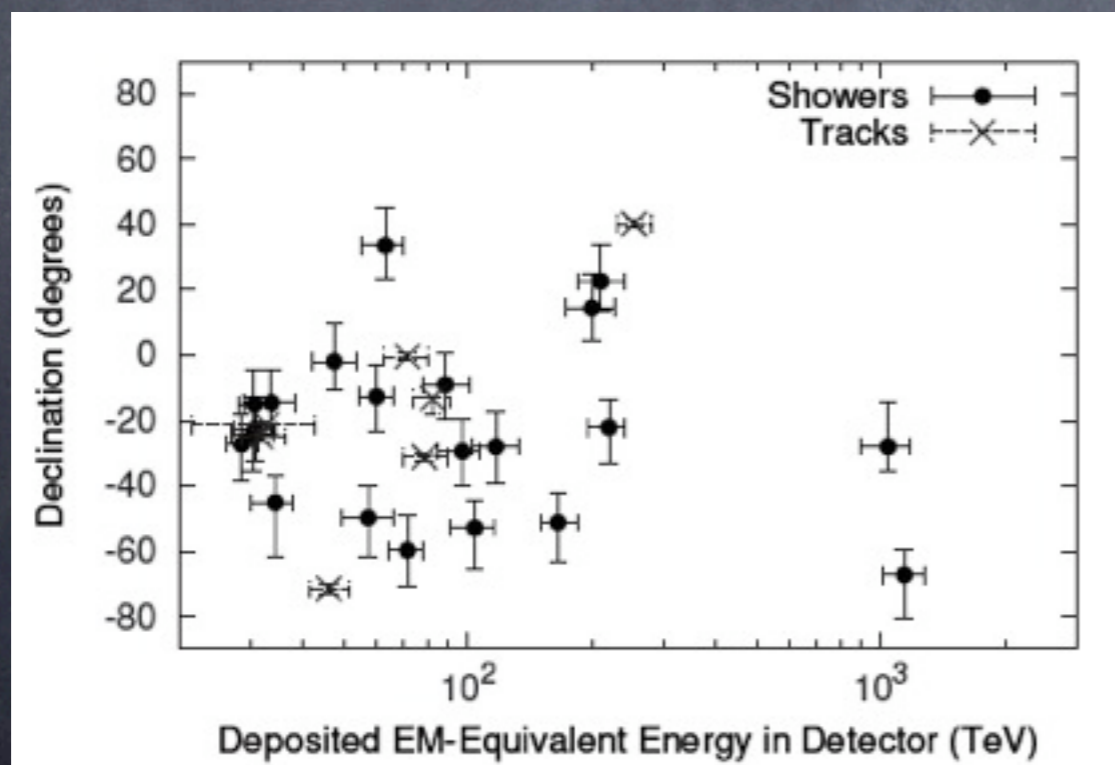


# PRELIMINARY 3-YEAR DATA

2-year data: May 2010 - May 2012

Observed: 7 tracks + 21 showers

Estimated background :  $4.6_{-1.2}^{+3.7}$  atm.  $\nu$  +  $6 \pm 3.4$  atm.  $\mu$





# PRELIMINARY 3-YEAR DATA

2-year data: May 2010 - May 2012

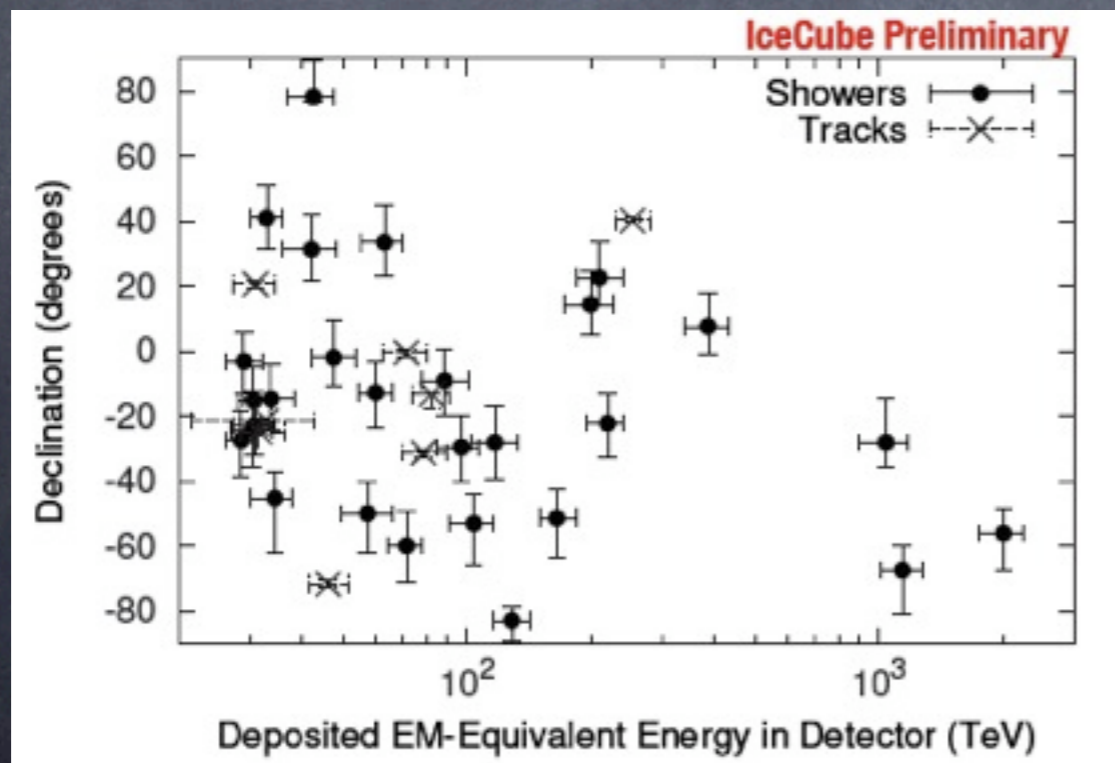
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Estimated background :  $4.6_{-1.2}^{+3.7}$  atm.  $\nu$  +  $6 \pm 3.4$  atm.  $\mu$

3-year data: May 2010 - May 2013

Observed: 9 tracks + 28 showers

Estimated background :  $6.6_{-1.6}^{+5.9}$  atm.  $\nu$  +  $8.4 \pm 4.2$  atm.  $\mu$



2 extra tracks  
7 extra showers

C. Kopper, talk at Moriond 2014



# PRELIMINARY 3-YEAR DATA

2-year data: May 2010 - May 2012

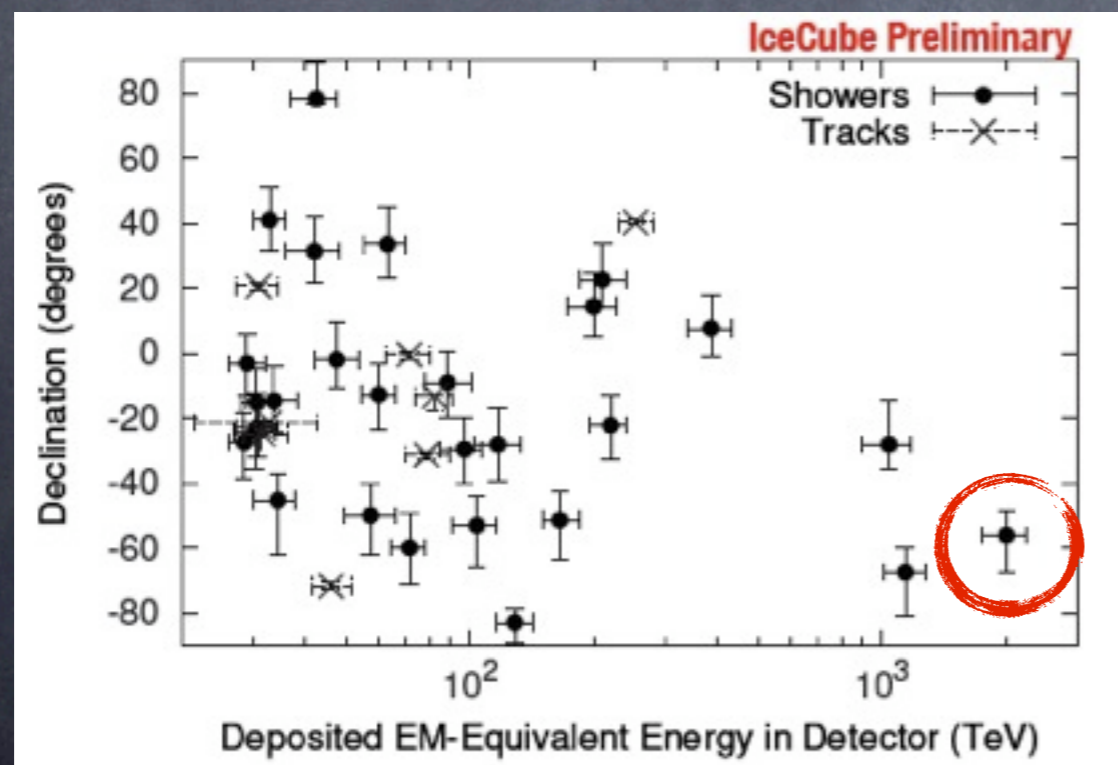
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Observed: 9 tracks + 28 showers

Estimated background :  $6.6^{+5.9}_{-1.6}$  atm.  $\nu$  +  $8.4 \pm 4.2$  atm.  $\mu$



2 extra tracks  
7 extra showers

another record breaker

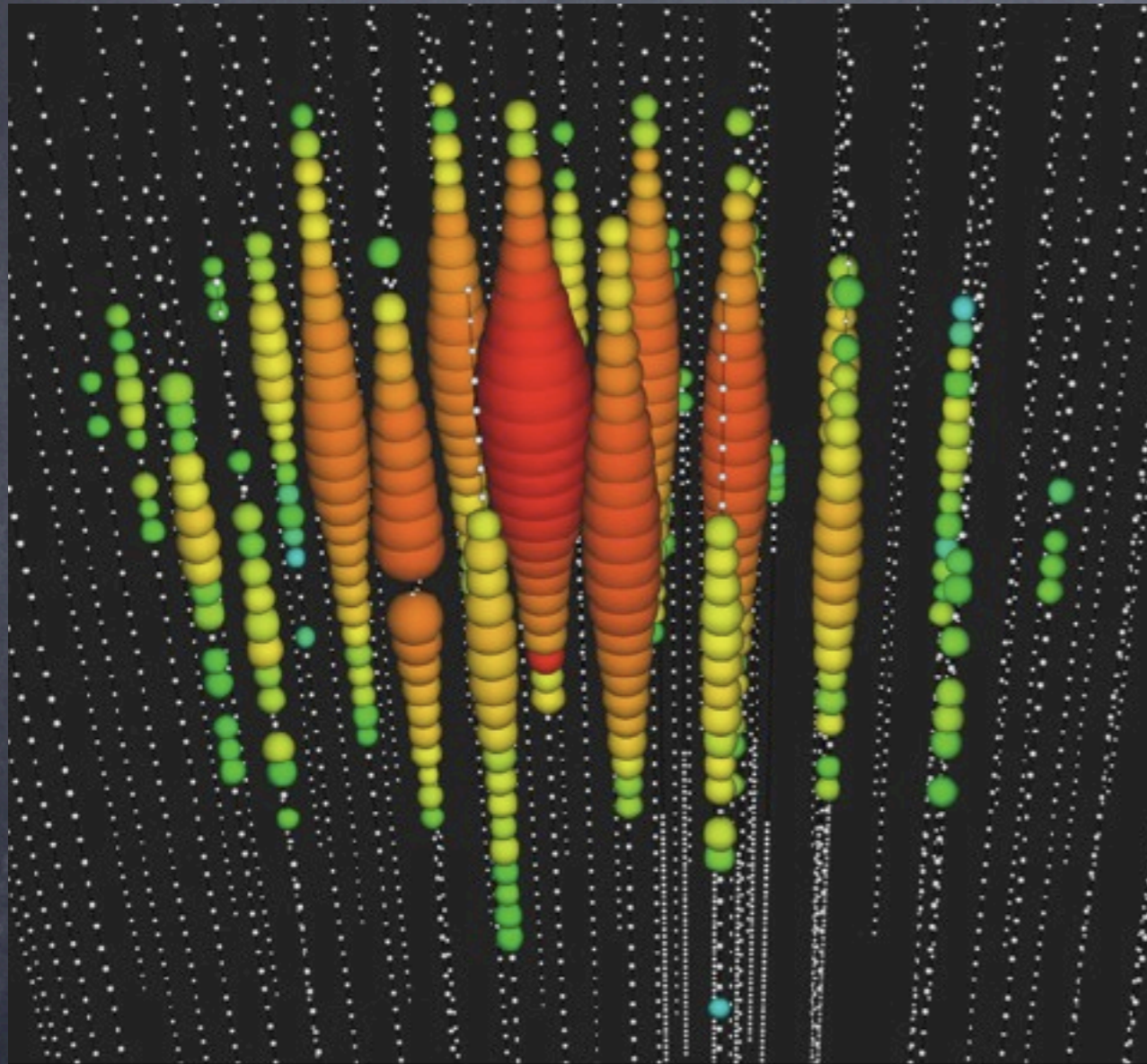
C. Kopper, talk at Moriond 2014



# THE 2 PEV NEUTRINO

## Big Bird

(or Caponata, Paco Pico, Poupas Amarelo, Montoya, Bibo, Garibaldo, Neef Jan, Minik Kuş, Da Niao, Velika Ptica, Store Pip, Wielki Ptak, Kippi ben Kippod...)

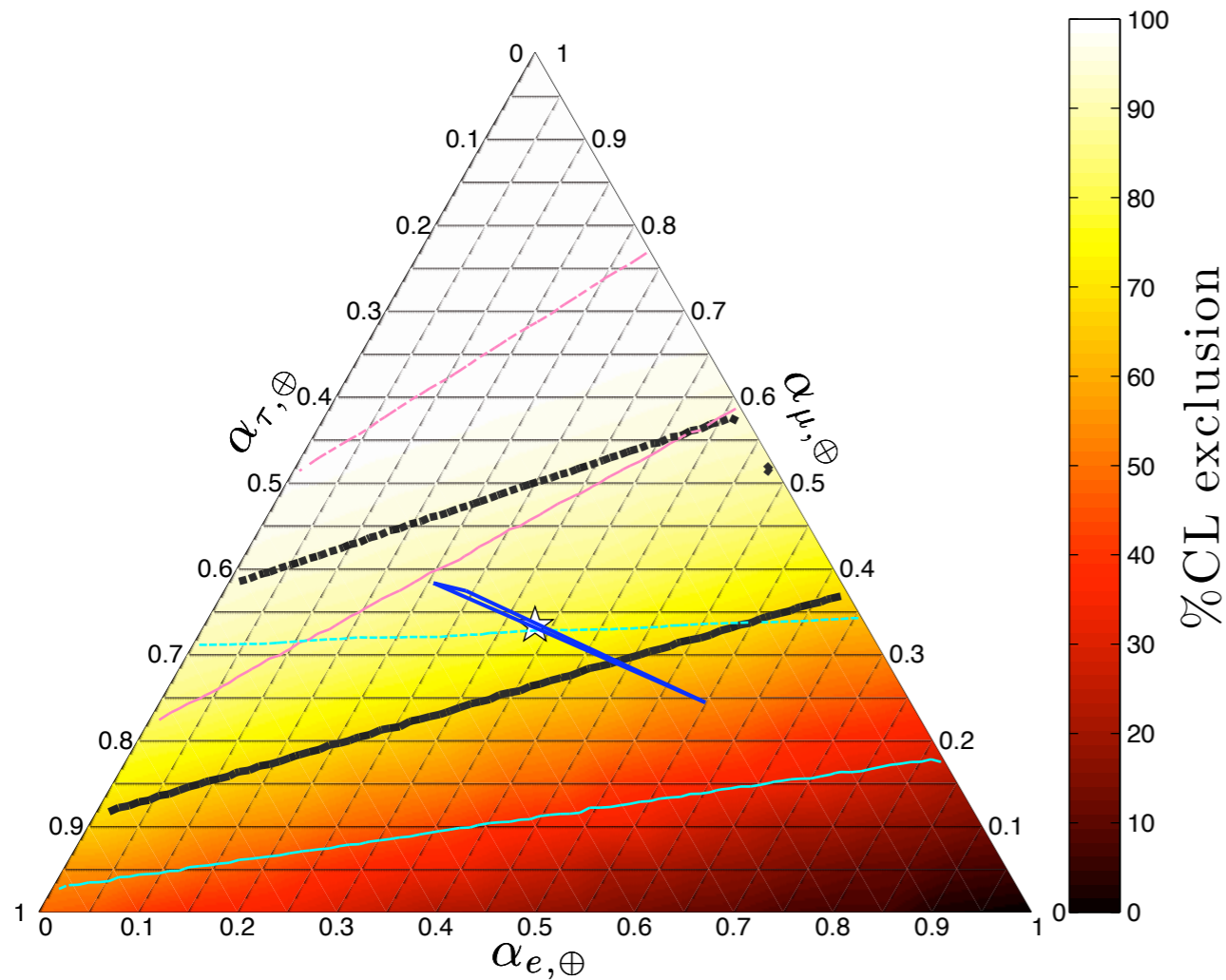


C. Kopper, talk at Moriond 2014





# 2-YEAR RESULTS

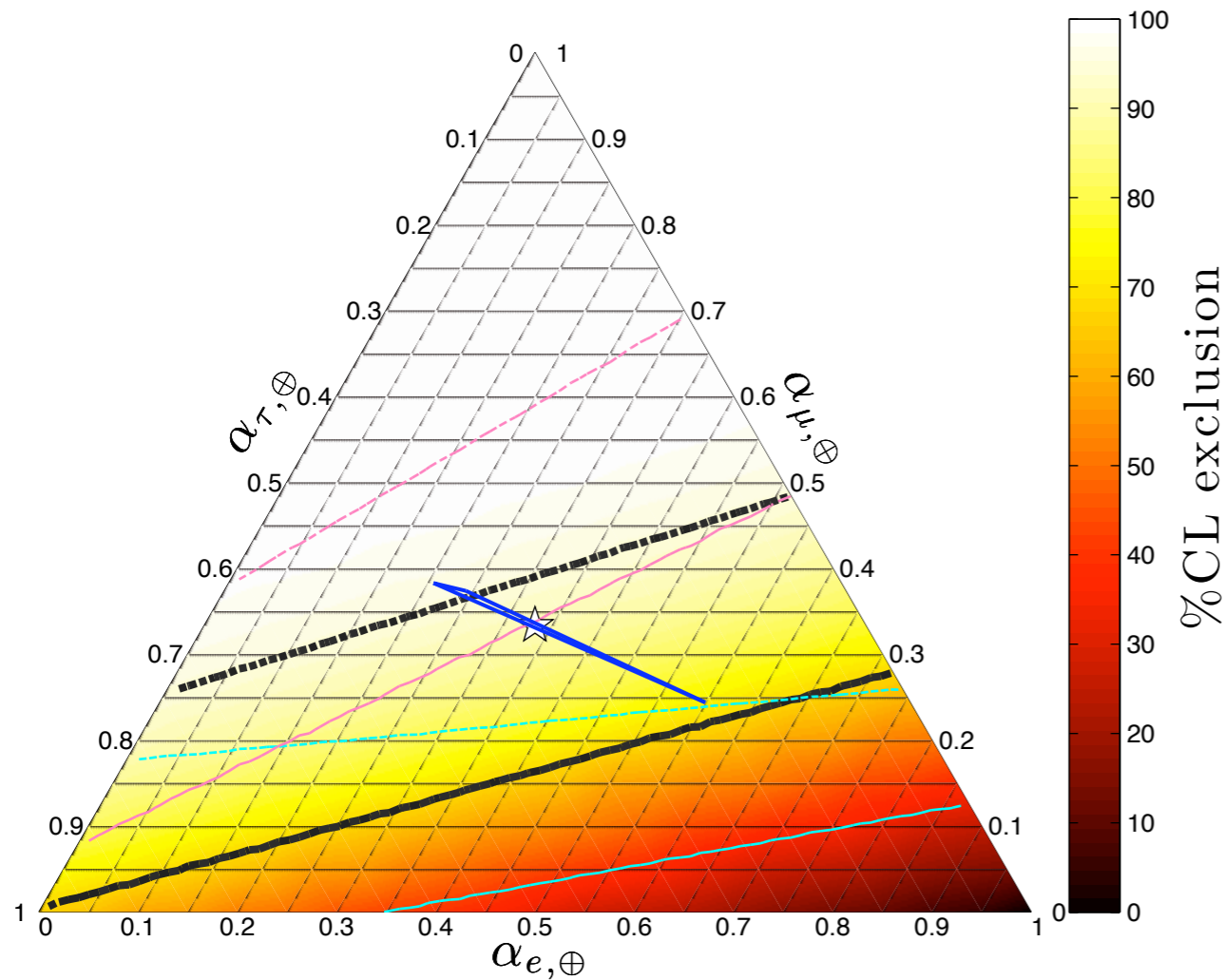


(1:1:1) disfavored at 79% CL  
Best-fit: (1:0:0)

O. Mena, SPR and A. C. Vincent, arXiv:1404.0017v2



# 3-YEAR RESULTS



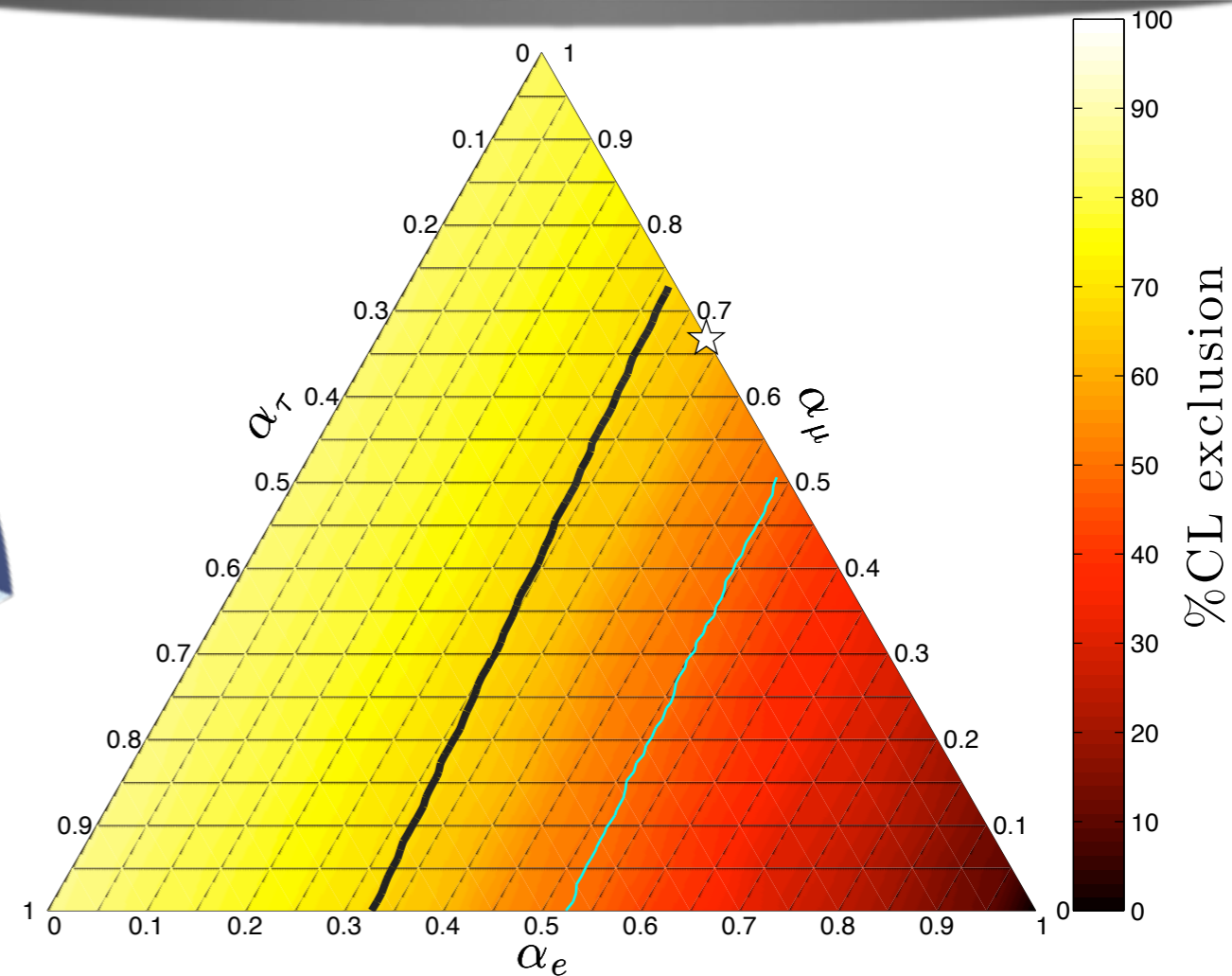
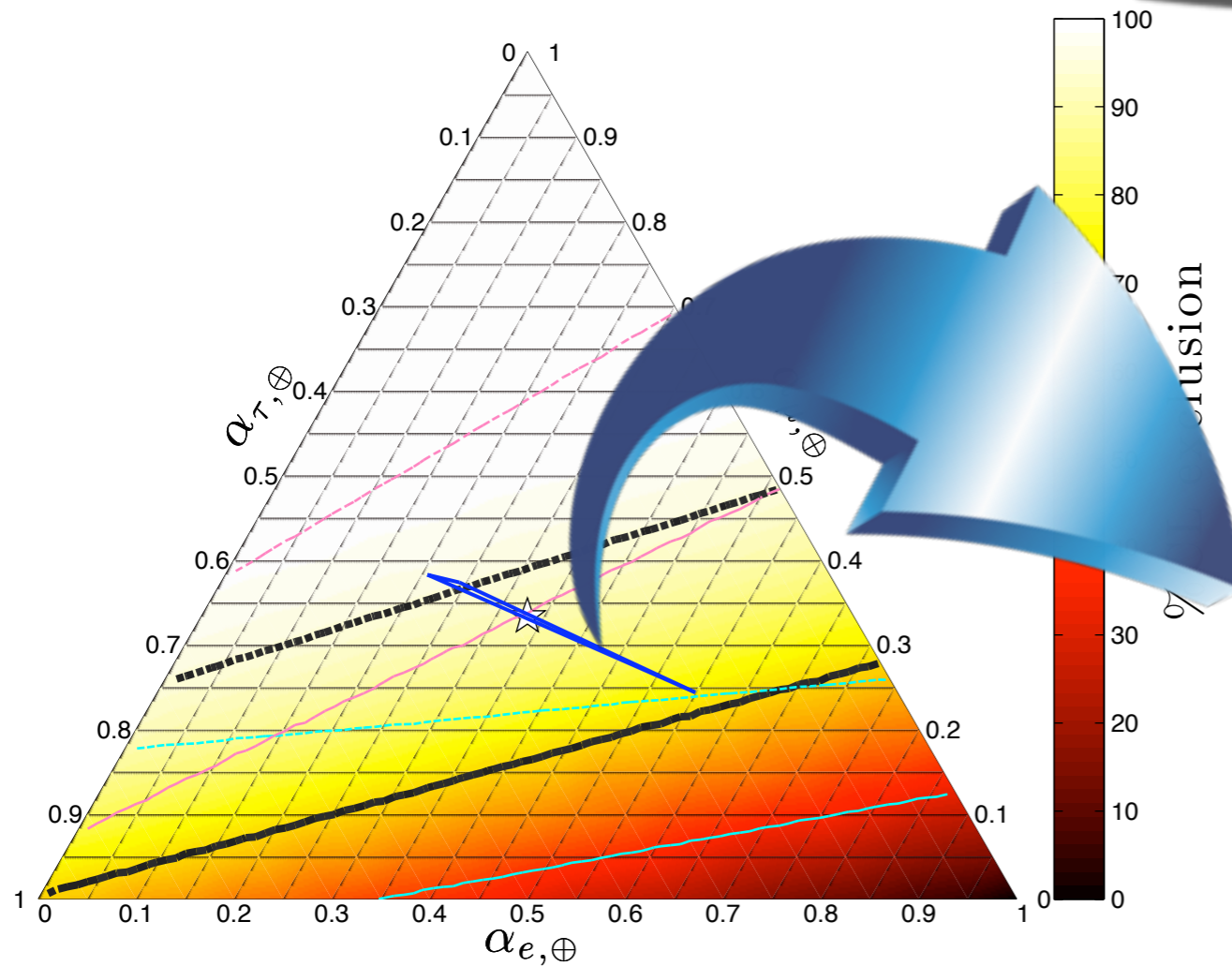
(1:1:1) disfavored at 91% CL  
Best-fit: (1:0:0)

O. Mena, SPR and A. C. Vincent, arXiv:1404.0017v2



# 3-YEAR RESULTS

Flavor ratios with averaged oscillations



$(1:1:1)$  disfavored at 91% CL  
Best-fit:  $(1:0:0)$

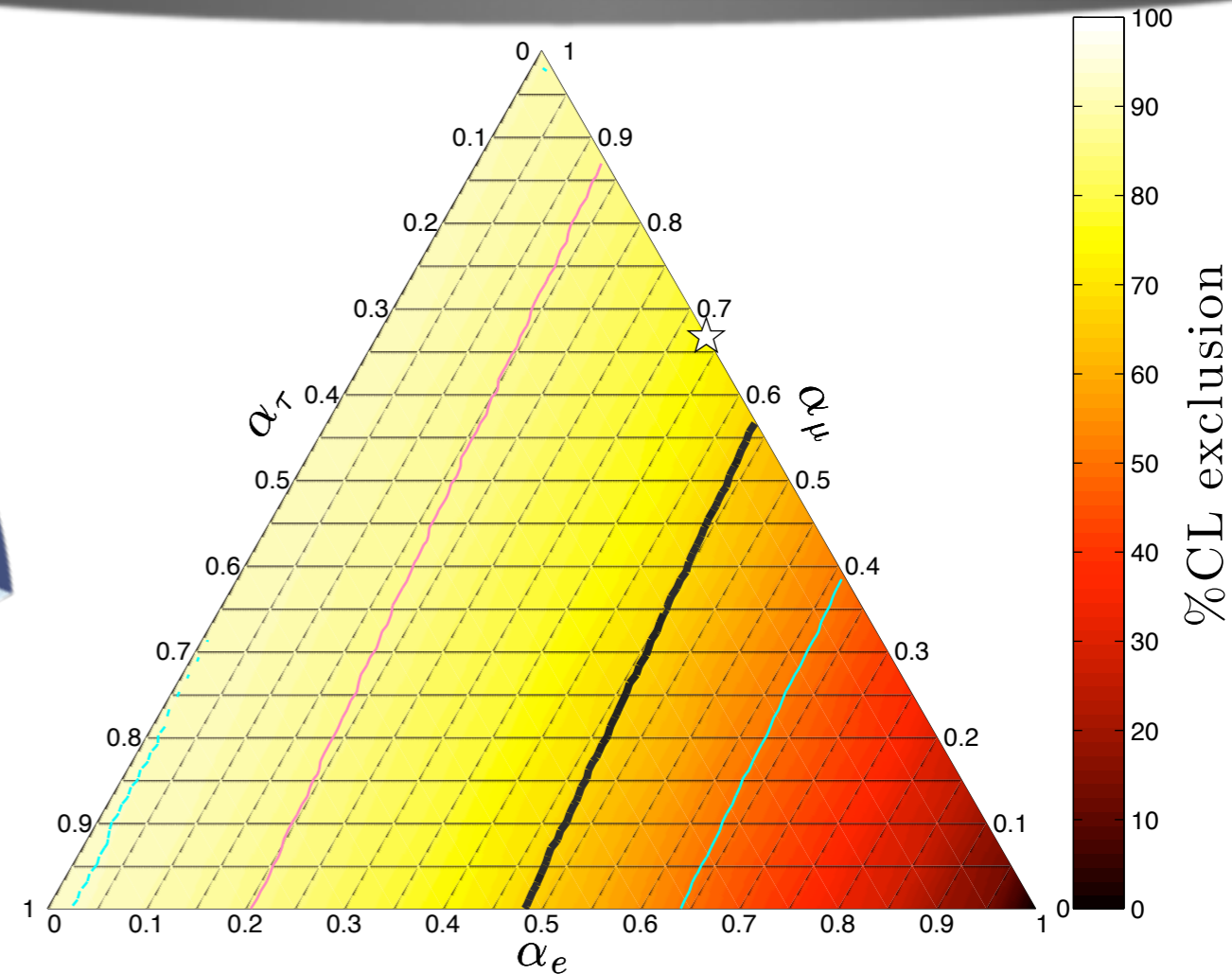
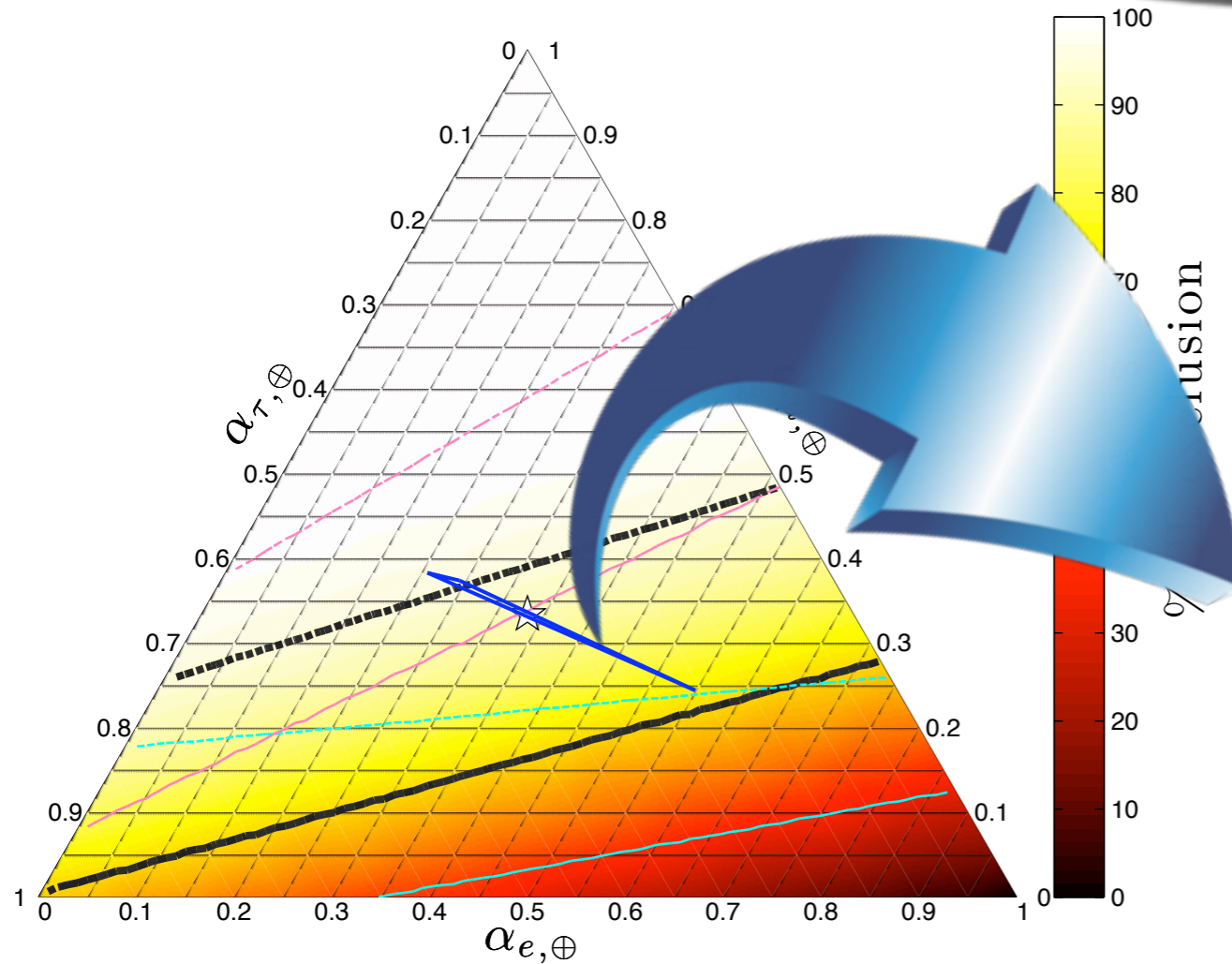
$(1:2:0)$  disfavored at 64% CL  
Best-fit:  $(1:0:0)$

O. Mena, SPR and A. C. Vincent, arXiv:1404.0017v2



# 3-YEAR RESULTS

Flavor ratios with averaged oscillations



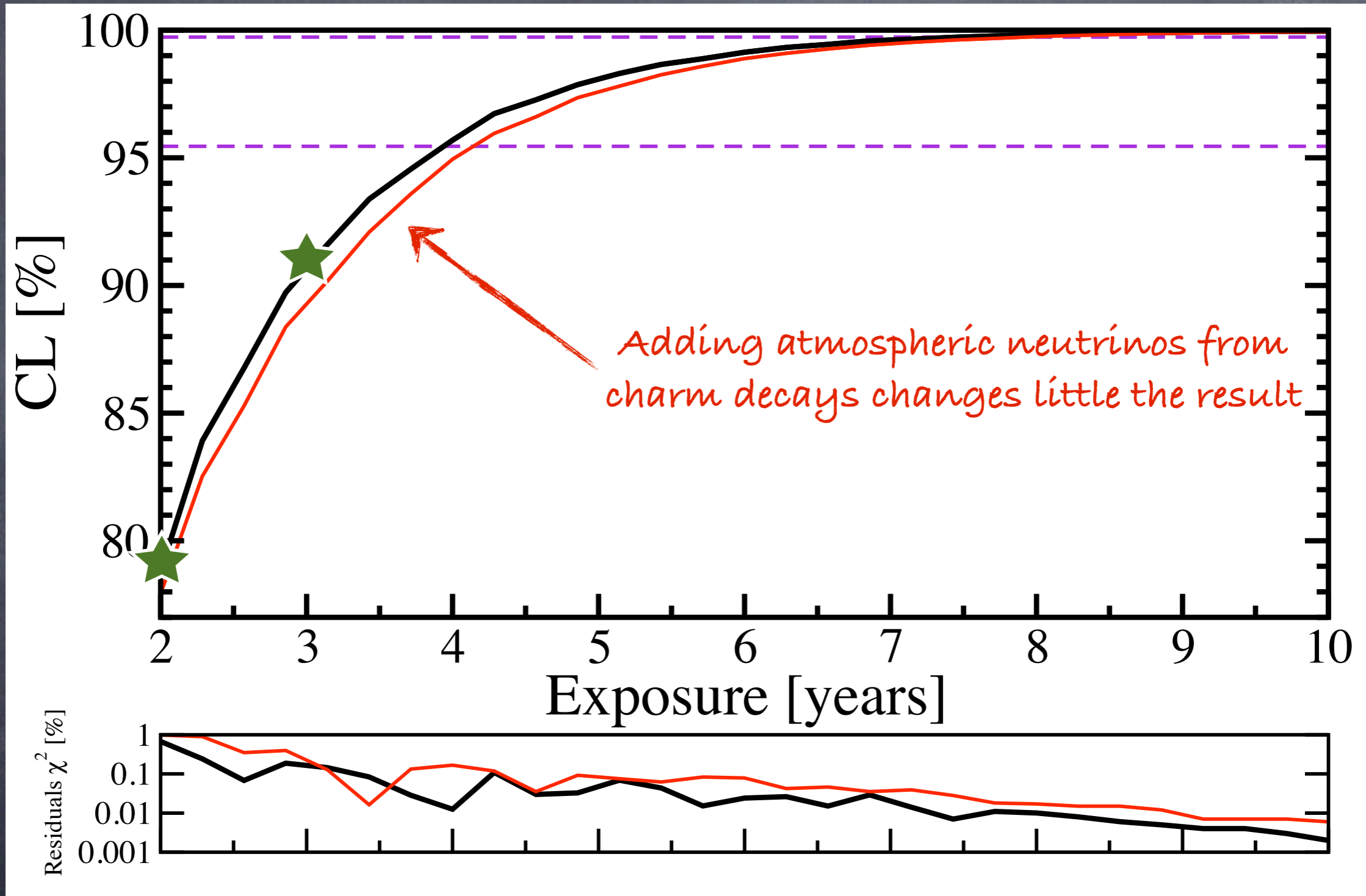
(1:1:1) disfavored at 91% CL  
Best-fit: (1:0:0)

(1:2:0) disfavored at 76% CL  
Best-fit: (1:0:0)

O. Mena, SPR and A. C. Vincent, arXiv:1404.0017v2



# TIME EXTRAPOLATION FOR (1:1:1)





# CONCLUSIONS

- No flavor combination at sources assuming averaged oscillations provides the best-fit:

the 3-year data follow the same trend of the 2-year data

- Best-fit is (1:0:0) at Earth

→ Non-standard physics (neutrino decay, CPT violation, pseudo-Dirac neutrinos)?

→ Has the atmospheric background been overestimated?

→ Have some tracks been misidentified as showers?

Otherwise, where are the missing tracks?



# WAITING FOR THE FIRST PEV TRACK EVENT

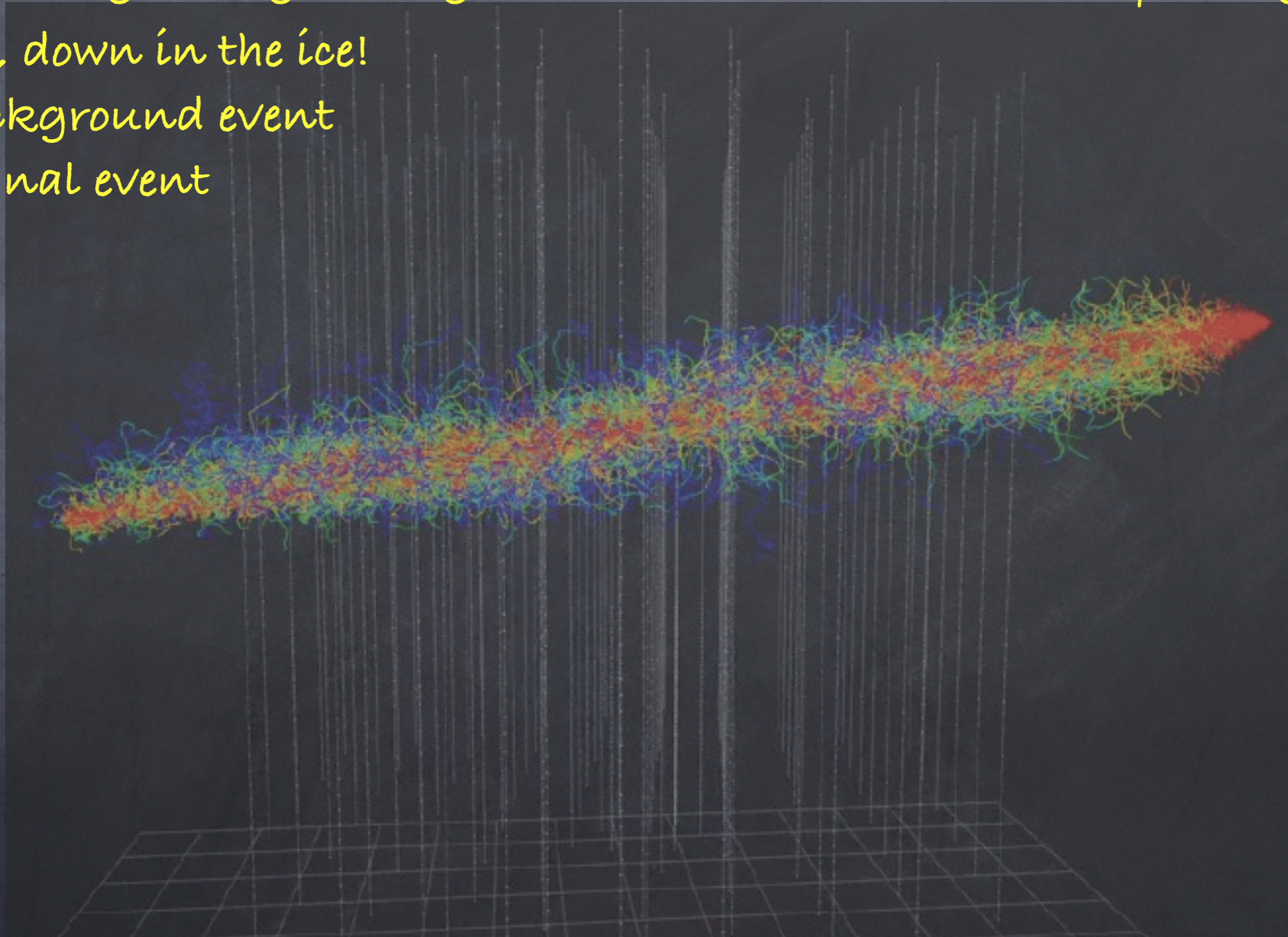
...faster than lightning, stronger than steel, smarter than a speeding bullet.

Oh, look, down in the ice!

It's a background event

It's a signal event

It's...





# WAITING FOR THE FIRST PEV TRACK EVENT

...faster than lightning, stronger than steel, smarter than a speeding bullet.

Oh, look, down in the ice!  
It's a background event  
It's a signal event  
It's...



## Grover

(or Coco, Gualter, Archibaldo, Grobi, Arquibaldo, Gunnar,  
Açıkgöz, Florek, Antar, Kruvi, Kajkoal, Bohouš...)