

# Higher Spin Lifshitz Holography with Isotropic Scale Invariance

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HoloGrav, Reykjavik, 19.08.2014

**JHEP 08** (2014) 001 [arXiv:1406.1468 [hep-th]], MG,  
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# Outline

- 1 Motivation
- 2 Pure Gravity in  $AdS_3$
- 3 Higher Spin Gravity in  $AdS_3$
- 4 Generalization to Lifshitz
- 5 Conclusions

# Motivation

- Simple Implementation of Lifshitz Holography
- Role of Geometry in Higher Spin Theories
- Understanding the Mechanisms of Holography

## Chern-Simons Formulation

- Gravity in Asymptotically AdS<sub>3</sub> can be formulated as  $\mathfrak{sl}_2(\mathbb{R}) \oplus \mathfrak{sl}_2(\mathbb{R})$  Chern-Simons theory with level  $k = \frac{1}{4G_N}$

$$S = \frac{k}{4\pi} (S_{\text{CS}} [A] - S_{\text{CS}} [\bar{A}])$$

$$S_{\text{CS}} [A] = \int_{\mathcal{M}} \text{tr} \left( A \wedge dA - \frac{2}{3} A^3 \right)$$

where

$$e = \frac{\ell}{2} (A - \bar{A}) \qquad \omega = \frac{1}{2} (A + \bar{A})$$

- Gauge transformations  $\delta_\epsilon A = d\epsilon + [\epsilon, A]$ ,  $\delta_{\bar{\epsilon}} \bar{A} = d\bar{\epsilon} + [\bar{\epsilon}, \bar{A}]$
- Diffeomorphisms generated by  $\xi^\mu$  are given by

$$\epsilon = \xi^\mu A_\mu \qquad \bar{\epsilon} = \xi^\mu \bar{A}_\mu$$

## Brown-Henneaux Boundary Conditions

- Denote  $\mathfrak{sl}_2$  generators by  $L_0, L_{\pm 1}$
- Convenient to partially gauge fix

$$A = g^{-1} dg + g^{-1} a g \quad \bar{A} = g dg^{-1} + g \bar{a} g^{-1} \quad g = e^{\rho L_0}$$

- Impose Asymptotic AdS boundary conditions

$$a = (L_1 + \mathcal{L}(x^+) L_{-1}) dx^+ + o(1)$$

$$\bar{a} = (L_{-1} + \bar{\mathcal{L}}(x^-) L_1) dx^- + o(1)$$

- Solutions include AdS, BTZ black holes, more

$$ds^2 = \ell^2 [d\rho^2 - (e^{2\rho} + e^{-2\rho} \mathcal{L} \bar{\mathcal{L}}) dx^+ dx^- + \mathcal{L} (dx^+)^2 + \bar{\mathcal{L}} (dx^-)^2 + \dots]$$

## Canonical Analysis

- Locally, all solutions are flat, so gauge equivalent to the vacuum
- At the asymptotic boundary, some first class constraints become second class, and thus generate new states, rather than gauge transformations
- Asymptotic Symmetry Algebra is two copies of Virasoro with  $c_L = c_R = 6k$

$$[L_n, L_m] = (n - m)L_{n+m} + \frac{c}{12}n(n^2 - 1)\delta_{n,-m}$$

- CFT vacuum defined by  $L_n |0\rangle = 0$  for all  $n \geq -1$  (similar for barred sector)
- States generated by  $L_{n_1} \cdots L_{n_m} |0\rangle$  for  $n_i < -1$ , called boundary gravitons

## Symmetries & Vacuum

- AdS solution preserves 3+3 symmetries corresponding to the wedge algebra of the ASA,  $\mathfrak{sl}_2 \oplus \mathfrak{sl}_2$ , and is thus identified with the CFT vacuum
- All solutions locally preserve 3+3 symmetries, since all solutions locally flat, but global realization is such that they excite infinite numbers of charges, not the wedge algebra

## Higher Spin Generalization

- Enlarge  $\mathfrak{sl}_2$  to  $\mathfrak{sl}_N$
- Choice of embedding  $\mathfrak{sl}_2 \hookrightarrow \mathfrak{sl}_N$  determines other field content
- Spins of other fields given by weight under gravitational  $\mathfrak{sl}_2$  action
- Typical choice: Principal embedding, integer spins  $2, \dots, N$ .

$$\begin{aligned}g_{\mu\nu} &= \frac{1}{2} \text{tr} [e_\mu e_\nu] \\ \phi_{\mu\nu\rho} &= \text{tr} [e_{(\mu} e_\nu e_{\rho)}] \\ &\vdots\end{aligned}$$



## Spin-3 AdS Boundary Conditions

$$A = g^{-1}dg + g^{-1}ag \quad \bar{A} = gdg^{-1} + g\bar{a}g^{-1} \quad g = e^{\rho L_0}$$

$$a = (L_1 + \mathcal{L}(x^+)L_{-1} + \mathcal{W}(x^+)W_{-2}) dx^+ + o(1)$$

$$\bar{a} = (L_{-1} + \bar{\mathcal{L}}(x^-)L_1 + \bar{\mathcal{W}}(x^-)W_2) dx^- + o(1)$$

- Asymptotic Symmetry Algebra: two copies of  $\mathcal{W}_3$  with central charges  $c_L = c_R = 6k$
- Vacuum: metric is AdS<sub>3</sub>, spin-3 field is 0, invariant under  $\mathfrak{sl}_3 \times \mathfrak{sl}_3$  symmetry
- BTZ black holes are a solution, as are black holes with spin-3 charge

## Procedure for Generalizing to Other Geometries

- Add boundary term to cancel variation of the action

$$S_{\text{CT}} = -\frac{k}{4\pi} \int_{\partial\mathcal{M}} \text{tr} \left( A^2 - \bar{A}^2 \right)$$

- Split connection into background and fluctuations
- Impose consistent boundary conditions on fluctuations. In particular
  - Find closed set of boundary condition preserving gauge transformations
  - Require finite, conserved, integrable asymptotic charges
- Determine Asymptotic Symmetry Algebra by computing Poisson Brackets and quantizing

# Lifshitz Geometry

- Lifshitz geometries are dual to Lifshitz field theories, which feature anisotropic scaling between space and time with a relative factor  $z$

$$t \rightarrow \lambda^z t \qquad x \rightarrow \lambda x$$

- Metric

$$\begin{aligned} ds_z^2 &= \ell^2 \left( -r^{2z} dt^2 + \frac{dr^2}{r^2} + r^2 dx^2 \right) \\ &= \ell^2 \left( -e^{2z\rho} dt^2 + d\rho^2 + e^{2\rho} dx^2 \right) \end{aligned}$$

- Isometries and Lifshitz Algebra

$$\xi_{\mathbb{H}} = \partial_t \qquad \xi_{\mathbb{P}} = \partial_x \qquad \xi_{\mathbb{D}} = -zt\partial_t + \partial_\rho - x\partial_x$$

$$[\xi_{\mathbb{H}}, \xi_{\mathbb{P}}] = 0 \qquad [\xi_{\mathbb{D}}, \xi_{\mathbb{H}}] = z\xi_{\mathbb{H}} \qquad [\xi_{\mathbb{D}}, \xi_{\mathbb{P}}] = \xi_{\mathbb{P}}$$

## $z = 2$ Lifshitz Background

- Background connection

$$\hat{a} = L_1 dx + \frac{4}{9} W_2 dt$$

$$\hat{a} = L_{-1} dx + W_{-2} dt$$

- Background metric

$$ds^2 = \ell^2 (-e^{4\rho} dt^2 + d\rho^2 + e^{2\rho} dx^2)$$

- Non-trivial background spin-3 field

$$\phi_{\mu\nu\lambda} dx^\mu dx^\nu dx^\lambda = -\frac{5\ell^3}{4} e^{4\rho} dt (dx)^2$$

## Higher Spin Fluctuations on Lifshitz Background

- Boundary conditions

$$a^{(0)} = \left( 4t\mathcal{W}L_0 - \mathcal{L}L_{-1} - \frac{16t^2}{9}\mathcal{W}W_2 + \frac{16t}{9}\mathcal{L}W_1 + \mathcal{W}W_{-2} \right) dx$$

$$\bar{a}^{(0)} = \left( -\bar{\mathcal{L}}L_1 - 9t\bar{\mathcal{W}}L_0 + \bar{\mathcal{W}}W_2 + 4t\bar{\mathcal{L}}W_{-1} - 9t^2\bar{\mathcal{W}}W_{-2} \right) dx$$

- Theory includes states with metrics that would not typically be called asymptotically Lifshitz
- Background and all excited states break time-reversal invariance
- Asymptotic charges nonetheless finite, conserved, and integrable in field space

# Asymptotic Symmetry Algebra

- Asymptotic charges are  $\mathcal{L}(x), \mathcal{W}(x), \overline{\mathcal{L}}(x), \overline{\mathcal{W}}(x)$ ,  $t$ -independent
- Asymptotic Symmetry Algebra: two copies of  $\mathcal{W}_3$  with central charges  $c_L = c_R = 12k \text{tr}(\mathbb{L}_0)^2 = \frac{3\ell}{2G_N}$

$$\begin{aligned} \delta_{\epsilon_L} \mathcal{L} &= \mathcal{L}' \epsilon_L + 2\mathcal{L} \epsilon'_L - \frac{k}{\pi} \epsilon_L^{(3)} \\ \delta_{\epsilon_L} \mathcal{W} &= \mathcal{W}' \epsilon_L + 3\mathcal{W} \epsilon'_L \\ \delta_{\epsilon_W} \mathcal{L} &= 2\mathcal{W}' \epsilon_W + 3\mathcal{W} \epsilon'_W \\ \delta_{\epsilon_W} \mathcal{W} &= \left( \frac{3\pi}{k} \mathcal{L} \mathcal{L}' - \frac{3}{8} \mathcal{L}^{(3)} \right) \epsilon_W + \left( \frac{3\pi}{k} \mathcal{L} \mathcal{L}' - \frac{3}{8} \mathcal{L}^{(2)} \right) \epsilon'_W \\ &\quad - \frac{45}{16} \mathcal{L}' \epsilon''_W - \frac{15}{8} \mathcal{L} \epsilon_W^{(3)} + \frac{3k}{16\pi} \epsilon_W^{(5)} \end{aligned}$$

## Symmetries of the Background

- Background is invariant under  $8 + 8$  linearly independent gauge transformations of the form

$$\epsilon_L = l_{+1} - xl_0 + x^2 l_{-1}$$

$$\epsilon_W = w_{+2} - xw_{+1} + x^2 w_0 - x^3 w_{-1} + x^4 w_{-2}$$

$$\epsilon_{\bar{L}} = \bar{l}_{-1} - x\bar{l}_0 + x^2 \bar{l}_{+1}$$

$$\epsilon_{\bar{W}} = \bar{w}_{-2} - x\bar{w}_{-1} + x^2 \bar{w}_0 - x^3 \bar{w}_{+1} + x^4 \bar{w}_{+2}$$

- Special case: Lifshitz isometries

$$\xi_{\mathbb{H}} : \quad w_{+2} = \frac{4}{9} \quad \bar{w}_{-2} = 1$$

$$\xi_{\mathbb{P}} : \quad l_{+1} = 1 \quad \bar{l}_{-1} = 1$$

$$\xi_{\mathbb{D}} : \quad l_0 = 1 \quad \bar{l}_0 = 1$$

## Symmetries & Global Structure

- Symmetries of the background enhanced to the full wedge algebra  $\mathfrak{sl}_3 \times \mathfrak{sl}_3$ , thus background is dual to the CFT vacuum (on the plane)
- All states locally have  $8 + 8$  symmetries, but globally realized non-polynomially, leading to infinite towers of non-trivial charges
- No other states are invariant under precisely the complete wedge algebra
- All states break time-reversal invariance



## Conclusions

- Also looser boundary conditions for Lifshitz background, possibly related to  $\mathcal{W}_3^{(2)}$
- Conjecture: all higher spin realizations of asymptotic Lifshitz geometries exhibit isotropic scaling
- Metric and higher spin fields need to be placed on equal footing—all massless degrees of freedom
- To really talk about geometry, we should use a local probe (e.g. scalar field in  $HS(\lambda)$  theory)

Thank You