



NORDITA



The University of Iceland

Tabletop String Theory

- applications of gauge theory/gravity duality

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Motivation

Quantum field theory (QFT) is an extraordinarily successful framework for understanding a wide range of physical phenomena:

- quantum electrodynamics (QED)
- standard model of particle physics
- many body theory for condensed matter systems

Precision tests of QED determine the fine structure constant to a part in 10^8

$$\alpha = \frac{e^2}{4\pi\hbar c} \qquad \alpha^{-1} = 137.03599 \dots$$

Efficient approximation schemes are the key to QFT's success:

- work well when interactions are weak
- strong coupling presents a difficult challenge
- numerical simulations are undermined by fermion sign problem

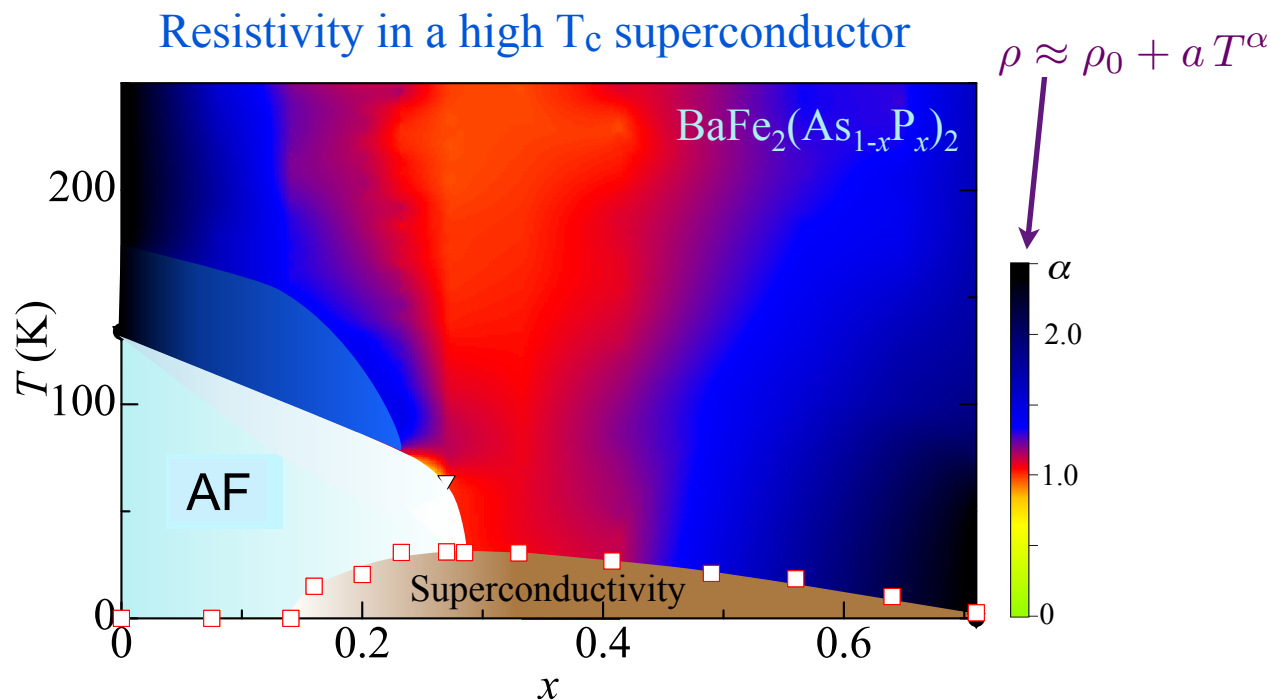
Gauge theory/gravity duality is a novel *gravitational* approach to strongly coupled QFT



Motivation (continued)

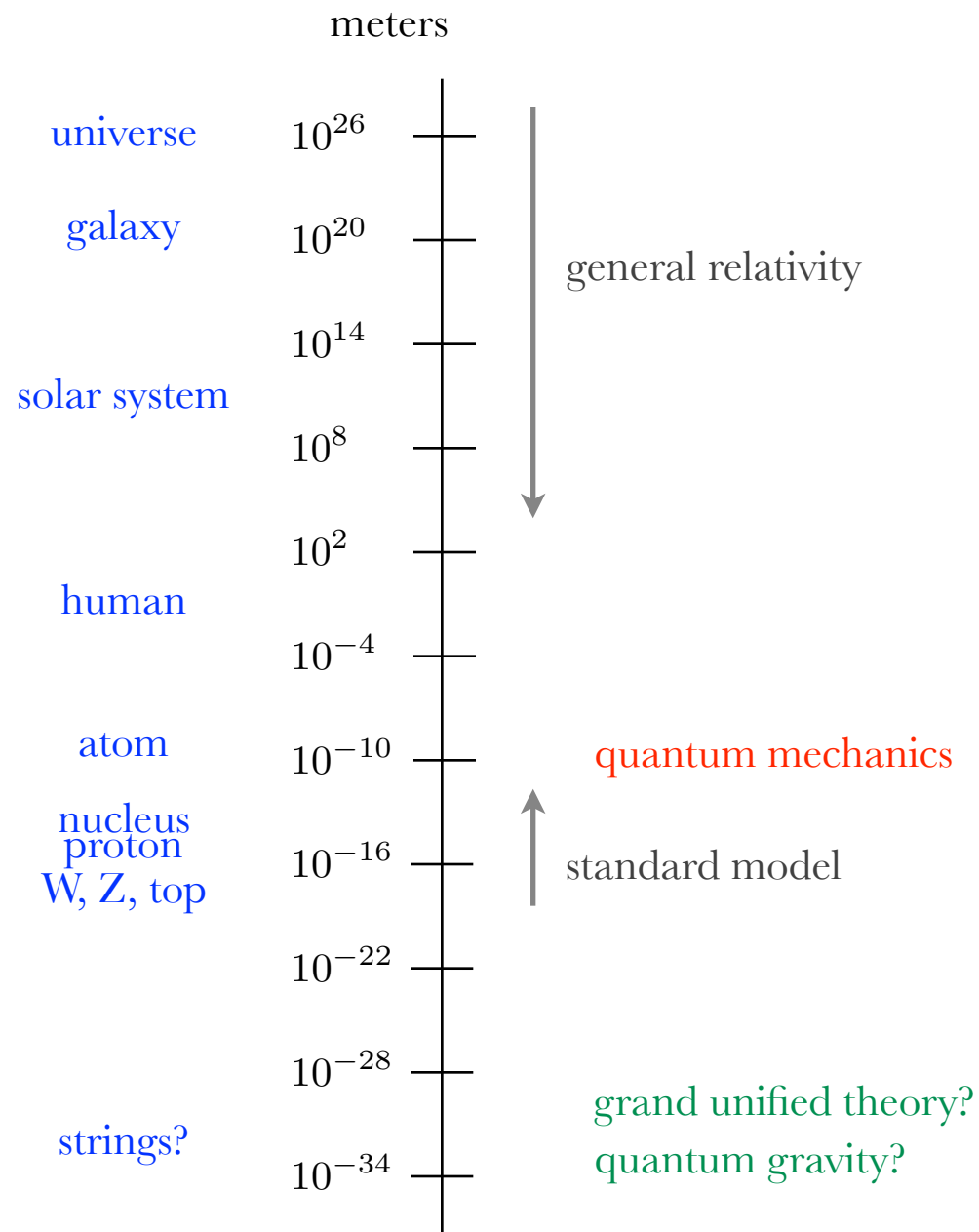
Quantum many particle theory works extremely well!

- explains a wide range of physical phenomena in broad classes of materials
- most known materials are in fact well described by established methods
- **but** there are exceptions...
- physicists want to understand them
- may point the way towards new materials or improved functionality



Can gauge theory/gravity duality provide new insights?

Length scales in Nature



Outline

Lecture 1

- strong/weak coupling dualities in physics
- open/closed duality in string theory
- Black branes vs. Dirichlet branes
- AdS/CFT (anti-de Sitter/conformal field theory) correspondence

Lecture 2

- scale invariance and quantum critical points
- heavy fermion alloys, high T_c superconductors
- applied AdS/CFT:
 - electrical conductivity
 - holographic superconductors
 - holographic metals
 -



Electromagnetic duality

Maxwell equations in vacuum

$$\vec{\nabla} \cdot \vec{E} = 0 \qquad \vec{\nabla} \times \vec{B} = \frac{\partial \vec{E}}{\partial t}$$

$$\vec{\nabla} \cdot \vec{B} = 0 \qquad -\vec{\nabla} \times \vec{E} = \frac{\partial \vec{B}}{\partial t}$$

Exchanging the electric and magnetic fields

$$\vec{E} \longrightarrow \vec{B}, \qquad \vec{B} \longrightarrow -\vec{E}$$

gives back the same set of equations

Electromagnetic duality (cont.)

Maxwell equations with sources (both electric **and** magnetic)

$$\begin{aligned}\vec{\nabla} \cdot \vec{E} &= \rho_e & \vec{\nabla} \times \vec{B} &= \frac{\partial \vec{E}}{\partial t} + \vec{J}_e \\ \vec{\nabla} \cdot \vec{B} &= \rho_m & -\vec{\nabla} \times \vec{E} &= \frac{\partial \vec{B}}{\partial t} + \vec{J}_m\end{aligned}$$

Exchanging the electric and magnetic fields

$$\vec{E} \longrightarrow \vec{B}, \quad \vec{B} \longrightarrow -\vec{E}$$

along with the electric and magnetic sources

$$\begin{aligned}\rho_e &\longrightarrow \rho_m, & \rho_m &\longrightarrow -\rho_e \\ \vec{J}_e &\longrightarrow \vec{J}_m, & \vec{J}_m &\longrightarrow -\vec{J}_e\end{aligned}$$

again gives back the same set of equations



Dirac quantization condition

Quantum theory: The wave function describing a particle with electric charge e in the presence of a magnetic charge g is well-defined only if

$$e g = 2\pi\hbar n, \quad (n = 0, \pm 1, \pm 2, \dots)$$

- Quantization of e follows from the existence of magnetic monopoles
- Dirac condition implies that monopoles would be strongly coupled in ordinary electromagnetism

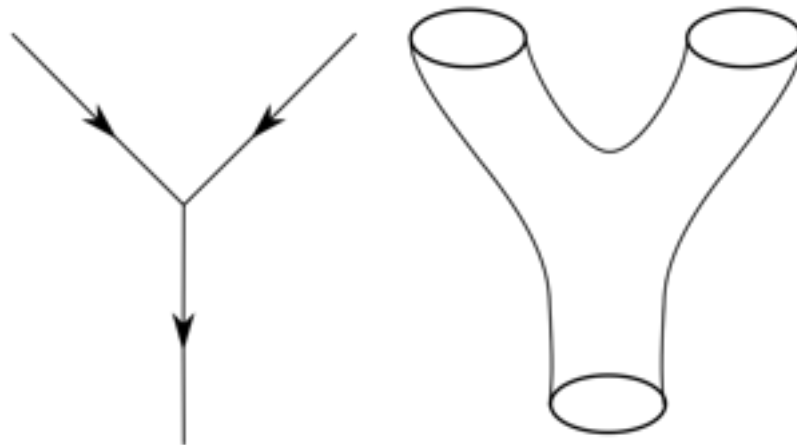
$$g \propto 1/e$$

- Dual theory has weakly coupled monopoles and strongly coupled electrons
- In particle physics we study generalizations of electromagnetic theory where monopoles occur as soliton solutions of the field equations



Five-minute primer on string theory

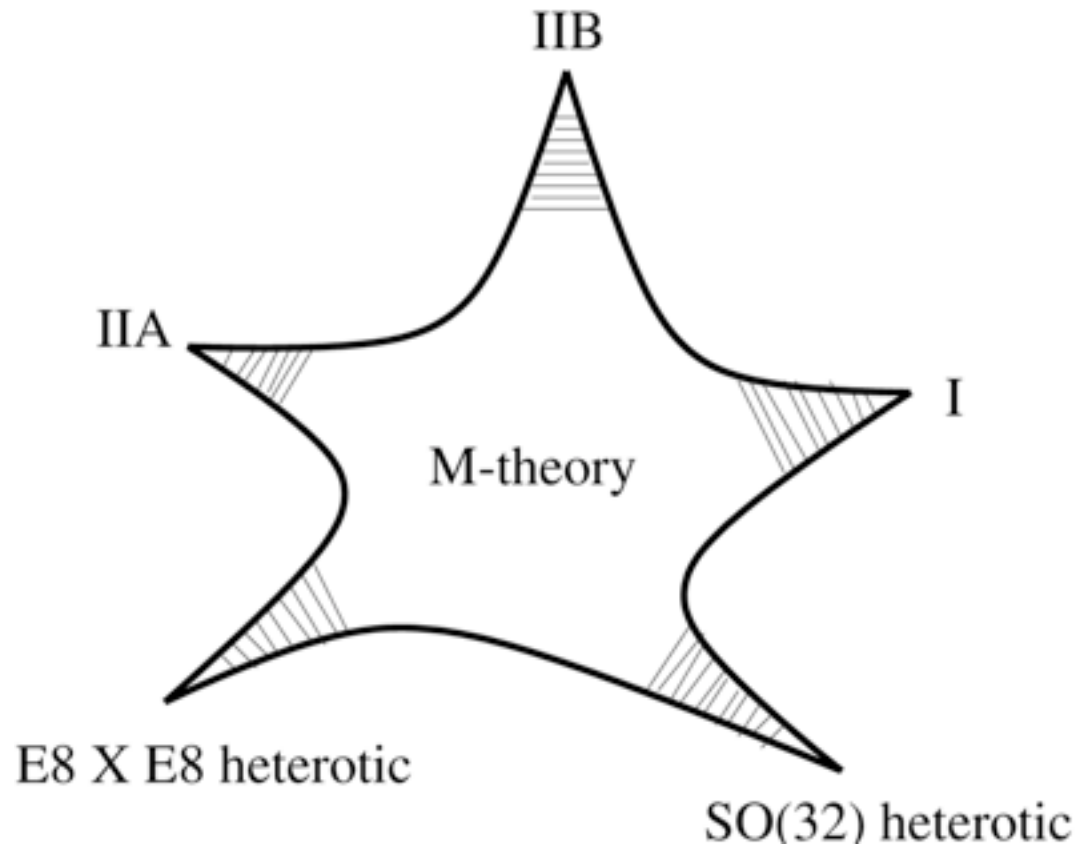
Replace point particles by one-dimensional strings and attempt to work out a quantum theory in flat spacetime.



Does not work unless the space-time has 26 dimensions and even then there are instabilities.

Adding fermions (and supersymmetry) leads to a stable theory in 10 dimensional spacetime.

Consistent string theories in 10 spacetime dimensions

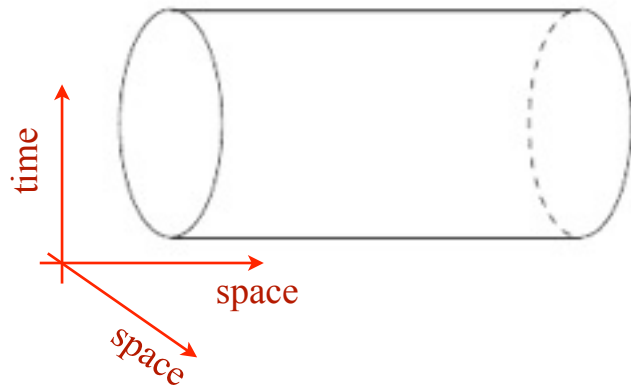


(from A. Sen, 1999)

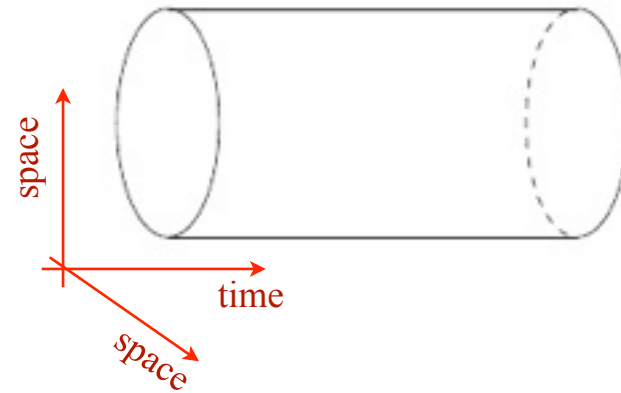
The five string theories are interrelated by a web of strong/weak coupling string dualities

Open/closed string duality

The same string world-sheet can be interpreted in different ways



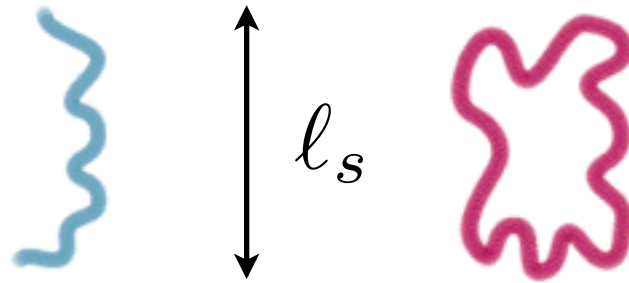
Pair of open strings



Single closed string

A given system can have very different descriptions from the point of view of open vs. closed strings

Low-energy limit of string theory



Strings appear point like in low-energy processes

closed (super-)string theory $\longrightarrow$ (super-)gravity theory

Type II super-gravity -- bosonic fields

metric: $g_{\mu\nu}$

NS-NS tensor: $H_{\mu\nu\lambda}$

dilaton: ϕ

R-R tensors: $F_{\mu_1 \dots \mu_q}^{(q)} \quad q \in \{1, 3, 5\}$

correspond to massless modes of closed strings

String theory generalization of monopoles

String theory contains a variety of higher dimensional objects called p-branes.

A black p-brane solution of the field equations of 10-d supergravity describes a space-time where charged matter is confined to a $p+1$ dimensional hyperplane.

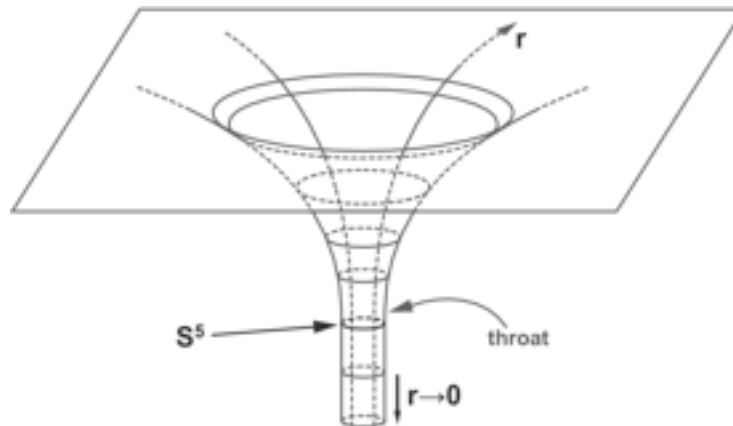
Higher-dimensional generalization of a charged black hole in general relativity.

The allowed charge-to-mass ratio of a black p-brane has an upper bound.

Space-time geometry outside a maximally charged (a.k.a. extremal) 3-brane:

far field: M_{10} ten-dimensional Minkowski spacetime

near horizon: $AdS_5 \times S^5$ product of 5d anti-de Sitter spacetime and a 5-sphere



Dirichlet-branes

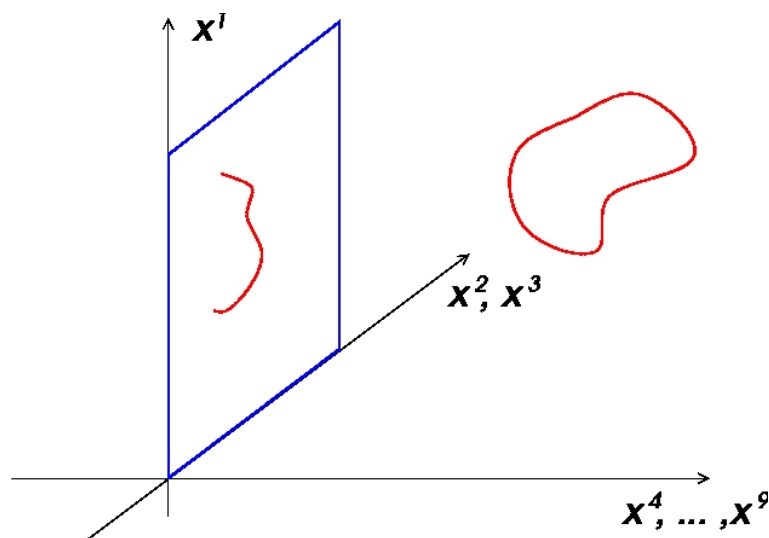
Open strings provide a very different view of p-branes.

Dp-brane: A $p+1$ dimensional hyperplane where open strings end.

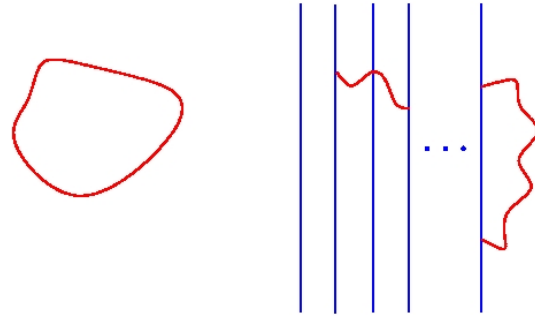
Dp-brane dynamics $\longleftrightarrow$ physics of open strings

Low-energy limit $\longleftrightarrow$ Yang-Mills gauge theory

D3-brane in IIB string theory



Multiple coincident D3-branes

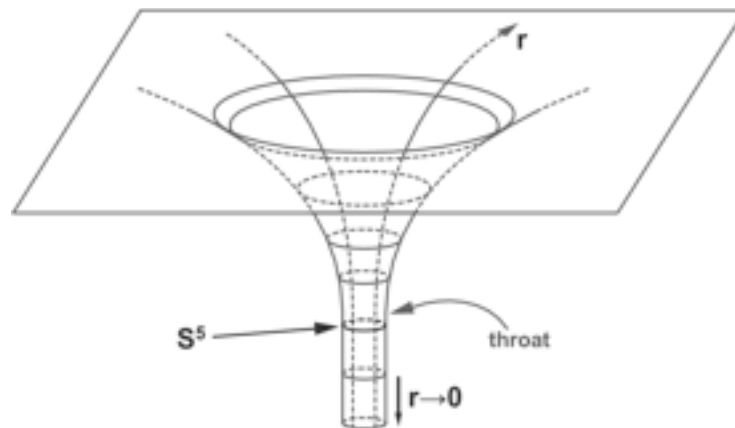


Low-energy dynamics $\longleftrightarrow$ massless open string modes

$d = 4$, $N = 4$ supersymmetric $U(N)$ Yang-Mills theory

Closed string description

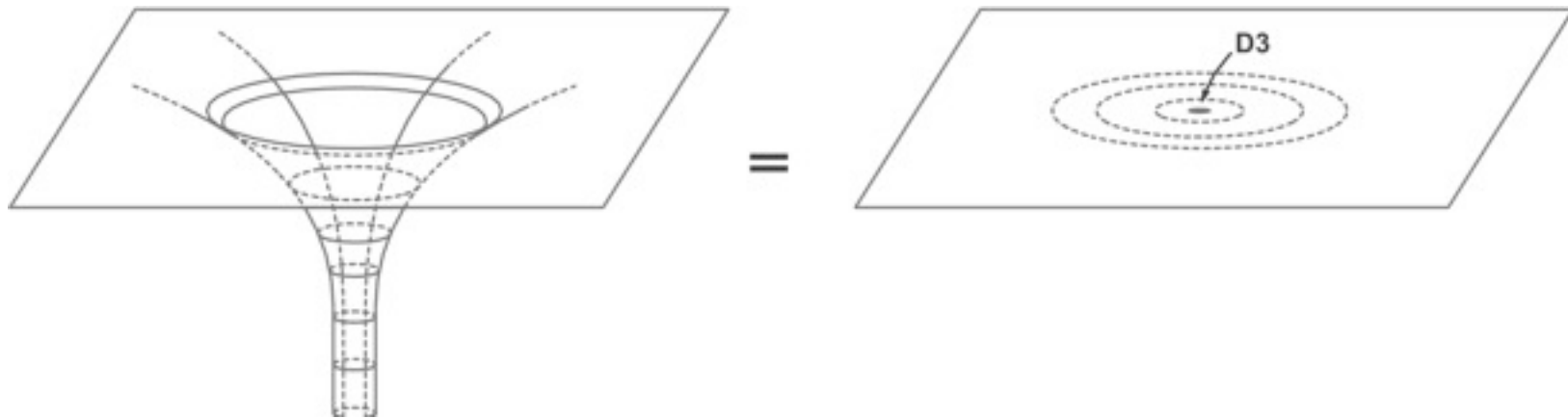
$d = 10$, Type IIB supergravity in $AdS_5 \times S^5$ background



AdS/CFT correspondence

supergravity
in $AdS_5 \times S^5$
background

$N = 4$ supersymmetric
 $U(N)$ Yang-Mills theory
in 3+1 dimensions



- strong/weak coupling duality so it is difficult to prove
- the original AdS/CFT conjecture has passed numerous tests and has been generalized in many directions

AdS/CFT prescription

Relates QFT correlation function to string amplitude in AdS₅ background

$$\mathcal{Z}_{\text{string}}[\{\phi_i\}] = \left\langle \exp\left\{ \int d^4x \sum_i \tilde{\phi}_i(\vec{x}) \mathcal{O}_i(\vec{x}) \right\} \right\rangle_{\text{QFT}}$$

String theory partition function

QFT generating functional

$\phi_i(\vec{x}, r)$ is a field (string mode) in AdS₅ background

$\tilde{\phi}(\vec{x}) = \lim_{r \rightarrow \infty} \phi_i(\vec{x}, r)$ boundary value

$\mathcal{O}_i(\vec{x})$ is a local operator in QFT

Holographic dictionary:

metric	$g_{\mu\nu}$	$\longleftrightarrow$	$T_{\mu\nu}$	energy momentum tensor
gauge potential	A_μ	$\longleftrightarrow$	J_μ	conserved current
	$\vdots$			

Both sides of the prescription require regularization & renormalization



Two sides of AdS-CFT

1. Quantum gravity via gauge theory

- emergent spacetime
- Hawking information paradox

2. Gravitational approach to strongly coupled field theories

- strongly coupled QFT in D spacetime dimensions equivalent to weakly coupled gravity in $D+1$ dimensions
- recipe for correlation functions at finite temperature
- transport coefficients, damping rates
- involves novel black hole geometries

Applied AdS-CFT

Investigate strongly coupled quantum field theories via classical gravity

- growing list of applications:

- hydrodynamics of quark gluon plasma
- holographic QCD
- quantum critical systems
 - strongly correlated electron systems
 - cold atomic gases
- out of equilibrium dynamics
-

Bottom-up approach: Look for interesting behavior in simple models

- Assume that classical gravity in (asymptotically) AdS spacetime is dual to some strongly coupled QFT.
- Use AdS/CFT techniques to compute QFT correlation functions.
- Add gauge and matter fields to gravity theory to model interesting physics.
- Back-reaction can modify asymptotic behavior: **non AdS - non CFT**

Applied AdS-CFT

Investigate strongly coupled quantum field theories via classical gravity

- growing list of applications:

- hydrodynamics of quark gluon plasma
- jet quenching in heavy ion collisions
- quantum critical systems
 - strongly correlated electron systems
 - cold atomic gases
- holographic superconductors
- holographic metals
- out of equilibrium dynamics
-

Bottom-up approach: Look for interesting behavior in simple models



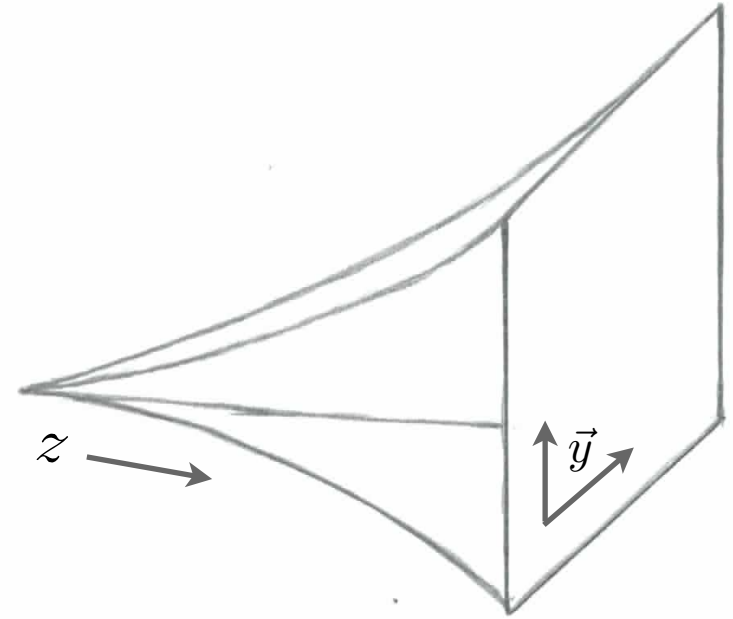
Lecture 2



M.C. Escher's
Circle Limit IV (1960)

Anti-de Sitter geometry

$$ds^2 = b^2 \left(z^2 (-dt^2 + d\vec{y}^2) + \frac{dz^2}{z^2} \right)$$



Metric is invariant under Lorentz transformations on $(t, \vec{y})$

and also under the scaling $(t, z, y^i) \rightarrow \left(ct, \frac{z}{c}, cy^i \right), \quad c > 0$

UV - IR connection

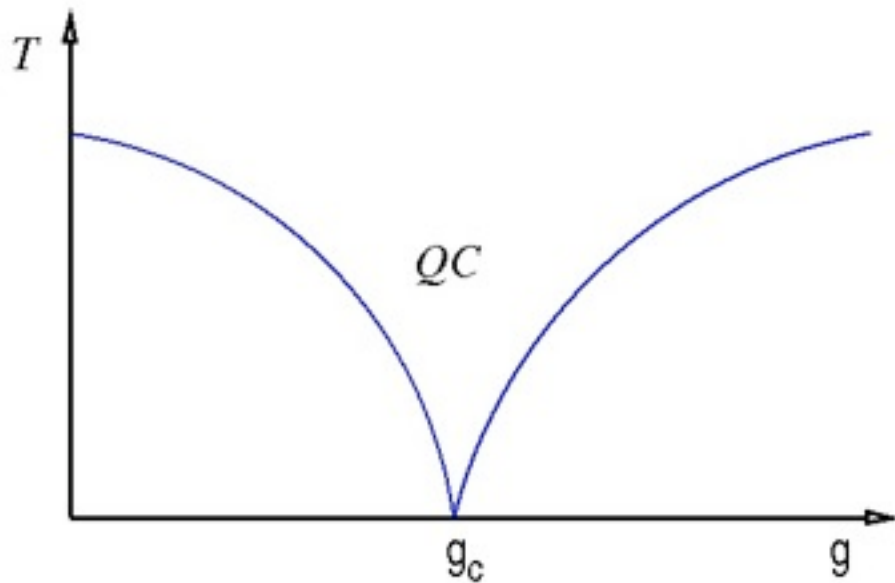
The map $z \rightarrow 1/\xi$ gives

$$ds^2 = \frac{b^2}{\xi^2} (-dt^2 + d\vec{y}^2 + d\xi^2)$$

Applied AdS-CFT

- **Assume** that classical gravity in (asymptotically) AdS spacetime is dual to **some** strongly coupled QFT
- Use AdS-CFT techniques to calculate QFT correlation functions at strong coupling
- Add gauge fields and matter fields to the gravity theory to model interesting physics
- Quantum critical systems have scale invariance + strong correlations
→ natural starting point for applied AdS-CFT

Quantum critical points



Typical behavior at $T = 0$

characteristic energy $\delta \sim (g - g_c)^{z\nu}$

coherence length $\xi \sim (g - g_c)^{-\nu}$

$\delta \sim \xi^{-z}$ $z = \text{dynamical scaling exponent}$

Scale invariant theory at finite T : $\xi = cT^{-1/z}$

Deformation away from fixed pt.: $\lambda_i \sim (\text{length})^{-1}$ QCP has $\lambda_i = 0$

Quantum critical region : $\xi = T^{-1/z} \eta(T^{-1/z} \lambda_i)$ $\eta(0) = c$

Physical systems with $z = 1, 2$, and 3 are known -- non-integer values of z are also possible

$z = 1$ scaling symmetry is part of $\text{SO}(d+1,1)$ conformal group = isometries of adS_{d+1}

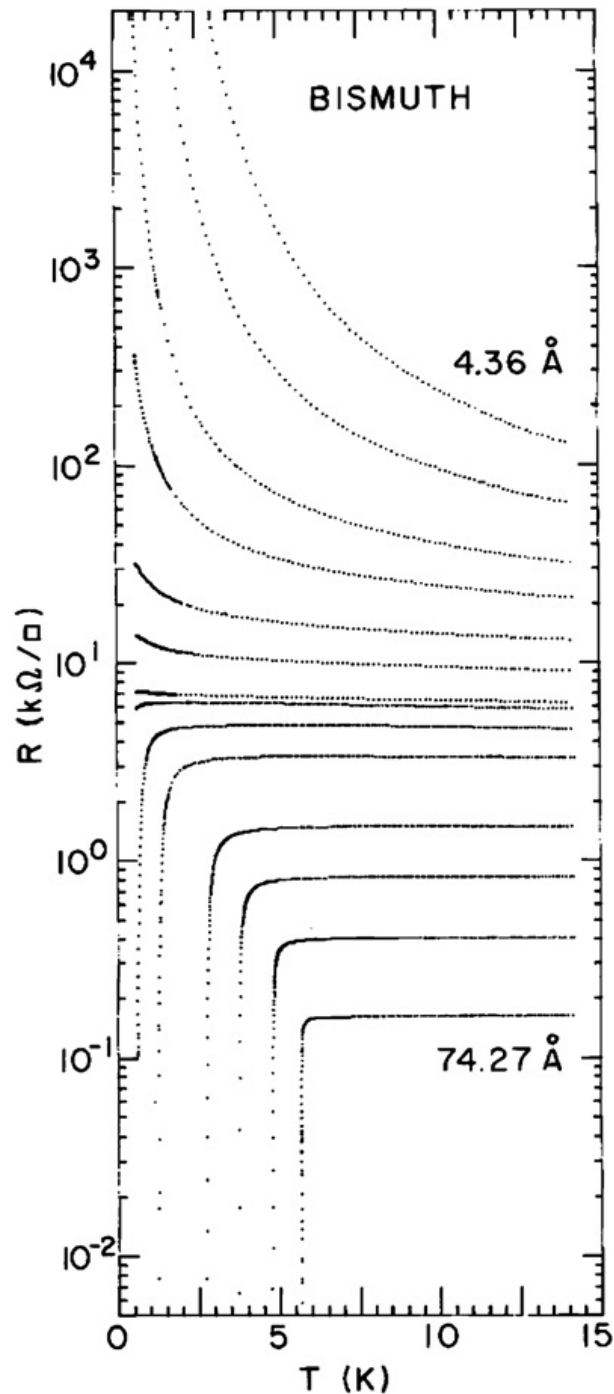
$z > 1$ scale invariance without conformal invariance - asymptotically Lifshitz spacetime



Classic example of a QCP

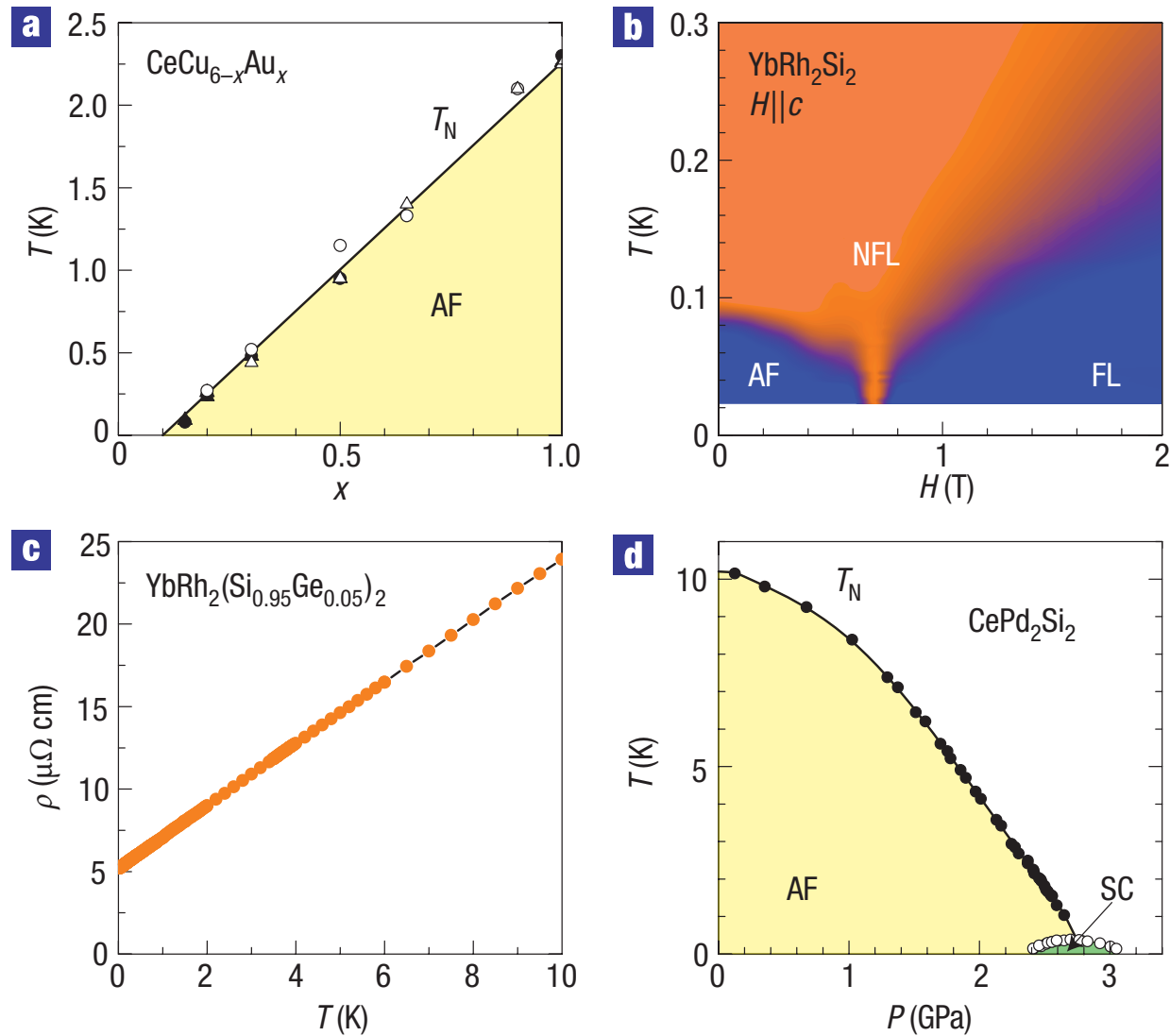
Resistivity vs. temperature in thin films of bismuth

$T = 0$ state changes from insulating to superconducting at a critical thickness



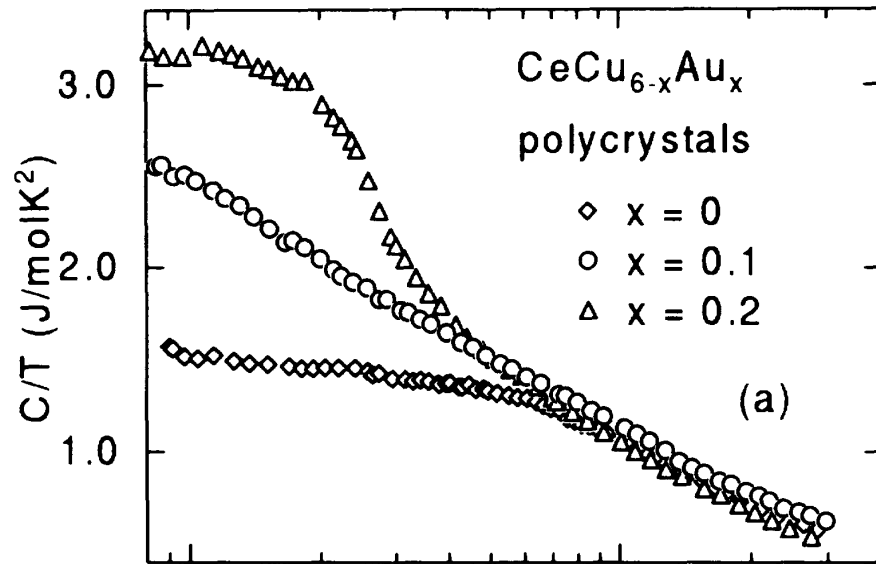
From D.B. Haviland, Y. Liu and A.M. Goldman,
Phys. Rev. Lett. **62** (1989) 2180.

Quantum criticality in heavy fermion materials

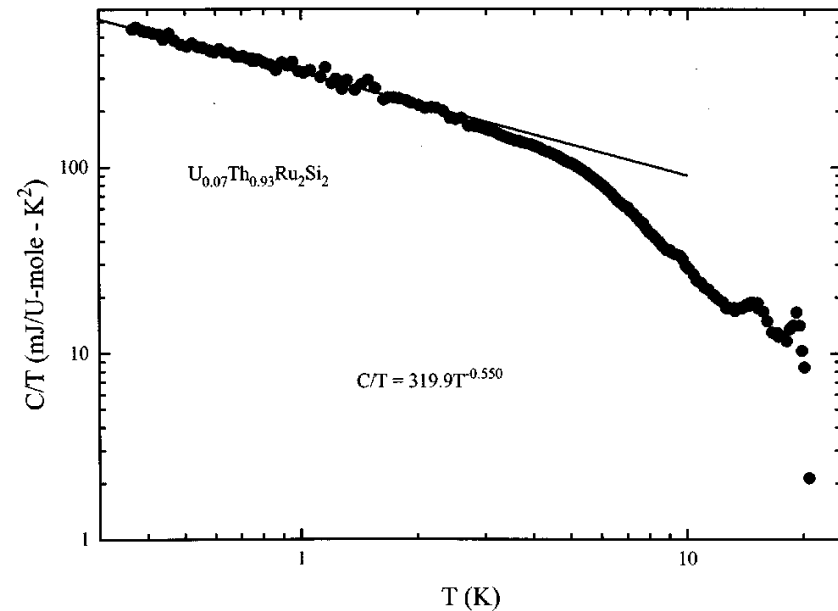
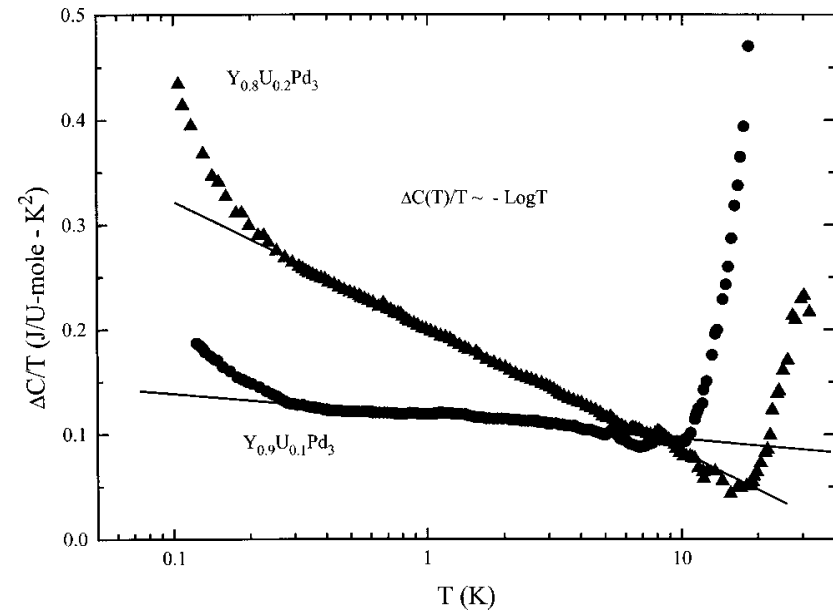


From P. Gegenwart, Q. Si and F. Steglich,
Nature Phys. **4** (2008) 186.

Some measured c/T values in heavy fermion metals

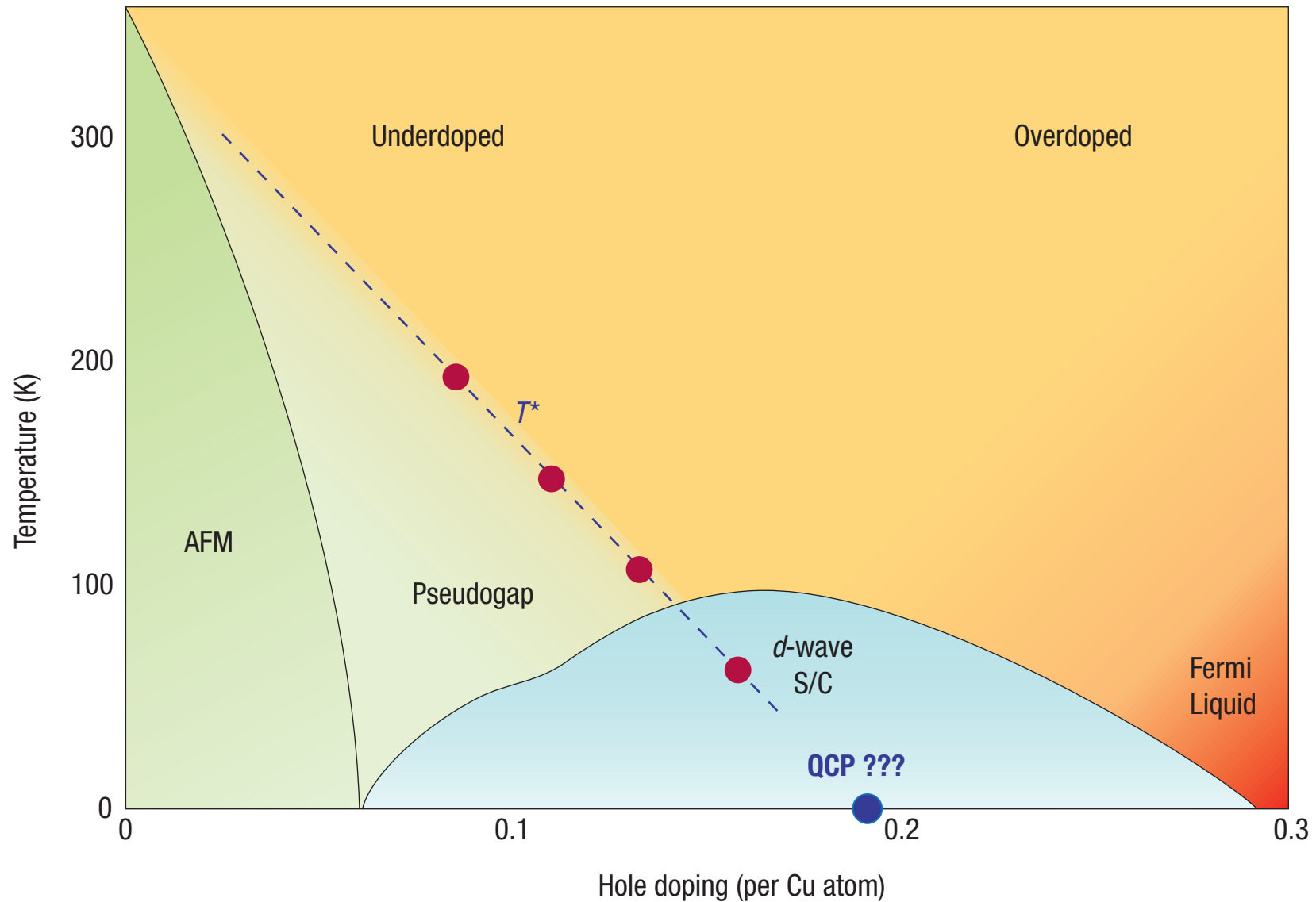


H. Lohneysen et al. *PRL* **72** (1994) 3262.



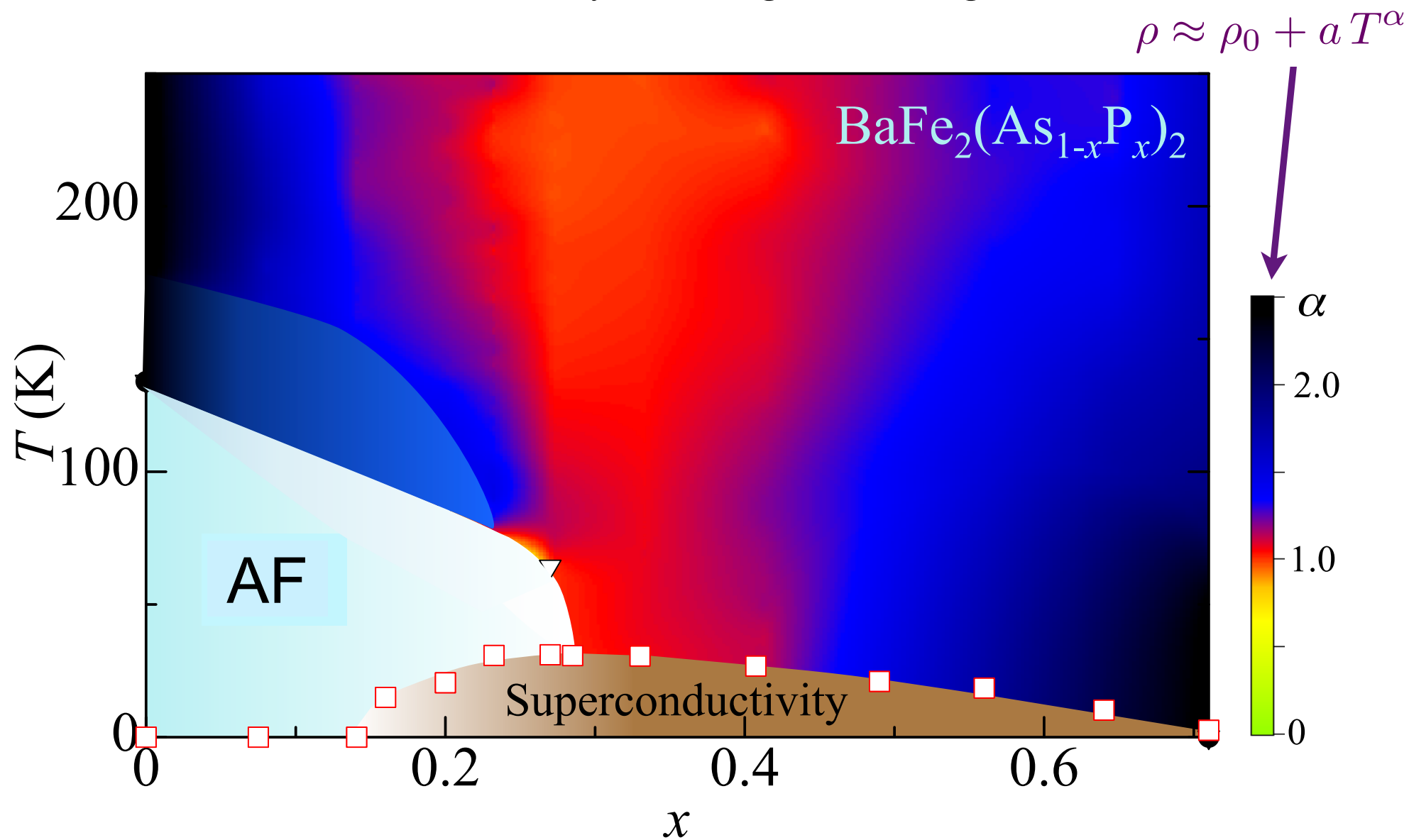
From G.Stewart, *Rev.Mod.Phys.* **73** (2001) 797.

Quantum criticality in high T_c superconductors



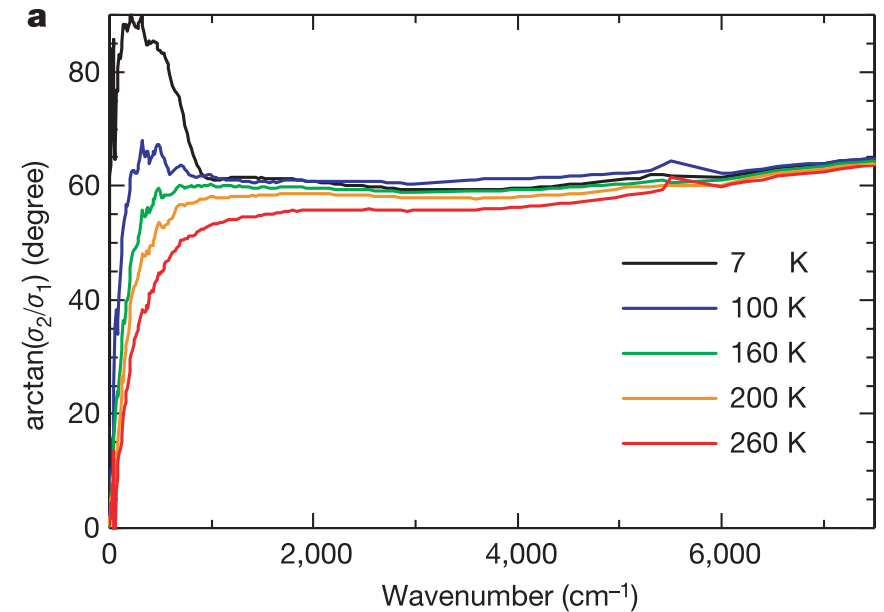
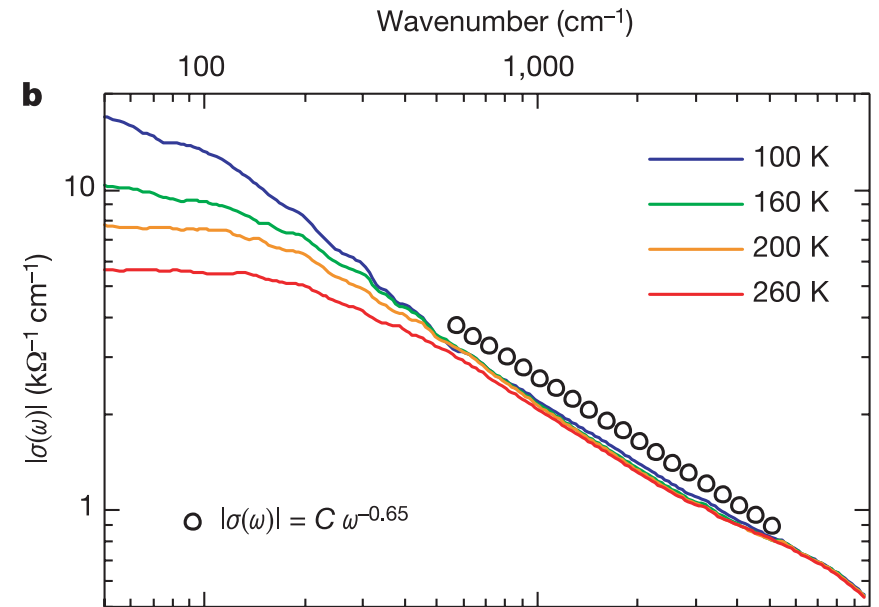
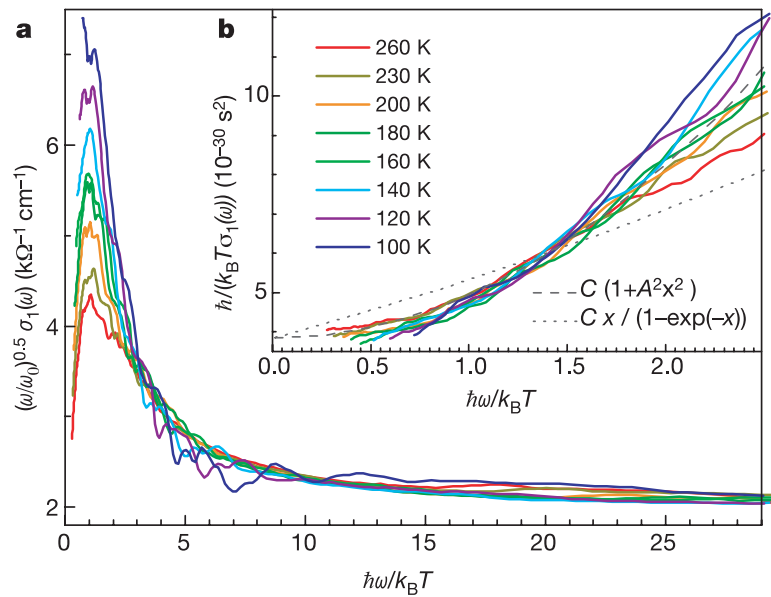
From D.M. Broun, Nature Phys. **4** (2008) 170.

Linear resistivity in strange metal region



From S. Kashara et al., *Phys. Rev. B.* **81** (2010) 184519.

Optical conductivity in $\text{Bi}_2\text{Sr}_2\text{Ca}_{0.92}\text{Y}_{0.08}\text{Cu}_2\text{O}_{8+\delta}$



Drude form at low frequency:

$$\text{Re } \sigma(\omega, T) \sim T^{-1} \left(1 + A^2 \left(\frac{\omega}{T} \right)^2 \right)^{-1}$$

Universal power law at intermediate frequency:

$$\sigma(\omega, T) \approx B (-i\omega)^{-2/3}$$

From D. van der Marel et al., *Nature* **425** (2003) 271.

Gravity duals at finite temperature

periodic Euclidean time: $\tau \simeq \tau + \beta, \quad \beta = \frac{1}{T}$

β introduces an energy scale: **scale symmetry is broken**

thermal state in field theory: **black hole with $T_{\text{Hawking}} = T_{\text{qft}}$**

finite charge density in dual field theory: **electric charge on BH**

magnetic effects in dual field theory: **dyonic BH**

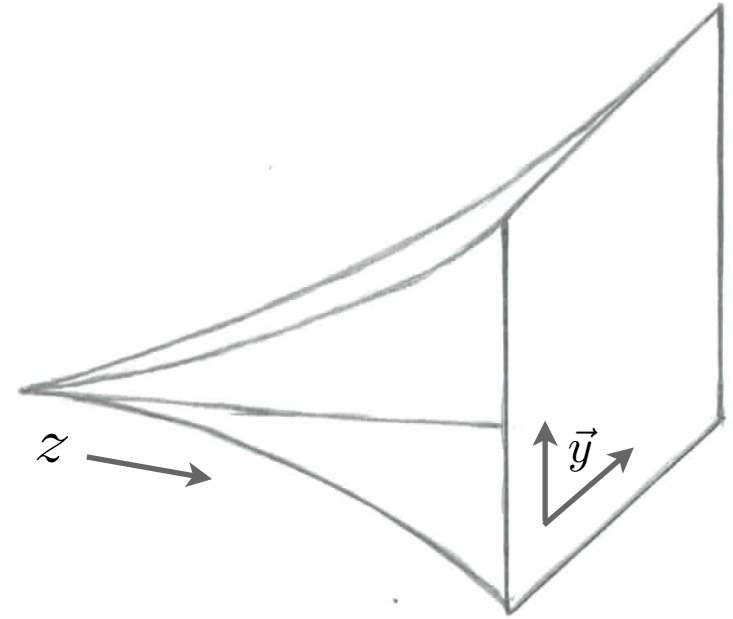
$z = 1$: planar AdS-Reissner-Nordström black hole

$z > 1$: planar charged Lifshitz black hole



Anti-de Sitter geometry

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Metric is invariant under Lorentz transformations on $(t, \vec{y})$

and also under the scaling $(t, z, y^i) \rightarrow \left(ct, \frac{z}{c}, cy^i \right), \quad c > 0$

UV - IR connection

The map $z \rightarrow 1/\xi$ gives

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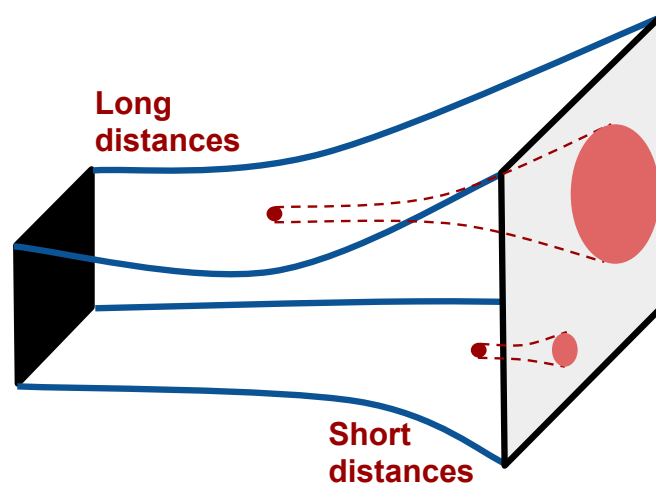
Planar AdS black holes

AdS spacetime: $ds^2 = \frac{1}{\xi^2}(-dt^2 + d\vec{y}^2 + d\xi^2)$ $\leftarrow b = 1$

Planar AdS black hole: $ds^2 = \frac{1}{\xi^2}(-f(\xi)dt^2 + d\vec{y}^2 + \frac{d\xi^2}{f(\xi)})$

AdS-Schwarzschild $f(\xi) = 1 - \left(\frac{\xi}{\xi_0}\right)^3$ $\leftarrow n = 3$

AdS-Reissner-Nordström $f(\xi) = 1 - \left(1 + \frac{\rho^2}{2}\right) \left(\frac{\xi}{\xi_0}\right)^3 + \frac{\rho^2}{2} \left(\frac{\xi}{\xi_0}\right)^4$



(from S. Hartnoll, arXiv:1106.4342)

Electrical conductivity from AdS/CFT

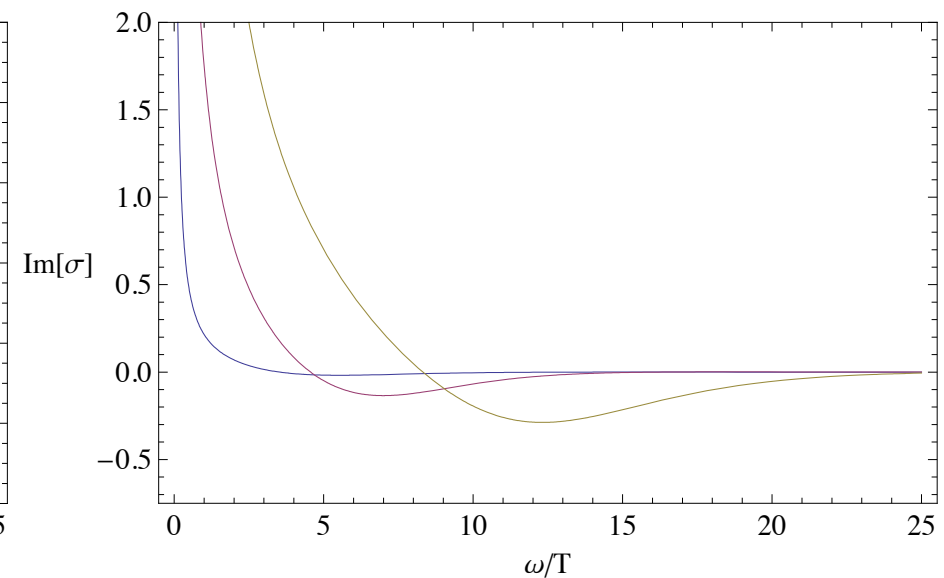
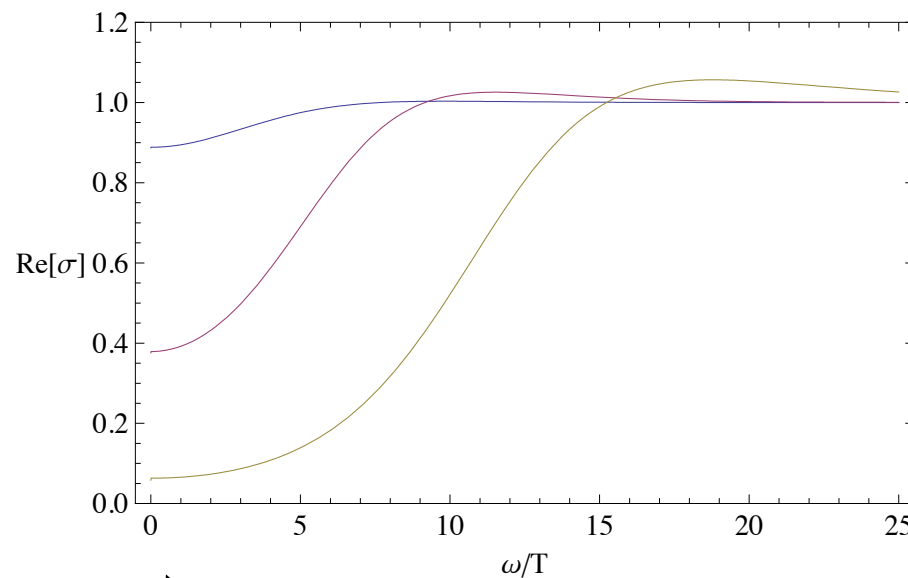
Holographic dictionary: $A_\mu \longleftrightarrow J_\mu$ U(1) current

Solve Maxwell's equations in black hole background

-- with “in-going” boundary conditions at black hole horizon

Asymptotic behavior: $A_x(\omega, \vec{k}, \xi) \approx a_x^{(0)}(\omega, \vec{k}) + a_x^{(1)}(\omega, \vec{k})\xi + \dots$

Calculation simplifies at $\vec{k} = 0$: $\sigma_{xx}(\omega) = -\frac{i}{\omega} \frac{a_x^{(1)}}{a_x^{(0)}}$

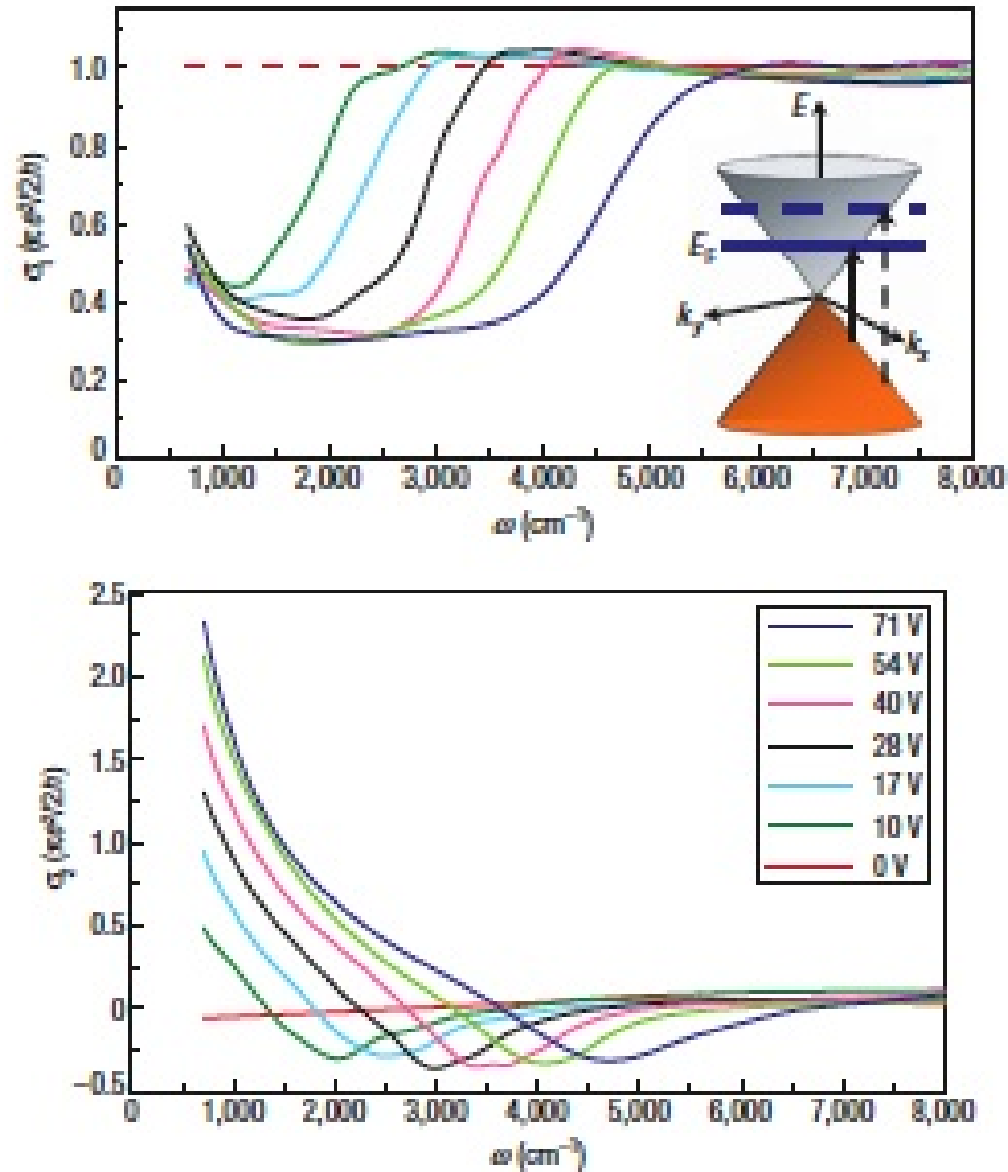


Figures from S. Hartnoll, *Class. Quant. Grav.* 26 (2009) 224002

Delta function peak in $\text{Re } \sigma$ at $\omega = 0$ due to translation invariance



Experimental results in graphene



(figures from S. Sachdev, arXiv:0711.3015)

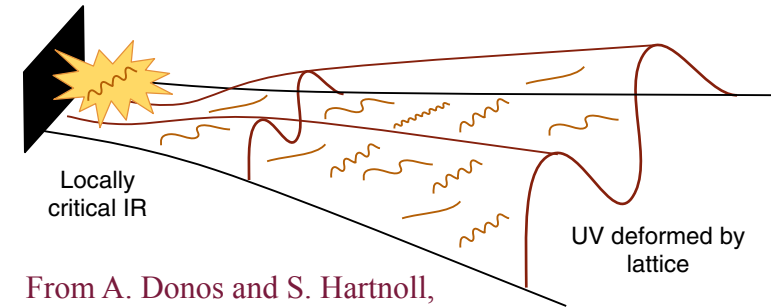
Holographic lattices

Break translation symmetry via UV boundary conditions

- Scalar field lattice: $\psi \rightarrow \psi_1(x, y) \frac{1}{r} + \psi_2(x, y) \frac{1}{r^2} + \dots$

$$\psi_1 = A_0 \cos(k_0 x)$$

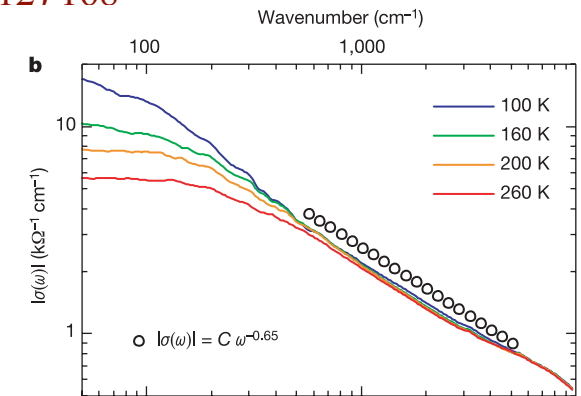
- Ionic lattice: $A_t \rightarrow \mu (1 + A_0 \cos(k_0 x)) + \dots$



From A. Donos and S. Hartnoll,
Phys. Rev. D **86** (2012) 124046

Optical conductivity G. Horowitz, J. Santos and D. Tong, *JHEP* **1207** (2012) 168

- low frequency: $\sigma(\omega) = \frac{K\tau}{1 - i\omega\tau}$
- intermediate frequency: $|\sigma(\omega)| = \frac{B}{\omega^{2/3}} + C$
- high frequency: $\sigma(\omega) \rightarrow \text{constant}$



Linear resistivity can be obtained from some holographic lattice models

A. Donos and S. Hartnoll, *PRD* **86** (2012) 124046

Holographic superconductors

Couple a charged scalar field to gravitational system

instability at low T : black brane with scalar “hair”

AdS/CFT prescription: hair corresponds to sc condensate

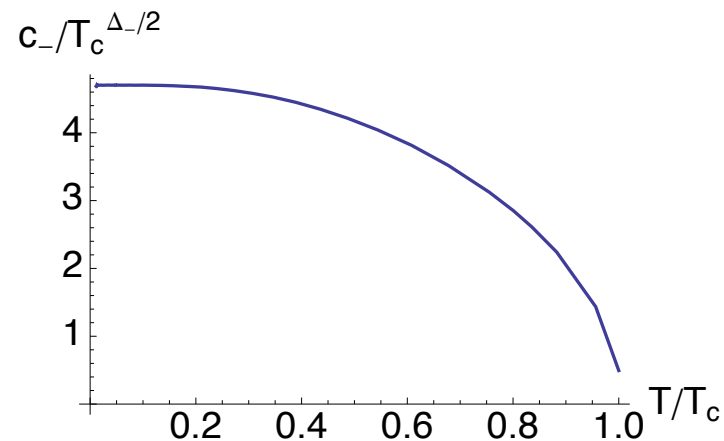
transport properties: solve classical wave equation in bh background

add magnetic field: dyonic black hole -- holographic sc is type II

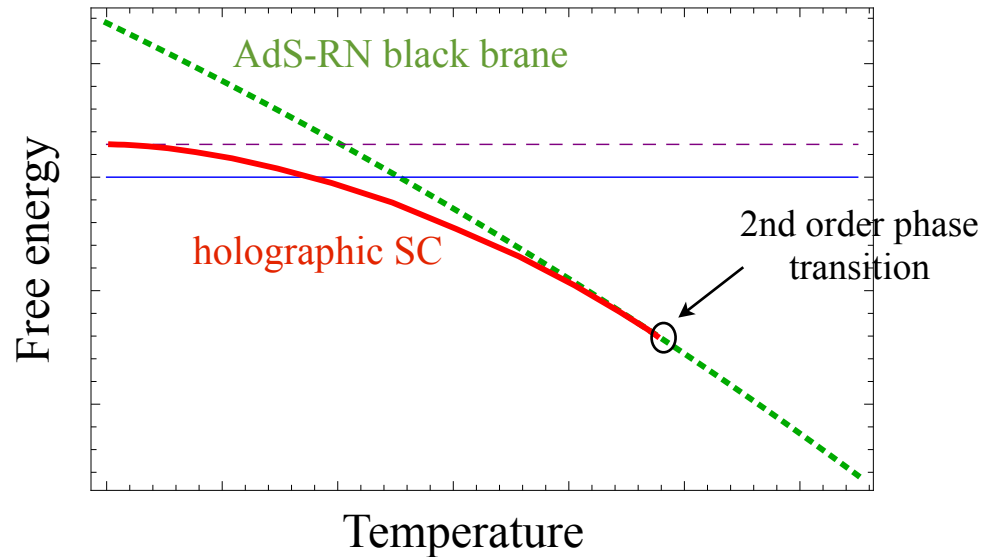
conformal system: start from AdS-RN exact solution

$z > 1$ systems: work with Lifshitz black branes

Numerical results for superconducting condensate:

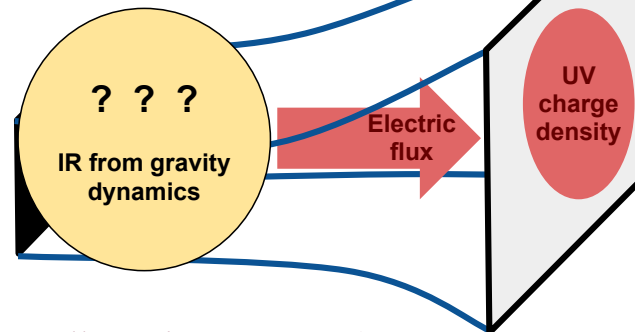


Thermodynamic stability



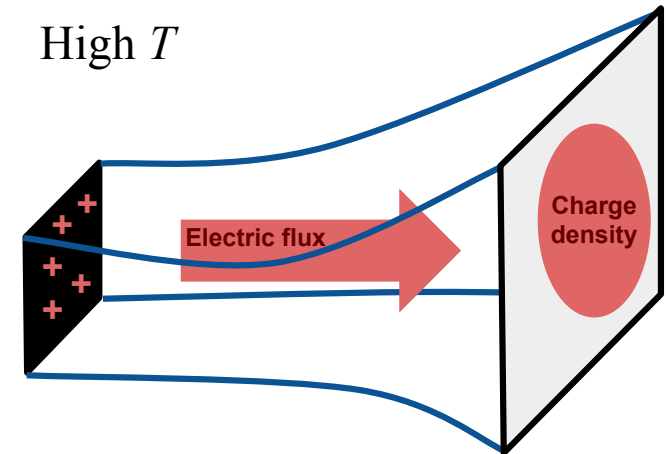
(from G. Horowitz and B. Way, arXiv:1007.3714)

Low T dynamics at finite density is governed by near-horizon region in spacetime geometry

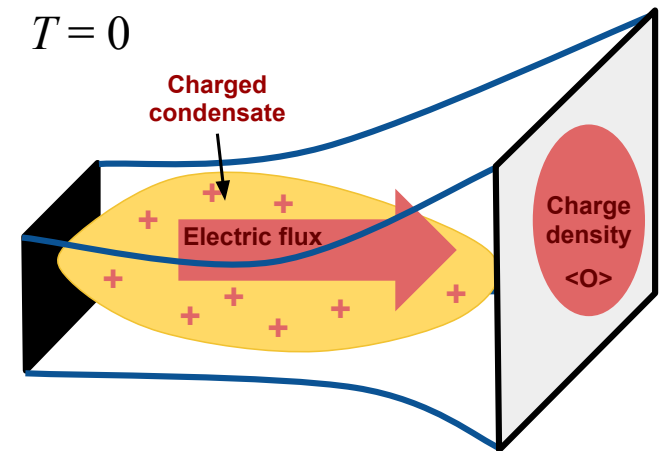


(from S. Hartnoll, arXiv:1106.4342)

High T



$T = 0$



(from S. Hartnoll, arXiv:1106.4342)

Zero temperature entropy

Low temperature limit is described by a near extremal black brane

$z = 1$: Extremal RN black brane has non-vanishing entropy

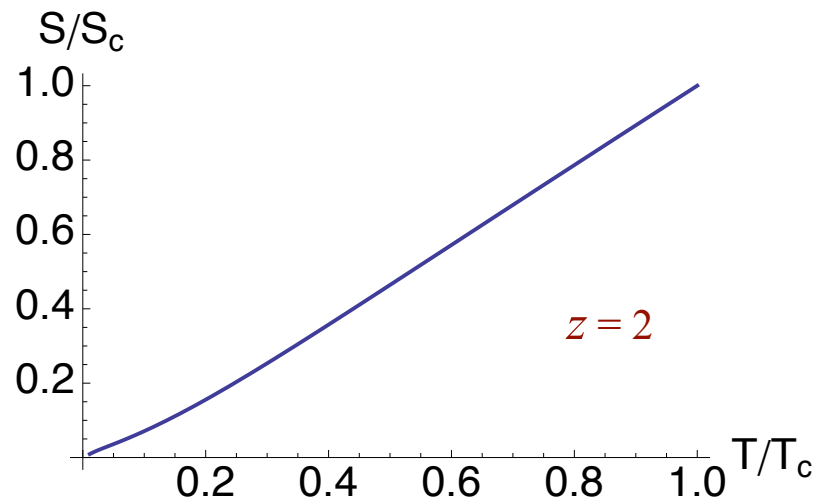
BUT

black brane with charged scalar hair has vanishing entropy density in extremal limit

G.Horowitz and M.Roberts (2009)

$z > 1$: Lifshitz black brane with hair also has vanishing entropy density in extremal limit

E. Brynjólfsson, U. Danielsson, L.T., T. Zingg, (2010)



Holographic metals

Include charged fermions in the bulk: $S_{\text{matter}} = - \int d^4x \sqrt{-g} \{ \bar{\Psi} \not{D} \Psi + m \bar{\Psi} \Psi \}$

Fermion probe calculations: S.-S. Lee (2008); H.Liu, J.McGreevy, D.Vegh (2009);
T. Faulkner, H. Liu, J. McGreevy, D.Vegh (2009);
M.Cubrovic, J.Zaanen, K.Schalm (2009)

Dirac equation: $(\not{D} + m)\Psi = 0$ $D_M = \partial_M + \frac{1}{4}\omega_{abM}\Gamma^{ab} - iqA_M$

Boundary fermions: $\psi_{\pm}(t, \vec{x}) = \lim_{r \rightarrow \infty} \Psi_{\pm}(t, \vec{x}, r)$ $\Gamma^3 \Psi_{\pm} = \pm \Psi_{\pm}$

$$\Psi_{\pm}(t, \vec{x}, r) = \frac{1}{(2\pi)^3} \int d\omega d^2k \tilde{\Psi}_{\pm}(\omega, \vec{k}, r) e^{-i\omega t + i\vec{k} \cdot \vec{x}}$$

Adapt AdS/CFT prescription to compute $G_R(\omega, k)$

Single fermion spectral function $A(\omega, k) = \frac{1}{\pi} \text{Im} \left(\text{Tr} [i\sigma^3 G_R(\omega, k)] \right)$

can be directly compared to ARPES data.



Holographic Fermi surface

$$G_R(\omega, k)^{-1} \sim \omega - v_F(k - k_F) - i\Gamma + \dots$$

$$v_F, k_F \sim \mu \quad \Gamma \sim \omega^{2\nu} \quad \nu = \sqrt{m^2 - q^2 + \frac{k_F^2}{\mu^2}}$$

Depending on the probe parameters we can have:

$\nu > \frac{1}{2}$	long-lived quasiparticles	Landau Fermi liquid: $\Gamma \sim \omega^2$
$\nu < \frac{1}{2}$	no stable quasiparticles	
$\nu = \frac{1}{2}$	log suppressed quasiparticle residue	marginal Fermi liquid

Going beyond fermion probe approximation

Fermion many-body problem in AdS₄

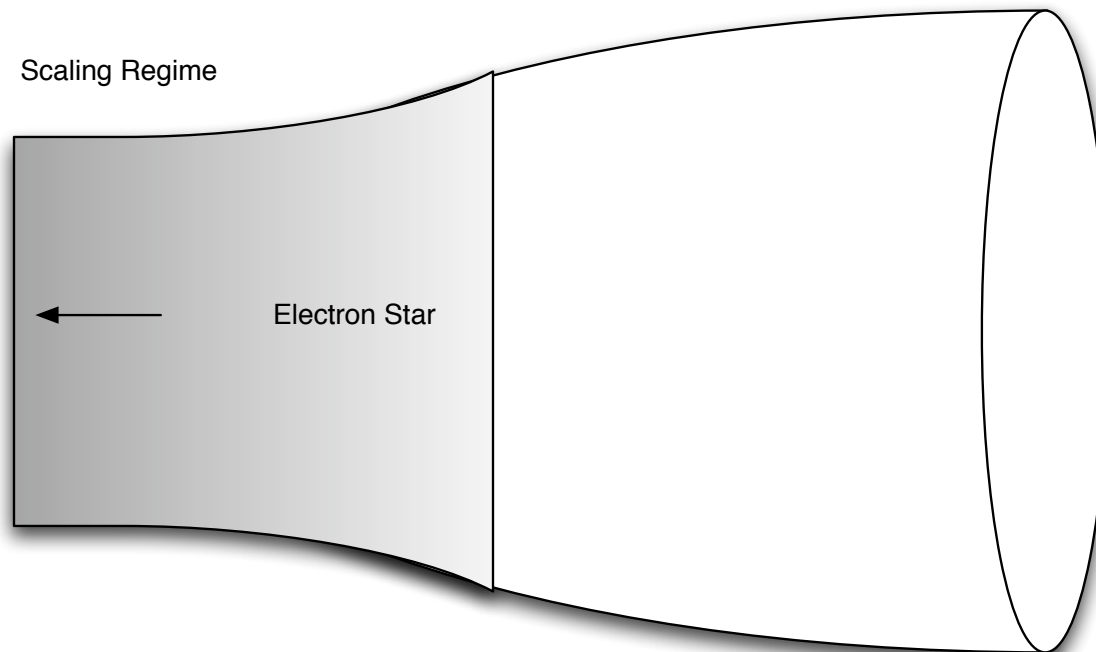
No easier than original problem!

Thomas-Fermi approximation: Treat fermions as a continuous charged fluid

S. Hartnoll, J. Polchinski, E. Silverstein, D. Tong (2009)

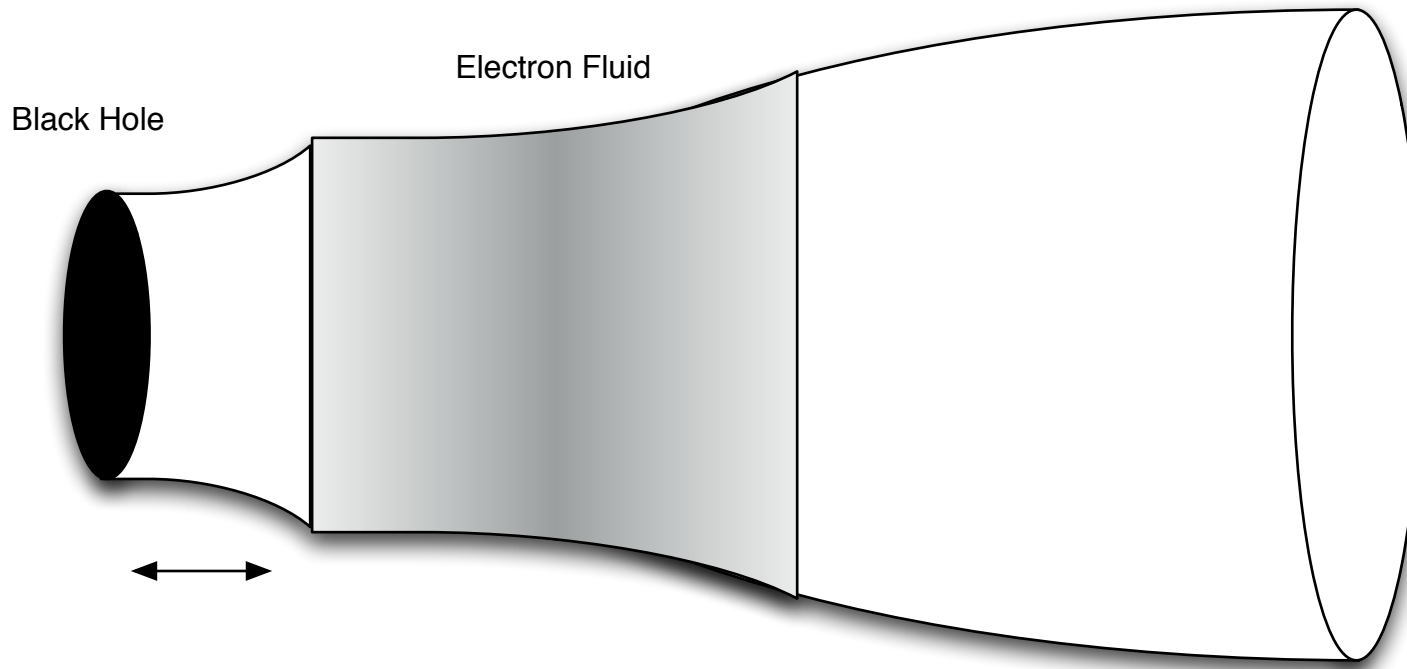
S. Hartnoll, A. Tavanfar (2010)

$T = 0$ configuration is an *electron star*



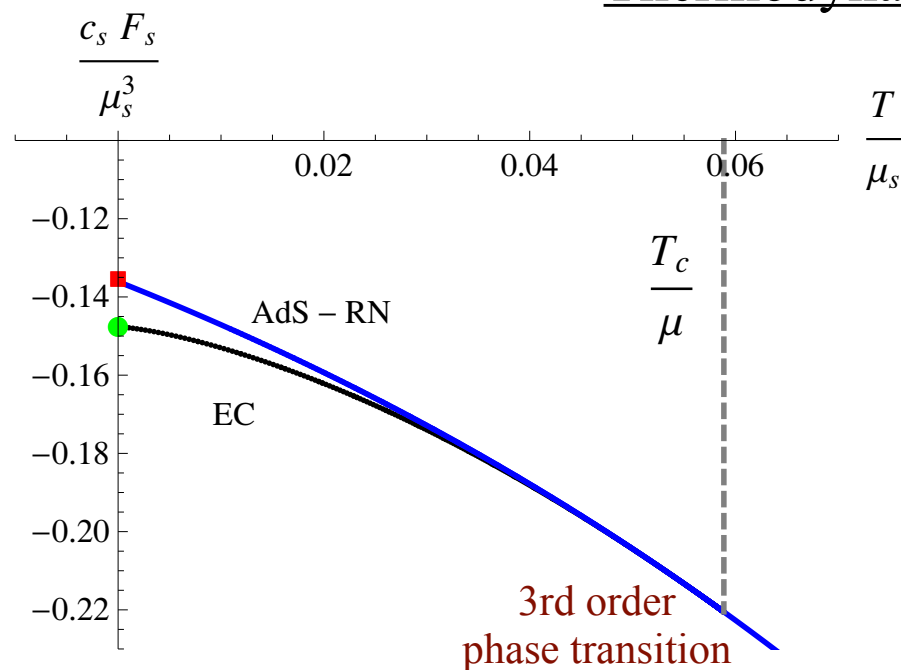
Electron stars at finite temperature

S. Hartnoll, P. Petrov (2010);
V. Giangreco Puletti, S. Nowling, L.T., T. Zingg (2010)



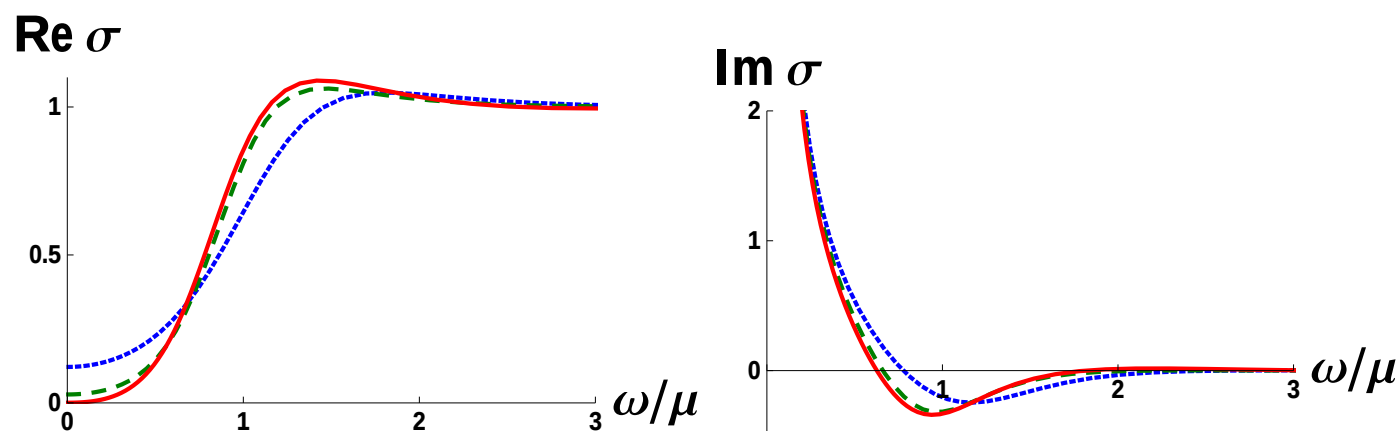
Thermodynamic stability

Hartnoll and Petrov 2010
Giangreco, Nowling, L.T., Zingg 2010



AdS-Reissner Nordström
background is unstable to
forming an electron cloud
at low T

Electrical conductivity



Summary and open questions

- Gauge theory/gravity correspondence provides a handle on some strongly coupled field theories.
- It is motivated by the study of supersymmetric solitons in string theory but bottom-up models only involve classical gravity & simple matter fields.
- Can also be used to study thermalization in out-of-equilibrium systems (holographic quenching, etc.)
- Moving towards more realistic models:
 - consider dyonic black holes to include magnetic effects
 - introduce modulated sources at AdS boundary to model lattice effects
 - quantum electron stars (bulk fermions beyond probe or TF approximation)
 - holographic p- and d-wave superconductors
- Many open questions:
 - why is classical gravity valid?
 - what plays role of N (# of colors)?
 - disordered systems?
 - designer gravity?

