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IWR
Interdisciplinary Center
for Scientific Computing
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The Algebraic Diagrammatic Construction - a versatile approach to excited electronic states, ionization potentials and electron affinities

Motivation: QM methods for excited states of large molecules

Wish list of properties:

- balanced treatment of all states:
reliable excitation energies
- direct comparison with experiment:
error of 0.1–0.2 eV
0.5 eV more realistic
reliable transition moments
reliable geometries
predictable errors
- “black-box” method
- computationally cheap

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Available methods:

- wavefunction based:
CIS, ADC, CC2, CASSCF, SAC-CI....
- density based:
TDDFT and variants
- semi-empirical methods:
INDO/S, AM1/MRCI, OM2/MRCI....

None of the available methods fulfills all points of our wish list.

Even worse:

No thorough evaluation possible:
no benchmarks, no experiments

ADC: general introduction

Algebraic diagrammatic construction (ADC) scheme

- based on **many-body Green's function (propagator)** theory
- uses **diagrammatic perturbation theory** to **construct algebraic expressions** from propagator approximations
- applicable to “all” propagators

ADC scheme for the polarization propagator

- gives access to excitation energies and transition moments

ADC scheme for the single particle propagator

- gives access to ionization energies and electron affinities

Intermediate state representation (ISR)

- gives access to excited state properties and transition moments
- intuitive derivation of ADC matrix equations

Overview I

Theory

- many-body Green's functions (propagators)
 - Green's functions
 - Green's functions in quantum mechanics (single particle)
 - propagators and quasi-particles
 - many-body single-particle and two-particle propagator
 - polarization propagator
- Algebraic diagrammatic construction for the polarization propagator
 - derivation of ADC(0) and ADC(1) matrix expressions
 - uniqueness of the ADC procedure

Green's functions

$$\int dx' \hat{D}G(x, x')I(x') = \int dx' \delta(x - x')I(x') = I(x)$$

Using this expression for the inhomogeneity:

$$\hat{D}f(x) = I(x) = \int dx' \hat{D}G(x, x')I(x')$$

and rewriting

$$\hat{D}f(x) = \hat{D} \left(\int dx' G(x, x')I(x') \right)$$

we find

$$f(x) = \int dx' G(x, x')I(x')$$

Single particle Green's function

Green's function for the time-dependent Schrödinger equation:

$$\left(i \frac{\partial}{\partial t} - \hat{H} \right) G(\mathbf{x}, t; \mathbf{x}', t') = \delta(\mathbf{x} - \mathbf{x}') \delta(t - t')$$

gives access to the time-dependent wave-function

$$\psi(\mathbf{x}, t) = i \int d^3x' G(\mathbf{x}, t; \mathbf{x}', t') \psi(\mathbf{x}', t')$$

Single particle Green's function

How does the Green's function look like?

Considering a single particle at initial time t'
described by:

$$|Q, t'\rangle$$

at $t > t'$:

$$|Q, t'; t\rangle = e^{-i\hat{H}(t-t')} |Q, t'\rangle$$

according to

$$i\frac{\partial}{\partial t}|Q, t'; t\rangle = \hat{H}e^{-i\hat{H}(t-t')} |Q, t'\rangle = \hat{H}|Q, t'; t\rangle$$

Single particle Green's function

How does the Green's function look like?

The time-dependent wave-function is found as:

$$\psi(\mathbf{x}, t) = \langle \mathbf{x} | Q, t'; t \rangle = \langle \mathbf{x} | e^{-iH(t-t')} | Q, t' \rangle$$

which we rewrite using $\int d^3x' |\mathbf{x}'\rangle \langle \mathbf{x}'| = 1$

$$\psi(\mathbf{x}, t) = \int d^3x' \underbrace{\langle \mathbf{x} | e^{-iH(t-t')} | \mathbf{x}' \rangle}_{i\tilde{G}(\mathbf{x}, t; \mathbf{x}', t')} \underbrace{\langle \mathbf{x}' | Q, t' \rangle}_{\psi(\mathbf{x}', t')}$$

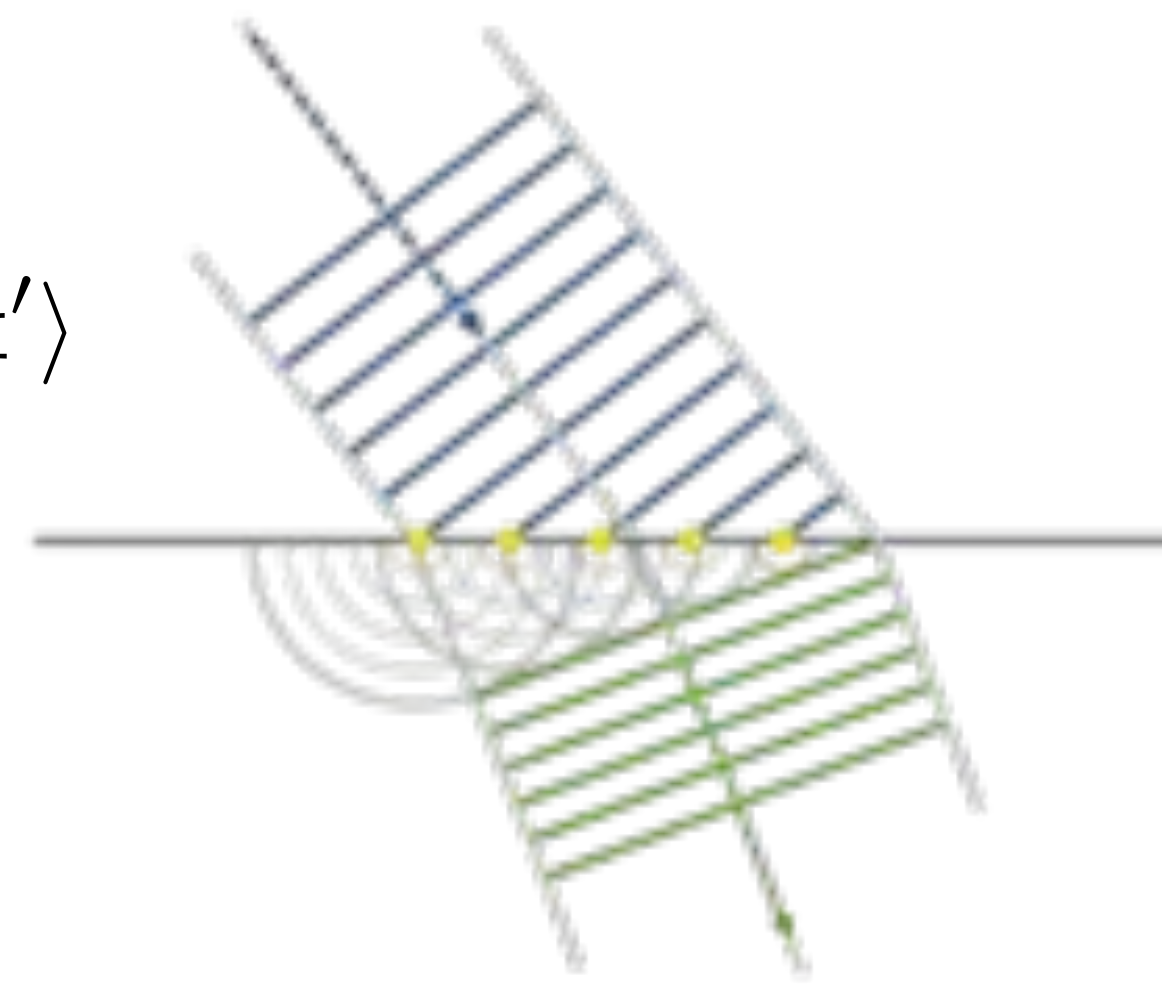
Single particle Green's function

Physical interpretation (Huygens' principle)

$$\psi(\mathbf{x}, t) = i \int d^3x' \tilde{G}(\mathbf{x}, t; \mathbf{x}', t') \psi(\mathbf{x}', t')$$

Probability amplitude

$$\begin{aligned} \tilde{G}(\mathbf{x}, t; \mathbf{x}', t') &= -i \langle \mathbf{x} | e^{-iH(t-t')} | \mathbf{x}' \rangle \\ &= -i \langle \mathbf{x}, t | \mathbf{x}', t' \rangle \end{aligned}$$



Single particle Green's function

“actual” Green's function

$$\left(i \frac{\partial}{\partial t} - \hat{H} \right) G(\mathbf{x}, t; \mathbf{x}', t') = \delta(\mathbf{x} - \mathbf{x}') \delta(t - t')$$

Step function

$$\theta(t - t') = \begin{cases} e^{-i\eta}, & t - t' \geq 0 \\ 0, & t - t' < 0 \end{cases}, \eta \downarrow 0$$

$$G(\mathbf{x}, t; \mathbf{x}', t') = \theta(t - t') \tilde{G}(\mathbf{x}, t; \mathbf{x}', t')$$

Single particle Green's function

Analysis of the single particle propagator

Spectrum of the Hamiltonian $\hat{H}|n\rangle = E_n|n\rangle$, with $\sum_n |n\rangle\langle n| = \mathbf{1}$

$$i\tilde{G}(\mathbf{x}, t; \mathbf{x}', t') = \langle 0 | \hat{c}_x e^{-iH(t-t')} \hat{c}_{x'}^\dagger | 0 \rangle$$

$$= \sum_n \langle 0 | \hat{c}_x | n \rangle \langle n | e^{-iH(t-t')} | n \rangle \langle n | \hat{c}_{x'}^\dagger | 0 \rangle$$

$$= \sum_n \langle 0 | \hat{c}_x | n \rangle \langle n | \hat{c}_{x'}^\dagger | 0 \rangle e^{-iE_n(t-t')}$$

Single particle Green's function

Analysis of the single particle propagator

Fourier transform with $\tau = t - t'$

$$G(\mathbf{x}, \mathbf{x}; \omega) = \int d\tau e^{i\omega\tau} G(\mathbf{x}, \mathbf{x}'; \tau)$$

$$= \sum_n \frac{\langle 0 | \hat{c}_x | n \rangle \langle n | \hat{c}_{x'}^\dagger | 0 \rangle}{\omega - E_n + i\eta}$$

denominator has poles at the spectrum of $\hat{H}$

$$= \langle 0 | \hat{c}_x \frac{1}{\omega - \hat{H} + i\eta} \hat{c}_{x'}^\dagger | 0 \rangle$$

can be represented in any single-particle basis

Single particle Green's function

Perturbation expansion of the propagator

Using a splitted Hamiltonian $\hat{H} = \hat{H}_0 + \hat{H}_1$ for $G(\mathbf{x}, \mathbf{x}; \omega) = \langle 0 | \hat{c}_x \underbrace{\frac{1}{\omega - \hat{H} - i\eta}}_{\hat{G}} \hat{c}_x^\dagger | 0 \rangle$

$$\hat{G} = \frac{1}{\omega - \hat{H} + i\eta} = \frac{1}{A - \hat{H}_1}$$

$$\hat{G}_0 = \frac{1}{\omega - \hat{H}_0 + i\eta} = \frac{1}{A}$$

we can find the recursion formula:

$$\frac{1}{A - \hat{H}_1} = \frac{1}{A} + \frac{1}{A} \hat{H}_1 \frac{1}{A - \hat{H}_1}$$

Single particle Green's function

Perturbation expansion of the propagator

Recursion formula:
$$\frac{1}{A - \hat{H}_1} = \frac{1}{A} + \frac{1}{A} \hat{H}_1 \frac{1}{A - \hat{H}_1}$$

$$\begin{aligned} G(\omega) &= G^{(0)}(\omega) + G^{(0)}(\omega) \hat{H}_1 G(\omega) \\ &= G^{(0)}(\omega) + G^{(0)}(\omega) H_1 G^{(0)}(\omega) + G^{(0)}(\omega) H_1 G^{(0)}(\omega) H_1 G^{(0)}(\omega) + \dots \end{aligned}$$

0th order in spectrum of $\hat{H}_0 |i\rangle = \epsilon_i |i\rangle, i \in \{p, q, r, \dots\}$

$$G^{(0)}(p, q; \omega) = \langle p | \frac{1}{\omega - \hat{H}_0 + i\eta} | q \rangle = \frac{\delta_{pq}}{\omega - \epsilon_p + i\eta}$$

Green's function summary

Checklist

Single particle propagator

Many-body propagators

Describes time propagation

Yes

Yes

FT: poles spectrum of Hamiltonian

Yes

Yes

0th order propagator looks like

$$\frac{\delta_{pq}}{\omega - \epsilon_p + i\eta}$$

identical/similar

Has recursive PT expansion

Yes

Yes

Has diagrammatic expansion

Yes (trivial)

Yes (not trivial)

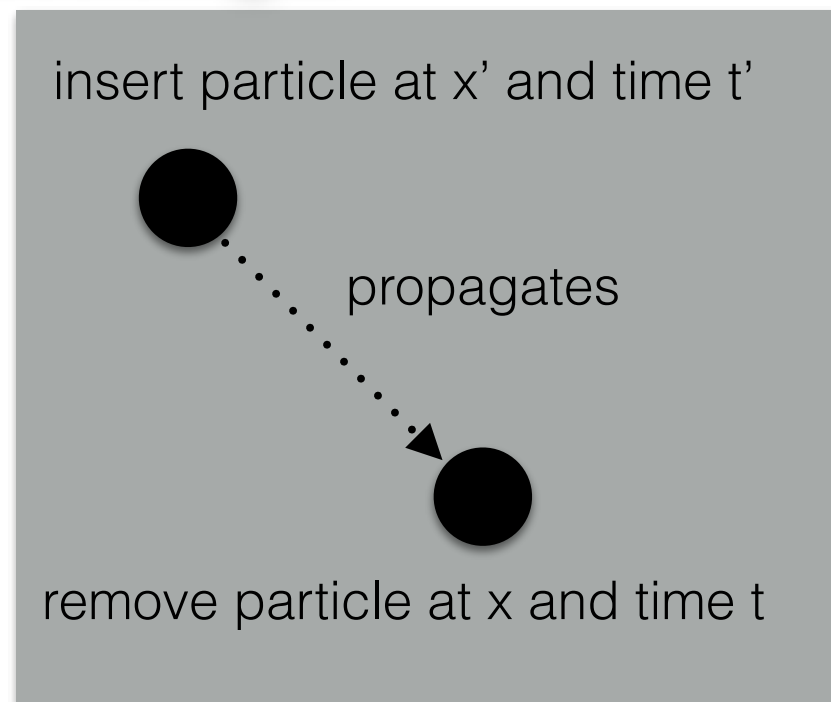
Quasi particle

Some more physical interpretation

Quasi particles:

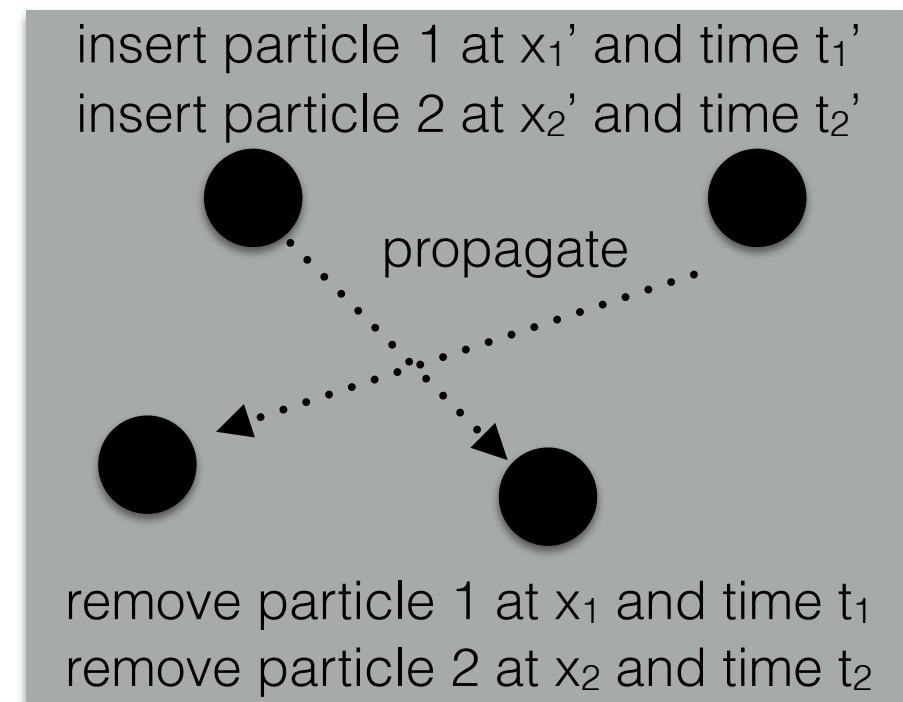
- ion in solvation shell
- electron and shell in uniform electron gas

} weakly interacting



$$G(\mathbf{x}, t; \mathbf{x}', t')$$

Probability amplitude



$$G(\mathbf{x}_1, t_1; \mathbf{x}_1', t_1'; \mathbf{x}_2, t_2; \mathbf{x}_2', t_2')$$

Probability amplitude

Many-body propagators

Single-particle propagator

$$G_{p,q}(t_p, t_q) = -i \left\langle \Psi_0 \left| \hat{T} \left[\hat{c}_{H p}(t_p) \hat{c}_{H q}^\dagger(t_q) \right] \right| \Psi_0 \right\rangle$$

$$\hat{c}_{H p}(t) = e^{i\hat{\mathcal{H}}t} \hat{c}_p e^{-i\hat{\mathcal{H}}t}$$

$$\hat{c}_{H p}^\dagger(t) = e^{i\hat{\mathcal{H}}t} \hat{c}_p^\dagger e^{-i\hat{\mathcal{H}}t}$$

Two-particle propagator

$$G_{p,q;r,s}(t_p, t_q; t_r, t_s) =$$

$$(-i)^2 \left\langle \Psi_0 \left| \hat{T} \left[\hat{c}_{H p}(t_p) \hat{c}_{H q}(t_q) \hat{c}_{H s}^\dagger(t_s) \hat{c}_{H r}^\dagger(t_r) \right] \right| \Psi_0 \right\rangle$$

Time-ordering operator

$$\hat{T} \left[\hat{A}_H(t) \hat{B}_H(t') \right] = \underbrace{\hat{A}_H(t) \hat{B}_H(t') \theta(t - t')}_{\text{"+" or retarded}} - \underbrace{\hat{B}_H(t') \hat{A}_H(t) \theta(t' - t)}_{\text{"-" or advanced}}$$

“+” or retarded

“-” or advanced

Many-body propagators

Polarization propagator

$$\begin{aligned}\Pi_{pq,rs}(t, t') &= \lim_{t_q \searrow t} \lim_{t_s \searrow t'} i \{ G_{p,s;q,r}(t, t_s; t_q, t') - G_{p,q}(t, t_q) G_{s,r}(t_s, t') \} \\ &= -i \left\langle \Psi_0 \left| \hat{T} \left[\hat{c}_{Hq}^\dagger(t) \hat{c}_{Hp}(t) \hat{c}_{Hr}^\dagger(t') \hat{c}_{Hs}(t') \right] \right| \Psi_0 \right\rangle \\ &\quad + i \left\langle \Psi_0 \left| \hat{c}_{Hq}^\dagger(t) \hat{c}_{Hp}(t) \right| \Psi_0 \right\rangle \left\langle \Psi_0 \left| \hat{c}_{Hr}^\dagger(t') \hat{c}_{Hs}(t') \right| \Psi_0 \right\rangle\end{aligned}$$

Spectral representation

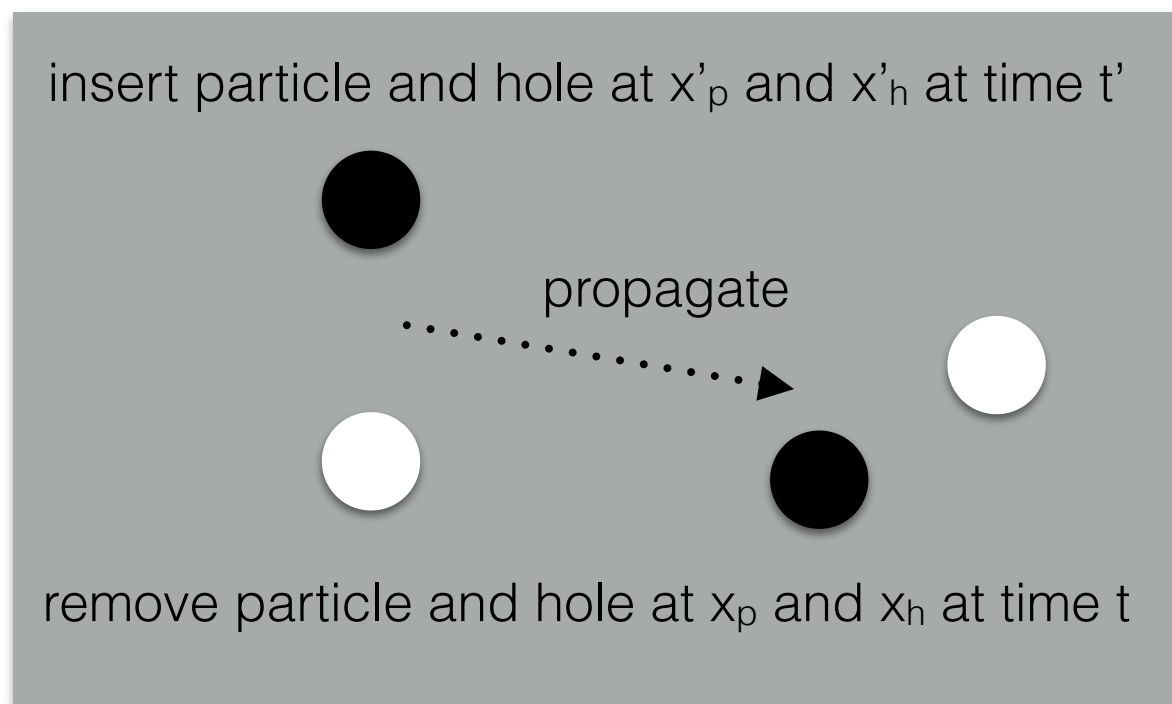
$$\begin{aligned}\Pi_{pq,rs}(\omega) &= \int d\tau e^{i\omega\tau} \Pi_{pq,rs}(\tau) \\ &= \underbrace{\sum_{n \neq 0} \frac{\langle \Psi_0 | \hat{c}_q^\dagger \hat{c}_p | \Psi_n \rangle \langle \Psi_n | \hat{c}_r^\dagger \hat{c}_s | \Psi_0 \rangle}{\omega - (E_n - E_0) + i\eta}}_{\Pi_+(\omega)} + \underbrace{\sum_{n \neq 0} \frac{\langle \Psi_0 | \hat{c}_r^\dagger \hat{c}_s | \Psi_n \rangle \langle \Psi_n | \hat{c}_q^\dagger \hat{c}_p | \Psi_0 \rangle}{-\omega - (E_n - E_0) + i\eta}}_{\Pi_-(\omega)}\end{aligned}$$

Quasi particle

Some more physical interpretation

Polarization propagator

- propagation of particle-hole pair
- linear response with respect to time-dependent field



corresponds to moving polarization

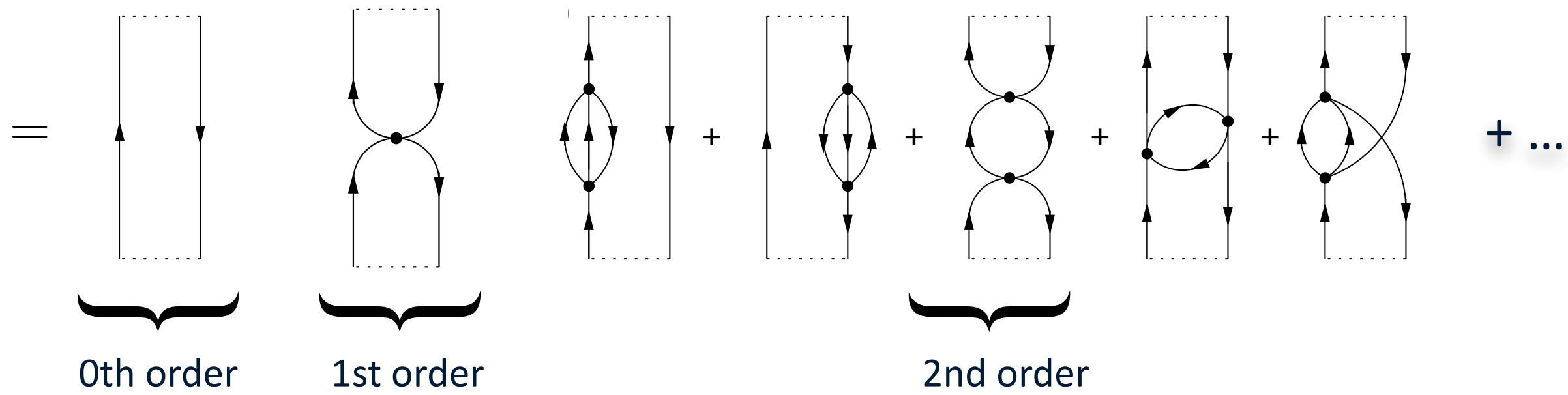
$$G(\mathbf{x}_p, \mathbf{x}_h, t; \mathbf{x}'_p, \mathbf{x}'_h, t')$$

Probability amplitude

Algebraic diagrammatic construction

Diagrammatic perturbation expansion of the polarization propagator in Feynman Diagrams

$$\Pi_{pq,rs}^+(\omega) = \sum_{n \neq 0} \frac{\langle \Psi_0 | \hat{c}_p^\dagger \hat{c}_q | \Psi_n \rangle \langle \Psi_n | \hat{c}_p^\dagger \hat{c}_q | \Psi_0 \rangle}{\omega - (E_n - E_0)} = \mathbf{x}_{pq}^\dagger \underbrace{(\mathbb{1}\omega - \mathbf{\Omega})^{-1}}_{\text{diagonal form}} \mathbf{x}_{rs}$$



Algebraic diagrammatic construction

Transition function

$$T(\omega) = \mathbf{D}^\dagger \mathbf{\Pi}(\omega) \mathbf{D}$$

D: vector of operator
 representation in
 single-particle basis

Postulation of non-diagonal form

$$T(\omega) = \mathbf{F}^\dagger (\mathbb{1}\omega - \mathbf{M})^{-1} \mathbf{F} \quad \mathbf{Y}^t \mathbf{M} \mathbf{Y} = \mathbf{\Omega}$$

Postulation of perturbation expansion for so-called ADC matrix **M**
 and so-called modified transition moments **F**

$$\mathbf{M} = \mathbf{M}^{(0)} + \mathbf{M}^{(1)} + \mathbf{M}^{(2)} + \dots$$

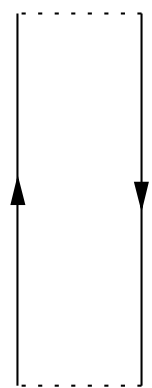
$$\mathbf{F} = \mathbf{F}^{(0)} + \mathbf{F}^{(1)} + \mathbf{F}^{(2)} + \dots,$$

Using Møller-Plesset Hamiltonian splitting $\hat{H} = \hat{H}_0 + \hat{H}_1$

ADC(0)

Perturbation expansion of the transition function using Goldstone (FT) diagrams

0th order:



$$T^{(0)}(\omega) = \sum_{ia} D_{ia}^* \frac{1}{\omega + \epsilon_a - \epsilon_i} D_{ia}$$

$$\Pi_{ia,jb}^{(0)} = \frac{\delta_{(ia),(jb)}}{\omega + \epsilon_a - \epsilon_i} = \frac{\delta_{ab}\delta_{ij}}{\omega + \epsilon_a - \epsilon_i}$$

$$= \mathbf{F}^{(0)\dagger} \left(\mathbb{1}\omega - \mathbf{M}^{(0)} \right)^{-1} \mathbf{F}^{(0)}$$

$$\Rightarrow M_{ia,jb}^{(0)} = \delta_{ab}\delta_{ij} (\epsilon_a - \epsilon_i)$$

$$\mathbf{F}_{ia}^{(0)} = D_{ia}$$

ADC(1)

Perturbation expansion of the Transition function using Goldstone diagrams

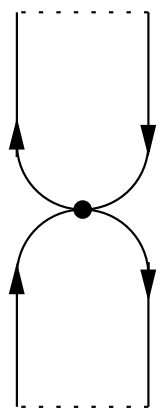
Remembering from the single particle propagator

$$G(\omega) = G^{(0)}(\omega) + G^{(0)}(\omega)H_1G^{(0)}(\omega) + G^{(0)}(\omega)H_1G^{(0)}(\omega)H_1G^{(0)}(\omega) + \dots$$

Rewrite

$$(\mathbb{1}\omega - \mathbf{M})^{-1} = \left(\mathbb{1}\omega - \mathbf{M}^{(0)}\right)^{-1} + \left(\mathbb{1}\omega - \mathbf{M}^{(0)}\right)^{-1} \mathbf{M}^{(1)} \left(\mathbb{1}\omega - \mathbf{M}^{(0)}\right)^{-1} + \dots$$

1st order (one contribution):



$$T_1^A(\omega) = \sum_{ia,jb} D_{ia}^* \frac{1}{\omega + \epsilon_a - \epsilon_i} (-\langle aj || bi \rangle) \frac{1}{\omega + \epsilon_b - \epsilon_j} D_{jb}$$

$$\Rightarrow M_{ia,jb}^{(1)} = -\langle aj || bi \rangle$$

Uniqueness of the ADC procedure

Considering

$$T_1^A(\omega) = \sum_{ia,jb} D_{ia}^* \frac{1}{\omega + \epsilon_a - \epsilon_i} (-\langle aj || bi \rangle) \frac{1}{\omega + \epsilon_b - \epsilon_j} D_{jb}$$

Rewriting

$$\frac{1}{\omega - \epsilon_a - \epsilon_i} \frac{1}{\omega - \epsilon_b - \epsilon_j} = \frac{1}{(\epsilon_i - \epsilon_a + \epsilon_b - \epsilon_j)} \left(\frac{1}{\omega + \epsilon_a - \epsilon_i} - \frac{1}{\omega + \epsilon_b - \epsilon_j} \right) \quad \begin{matrix} i \neq j \\ a \neq b \end{matrix}$$

$$\Rightarrow \tilde{M}_{ia,jb}^{(1)} = -\delta_{ab} \delta_{ij} \langle ai || ai \rangle \neq M_{ia,jb}^{(1)}$$

ADC: maximum possible number of contributions in ADC matrix

How does the ADC matrix look like?

$$M_{ia,jb}^{(0)} = (\epsilon_a - \epsilon_i) \delta_{ab} \delta_{ij}$$

$$M_{ia,jb}^{(1)} = -\langle aj || bi \rangle$$

$$M_{ia,jb}^{(2) A} = \frac{1}{4} \delta_{ij} \sum_{ckl} \left(\frac{\langle ac || kl \rangle \langle kl || bc \rangle}{\epsilon_a + \epsilon_c - \epsilon_k - \epsilon_l} + \frac{\langle ac || kl \rangle \langle kl || bc \rangle}{\epsilon_b + \epsilon_c - \epsilon_k - \epsilon_l} \right)$$

$$M_{ia,jb}^{(2) B} = \frac{1}{4} \delta_{ab} \sum_{cdk} \left(\frac{\langle cd || ik \rangle \langle jk || cd \rangle}{\epsilon_c + \epsilon_d - \epsilon_i - \epsilon_k} + \frac{\langle cd || ik \rangle \langle jk || cd \rangle}{\epsilon_c + \epsilon_d - \epsilon_j - \epsilon_k} \right)$$

$$M_{ia,jb}^{(2) C} = -\frac{1}{2} \sum_{ck} \left(\frac{\langle ac || ik \rangle \langle jk || bc \rangle}{\epsilon_a + \epsilon_c - \epsilon_i - \epsilon_k} + \frac{\langle ac || ik \rangle \langle jk || bc \rangle}{\epsilon_b + \epsilon_c - \epsilon_j - \epsilon_k} \right)$$

$$M_{ia,kcld}^{(1)} = \langle kl || id \rangle \delta_{ac} - \langle kl || ic \rangle \delta_{ad} - \langle al || cd \rangle \delta_{ik} + \langle ak || cd \rangle \delta_{il}$$

$$M_{iajb,kc}^{(1)} = \langle kb || ij \rangle \delta_{ac} - \langle ka || ij \rangle \delta_{bc} - \langle ab || cj \rangle \delta_{ik} + \langle ab || ci \rangle \delta_{jk}$$

$$M_{iajb,kcld}^{(0)} = (\epsilon_a + \epsilon_b - \epsilon_i - \epsilon_j) \delta_{ac} \delta_{bd} \delta_{ik} \delta_{jl}.$$



Overview II

Theory

- Intermediate state representation
 - derivation
 - linear and non-linear properties

Implementation

- How to code in adcman

Applications

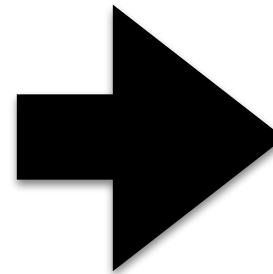
- UADC
- SOS-ADC
- ADC(3)
- Spin-flip ADC
- wave-function analysis
- IP-ADC and EA-ADC
- ADC geometry optimizations

Introduction

CISD...

$$\begin{array}{c}
 \hat{H} \quad |\Psi_{HF}\rangle \quad |\Psi_i^a\rangle \quad |\Psi_{ij}^{ab}\rangle \quad \cdots \\
 \begin{array}{c}
 \langle \Psi_{HF}| \\
 \langle \Psi_i^a| \\
 \langle \Psi_{ij}^{ab}| \\
 \vdots
 \end{array}
 \begin{array}{|c|c|c|}
 \hline
 & & \\
 \hline
 0 & & \\
 \hline
 0 & & \\
 \hline
 & & \\
 \hline
 \end{array}
 \end{array}
 \xrightarrow{\cdots}$$

Diagonalization



Exact solution

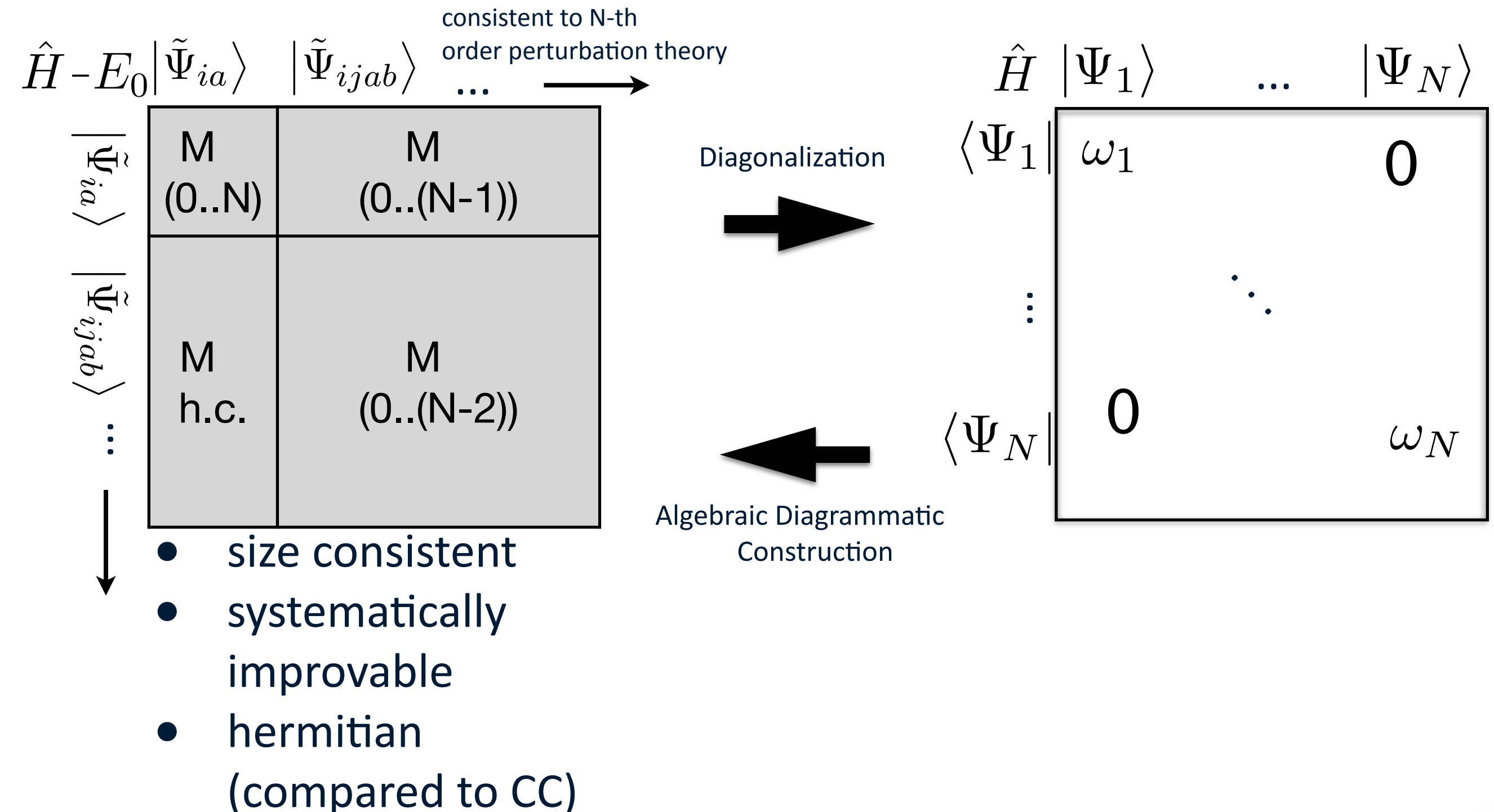
$$\begin{array}{c}
 \hat{H} \quad |\Psi_0\rangle \quad |\Psi_1\rangle \quad \cdots \quad |\Psi_N\rangle \\
 \begin{array}{c}
 \langle \Psi_0| \\
 \langle \Psi_1| \\
 \vdots \\
 \langle \Psi_N|
 \end{array}
 \begin{array}{|c|c|c|c|}
 \hline
 E_0 & & & 0 \\
 \hline
 & \omega_1 & & \\
 \hline
 & & \ddots & \\
 \hline
 0 & & & \omega_N
 \end{array}
 \end{array}$$

- arbitrary truncation
- not size consistent

Reinterpretation of ADC

ADC(N)

(Exact) solution



Intermediate State Representation

Excitation operators

$$\{\hat{C}_I\} \equiv \{\hat{c}_a^\dagger c_i, \hat{c}_a^\dagger \hat{c}_b^\dagger \hat{c}_i \hat{c}_j, \dots\}$$

$$\hat{c}_a^\dagger c_i |\Psi_{HF}\rangle = |\Psi_i^a\rangle$$

Applying to the exact ground state

$$|\Psi_0^I\rangle = \hat{C}_I |\Psi_0\rangle \xrightarrow[\text{Orthonormalization}]{\text{Intermediate State Representation}} |\tilde{\Psi}_I\rangle \quad \text{Intermediate State}$$

ADC: “Configuration interaction” in correlated states

Intermediate State Representation

Formal construction of ADC in Intermediate States

I: Orthogonalize with respect to ground state

$$|\Psi_I^\#\rangle = \hat{C}_I |\Psi_0\rangle - |\Psi_0\rangle \langle \Psi_0 | \hat{C}_I | \Psi_0 \rangle \quad \text{Precursor states}$$

II: Orthonormalization

$$|\tilde{\Psi}_I\rangle = \sum_J |\Psi_J^\#\rangle (S^{-\frac{1}{2}})_{I,J}$$

Overlap matrix

$$S_{I,J} = \langle \Psi_I^\# | \Psi_J^\# \rangle$$

III: Matrix representation of shifted Hamiltonian

$$M_{IJ} = \langle \tilde{\Psi}_I | \hat{H} - E_0 | \tilde{\Psi}_J \rangle$$

$$\mathbf{Y}^t \mathbf{M} \mathbf{Y} = \mathbf{\Omega}$$

Hermitian eigenvalue problem

IV: Approximate E_0 and $|\Psi_0\rangle$

Approximate ISR

Approximation: Møller-Plesset PT

$$\hat{H} = \hat{H}_0 + \lambda \hat{H}_1 \quad \hat{H}_0 |\Psi_0^{(0)}\rangle = E_I^{(0)} |\Psi_0^{(0)}\rangle$$

Expansion of the energy and wave-function

$$E_0 = \sum_{n=0}^{\infty} \lambda^n E_0^{(n)} \quad |\Psi_0\rangle = \sum_{n=0}^{\infty} \lambda^n |\Psi_0^{(n)}\rangle$$

Expansion of the ADC matrix

$$M_{I,J}^{(k+l+m)} \lambda^{k+l+m} = \sum_{K,L} \left(S_{I,K}^{-\frac{1}{2}} \right)^{(k)} \lambda^k \left(\langle \Psi_K^\# | \hat{H} - E_0 | \Psi_L^\# \rangle \right)^{(l)} \lambda^l \left(S_{L,J}^{-\frac{1}{2}} \right)^{(m)} \lambda^m$$

Dipole and transition moments

Representation of arbitrary operator

$$D_{IJ} = \langle \tilde{\Psi}_I | \hat{D} - D_0 | \tilde{\Psi}_J \rangle$$

Gives access to excited-state static and transition moments:

$$\langle \Psi_n | \hat{D} | \Psi_m \rangle = \mathbf{y}_n^\dagger \tilde{\mathbf{D}} \mathbf{y}_m \quad \tilde{D}_{IJ} = D_{IJ} + D_0 \delta_{IJ}$$

Uses the same PT order structure from the ADC matrix

	p-h	2p-2h
p-h	D	D
2p-2h	D	D

	ISR(1)	ISR(2)	ISR(3)
D	0..1	0..2	0..3
D	-	1	1..2
D	-	0	0..1

Absolute energies

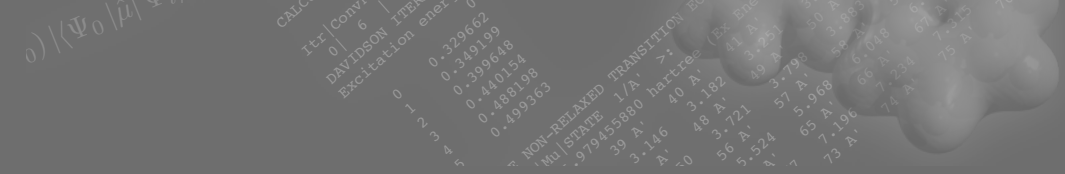
Representation of unshifted Hamiltonian

$$\tilde{M}_{IJ} = \langle \tilde{\Psi}_I | H | \tilde{\Psi}_J \rangle = M_{IJ} + \delta_{IJ} E_0$$

gives formal access to absolute energies:

$$E_n = \mathbf{y}_n^\dagger \tilde{\mathbf{M}} \mathbf{y}_n = \mathbf{y}_n^\dagger \mathbf{M} \mathbf{y}_n + E_0 = \omega_n + E_0$$

Important for ADC gradients!



Propagators
ADC
ISR
Applications



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Comparison to CC and CI

	CI(n)	ADC(n)	CC(n)
size-consistency	no	yes	yes
hermiticity	yes	yes	no
compactness	n	even ($n=2m$) : $m+1$ odd ($n=2m+1$) : $m+1$	even ($n=2m$) : $m+1$ odd ($n=2m+1$) : $m+2$
ground state	CI(n)	MPn	CC(n)

Coding in adcmann

Block tensor contraction library: libtensor

- C++ template library (open source)
- create tensors of arbitrary rank and size and perform linear algebra operations
- parallelisation of tensor operations in a shared memory environment
- fully supports symmetry, in particular spin and point group symmetry
- allows for the direct translation of equations into code



Michael Wormit
† 14.3.2015

$$\begin{aligned}
 w_{ijab} = & \sum_c (v_{ijac} f_{bc} - f_{ac} v_{ijbc}) \\
 & - \sum_k (f_{ik} v_{kjab} - f_{jk} v_{kiab}) \\
 & - \frac{1}{2} \sum_k (\langle ij || ka \rangle v_{kb} - \langle ij || kb \rangle v_{ka}) \\
 & + \frac{1}{2} \sum_c (v_{ic} \langle jc || ab \rangle - v_{jc} \langle ic || ab \rangle)
 \end{aligned}$$

```

w(i|j|a|b) = asymm(a, b,
                  contract(c, v_oovv(i|j|a|c), f_vv(b|c)))
- asymm(i, j,
        contract(k, f_oo(i|k), v_oovv(k|j|a|b)))
- 0.5 * asymm(a, b,
              contract(k, i_ooov(i|j|k|a), v_ov(k|b)))
+ 0.5 * asymm(i, j,
              contract(c, v_ov(i|c), i_ovvv(j|c|a|b)));

```

E. Epifanovsky, M. Wormit, T. Kus, A. Landau, D. Zuev, K. Khistyayev, P. Manohar,
I. Kaliman, A. Dreuw, A. I. Krylov J. Comp. Chem. 34, 2293 (2013)

How to use ADC within Q-Chem 4.4

adcman (rem variables):

METHOD

Controls the order in perturbation theory of ADC.

TYPE:

STRING

DEFAULT:

None

OPTIONS:

ADC(1)	Perform ADC(1) calculation.
ADC(2)	Perform ADC(2)-s calculation.
ADC(2)-x	Perform ADC(2)-x calculation.
ADC(3)	Perform ADC(3) calculation.
SOS-ADC(2)	Perform spin-opposite scaled ADC(2)-s calculation.
SOS-ADC(2)-x	Perform spin-opposite scaled ADC(2)-x calculation.
CVS-ADC(1)	Perform ADC(1) calculation of core excitations.
CVS-ADC(2)	Perform ADC(2)-s calculation of core excitations.
CVS-ADC(2)-x	Perform ADC(2)-x calculation of core excitations.

RECOMMENDATION:

None

EE_STATES

Controls the number of excited states to calculate.

TYPE:

INTEGER

DEFAULT:

0 Do not perform an ADC calculation

OPTIONS:

$n > 0$ Number of states to calculate for each
 $[n_1, n_2, \dots]$ Compute n_1 states for the first irrep, n_2 states for the second, etc.

EE_SINGLETs

Controls the number of singlet excited states to calculate.

TYPE:

INTEGER

DEFAULT:

0 Do not perform an ADC calculation of singlet excited states

OPTIONS:

$n > 0$ Number of singlet states to calculate for each
 $[n_1, n_2, \dots]$ Compute n_1 states for the first irrep, n_2 states for the second, etc.

EE_TRIPLETs

Controls the number of triplet excited states to calculate.

TYPE:

INTEGER/INTEGER ARRAY

DEFAULT:

0 Do not perform an ADC calculation of triplet excited states

OPTIONS:

$n > 0$ Number of triplet states to calculate for each
 $[n_1, n_2, \dots]$ Compute n_1 states for the first irrep, n_2 states for the second, etc.

ADC_PROP_ES

Controls the calculation of excited state properties (currently only dipole moments).

TYPE:

LOGICAL

DEFAULT:

FALSE

OPTIONS:

TRUE Calculate excited state properties.
 FALSE Do not compute state properties.

RECOMMENDATION:

Set to TRUE, if properties are required or attachment/detachment densities of states should be plotted.

ADC_PROP_ES2ES

Controls the calculation of transition properties between excited states (currently only transition dipole moments and oscillator strengths).

TYPE:

LOGICAL

DEFAULT:

FALSE

OPTIONS:

TRUE Calculate state-to-state transition properties.
 FALSE Do not compute transition properties between excited states.

RECOMMENDATION:

Set to TRUE, if state-to-state properties are required.

CC_SYMMETRY

Activates point-group symmetry in the ADC calculation.

TYPE:

LOGICAL

DEFAULT:

TRUE If the system possesses any point-group symmetry

OPTIONS:

TRUE Employ point-group symmetry
 FALSE Do not use point-group symmetry

RECOMMENDATION:

None

Derivation via Intermediate State Representation

- analogous to closed-shell, but excitation operator needs spin:

$$\{\hat{C}_J\} = \{\hat{c}_{a\sigma}^\dagger \hat{c}_{i\sigma}, \hat{c}_{a\sigma}^\dagger \hat{c}_{i\sigma} \hat{c}_{b\tau}^\dagger \hat{c}_{j\tau}, \dots\}$$

⇒ Subsequent annihilation and creation operators must act on the same spin



Jan-Hendrik
Starcke

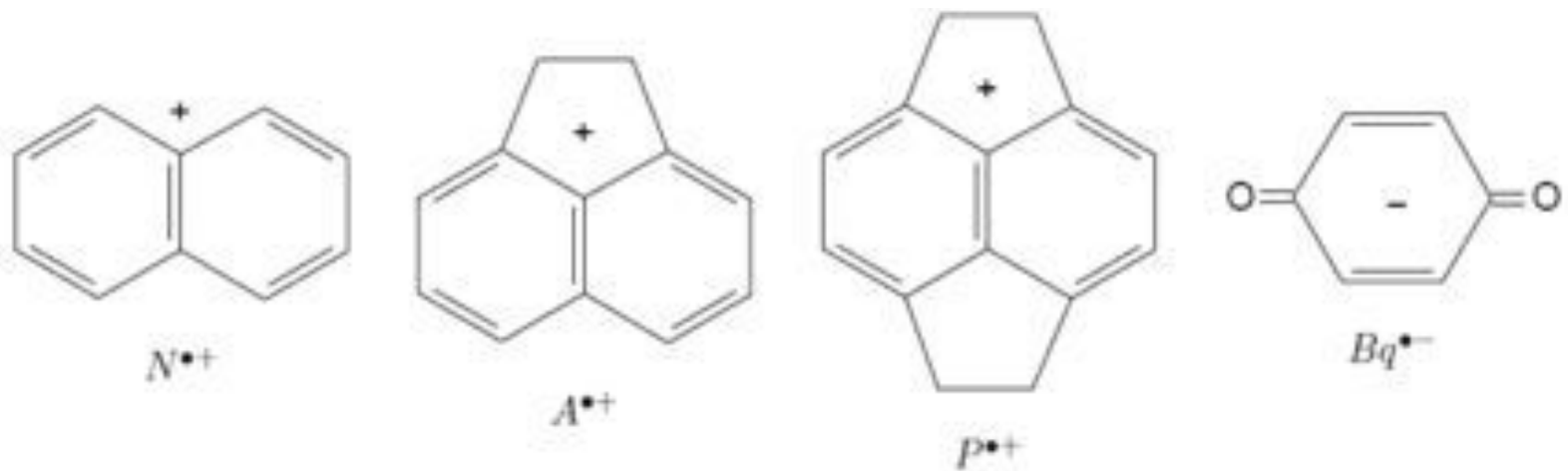
J.-H. Starcke, M. Wormit, A. Dreuw, J. Chem. Phys. 130, 024104 (2009)
J.-H. Starcke, M. Wormit, A. Dreuw, J. Chem. Phys. 131, 144311 (2009)

calc | conv |
 0 | 6 |
 DAVIDSON Iteration 0
 Excitation energy
 0 0.220662
 1 0.340199
 2 0.399648
 3 0.440154
 4 0.481198
 5 0.499363
 NON-RELAXED TRANSITION
 1/A' > 1
 Mu | STATE 1/A' > 1
 -0.979455880 hartrees (0.1313
 39 A' 3.146 40 A' 3.182 41 A'
 48 A' 3.721 49 A' 3.799 50 A'
 56 A' 5.524 57 A' 5.968 58 A'
 65 A' 7.234 66 A' 7.419 67 A'
 73 A' 7.419 74 A' 7.419 75 A'

Propagators
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 ISR
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Unrestricted ADC(2)-x – some results



It is nearly impossible to find experimental data for comparison.

Symmetry	UADC(2)-x	EOM-CCSD	Experiment
$N^{\bullet+}, \langle \delta^2 \rangle = 0.54, GS: 1^2A_g$			
1^2B_{2g}	0.62 (0.00)	1.30 (0.00)	0.73
1^2B_{1g}	1.76 (0.09)	2.48 (0.08)	1.84, 1.84
1^2B_{2g}	2.75 (0.02)	3.67 (0.01)	2.69, 2.72
1^2A_g	2.85 (0.00)	3.71 (0.00)	-
1^2B_{3g}	3.03 (0.00)	3.89 (0.00)	-
2^2B_{2g}	3.48 (0.15)	4.34 (0.10)	3.25, 3.29
2^2B_{1g}	3.89 (0.01)	4.90 (0.07)	4.02, 4.02
1^2B_{1g}	4.07 (0.00)	4.97 (0.00)	-
2^2B_{3g}	4.37 (0.00)	5.19 (0.00)	-
3^2B_{1g}	4.37 (0.18)	5.38 (0.01)	4.55, 4.49
$\Delta_{\text{ionization}}$	0.23	1.09	
$ \Delta_{\text{ad}} , \Delta_{\text{ad}}$	0.13, -0.04	0.83, 0.83	
σ	0.06	0.20	

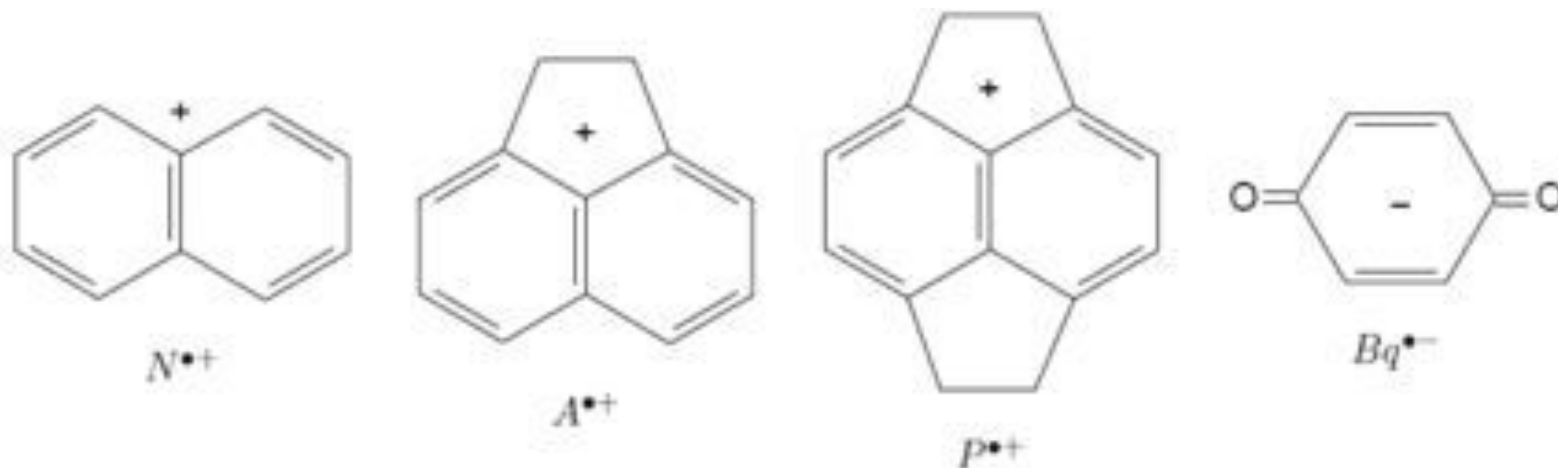
Unrestricted ADC(2)-x – some results

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It is nearly impossible to find experimental data for comparison.

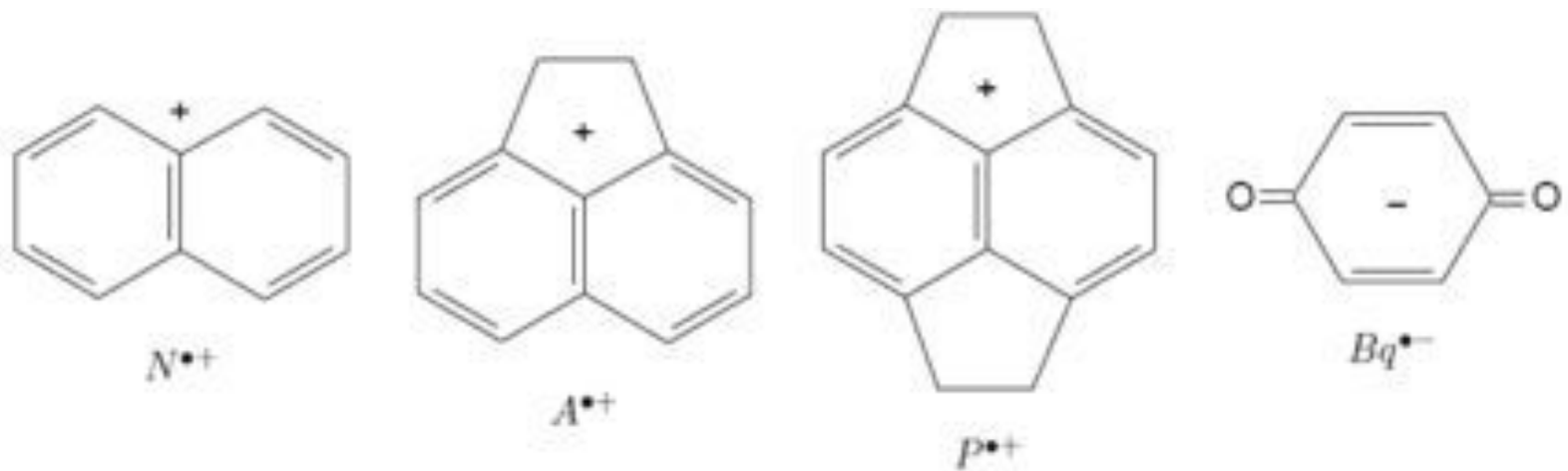
$A^{\bullet+}$, $\langle S^2 \rangle = 0.98$, GS: 1^2A_2			
1^2B_1	0.71 (0.00)	1.48 (0.00)	-
2^2B_1	1.37 (0.09)	2.15 (0.07)	1.89
2^2A_2	2.39 (0.01)	3.38 (0.00)	2.76
3^2A_2	2.88 (0.17)	3.85 (0.15)	3.23
1^2B_2	3.03 (0.00)	3.91 (0.00)	-
1^2A_1	3.21 (0.00)	4.12 (0.00)	-
3^2B_1	3.50 (0.07)	4.49 (0.02)	-
4^2B_1	3.66 (0.01)	4.58 (0.05)	-
5^2B_1	3.75 (0.02)	4.87 (0.00)	4.56
$\Delta\omega_{max}$	-0.52	0.62	
$ \overline{\Delta\omega} , \overline{\Delta\omega}$	0.43, -0.43	0.45, 0.45	
σ	0.08	0.19	

calc | conv |
 0 | 6 |
 DAVIDSON ITB |
 Excitation ener |
 0 0.220662
 1 0.340199
 2 0.399648
 3 0.440154
 4 0.498198
 5 0.499363
 NON-RELAXED TRANSITION
 1/A' > 1
 Mu | STATE 1/A' > 1
 -979455880 hartrees (0.1 A'
 39 A' 3.146 40 A'
 48 A' 3.182 49 A'
 56 A' 3.721 57 A'
 55 A' 5.524 56 A'
 73 A' 7.196 74 A'

Propagators
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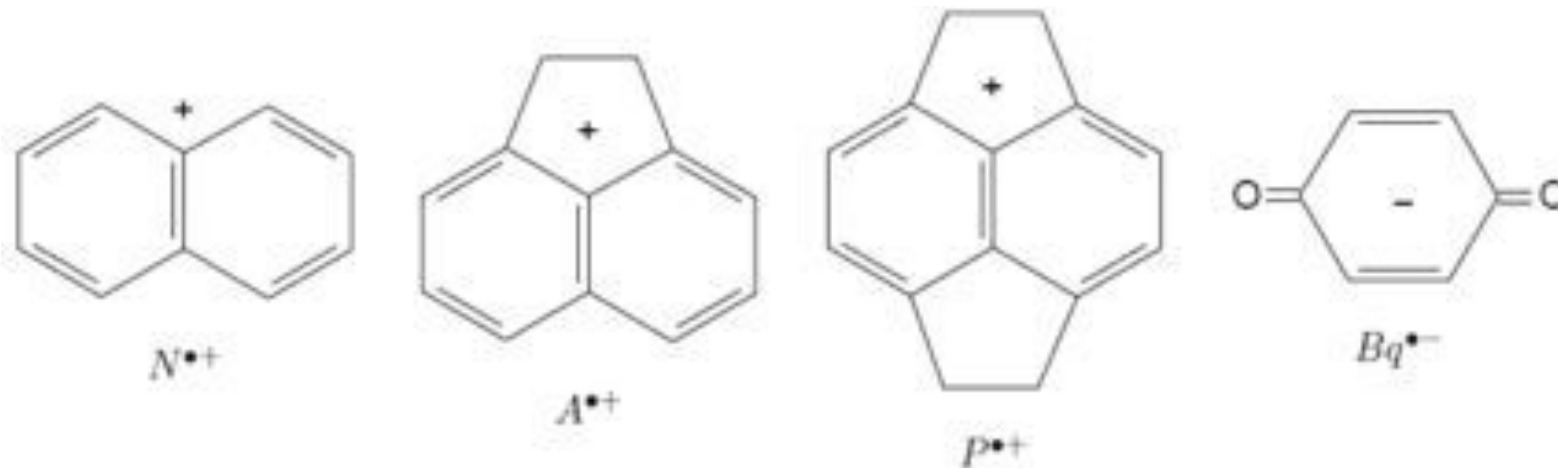
Unrestricted ADC(2)-x – some results



It is nearly impossible to find experimental data for comparison.

$P^{\bullet+}, (S^2) = 0.85, GS: 1^2A_u$			μ	
1^2B_{3u}	0.81 (0.00)	1.59 (0.00)	-	-
1^2B_{2g}	1.46 (0.08)	2.26 (0.06)	1.99	-
1^2B_{1g}	2.32 (0.01)	3.23 (0.00)	2.79	-
2^2B_{1g}	2.66 (0.22)	3.56 (0.19)	3.15	-
1^2B_{3g}	-	3.95 (0.00)	-	-
2^2B_{3g}	3.49 (0.01)	4.44 (0.01)	$\approx 3.75^c$	-
3^2B_{3g}	3.56 (0.01)	4.67 (0.05)	$\approx 4.20^c$	-
2^2B_{3u}	3.58 (0.00)	4.45 (0.00)	-	-
3^2B_{1g}	4.22 (0.01)	5.23 (0.08)	4.65	-
$\Delta\omega_{max}$	-0.53	0.69	-	-
$ \overline{\Delta\omega} , \overline{\Delta\omega}$	0.43, -0.43	0.48, 0.48	-	-
σ	0.11	0.16	-	-

Unrestricted ADC(2)-x – some results



It is nearly impossible to find experimental data for comparison.

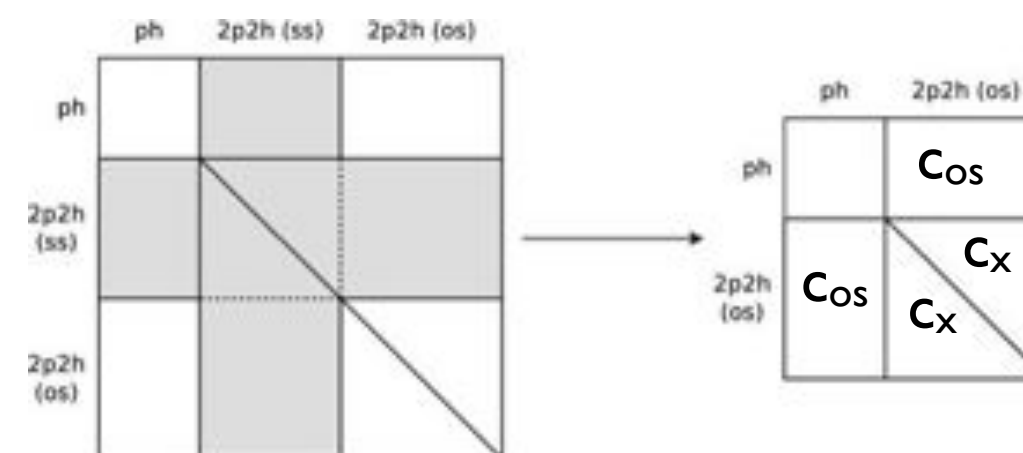
Symmetry	UADC(2)-x	EOM-CCSD	Experiment
$\text{Bq}^{\bullet}, \langle S^2 \rangle = 0.86$, GS: 1^2B_{2g}			*
1^2B_{3g}	1.85 (0.00)	2.71 (0.00)	-
1^2B_{2g}	1.86 (0.00)	2.73 (0.00)	-
1^2A_g	2.39 (0.08)	3.31 (0.07)	$\approx 2.76^b$
1^2B_{1g}	2.52 (0.07)	3.34 (0.07)	2.95
1^2B_{1g}	2.97 (0.00)	3.85 (0.00)	-
2^2B_{2g}	3.69 (0.47)	4.47 (0.20)	3.88
$\Delta\omega_{\text{inner}}$	-0.44	0.59	
$ \overline{\Delta\omega} , \overline{\Delta\omega}$	0.33, -0.33	0.51, 0.51	
σ	0.12	0.11	

SOS-ADC(2)-x

- Semi-empirical method for doubly excited states.
- rigorous ISR-SOS-ADC(2) possible (no gain)
- two empirical scaling factors required, c_{os} and c_x
- fitted to Thiel's benchmark set!

c_{os} influences the excitation energy of both singly and doubly excited states

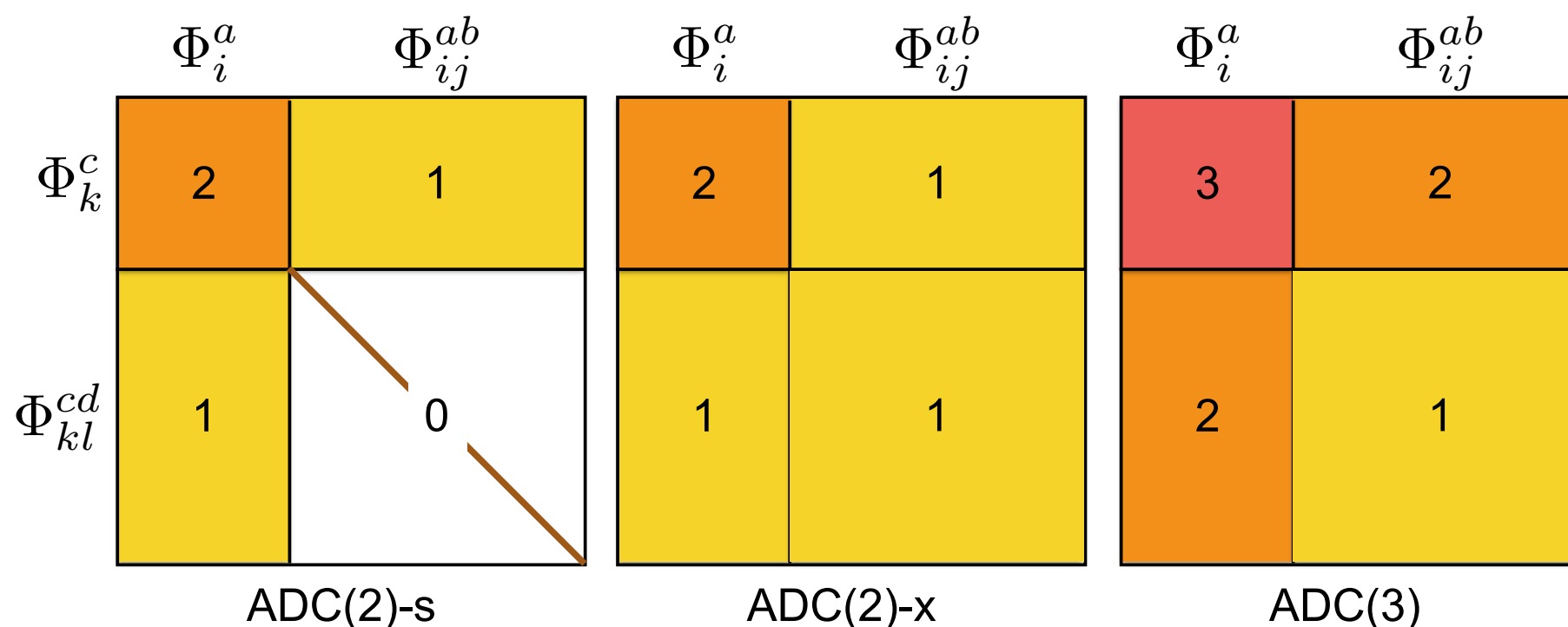
**c_x shifts essentially only doubles
(weight of doubles in brackets)**



	State	TBE-2	DFT/ MRCI	SOS- ADC(2)-s	ADC(2)-x	SOS- ADC(2)-x	SOS- ADC(2)-x	SOS- ADC(2)-x
$c_{coupling}^{os}$				1.17	...	1.17	1.0	1.0
c_x^{os}				...	...	...	0.85	0.9
Singlet states with high double excitation character								
Butadiene	$2A_g$	6.55	6.18	7.17 (0.08)	5.12 (0.55)	4.71 (0.50)	6.39 (0.40)	6.19 (0.47)
Hexatriene	$2A_g$	5.09	4.92	6.65 (0.10)	4.06 (0.58)	3.77 (0.58)	5.40 (0.55)	5.16 (0.60)
Octatetraene	$2A_g$	4.47	4.01	6.04 (0.12)	3.36 (0.61)	3.12 (0.61)	4.69 (0.60)	4.45 (0.66)
Cyclopentadiene	$2A_1$	6.28	6.15	6.93 (0.10)	5.10 (0.49)	4.60 (0.43)	6.20 (0.37)	6.05 (0.42)
Singlet states with high single excitation character								
Butadiene	$1B_u$	6.18	6.02	6.16 (0.06)	5.56 (0.10)	5.26 (0.12)	6.21 (0.09)	6.19 (0.09)
Hexatriene	$1B_u$	5.10	4.95	5.24 (0.07)	4.60 (0.14)	4.29 (0.13)	5.34 (0.10)	5.33 (0.10)
Octatetraene	$1B_u$	4.66	4.25	4.62 (0.08)	3.96 (0.12)	3.65 (0.14)	4.77 (0.11)	4.75 (0.11)
Cyclopentadiene	$1B_2$	5.55	5.42	5.48 (0.06)	4.91 (0.09)	4.56 (0.09)	5.55 (0.08)	5.54 (0.10)

C. Krauter, M. Pernpointner, A. Dreuw, J. Chem. Phys. 138, 044107 (2013)

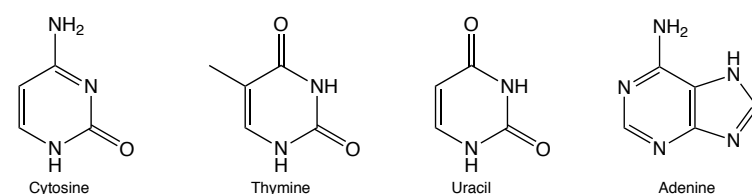
ADC(3)



Philipp Harbach

ADC(3) stays within the 2p2h-excitation manifold, and scales like $O(N^6)$!
 Every molecule, for which ADC(2)-x is possible, also ADC(3) is doable!

Thiel's benchmark set



non-CC3 TBEs only!

-0.10 ± 0.13 eV

triplets

	ADC3 as reference					
	CC2	CCSD	CC3	ADC(2)-s	ADC(2)-x	ADC(3)
Count ^b	71	71	71	71	71	—
Min	-0.37	-0.96	-0.26	-0.38	-1.41	—
Max	1.58	1.39	1.29	1.53	0.08	—
Mean	0.37	0.27	0.22	0.32	-0.41	—
Std. Dev.	0.29	0.21	0.20	0.30	0.33	—

^bTotal number of considered states.

48

A portrait of a man with a beard and mustache, wearing a dark suit, white shirt, and dark tie. He is smiling slightly and looking towards the camera. The background is blurred, showing an outdoor setting with a building.

$$\begin{array}{c}
 \begin{array}{c} \mathbf{i}_{\alpha} \mathbf{a}_{\alpha} \\ \mathbf{i}_{\alpha} \mathbf{a}_{\beta} \\ \mathbf{i}_{\beta} \mathbf{a}_{\alpha} \\ \mathbf{i}_{\beta} \mathbf{a}_{\beta} \end{array}
 \begin{array}{c} \mathbf{i}_{\alpha} \mathbf{a}_{\alpha} \quad \mathbf{i}_{\alpha} \mathbf{a}_{\beta} \quad \mathbf{i}_{\beta} \mathbf{a}_{\alpha} \quad \mathbf{i}_{\beta} \mathbf{a}_{\beta} \end{array} \\
 \begin{array}{|c|c|c|c|} \hline \alpha\alpha\text{-ADC} & 0 & 0 & \alpha\alpha\text{-ADC} \\ \hline 0 & \alpha \rightarrow \beta & 0 & 0 \\ \hline 0 & 0 & \beta \rightarrow \alpha & 0 \\ \hline \beta\beta\text{-ADC} & 0 & 0 & \beta\beta\text{-ADC} \\ \hline \end{array}
 \end{array}
 \times
 \begin{array}{|c|} \hline \alpha\alpha\text{-ADC} \\ \hline \alpha \rightarrow \beta \\ \hline \beta \rightarrow \alpha \\ \hline \beta\beta\text{-ADC} \\ \hline \end{array}$$

calculated
ittr|conv|
0| 6 |
DAVIDSON ITERR
Excitation ener
0 0.320662
0.340199
0.399648
0.440154
0.488198
0.499363
NON-RELAXED TRANSITION
Mu|STATE 1/A' >1
-9.79455880 hartree (0.13 A'
39 A' 40 A' 41 A'
3.146 48 A' 3.182 49 A'
56 A' 3.721 57 A'
5.524 65 A' 5.968 73 A'
7.196 74 A' 7.325 75 A'

Propagators
ADC
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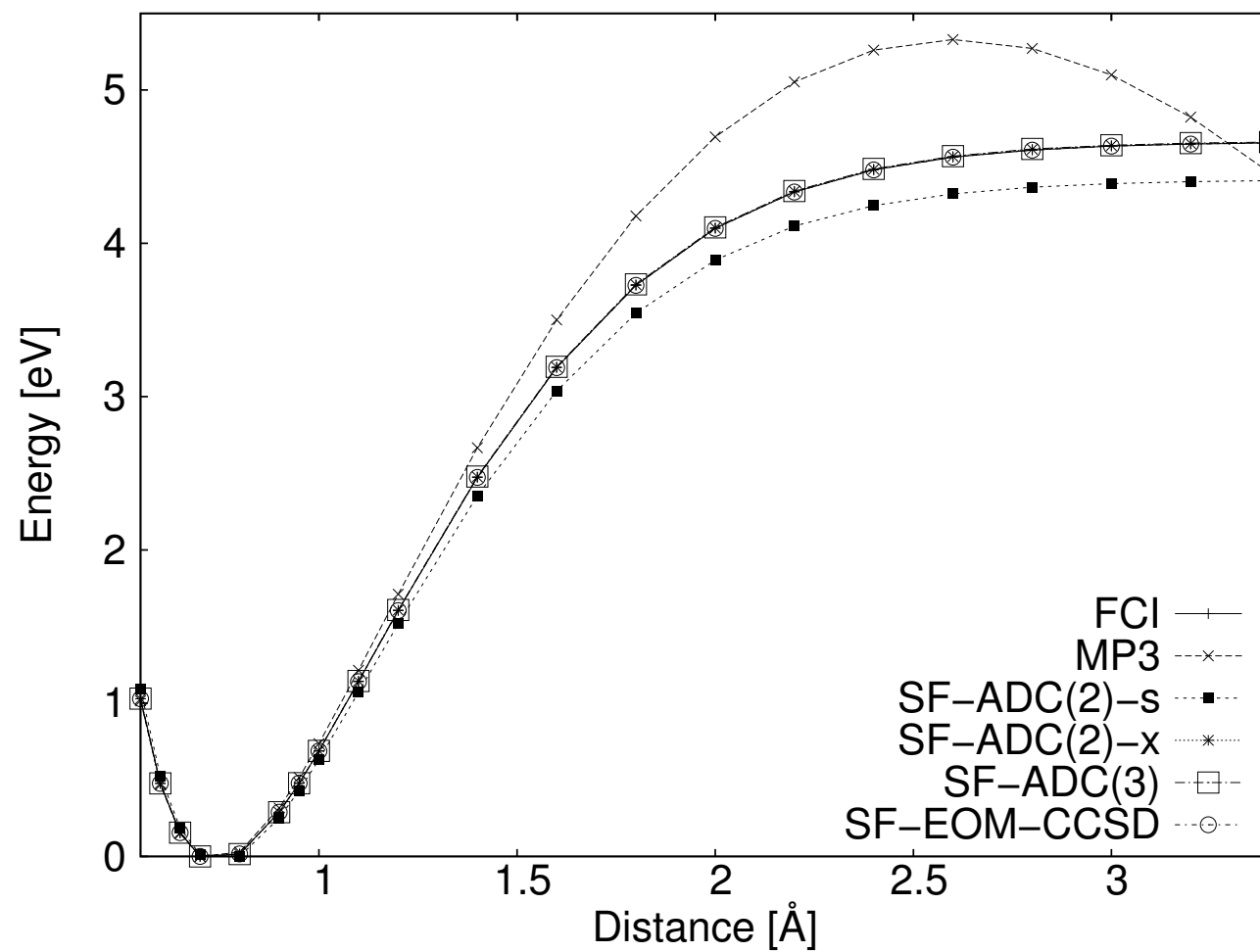


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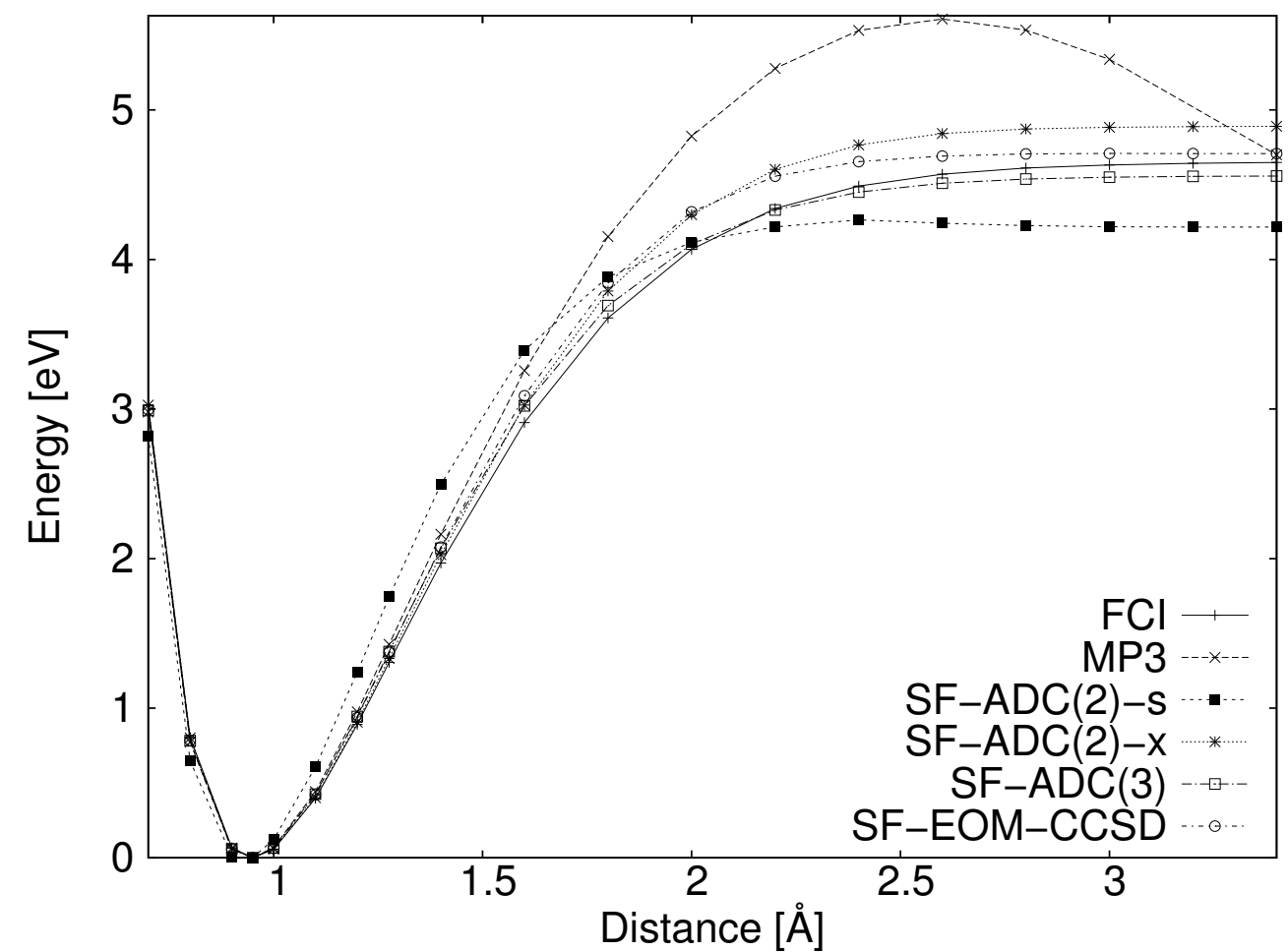
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Dissociation of a single bond

Let's start easy: H_2



More than two electrons: HF



calcu
0 | 6 |
itr|conv|
DAVIDSON Itera
Excitation ener
0 0.320662
1 0.340199
2 0.399648
3 0.440154
4 0.488198
5 0.499363
NON-RELAXED TRANSITION
Mu|STATE 1/A' >
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39 A' 40 A' 41 A'
3.146 48 A' 3.182
56 A' 3.721 57 A'
5.524 65 A' 5.968
73 A' 7.196 74 A'

Propagators
ADC
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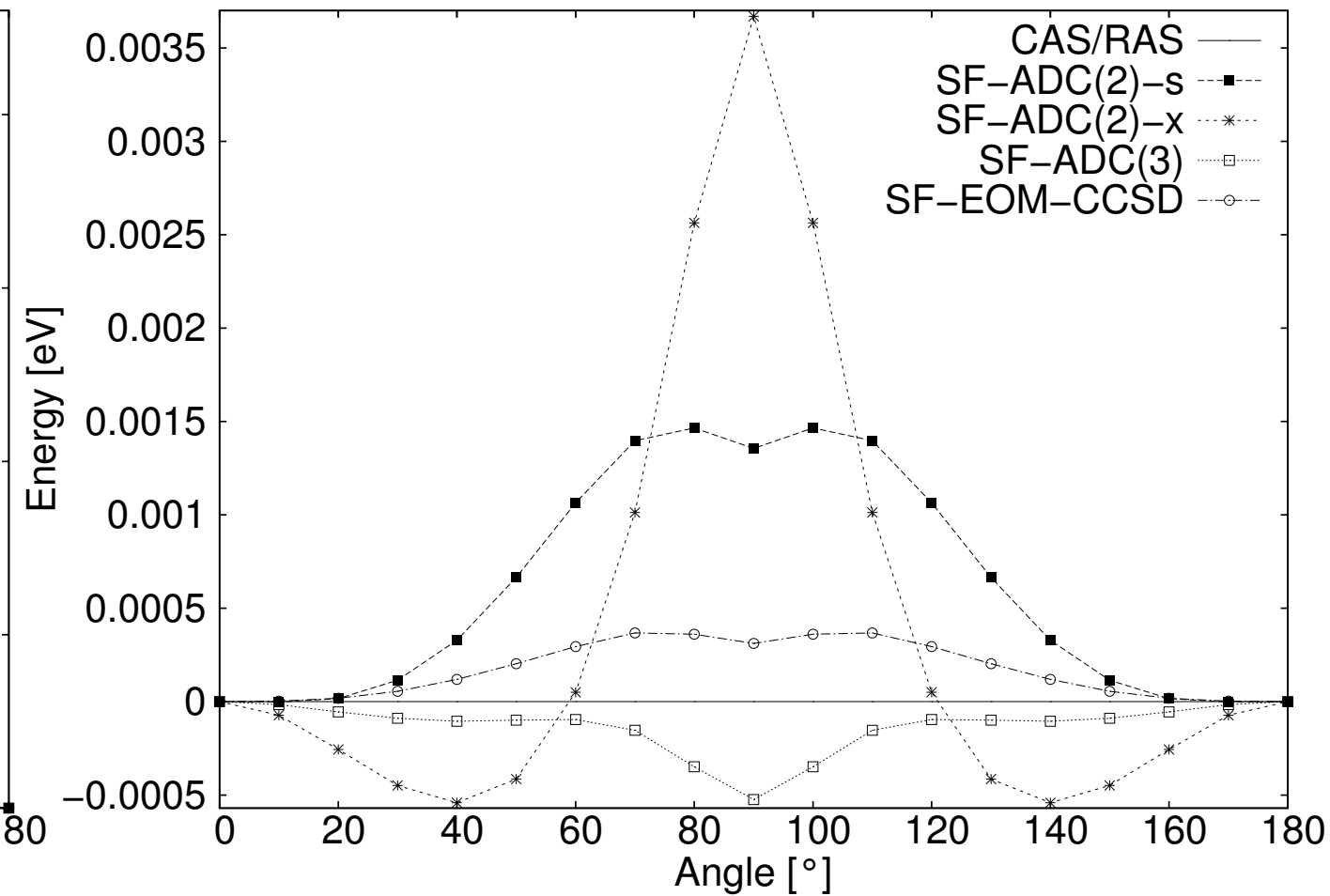
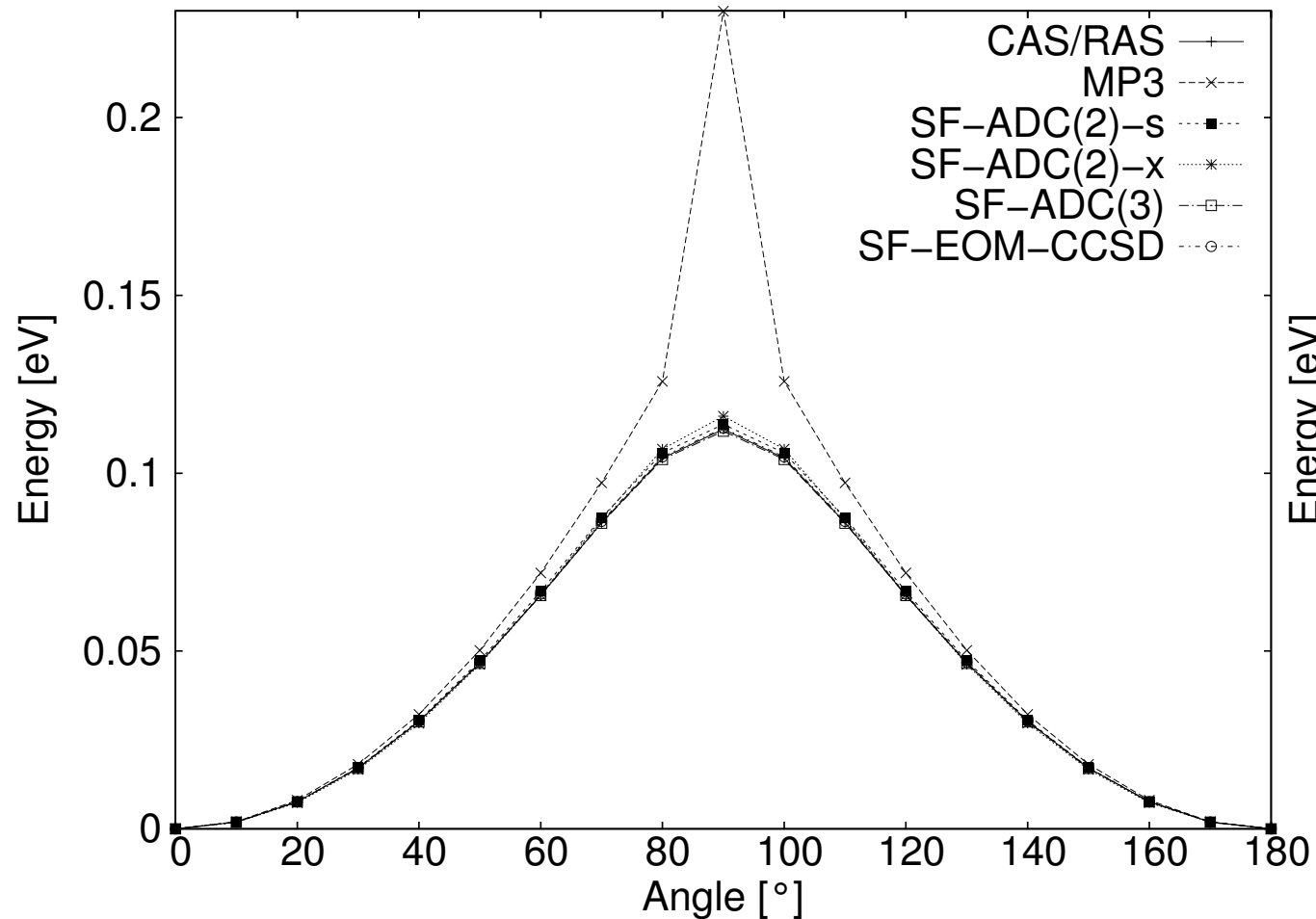


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Rotating a double bond: ethylene

Errors compared to CAS reference

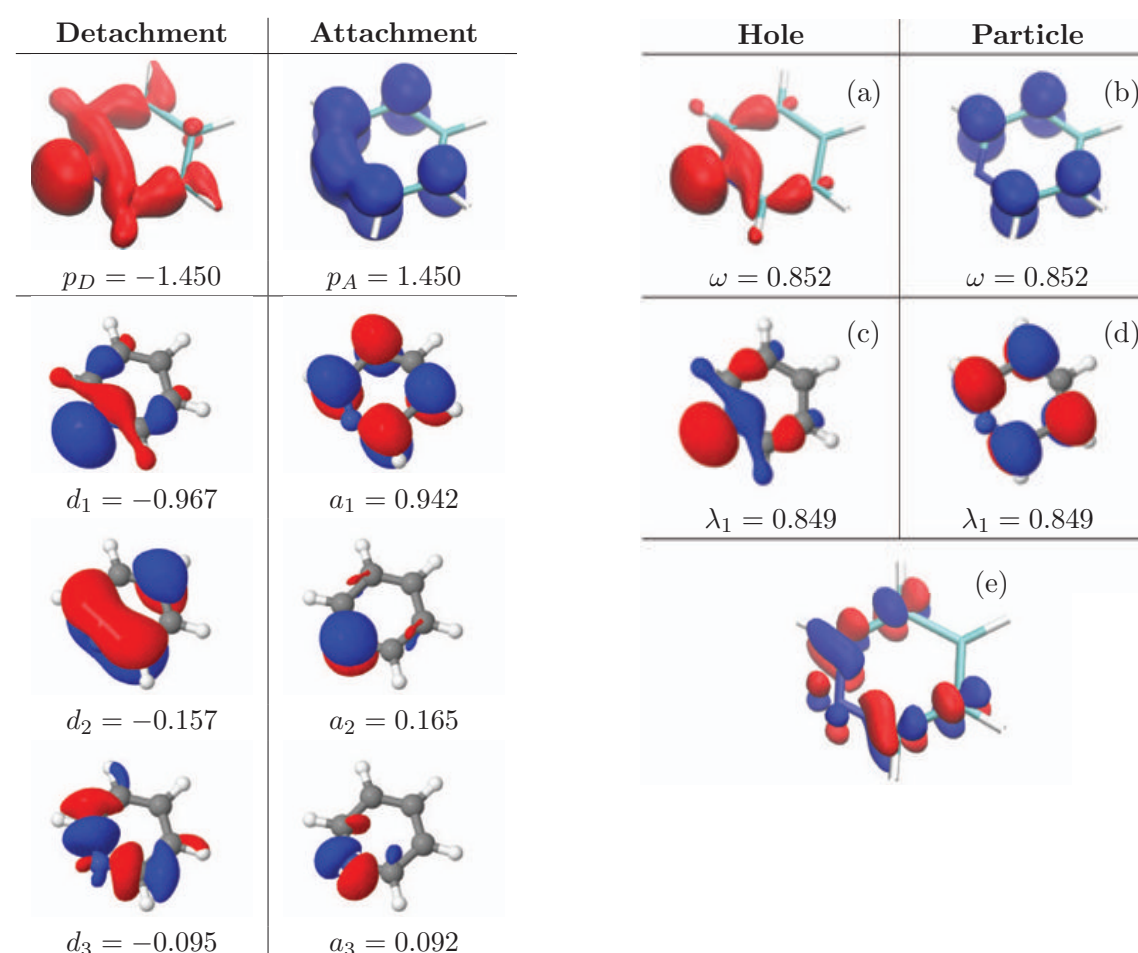


Density Analysis of ADC states

- Electron density of each state
- Difference density/ Natural difference density orbitals (NDDOs)
- Attachment/Detachment densities
- Transition density/ Natural transition orbitals (NTOs)



Felix Plasser



F. Plasser, M. Wormit, A. Dreuw, J. Chem. Phys. 141, 024106 (2014)

F. Plasser, S. A. B  ppler M. Wormit, A. Dreuw, J. Chem. Phys. 141, 024107 (2014)

F. Plasser, B. Thomitzni, S. Bäppler, J. Wenzel, D. Rehn, M. Wormit and A. Dreuw *J. Comp. Chem.*, 2015, 36, 1609

52

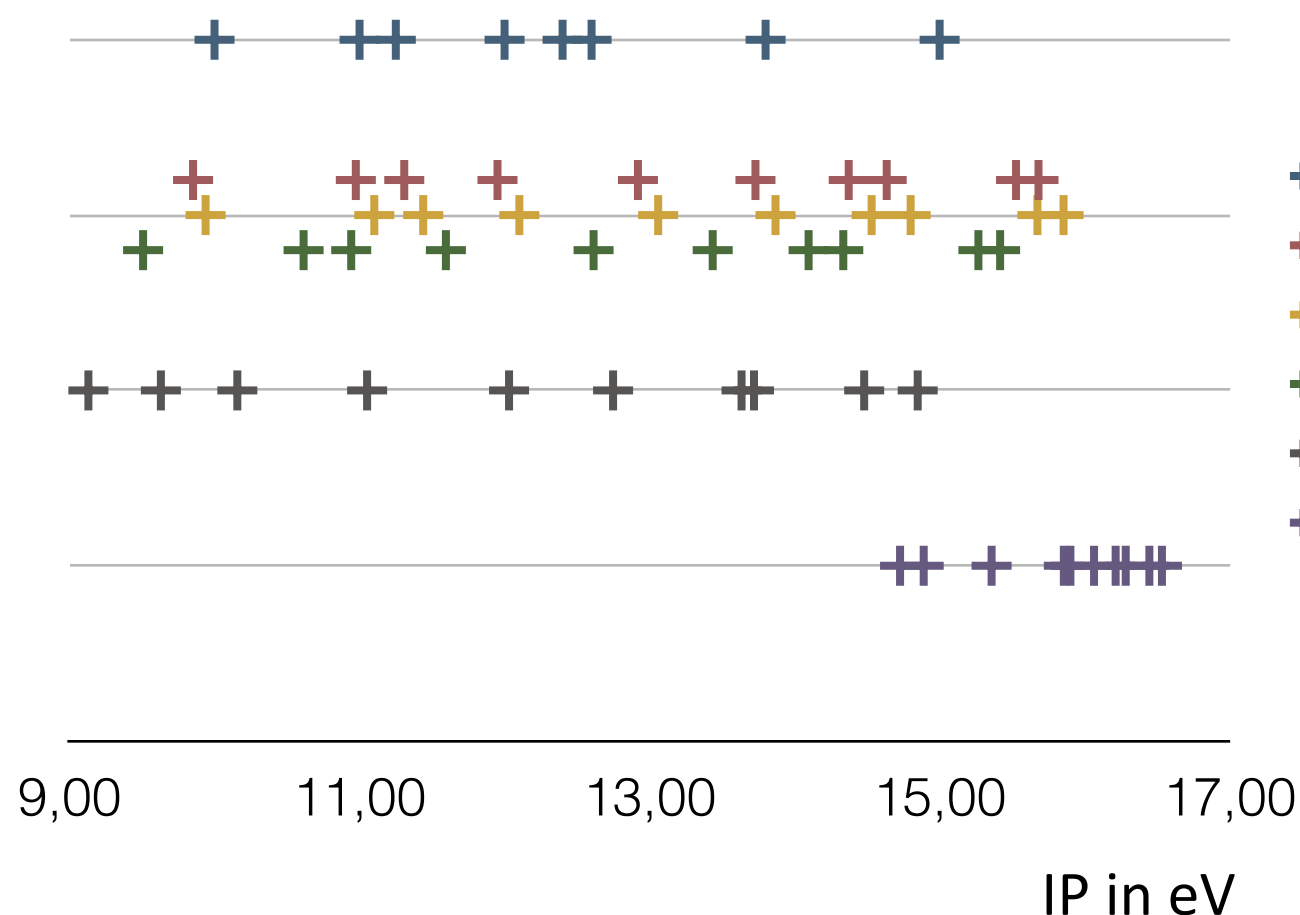
Ionization Potential

Serine

- geometry: MP2/def2-tzvpp
- ADC(0) too high
- ADC(2) too low
- ADC(3) with cc-pVTZ and aug-cc-pVDZ:
error < 0.2 eV for 3 lowest states



HOMO (Serine)

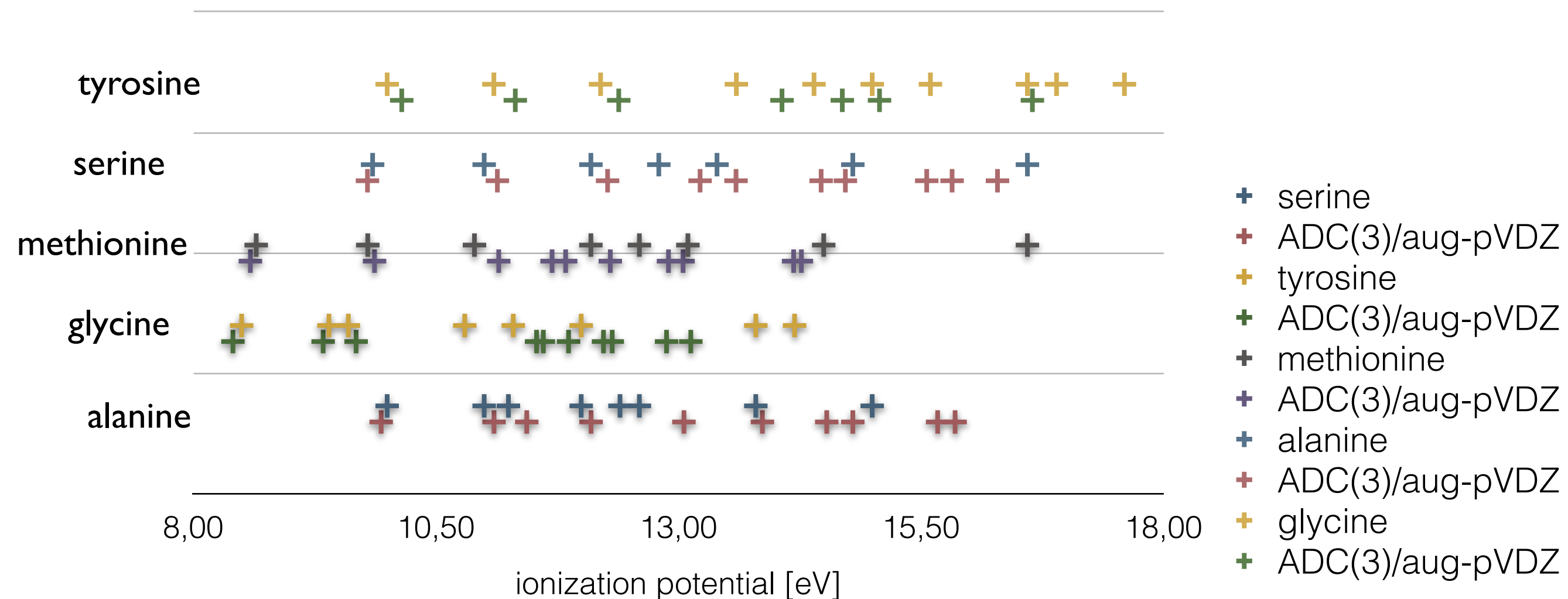


- Exp
- ADC(3)/cc-pVTZ
- ADC(3)/aug-cc-pVDZ
- ADC(3)/cc-pVDZ
- ADC(2)/cc-pVTZ
- ADC(0)/cc-pVTZ

Ionization Potential

Amino acids

- geometry: MP2/def2-tzvpp
- error less than 0.25 eV for first 3 IPs

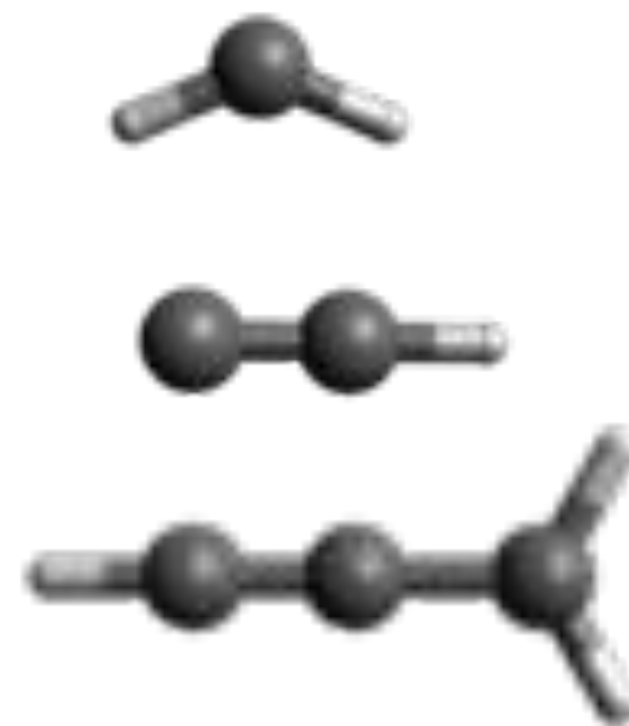


Ionization Potentials of Radicals

Hydrocarbon Radicals

- geometry: MP2/cc-pVTZ
- alpha and beta states computed independently
- 3 lowest IP

	State	Exp.	ADC(2)	ADC(3)	EOM-CC cc-pVTZ	MP2	B3LYP
Methylene	1	10.39	10.30	10.35	10.38	10.35	
	2		11.44	11.47	11.49		
	3		16.39	15.66	16.37		
Ethynyl	1	11.65	12.18	11.77	12.39	14.10	14.03
	2		12.18	11.77	12.39		
	3		14.57	13.15	14.46		
Propargyl	1	8.67	8.64	8.66	8.78	8.46	8.70
	2		10.67	10.73	11.00		
	3		11.98	11.30	12.36		



- ADC(n) is an alternative to EOM-CC and CC-LR approaches
- ADC(2)-s/x and ADC(3) available
- impressive accuracy of ADC(3)
- Excited state properties via ISR accessible: excited-state absorption, excited-state dipoles, two-photon absorption, spin-orbit coupling elements
- CVS-ADC(2)-s/x
- SOS-ADC(2)
- spin-flip ADC
- density analyses for all ADC variants
- nuclear gradients for ADC(2) and ADC(3)
- polarizable continuum model for all ADC variants

adcman: general purpose black-box computational tool for photochemistry based on ADC.

Future developments:

- CVS-ADC TPA
- CVS-ADC Gradients
- CVS-CPP ADC

GATOR:

- free quantum chemistry program
- based on:
 - CPP-solver library (DALTON) (Patrick Norman)
 - adcman (Andreas Dreuw)
 - independent SCF (Jaime Axel Rosal)



Andreas Dreuw

adcman developers

Dr. Michael Wormit

Dr. Dirk R. Rehn

Dr. Jan Wenzel

Dr. Matthias Schneider

Dr. Jan-M. Mewes

Stefan Prager

Dr. Caroline Krauter

Dr. Philipp H. P. Harbach

Dr. Jan Hendrik Starcke

