



Quantum fluctuation in real and complex SYK models

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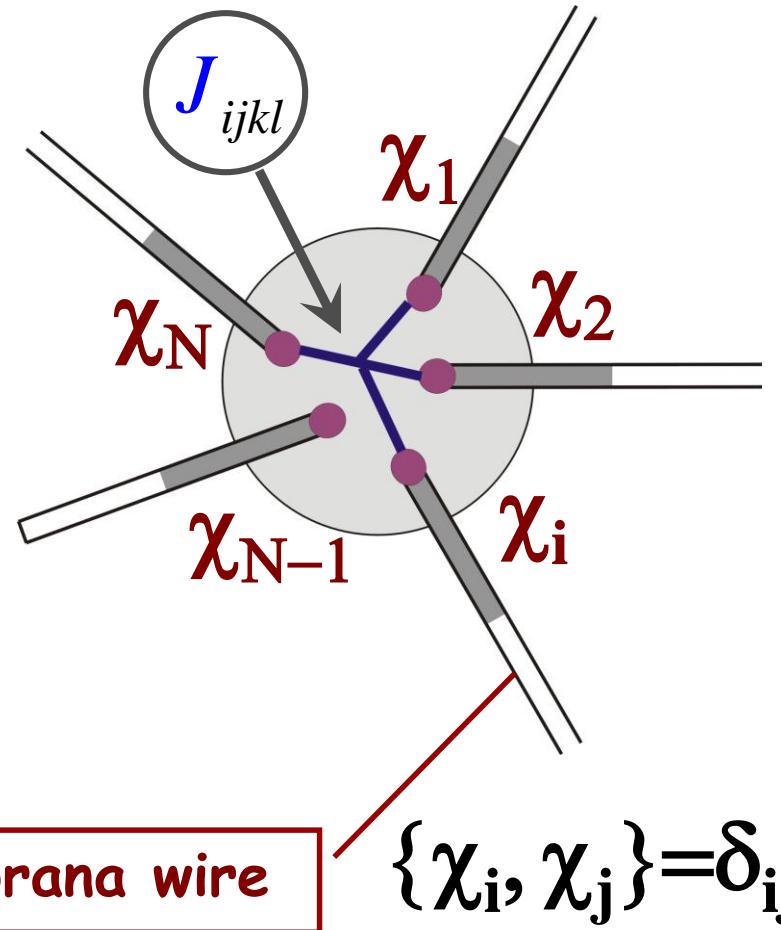
Sachdev-Ye-Kitaev model

S. Sachdev, PRX 5 (2015) 041025
A. Kitaev, talks at KITP, April & May 2015

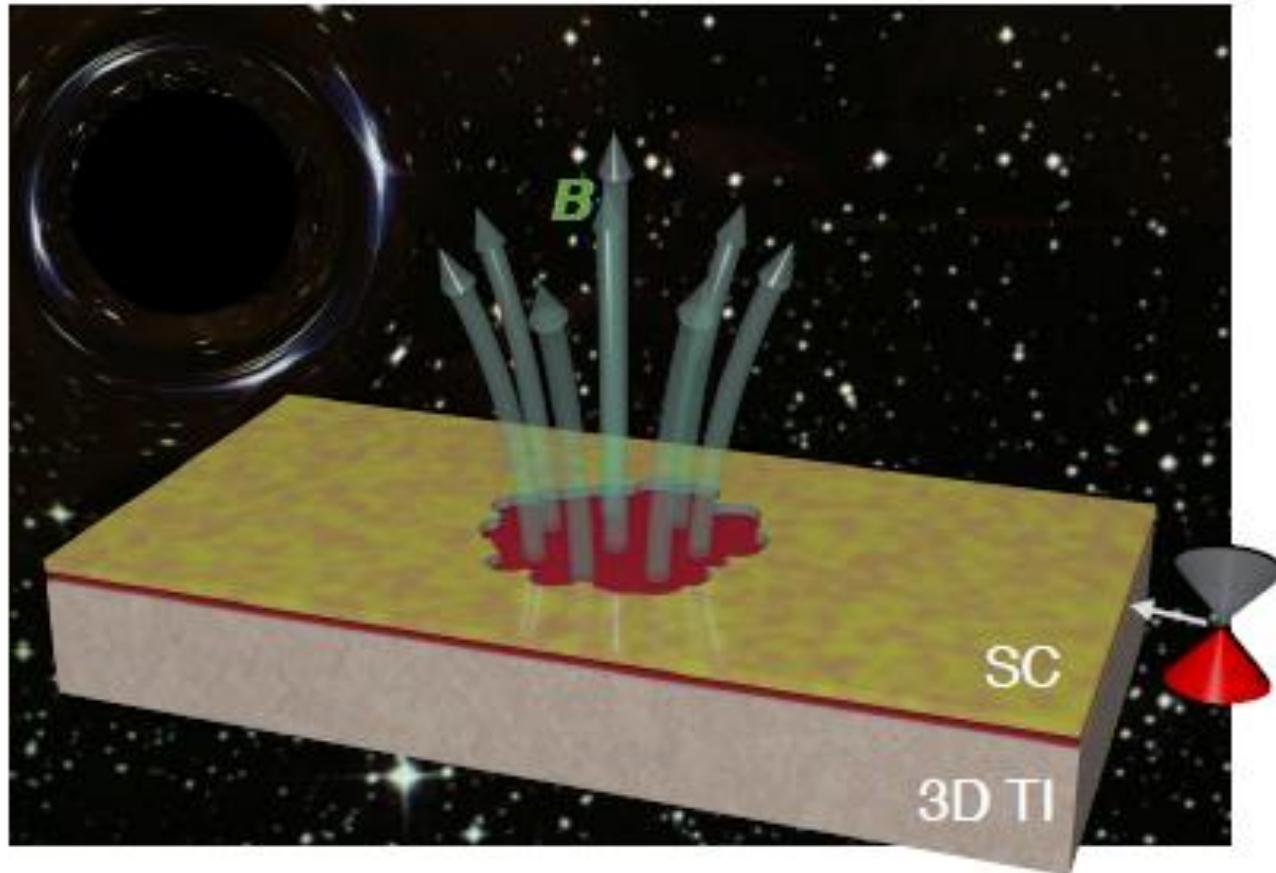
$$\hat{H} = \frac{1}{4!} \sum_{ijkl}^N J_{ijkl} \chi_i \chi_j \chi_k \chi_l$$

Couplings J 's are quenched random Gaussian variables,

$$\langle (J_{ijkl})^2 \rangle = 3! J^2 / N^3$$



- Black hole on a chip: proposal for a physical realization of the SYK model in a solid-state system



D. Pikulin, M. Franz, arXiv:1702.04426

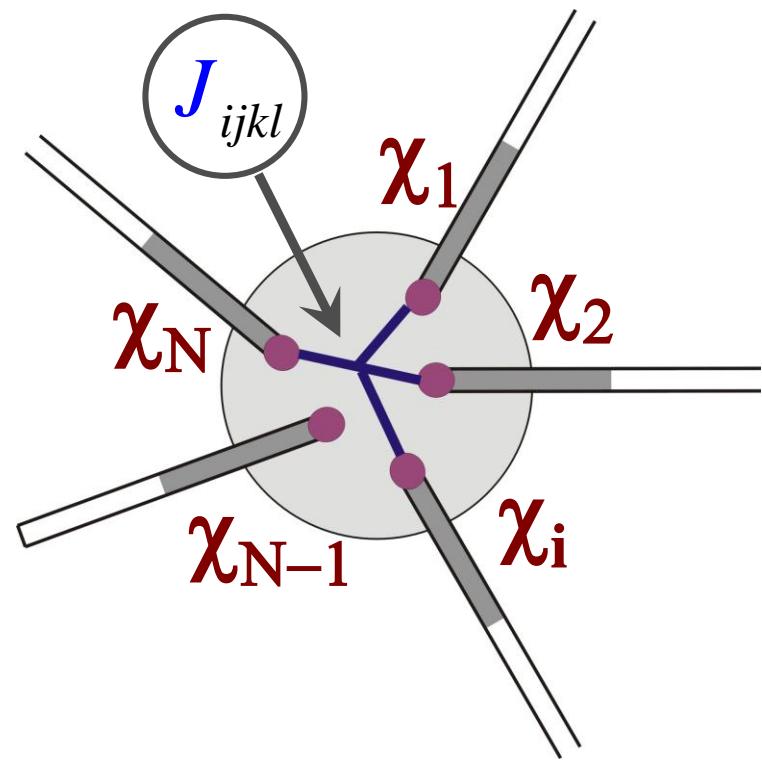
SYK model

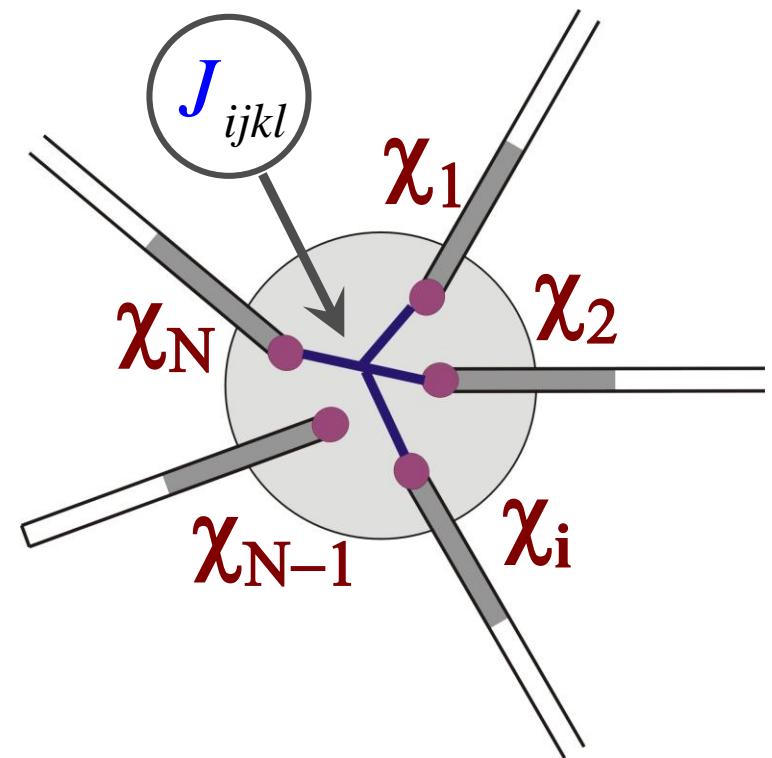
- AdS₂/CFT₁ holography

black-hole physics, dilaton gravity, quantum information paradox, gravitational shock-wave scattering, etc.

- Strong-correlation physics

Many-body (de)-localization, RMT-like level statistics, quantum chaos, strange metals, ...





This talk

- Reparametrization ('conformal') symmetry in SYK
- Schwarzian action & Liouville quantum mechanics
- Complex SYK model: emergent Coulomb blockade
- Quantum chaos & OTO correlators

Infra-red ‘conformal’ symmetry

A. Kitaev' 2015

- averaging over disorder with the replica trick

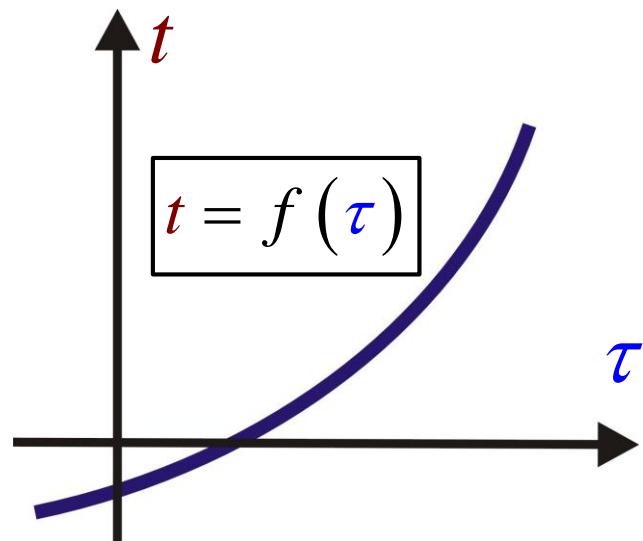
$$\left\langle Z^R = \exp \left(- \sum_{a=1}^R \int (\dot{\chi}^a \chi^a - H^a) dt \right) \right\rangle \quad \hat{H}^a = \frac{1}{4!} \sum_{ijkl}^N J_{ijkl} \chi_i^a \chi_j^a \chi_k^a \chi_l^a$$

Replicated partition sum

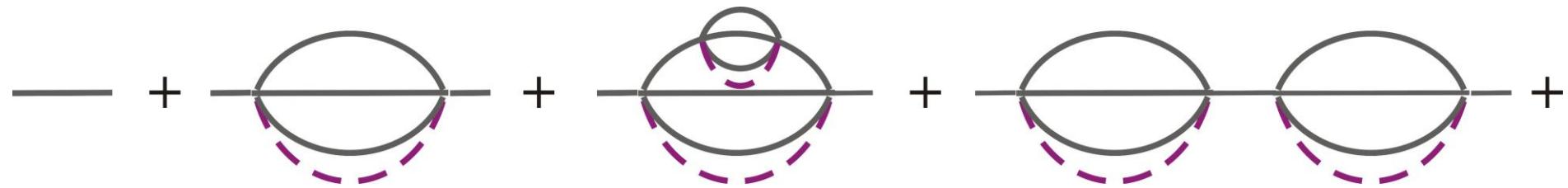
- reparametrizations of the time

$$\tilde{\chi}^a(\tau) = [f'(\tau)]^{1/4} \chi^a(t)$$

fermion's scaling dimension



Mean field solution



- Self-consistent Dyson equation (*S. Sachdev, J. Ye '1993*)

$$-(\cancel{\partial}_\tau + \Sigma) \bullet G = 1, \quad \Sigma_{\tau\tau'}^{ab} = J^2 \left[G_{\tau\tau'}^{ab} \right]^3$$

$$\bullet = \text{oval with dashed circle} \\ \Sigma_{\tau\tau'}^{ab} \quad J^2$$

- Mean-field solution (T=0)

$$\bar{G}_{t-t'}^{ab} \propto -\frac{\delta^{ab}}{\sqrt{J}} \frac{1}{|t-t'|^{1/2}}$$

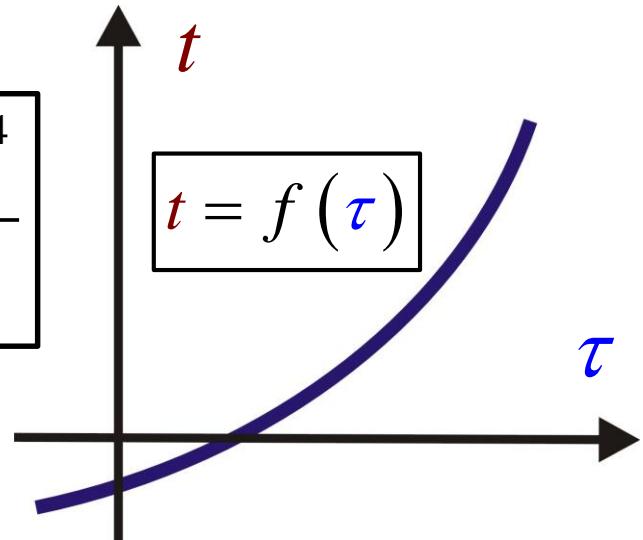
- is of conformal form with scaling dimension $\Delta = 1/4$

Goldstone mode manifold

Kitaev' 2015

- Mean-field solution is not unique!
 - in the conformal limit one has infinite set of solutions

$$G(\tau_1, \tau_2) \propto \mp \frac{[f'(\tau_1)]^{1/4} [f'(\tau_2)]^{1/4}}{|f(\tau_1) - f(\tau_2)|^{1/2}}$$



- Mean-field solution
 - Invariant under conformal transformation $SL(2, \mathbb{R})$

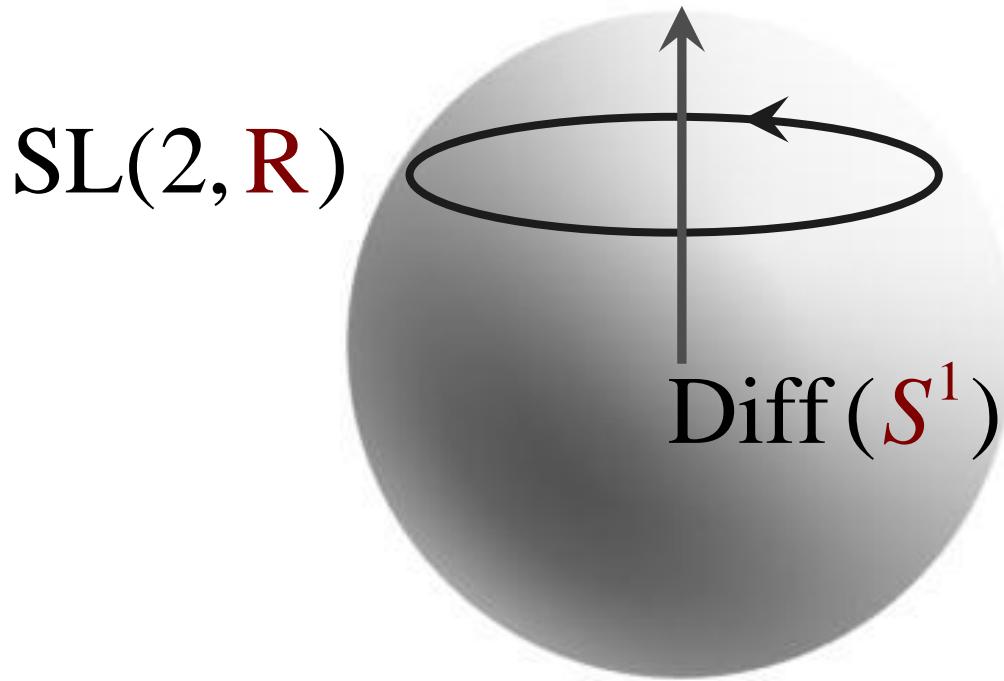
$$\bar{G}_{t-t'}^{ab} \propto -\frac{\delta^{ab}}{\sqrt{J}} \frac{1}{|t-t'|^{1/2}}$$

$$f(\tau) = \frac{a\tau + b}{c\tau + d} \in SL(2, \mathbb{R})$$

Goldstone mode manifold

- emergence of infinite dimensional soft-mode manifold

$$\text{Diff}(\mathbb{S}^1)/\text{SL}(2, \mathbb{R})$$

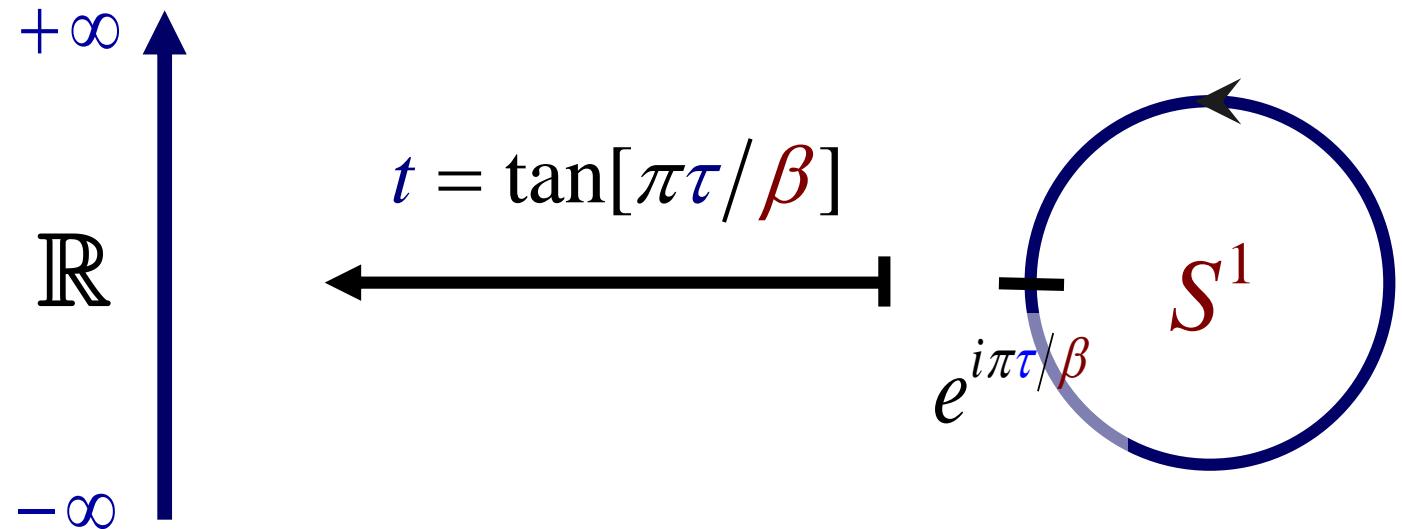


- **Heisenberg magnet:** $\text{SU}(2)/\text{U}(1) \sim \mathbb{S}^2$

Finite-T saddle point

Sachdev & Ye '1993, Kitaev' 2015

- Q: what is the mean field two-point function ?
 - creative use of the reparametrization symmetry:



$$\bar{G}_t^{ab} \propto -\frac{\delta^{ab}}{\sqrt{J}} \frac{1}{|t|^{1/2}}$$



$$\bar{G}_\tau^{ab} \propto -\frac{\delta^{ab}}{\sqrt{\beta J}} \frac{\sqrt{\pi}}{\sin^{1/2}[\pi|\tau|/\beta]}$$

$T \rightarrow 0$ limit

finite $T=1/\beta$

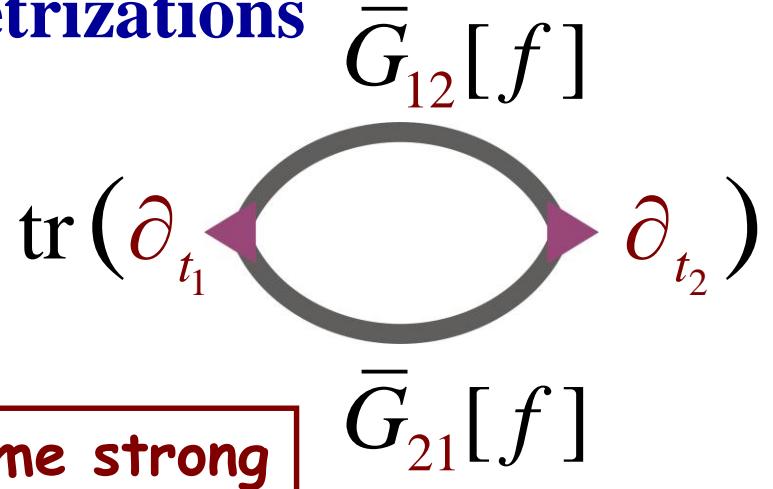
Schwarzian action & Liouville quantum mechanics

Goldstone action

Kitaev' 2015; J. Maldacena & D. Stanford '2015

- Schwarzian action of reparametrizations

$$S_0[f] = -M \int_{-\infty}^{+\infty} \{f, \tau\} d\tau$$



at $t > M$ fluctuations become strong

- the Schwarzian derivative is defined by

$$\{f, \tau\} \equiv \frac{f'''}{f'} - \frac{3}{2} \left(\frac{f''}{f'} \right)^2, \quad M \propto N \ln N / \mathbf{J}$$

- and respects the coset structure versus $H = SL(2, \mathbb{R})$

$$\{h \circ f, \tau\} = \{f, \tau\} \quad \text{if } h(t) = \frac{at + b}{ct + d} \in SL(2, \mathbb{R})$$

Green's function

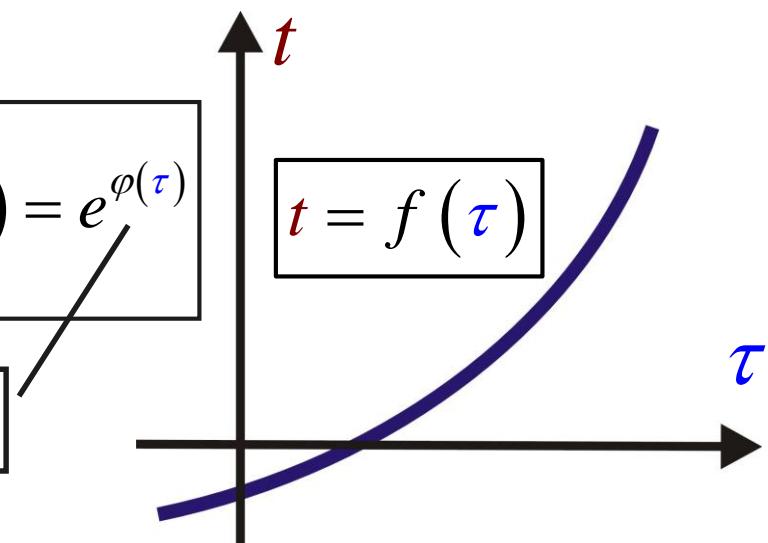
Q: What is the IR limit of Green's function?

$$G(\tau_1 - \tau_2) \propto \mp \int_{G/H} Df(\tau) \times \frac{[f'(\tau_1)]^{1/4} [f'(\tau_2)]^{1/4}}{|f(\tau_1) - f(\tau_2)|^{1/2}} \times e^{-S_0[f]}$$

- average the mean-field result over Goldstone modes
- Phase representation (measure is flat!)

$$S_0[\varphi] = \frac{M}{2} \int_{-\infty}^{+\infty} [\varphi'(\tau)]^2 d\tau, \quad f'(\tau) = e^{\varphi(\tau)}$$

non-compact phase



Green's function

Q: What is the IR limit of Green's function?

$$G(\tau_1 - \tau_2) \propto \int_0^{+\infty} \frac{d\alpha}{\sqrt{\alpha}} \left\langle e^{\frac{1}{4}\phi(\tau_1)} e^{\frac{1}{4}\phi(\tau_2)} \exp \left[-\alpha \int_{\tau_1}^{\tau_2} e^{\phi(\tau)} d\tau \right] \right\rangle_\phi$$

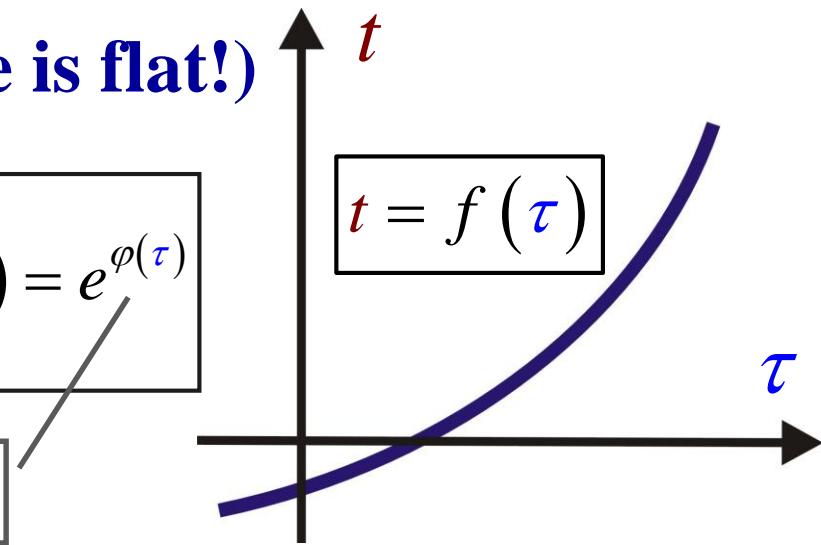
Vertex operators

Liouville potential

- Phase representation (measure is flat!)

$$S_0[\varphi] = \frac{M}{2} \int_{-\infty}^{+\infty} [\varphi'(\tau)]^2 d\tau, \quad f'(\tau) = e^{\phi(\tau)}$$

non-compact phase



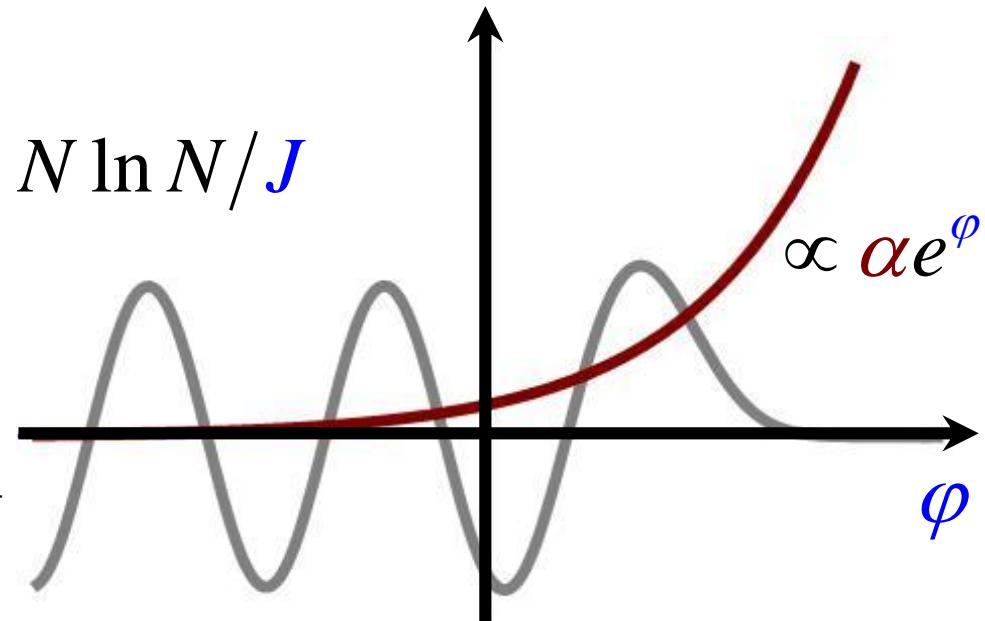
Liouville QM

- Effective Hamiltonian

$$\hat{H} = -\frac{\partial_\varphi^2}{2M} + \alpha e^\varphi, \quad M \sim N \ln N / J$$

"effective mass"

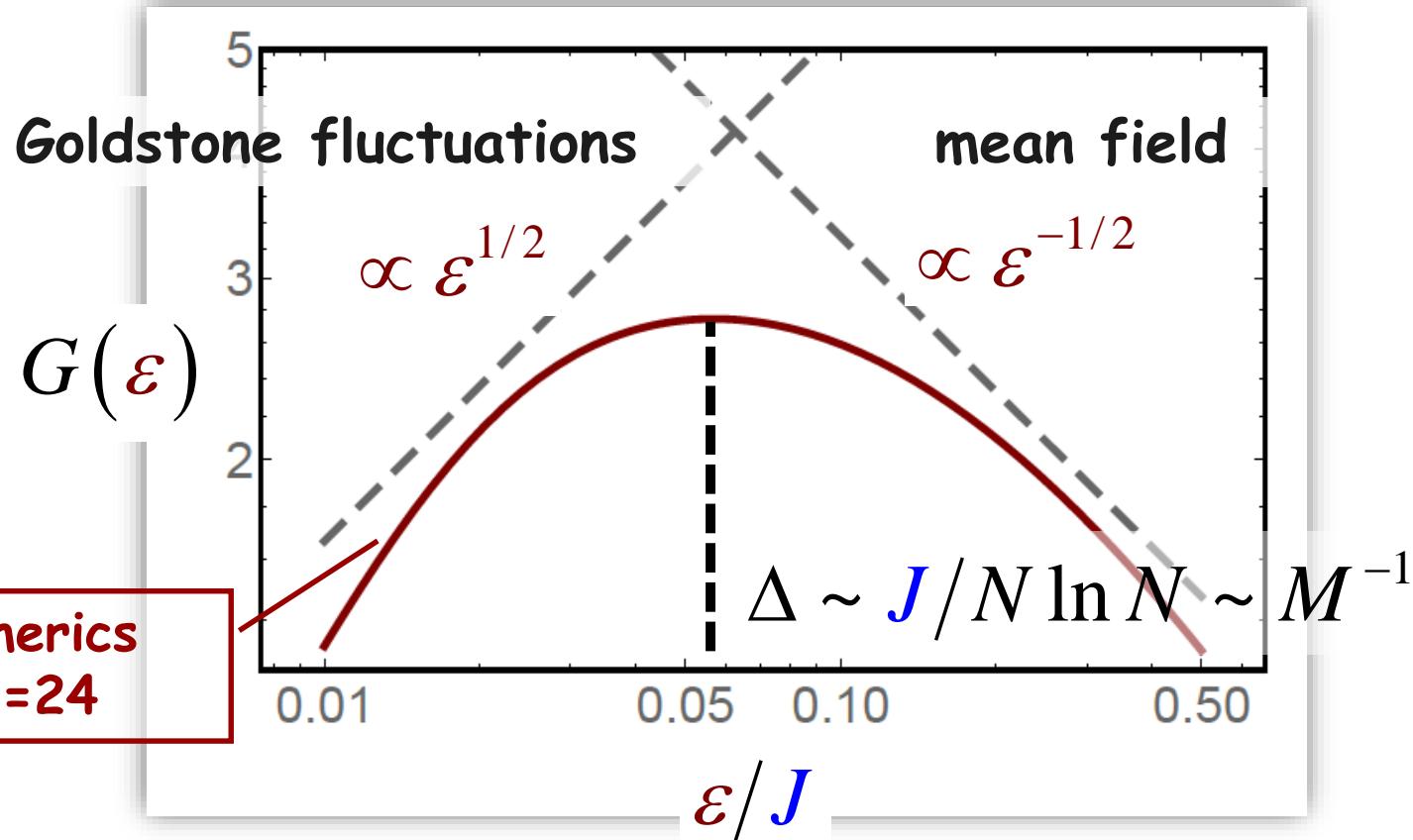
$$k \in \mathbb{R}^+$$



- Spectral decomposition of the Green's function

$$G(\tau) \propto \mp \int_0^{+\infty} \frac{d\alpha}{\sqrt{\alpha}} \sum_k \langle 0 | e^{\frac{1}{4}\varphi} | k_\alpha \rangle e^{-|\tau|k^2/2M} \langle k_\alpha | e^{\frac{1}{4}\varphi} | 0 \rangle$$

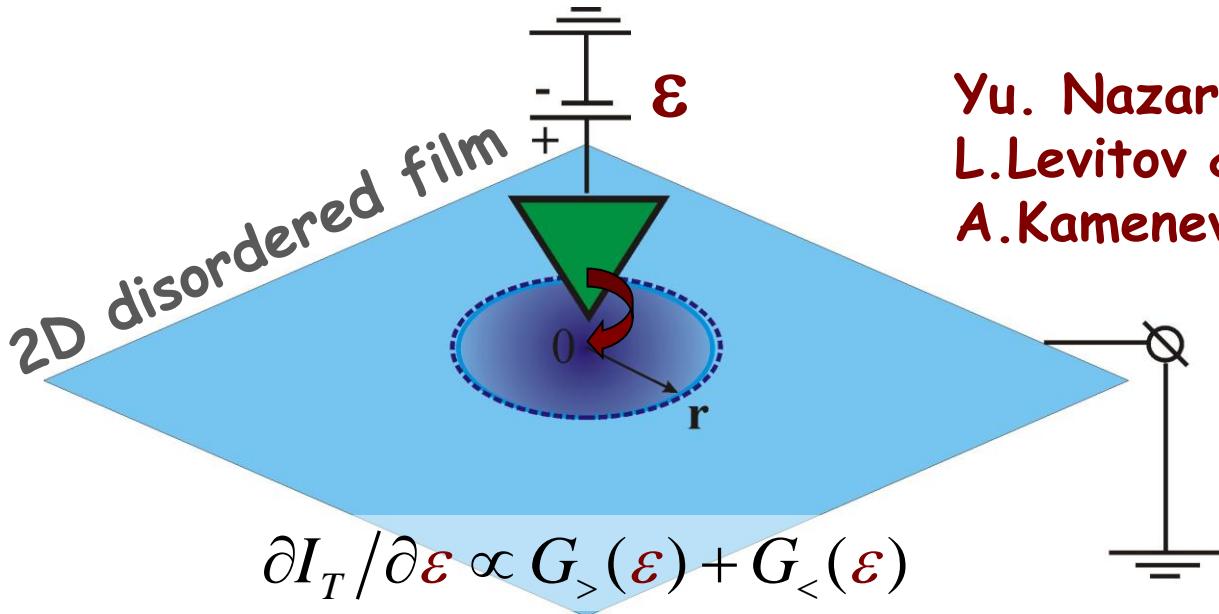
Green's function



- Time domain:

$$G(\tau) \propto \pm \frac{1}{\sqrt{J}} \begin{cases} |\tau|^{-1/2}, & \tau < 1/\Delta \\ \Delta^{-1} |\tau|^{-3/2}, & \tau > 1/\Delta \end{cases}$$

Zero-bias anomaly



Yu. Nazarov, JETP '89;
L. Levitov & A. Shytov, JETP '97
A. Kamenev & A. Andreev, PRB '99

$$G_>(\varepsilon) = \int \textcolor{blue}{t}^{-1} e^{-S(\textcolor{blue}{t})+i\varepsilon\textcolor{blue}{t}} d\textcolor{blue}{t} \sim v_0 \exp\left(-\frac{1}{8g\pi^2} \ln^2 \frac{1}{\varepsilon}\right)$$

$$S(\textcolor{blue}{t}) = \frac{1}{8g\pi^2} \ln^2 \textcolor{blue}{t}$$

- electron's action of tunnelling
under "Coulomb barrier"

Four-point Green's function

$$G_4(\tau_1, \tau_2, \tau_3, \tau_4) = \frac{1}{N^2} \sum_{i,j}^N \left\langle \chi_i(\tau_1) \chi_i(\tau_2) \chi_j(\tau_3) \chi_j(\tau_4) \right\rangle$$

- Time ordering:



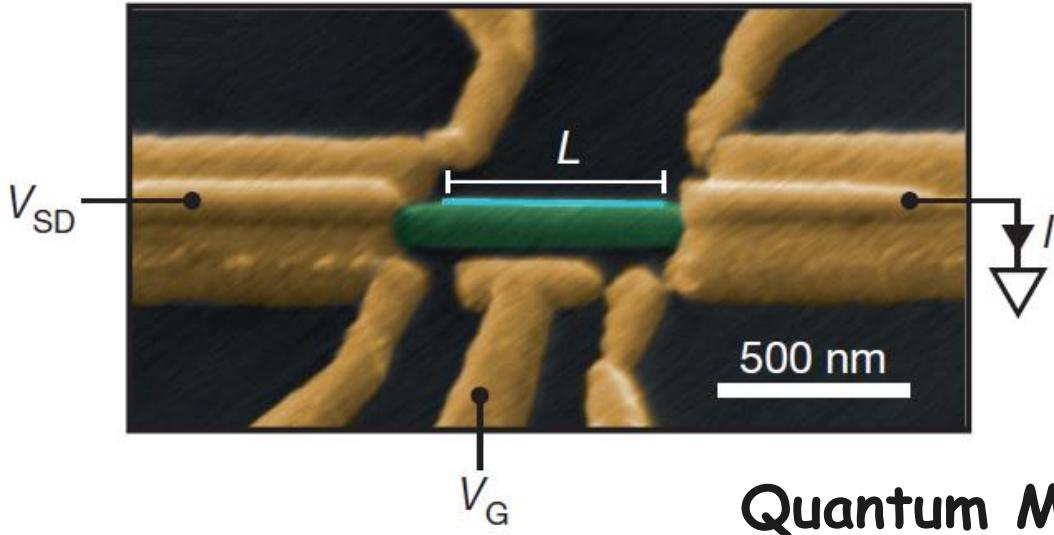
$$G_4(\tau) \propto \begin{cases} |\tau|^{-1}, & \tau < 1/\Delta \\ \Delta^{-1/2} |\tau|^{-3/2}, & \tau > 1/\Delta \end{cases}$$

$\Delta \sim J/N \ln N$
single-particle level
spacing

universal long-time decay

Random mass Dirac model

L. Balents & M. Fisher '97, D. Shelton & A. Tsvelik '98



$$\hat{H} = \begin{pmatrix} -iu\partial_x & m(x) \\ m(x) & iu\partial_x \end{pmatrix}$$

$$\langle m(x)m(x') \rangle_{\text{dis}} \propto \delta(x - x')$$

Quantum Majorana wire at criticality

- Statistics of zero-energy wave functions

$$\left\langle |\psi_0(x)\psi_0(0)|^p \right\rangle_{\text{dis}} \sim L^{-1} |x|^{-3/2}$$

universal (p-independent) decay

Summary

- Conformal symmetry breaking in SYK model leads to large Goldstone mode fluctuations
- Fluctuations qualitatively affect physics at large time scales and low energies,
 $t > N \ln N / J$
- ... and modify correlation functions
- Complex SYK model shows emergent Coulomb blockade at low T

Additional slides

Chaos & and OTO correlators

OTO correlation function

Larkin & Ovchinnikov '69

$$F(\textcolor{blue}{t}) = Z^{-1} \text{tr} \left(e^{-\beta \hat{H}} \hat{X} \hat{Y}(\textcolor{blue}{t}) \hat{X} \hat{Y}(\textcolor{blue}{t}) \right)$$

\hat{X}, \hat{Y} - one body operators in many body context

- 1st interpretation

- up to inessential terms, $F(\textcolor{blue}{t}) = \langle [\hat{X}, \hat{Y}(\textcolor{blue}{t})]^2 \rangle$
- for single particle system, Lyapunov exponent

$$F(\textcolor{blue}{t}) = \left\langle \left(i\hbar \{p, q(\textcolor{blue}{t})\} \right)^2 \right\rangle \propto \hbar^2 \left\langle \left(\{\partial_q q(\textcolor{blue}{t})\} \right)^2 \right\rangle \propto \hbar^2 e^{2\lambda \textcolor{blue}{t}}$$

- correlations are build up at the scale

$$t_E = \lambda^{-1} \ln \hbar$$

- 2nd interpretation

- for many (qubit) system, $\hat{X} = \sigma_i^z, \hat{Y} = \sigma_j^z$, non-vanishing \langle, \rangle builds up at times sufficiently large to entangle sites i & j.

OTO correlation function

Maldacena, Shenker & Stanford '2015

$$F(\textcolor{blue}{t}) = Z^{-1} \text{tr} \left(e^{-\beta \hat{H}/4} \hat{X} e^{-\beta \hat{H}/4} \hat{Y}(\textcolor{blue}{t}) e^{-\beta \hat{H}/4} \hat{X} e^{-\beta \hat{H}/4} \hat{Y}(\textcolor{blue}{t}) \right)$$

- Upper bound on exponential growth in non-integrable many-body system

$$F(\textcolor{blue}{t}) = F_0 - \varepsilon e^{\lambda_{\textcolor{red}{L}} \textcolor{blue}{t}} + O(\varepsilon^2), \quad \lambda_{\textcolor{red}{L}} \leq 2\pi T$$

- this is a short time behaviour of the OTO correlator

- SYK model is maximally chaotic in the above sense

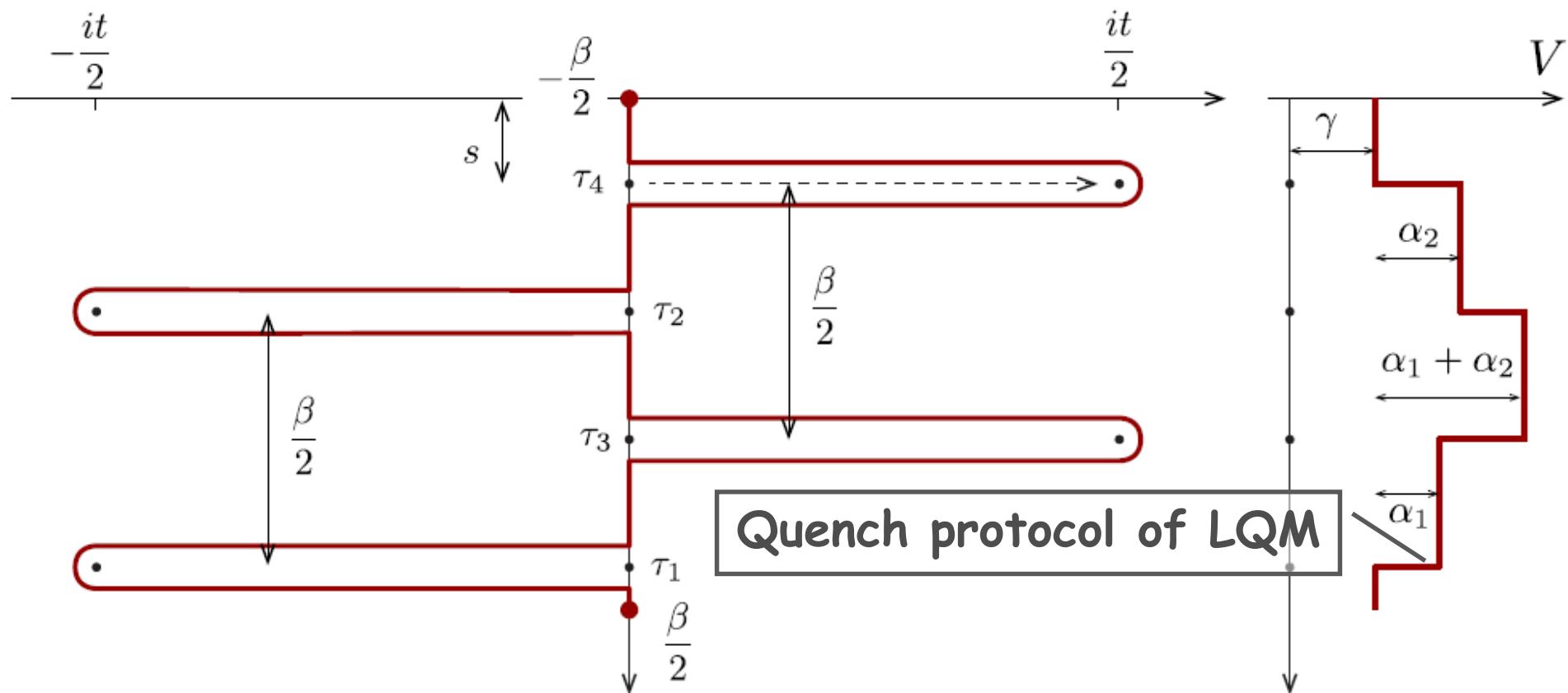
Kitaev '2015

OTO correlation function

can be obtained from contour-ordered 4-point function

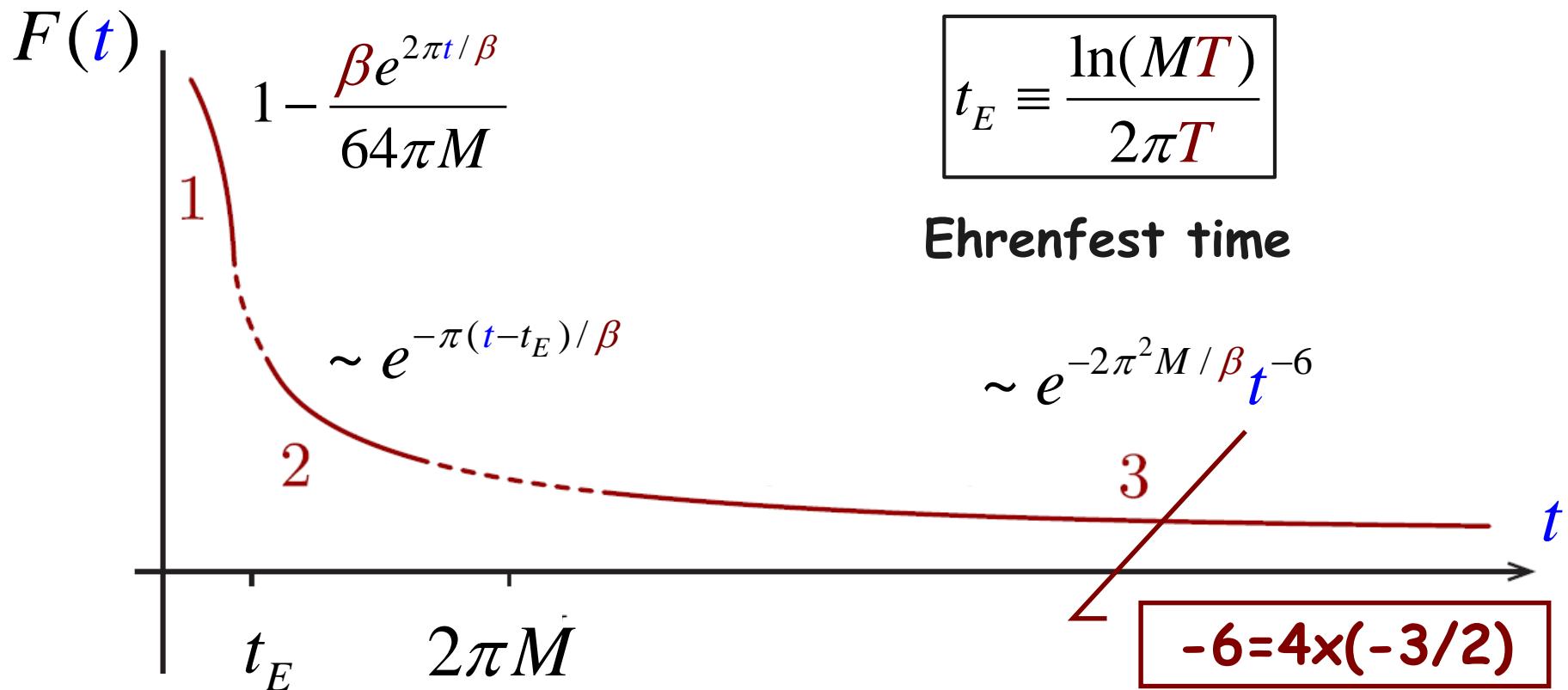
$$G_4(\tau_1, \tau_2, \tau_3, \tau_4) = \frac{1}{N^2} \sum_{i,j}^N \left\langle T_\tau \chi_i(\tau_1) \chi_i(\tau_2) \chi_j(\tau_3) \chi_j(\tau_4) \right\rangle$$

after analytic continuation into complex plane



OTO correlator in SYK model

High temperature limit, $T > 1/M$



- **Liouville universality:** $\langle O(\tau)O(\tau') \rangle \sim |\tau - \tau'|^{-3/2}$
 - $O(t)$ is any local operator

OTO correlator in SYK model

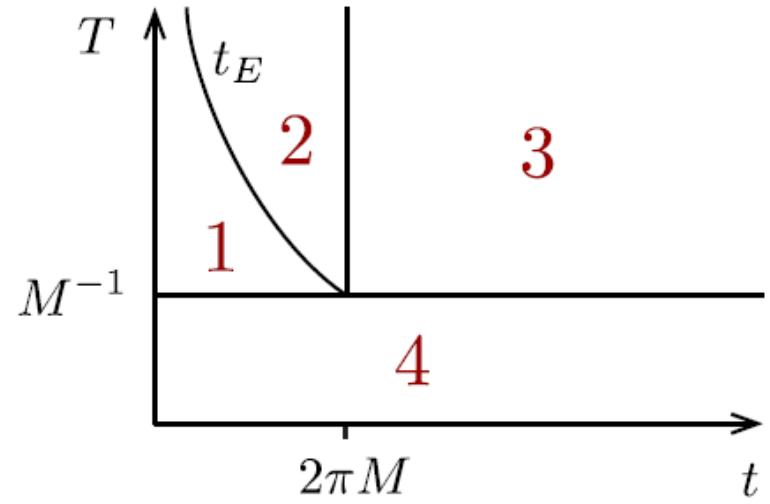
Low temperature limit, $T < 1/M$

$F(t)$

$$\sim \frac{\sqrt{MT}}{\left(1 + (16Tt)^2\right)^3}$$

T^{-1}

t



- at small T and/or large times the system loses semiclassical interpretation

Partition sum & many-body spectrum

Finite-T Goldstone action

- Schwarzian action of reparametrizations

$$S_{\beta}[f] = -M \int_{-\infty}^{+\infty} \left\{ \tan \left[\pi f / \beta \right], \tau \right\} d\tau$$

- $\{h, \tau\}$ is the **Schwarzian** defined on the 'right' coset $H \backslash G$
- $f : S^1 \mapsto S^1$ is a reparametrization of the thermal circle
- Path integral measure (is not flat, left-invariant)

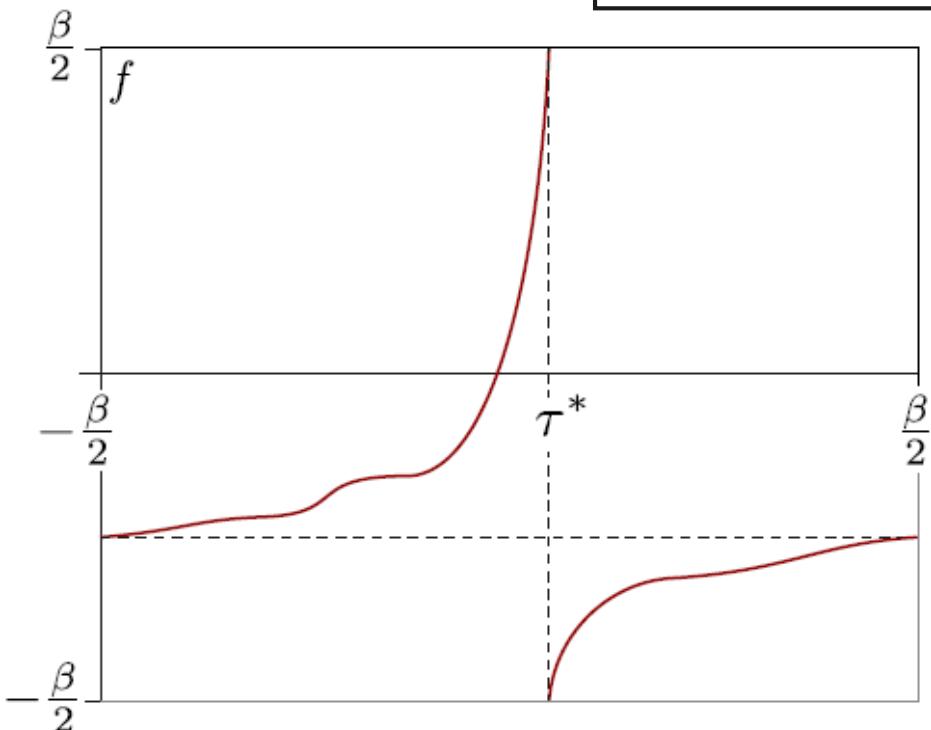
$$\mu[f] := \prod_{\tau} \frac{df_{\tau}}{f} \quad \mu[g \circ f] = \mu[f]$$

Cf. Witten & Stanford '2017

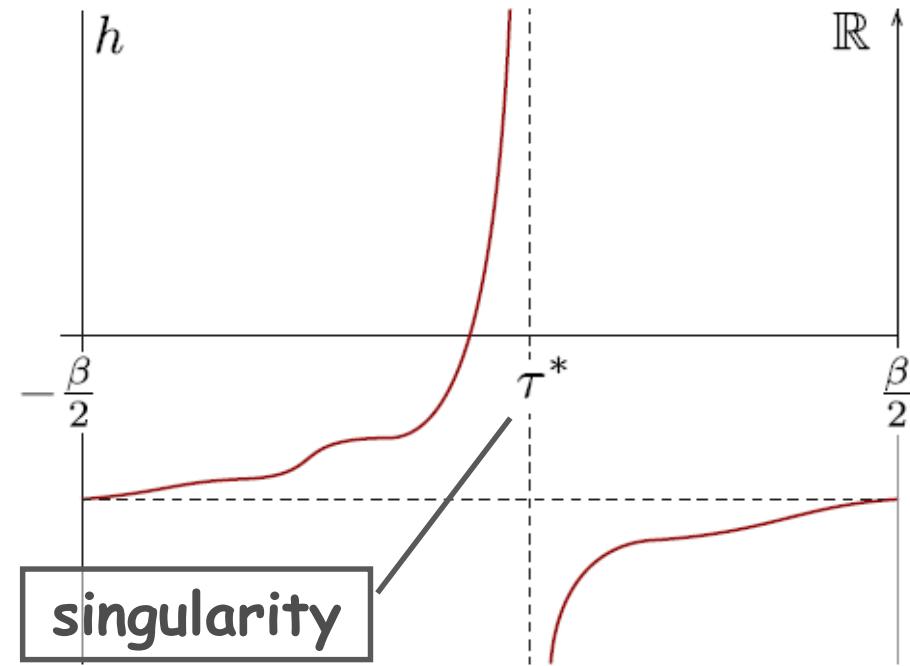
Phase representation

- use the left-invariance of the measure to integrate over

$$h(\tau) := \tan\left[\pi f(\tau)/\beta\right]$$



$$f : S^1 \mapsto S^1$$

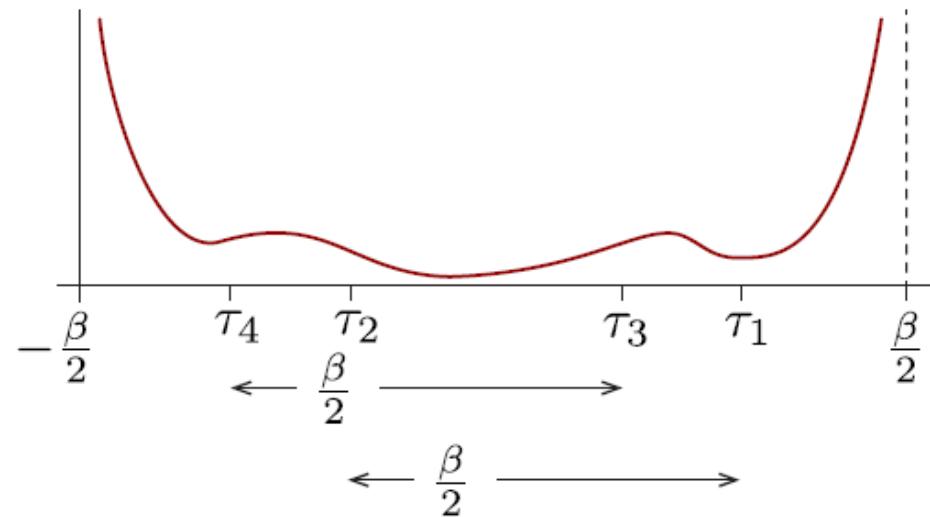
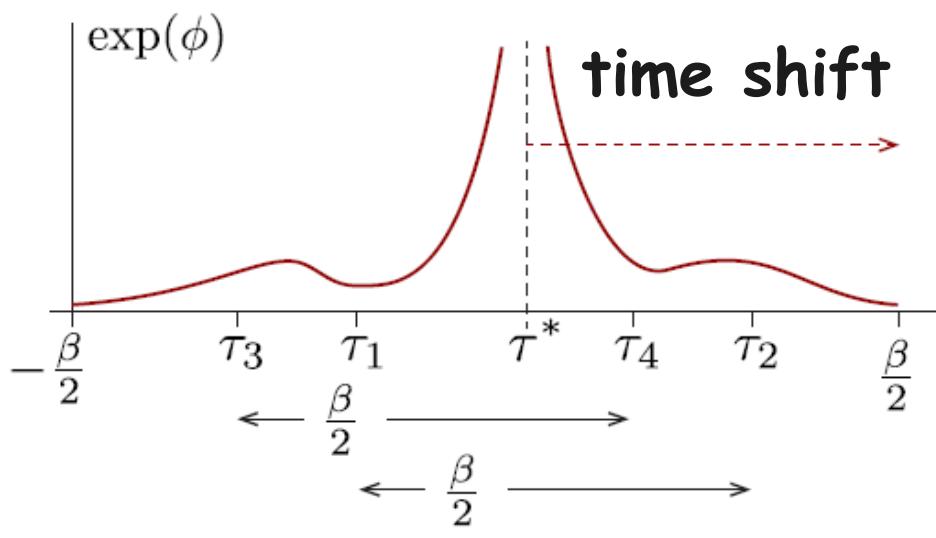


$$h : S^1 \mapsto \mathbb{R}$$

Phase representation

& introduce the non-compact phase variable of the Liouville quantum mechanics as

$$h'(\tau) := \exp[\varphi(\tau)]$$



- plausible trajectories which contribute, $\varphi(\pm\beta/2) \rightarrow +\infty$

Liouville QM

- Finite temperature action (measure is flat!)

$$S_{\beta}[\varphi] = \frac{M}{2} \int_0^{\beta} [\varphi'(\tau)]^2 d\tau + J \left[\int_0^{\beta} e^{\varphi(\tau)} d\tau - t_H \right],$$

Lagrange multiplier, $\sim J$

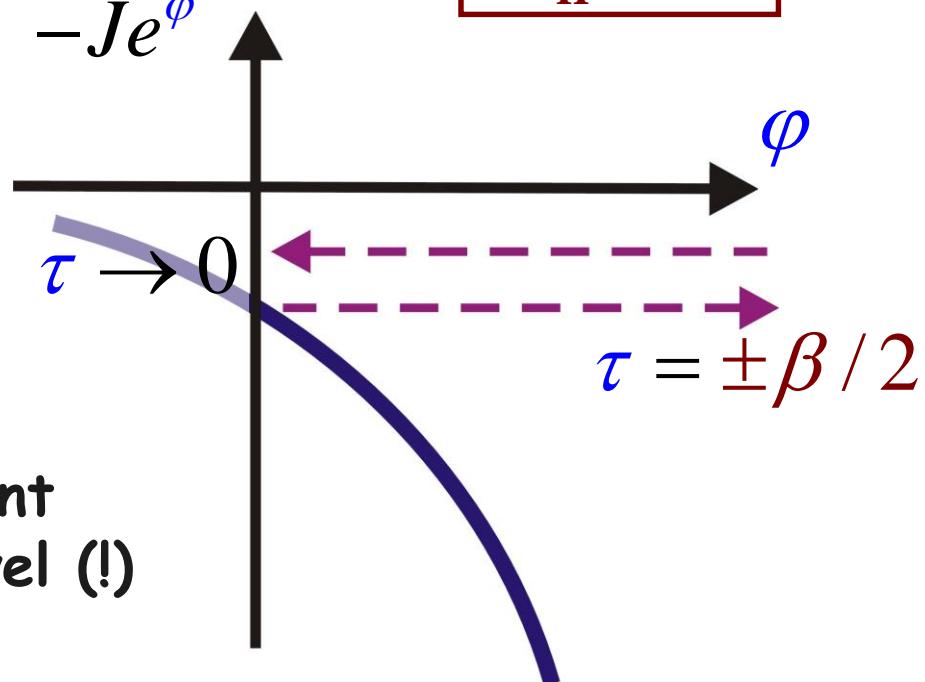
$t_H \rightarrow \infty$

- Saddle point trajectory

- semiclassical tunneling:

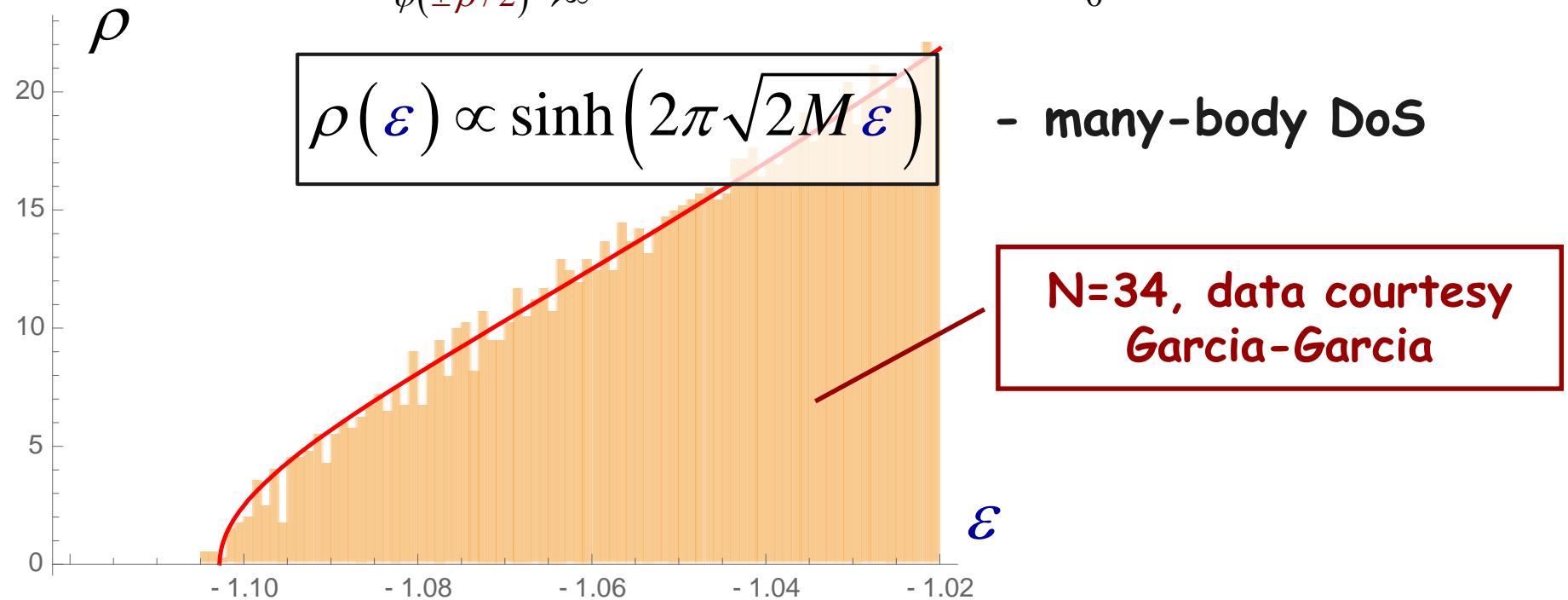
$$\varphi_0(\tau) = -\ln(\cos^2[\pi\tau/\beta])$$

- reproduces finite-T two-point function on the mean-field level (!)



SYK partition sum

$$Z(\beta) = \int_{\varphi(\pm\beta/2) \rightarrow \infty} D\varphi \exp(-S_\beta[\varphi]) \propto \int_0^{+\infty} \rho(\varepsilon) e^{-\beta\varepsilon} d\varepsilon$$



- combinatorial method (Verbaarschot, Garcia-Garcia '2016)
- ($q > 2$)-body interaction (Cotler et al. '2016)
- Z is semiclassically exact (Witten & Stanford '2017)