

Stability of chaos in a generalised SYK model

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Many-Body Quantum Chaos, Bad Metals and Holography
Stockholm, Oct. 2017

Based on arXiv: 1707.02197

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SYK and NAdS₂

$$H = \sum_{i,j,k,l=1}^N J_{ijkl} \chi_i \chi_j \chi_k \chi_l,$$
$$\{\chi_i, \chi_j\} = \delta_{ij}, \quad \mathbb{E}[J_{ijkl}^2] = \frac{6J^2}{N^3}$$

Large $\tau J \gg 1$ emergent conformal symmetry $\chi_i(\tau) \rightarrow \chi_i(f(\tau))$

[Kitaev 2016, Maldacena,... 2016, Sachdev 2016,...]

■ Nearly-AdS₂

$$S = -\frac{\phi_0}{16\pi G} \left[\int \sqrt{g} R + 2 \int_{bdy} K \right] - \frac{1}{16\pi G} \left[\int d^2x \sqrt{g} \phi (R + 2) + 2 \int_{bdy} \phi_b K \right] + \dots$$

[Almheiri,... 2014, Maldacena,... 2016,...]

Random interactions and many-body physics

Long tradition in infinite-range random interaction models for many-body physics

[Mon, French, Wong, ... 1970's, Sachdev, Ye, Georges, Parcollet, ... 1990's]

Nuclear Physics: *k-body embedded ensembles*
Condensed Matter: spin models

- Spectral correlations close to RMT
- Ground state entropy
- Solvable at large- N

SYK

Replica trick:

$$\mathcal{Z} = \int D[\chi_i] e^{-S[\chi_i]} \rightarrow \mathcal{Z}^n = \int D[\chi_i] e^{-S_n[\chi_i, a]}$$

Disorder average: $\mathbb{E}[\mathcal{Z}^n] \implies$ get effective action:

$$S_{\text{eff}} = -\frac{1}{2} \text{Tr} \log(\partial_\tau - \Sigma) + \frac{1}{2} \int d\tau d\tau' \left[G(\tau, \tau') \Sigma(\tau, \tau') - \frac{J^2}{4} G(\tau, \tau')^4 \right]$$

$$G(\omega)^{-1} = -i\omega - \Sigma(\omega), \quad \Sigma(\tau) = -J^2 G(\tau)^2 G(-\tau)$$

IR limit $J\tau \gg 1$: $G(\tau) \propto \text{sgn}(\tau)/|\tau|^{1/2}$

[Georges, Parcollet 1998, Polchinski, Rosenhaus, Maldacena, Stanford 2016,...]

Spectral properties, form factor and their relation to RMT

[García 2016, Cotler,... 2016,...]

SYK - generalizations

- Complex fermions
[Sachdev, Davison, Fu, Georges, Gu, Jensen,...]
- Additional flavours
[Gross, Rosenhaus, Banerjee, Altman,...]
- Supersymmetry
[Fu, Gaiotto, Maldacena, Sachdev]
- Higher dimensional and transport
[Davison, Fu, Gu, Lucas, Balents,...]
- No disorder
[Witten, Klebanov, Tarnopolsky, Gurau...]

Model for today

$\text{SYK}_4 + \text{SYK}_2$

$$H = \frac{\kappa}{4!} \sum_{i,j,k,l}^N J_{ijkl} \chi_i \chi_j \chi_k \chi_l + \frac{i}{2!} \sum_{i,j}^N K_{ij} \chi_i \chi_j,$$

$$\mathbb{E}[J_{ijkl}^2] = \frac{6J^2}{N^3}, \quad \mathbb{E}[K_{ijkl}^2] = \frac{K^2}{N}$$

$$J = K = 1$$

Model for today

SYK₄ + SYK₂

$$H = \frac{\kappa}{4!} \sum_{i,j,k,l}^N J_{ijkl} \chi_i \chi_j \chi_k \chi_l + \frac{i}{2!} \sum_{i,j}^N K_{ij} \chi_i \chi_j,$$

$$\mathbb{E}[J_{ijkl}^2] = \frac{6J^2}{N^3}, \quad \mathbb{E}[K_{ijkl}^2] = \frac{K^2}{N}$$

$$J = K = 1$$

- Replica trick
- Disorder average: $\mathbb{E}[\mathcal{Z}^n] \implies$ get effective action

$$\mathcal{S}_{\text{eff}} = \mathcal{S}_{\text{eff}}(\text{SYK}_4) - \int d\tau d\tau' \frac{K^2}{4} G(\tau, \tau')^2$$

$$G(\omega)^{-1} = -i\omega - \Sigma(\omega), \quad \Sigma(\tau) = -\kappa^2 J^2 G(\tau)^2 G(-\tau) + K^2 G(\tau)$$

IR limit $J\tau \gg 1$ determined by SYK₂

Spectrum

Spectrum

Idea of ED

Choose a representation for $\mathcal{Cl}_{0,2N/2}$

$$\begin{aligned}\gamma_k^{(d+2)} &= \sigma_1 \otimes \gamma_k^d, & \text{for } k = 1, \dots, d+1, \\ \gamma_{d+2}^{(d+2)} &= \sigma_2 \otimes \mathbf{1}_{2^{d/2}}, \\ \gamma_{d+3}^{(d+2)} &= \sigma_3 \otimes \mathbf{1}_{2^{d/2}}, & \text{iterate till } d = N-2\end{aligned}$$

Diagonalize $H_{2^{N/2} \times 2^{N/2}}$ and order spectrum: $\{E_k\}$ ($\mathcal{O}(10^6)$)

Observables

Level spacing distribution: $P(s) = \sum_i \left\langle \delta\left(s - \frac{E_i - E_{i+1}}{\Delta}\right) \right\rangle$. Spectrum must be *unfolded* ($\Delta = 1$)!

Adjacent gaps: (no unfolded needed)

$$\langle r \rangle = \left\langle \frac{1}{2^{N/2}} \sum_{i=1}^{2^{N/2}-1} \frac{\min(\delta_i, \delta_{i+1})}{\max(\delta_i, \delta_{i+1})} \right\rangle, \quad \delta_i \equiv E_i - E_{i-1}$$

Spectrum

Level spacing distribution

Probes system at *Heisenberg* time $\sim \hbar/\Delta$

$$\underbrace{P_{\text{Poisson}}(s) \propto e^{-s}}_{\text{SYK}_2}$$

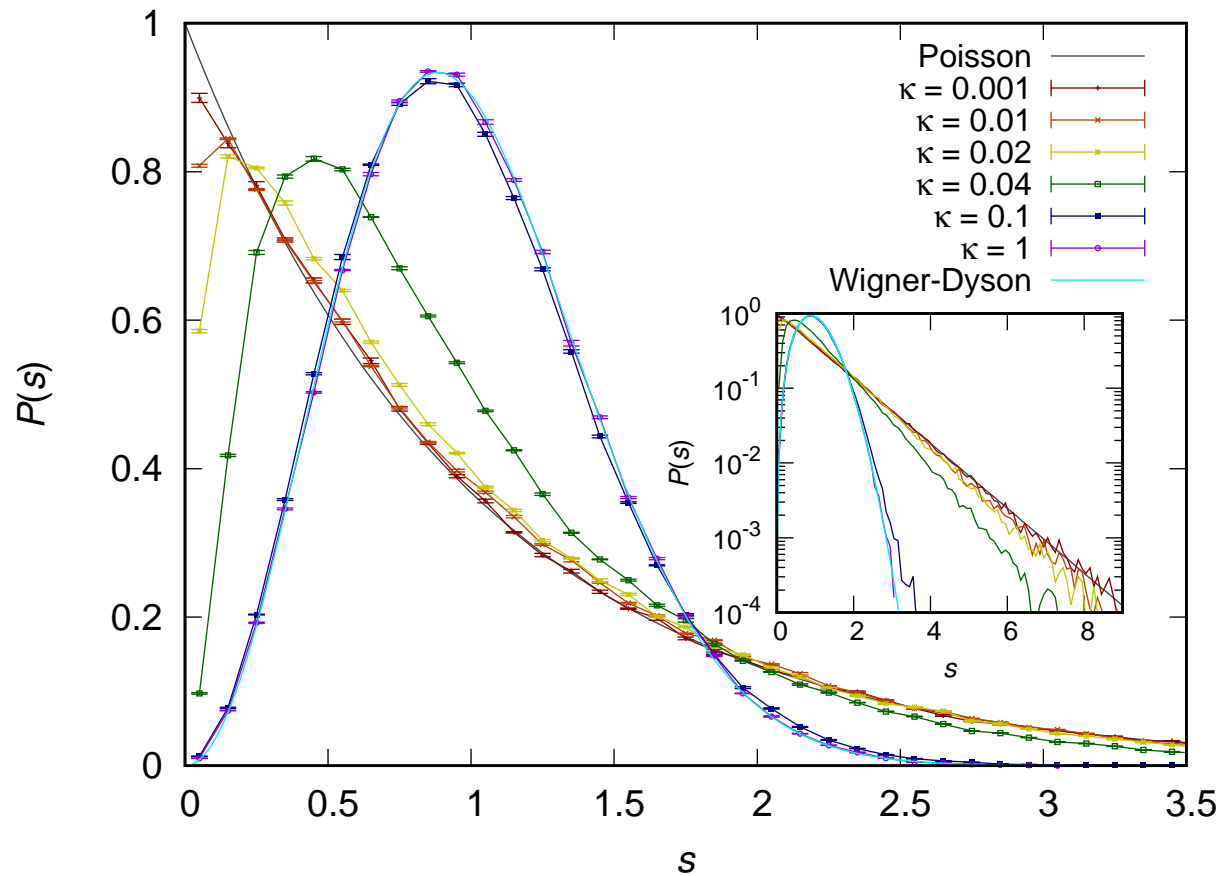
$$\underbrace{P_{\text{WD}}(s) \propto s^2 e^{-4s^2/\pi}}_{\text{SYK}_4}$$

Spectrum

Level spacing distribution

Probes system at *Heisenberg* time $\sim \hbar/\Delta$

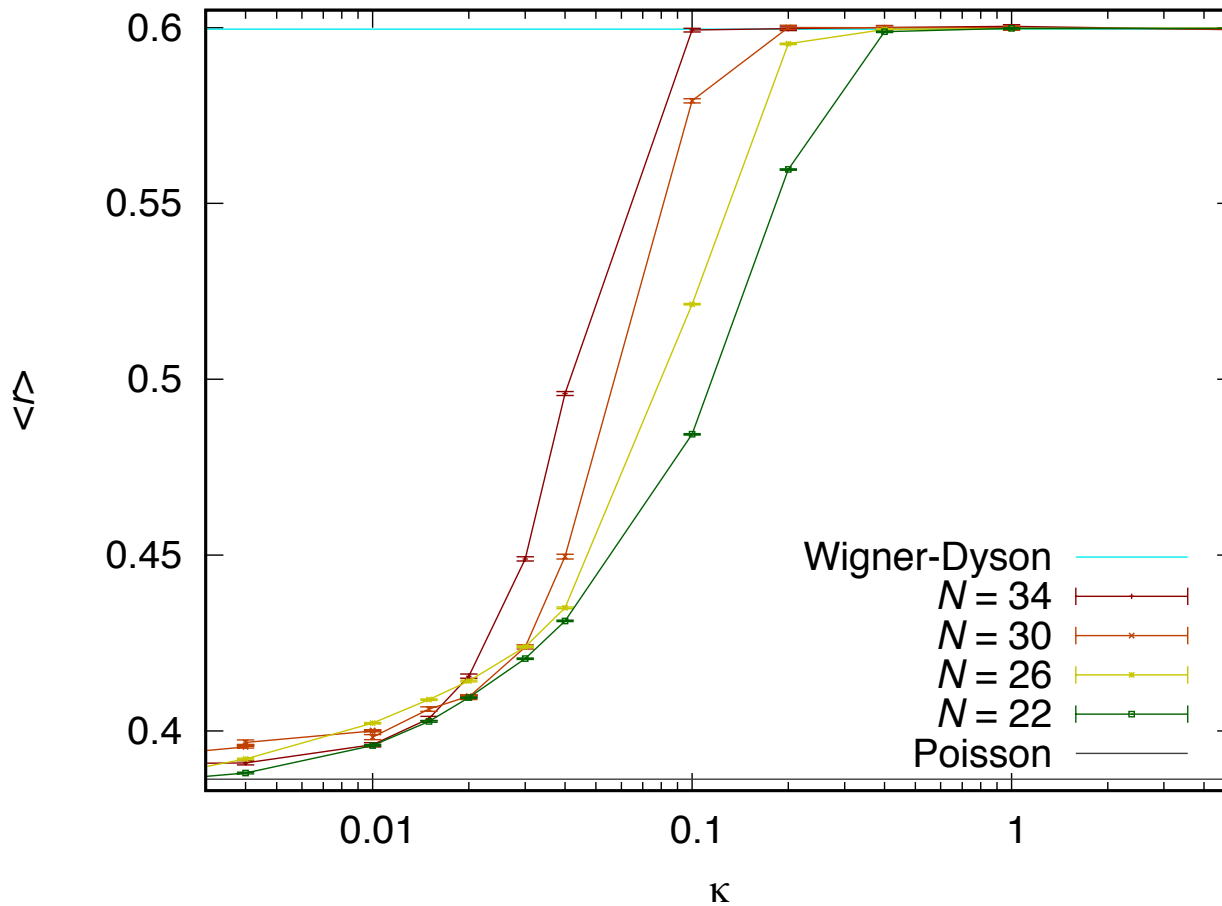
$$P_{\text{Poisson}}(s) \propto e^{-s} \quad P_{\text{WD}}(s) \propto s^2 e^{-4s^2/\pi}$$



Spectrum

Adjacent gaps ratio

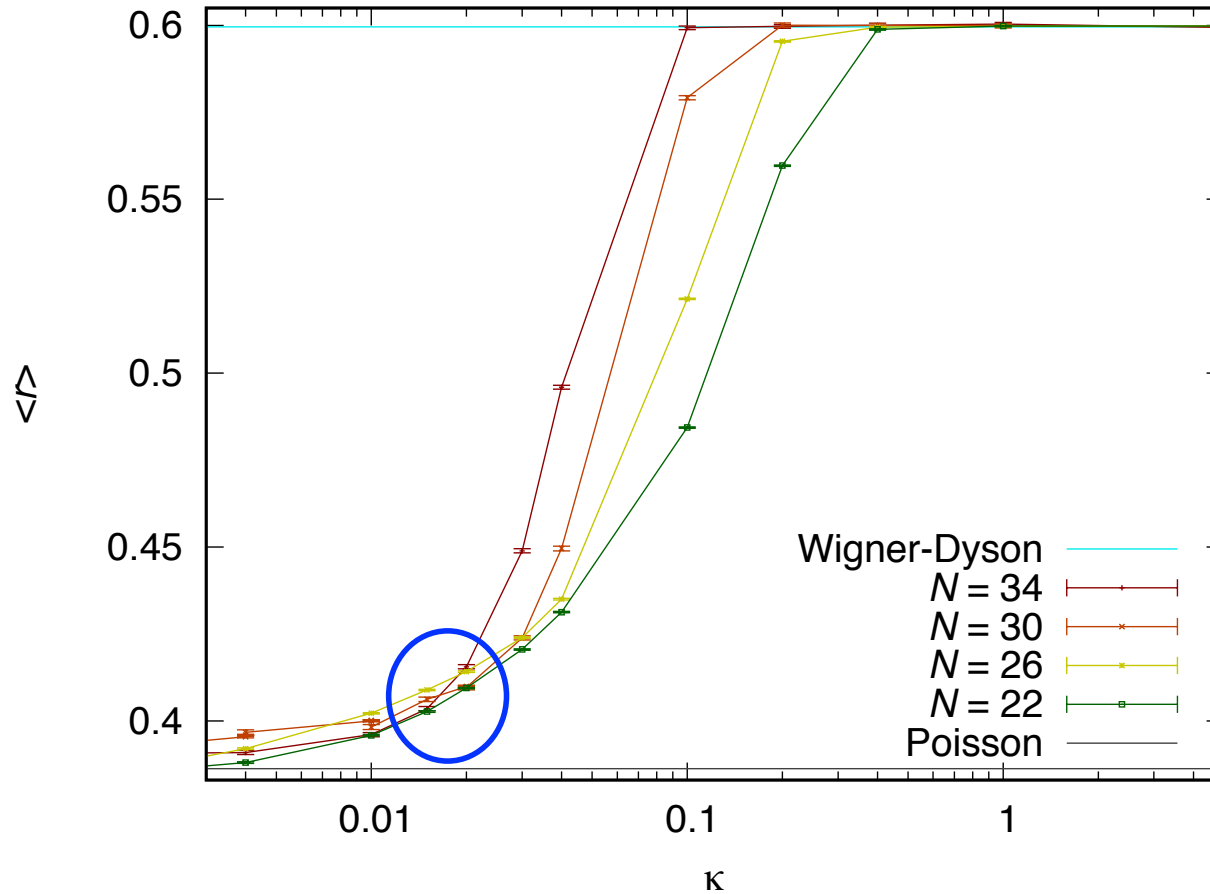
$$\langle r \rangle_{\text{Poisson}} = 2 \log(2) - 1 \sim 0.386, \quad \langle r \rangle_{\text{GUE}} = 0.599$$



Spectrum

Adjacent gaps ratio

$$\langle r \rangle_{\text{Poisson}} = 2 \log(2) - 1 \sim 0.386, \quad \langle r \rangle_{\text{GUE}} = 0.599$$



Thermodynamics

Thermodynamics

GS entropy

$$H = \frac{\kappa}{4!} \sum_{i,j,k,l=1}^N J_{ijkl} \chi_i \chi_j \chi_k \chi_l + \frac{i}{2!} \sum_{i,j=1}^N K_{ij} \chi_i \chi_j,$$

$$G(\omega)^2 + [i\omega + \kappa^2 \Sigma(\omega)] G(\omega) + 1 = 0, \quad \Sigma(\omega) = \int d\tau e^{i\omega\tau} G(\tau)^3$$

Conformal limit: $\beta K \gg 1, \beta J \gg 1$:

- $\kappa = 0, G(\omega) \equiv G_2(\omega)$. Vanishing entropy at $T = 0$.

$$G_2(\tau) \sim \frac{1}{\beta K \sin(\pi\tau/\beta)} + \frac{\#}{[\beta K \sin(\pi\tau/\beta)]^3} + \dots$$

$$G_2(i\omega_n) \sim \frac{i\pi}{K} - \frac{\#}{(\beta K)^3}$$

- $\kappa \ll 1, G(\omega) = G_2(\omega) + \kappa^2 g(\omega) + \mathcal{O}(\kappa^4),$ ($K = 1 = J$)

$$G(i\omega_n) \sim \frac{i\pi}{K} \left(1 + \frac{\kappa^2}{4\beta^2}\right) - \frac{i\omega_n}{2} \left(1 + \frac{\kappa^2\pi}{16\beta^2}\right) + \mathcal{O}(\omega_n^2, \beta^{-4})$$

Same leading solution in the IR: GS entropy also vanishes!

Thermodynamics

Free energy

$$\begin{aligned}
 -\frac{\beta F}{N} &= -\frac{1}{2} \text{Tr} \log(G) + \text{subleading in } 1/\beta \\
 &= -\frac{1}{2} \beta \sum_{n \in \mathbb{Z}} \log G(i\omega_n) e^{i\omega_n 0^+} = -\beta \int_{-\infty}^{\infty} \frac{d\epsilon \arg G^R(\epsilon)}{\pi (1 + e^{\beta\epsilon})}
 \end{aligned} \tag{1}$$

- SYK₂, $\kappa = 0$:

$$-\frac{\beta F}{N} \underset{\beta \gg 1}{\sim} \beta(\pi - 1) + \log(1 + e^{-2\beta}) + \frac{\pi}{12\beta} + O(\beta^{-3})$$

- SYK₂ + κ SYK₄, $\kappa > 0$:

- $G^R(\epsilon) = G_2^R(\epsilon) + \kappa^2 g(\epsilon)$

- Access only to IR region of $G^R(\epsilon)$. Introduce cutoff λ in Eq. (1) consistent with sum rule

$$\int_{-\lambda}^{\lambda} d\epsilon \rho(\epsilon) = 2\pi.$$

- Cutoff affects only the GS energy

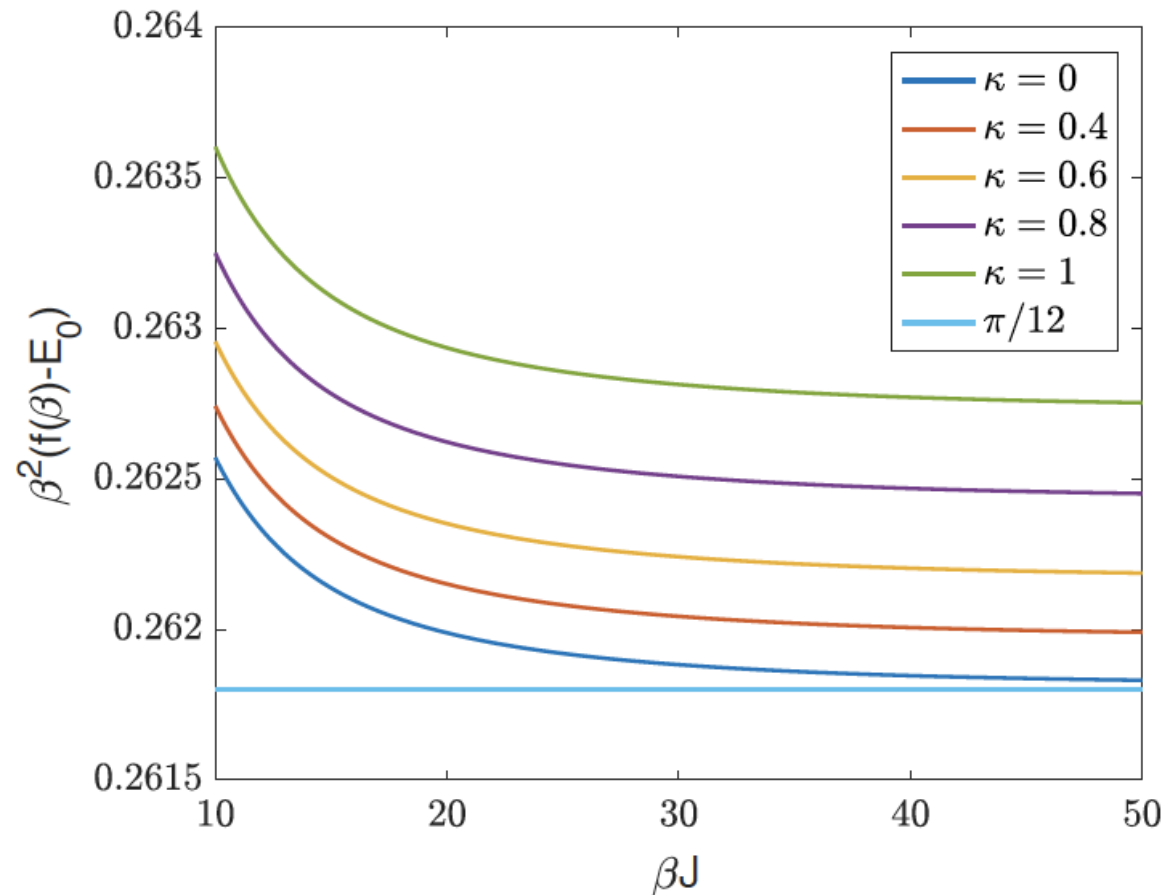
$$f(\beta) \equiv \frac{F}{N} = E_0 - \frac{s_0}{\beta} - \frac{c}{2\beta^2} \dots$$

Thermodynamics

Specific heat

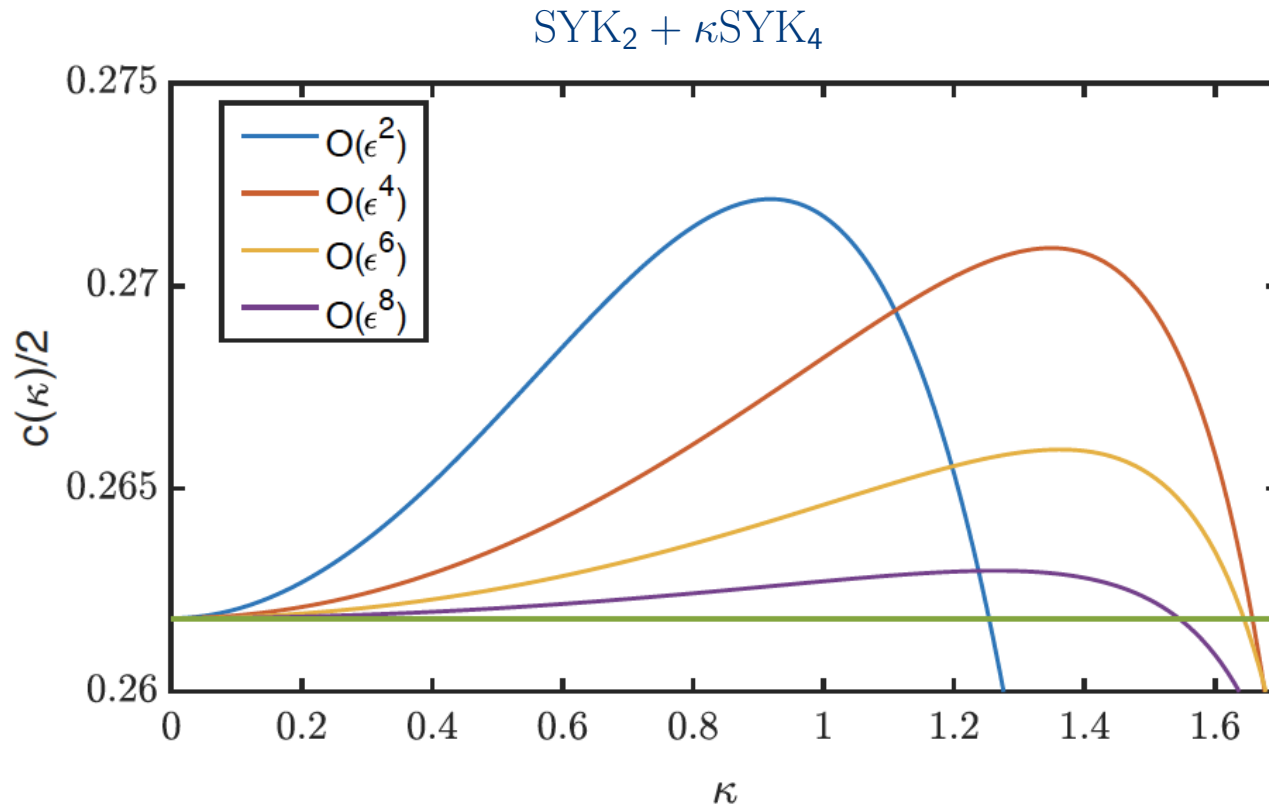
$G^R(\epsilon)$ to $\mathcal{O}(\epsilon^8)$

$\text{SYK}_2 + \kappa \text{SYK}_4$



Thermodynamics

Specific heat



We could have anticipated this:

$$-\frac{\beta F}{N} = -\beta \int_{-\infty}^{\infty} \frac{d\epsilon \arg G^R(\epsilon)}{\pi} \frac{1}{1 + e^{\beta\epsilon}} \stackrel{\beta \gg 1}{=} -\beta \int_{-\lambda}^0 \frac{d\epsilon}{\pi} a(\epsilon, \kappa, \beta) + \frac{\pi}{6\beta} \underbrace{\frac{d}{d\epsilon} a(\epsilon, \kappa, \beta)|_{\epsilon=0}}_{=1/2} + O(\beta^{-3})$$

Thermodynamics

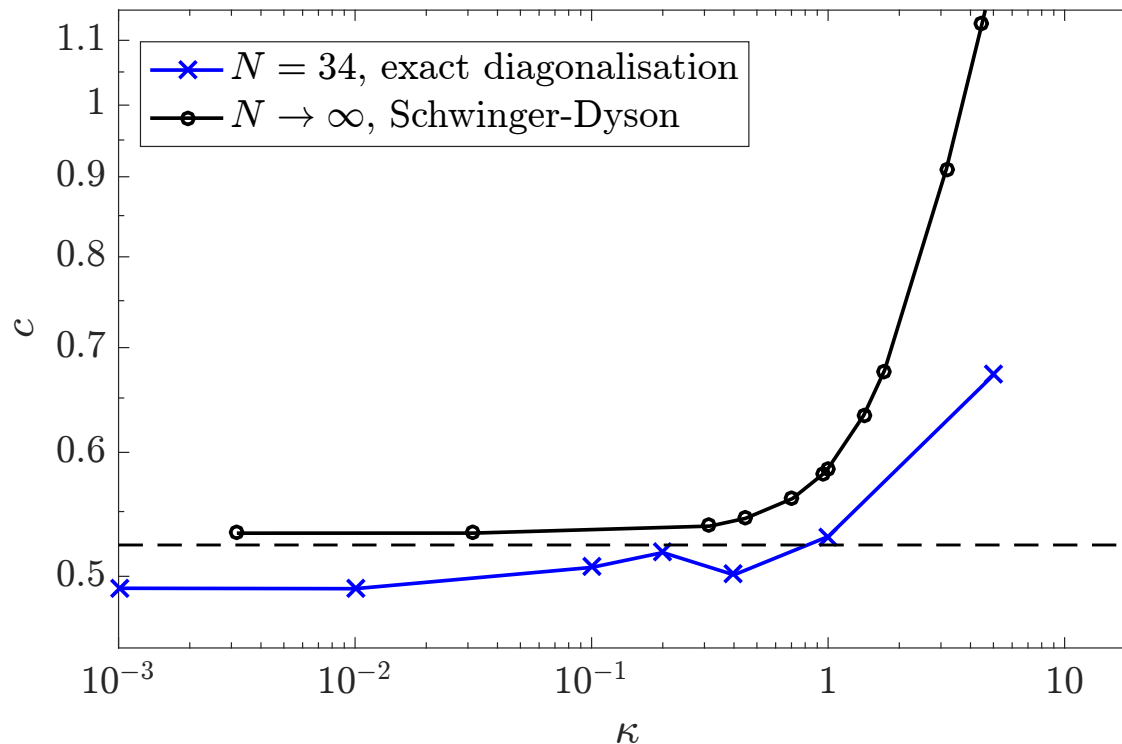
Specific heat - Numerics

Finite N (ED):

$\text{SYK}_2 + \kappa \text{SYK}_4$

$$C(T) = \left\langle \frac{1}{NZ} \sum_k \frac{(E_k - \bar{E})^2}{T^2} e^{-\beta E_k} \right\rangle$$

$N \rightarrow \infty$ (Schwinger-Dyson): fitting $\frac{\log Z}{N} = -E_0\beta + s_0 + \frac{c}{2\beta} + \frac{c_1}{\beta^2} + \frac{c_2}{\beta^3}$



Spectral Form Factor

Probes system at *Ehrenfest* time

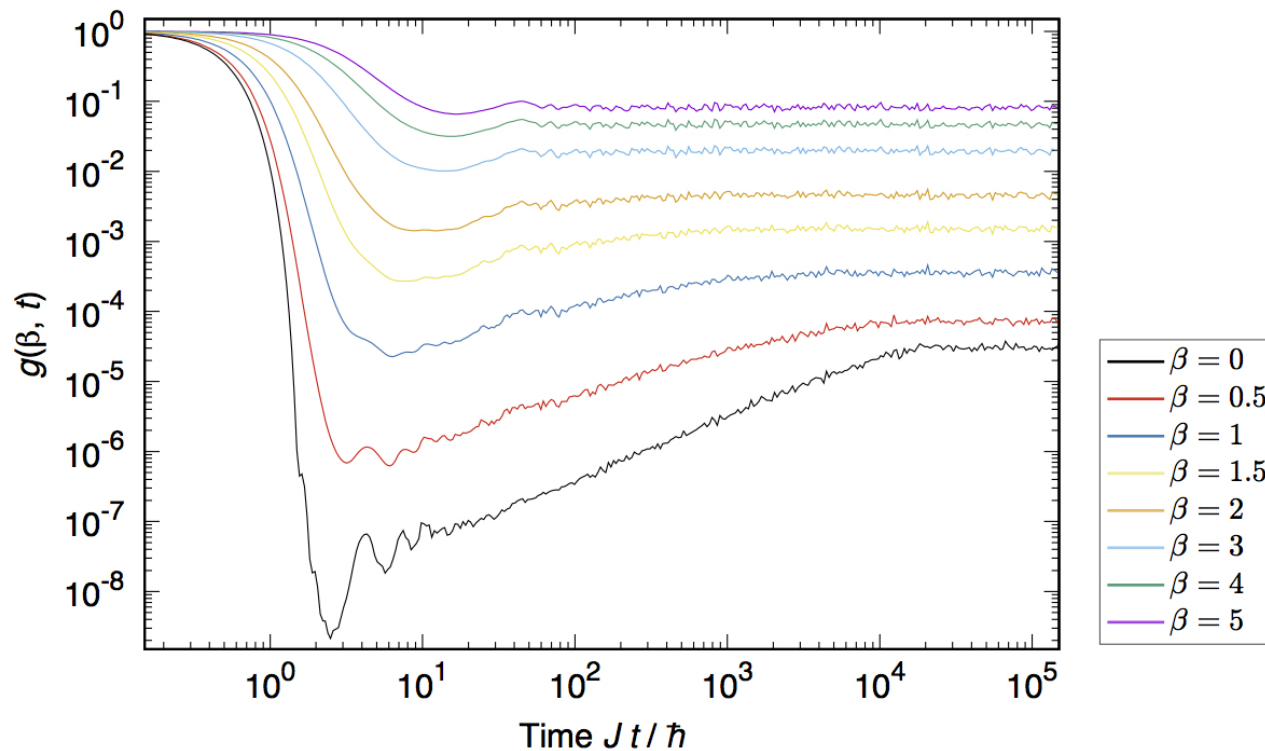
$$g(t, \beta) \equiv \left\langle \frac{Z(t, \beta) Z^*(t, \beta)}{Z(0, \beta)^2} \right\rangle, Z(t, \beta) = \text{Tr} e^{-\beta H - iHt}$$

Spectral Form Factor

Probes system at *Ehrenfest* time

$$g(t, \beta) \equiv \left\langle \frac{Z(t, \beta) Z^*(t, \beta)}{Z(0, \beta)^2} \right\rangle, \quad Z(t, \beta) = \text{Tr} e^{-\beta H - iHt}$$

$$K = J = \kappa = 1$$



OTOC

$$H = \frac{1}{4!} \sum_{i,j,k,l=1}^N J_{ijkl} \chi_i \chi_j \chi_k \chi_l + \kappa \frac{i}{2!} \sum_{i,j=1}^N K_{ij} \chi_i \chi_j, \quad J = K = 1$$

$$F(t_1, t_2) \equiv \frac{1}{N^2} \sum_{i,j} \mathbb{E} \left\{ \text{Tr} \left[\rho(\beta)^{\frac{1}{4}} \chi_i(t_1) \rho(\beta)^{\frac{1}{4}} \chi_j(0) \rho(\beta)^{\frac{1}{4}} \chi_i(t_2) \rho(\beta)^{\frac{1}{4}} \chi_j(0) \right] \right\}$$

$$\simeq G_R(t_1) G_R(t_2) + \frac{1}{N} \mathcal{F}(t_1, t_2) + \mathcal{O}(N^{-2}),$$

$$\mathcal{F}(t_1, t_2) = \int dt_3 dt_4 K_R(t_1, t_1, t_2, t_3, t_4) \mathcal{F}(t_3, t_4),$$

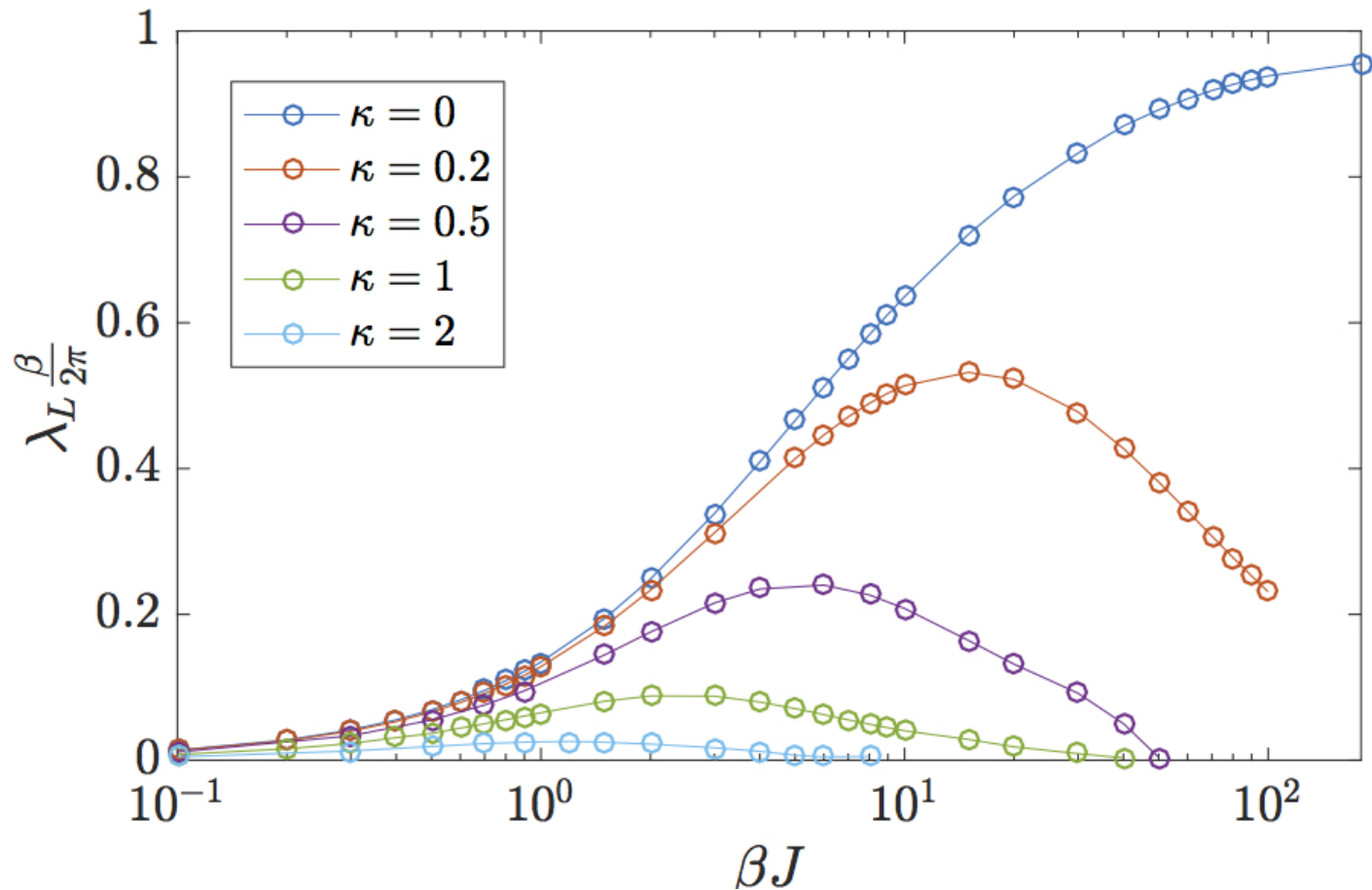
$$K_R(t_1, t_1, t_2, t_3, t_4) = G^R(t_{13}) G^R(t_{24}) [3J^2 G_{lr}^2(t_{34}) + \kappa^2 K^2]$$

- Requires analytic continuation $i\omega_n \rightarrow \omega + i0^+$ of SD equations
- Ansatz: $\mathcal{F}(t_1, t_2) = e^{\lambda_L(t_1+t_2)/2} f(t_{12}) \implies$ solve eigenvalue equation for $f(t_{12})$

OTOC numerical

Lyapunov exponent

$$H = \text{SYK}_4 + \kappa \text{SYK}_2$$



OTOC analytical - large- q

$$H = i^{q/2} \sum_{i_1, \dots, i_q}^N J_{i_1 \dots i_q} \chi_{i_1} \dots \chi_{i_q} + \kappa i \sum_{i, j=1}^N K_{ij} \chi_i \chi_j, \quad \mathcal{J}^2 = 2^{1-q} q J^2, \mathcal{K}^2 = q K^2$$

We need $G^R(t)$ for large- q :

$$G(\tau) \underset{\beta K \ll 1}{=} \frac{1}{2} \text{sgn}(\tau) \left(1 + \frac{1}{q} g(\tau) + O(q^{-2}) \right)$$

- Equation for $g(\theta = \tau/\beta)$: $\partial_\theta^2 g = 2(\beta \mathcal{J})^2 e^{g(\theta)} + \kappa^2 (\beta \mathcal{K})^2$
- Perturbatively in κ : $g(\theta) = g_{(0)}(\theta) + (\beta \mathcal{K})^2 g_{(1)}(\theta) + O(\beta \mathcal{K}^2)$

OTOC analytical - large- q

- Kernel at large- q : $K_R(t_1, t_1, t_2, t_3, t_4) = \theta(t_{13})\theta(t_{24}) \left[2\mathcal{J}^2 e^{g(\tau=it_{34}+\beta/2)} + \frac{1}{q}\kappa^2\mathcal{K}^2 \right]$
- Ansatz: $\mathcal{F}(t_1, t_2) = e^{\lambda_L(t_1+t_2)/2} f(t_{12}) \implies$ Schrödinger equation for $f(t_{12})$

$$[\partial_y^2 + V(y)] f(y) = \left(\frac{\beta\lambda_L}{2\pi\nu} \right)^2 f(y)$$

$$V(y) = 2 \left(\frac{\beta\lambda_L}{2\pi\nu} \right)^2 e^{g(iy)} \underset{\beta\mathcal{K} \ll 1}{\simeq} 2 \operatorname{sech}^2 y + \kappa^2 (\beta\mathcal{K})^2 g_{(1)}(iy) e^{g_{(0)}(iy)}$$

- Bound states: $E_\lambda = -1 + \kappa^2 (\beta\mathcal{K})^2 E_\lambda^{(1)}(\nu) = - \left(\frac{\beta\lambda_L}{2\pi\nu} \right)^2$

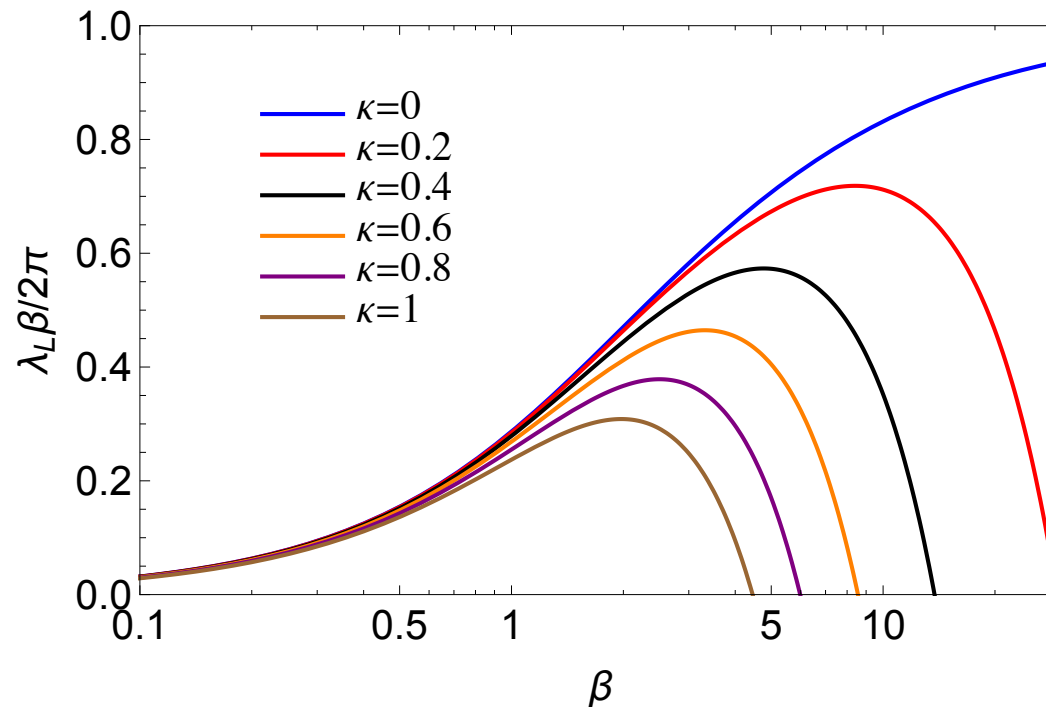
$$\nu = 0 \text{ for } \beta\mathcal{J} = 0$$

$$\nu \rightarrow 1 \text{ for } \beta\mathcal{J} \rightarrow \infty$$

OTOC analytical - large- q

Lyapunov exponent

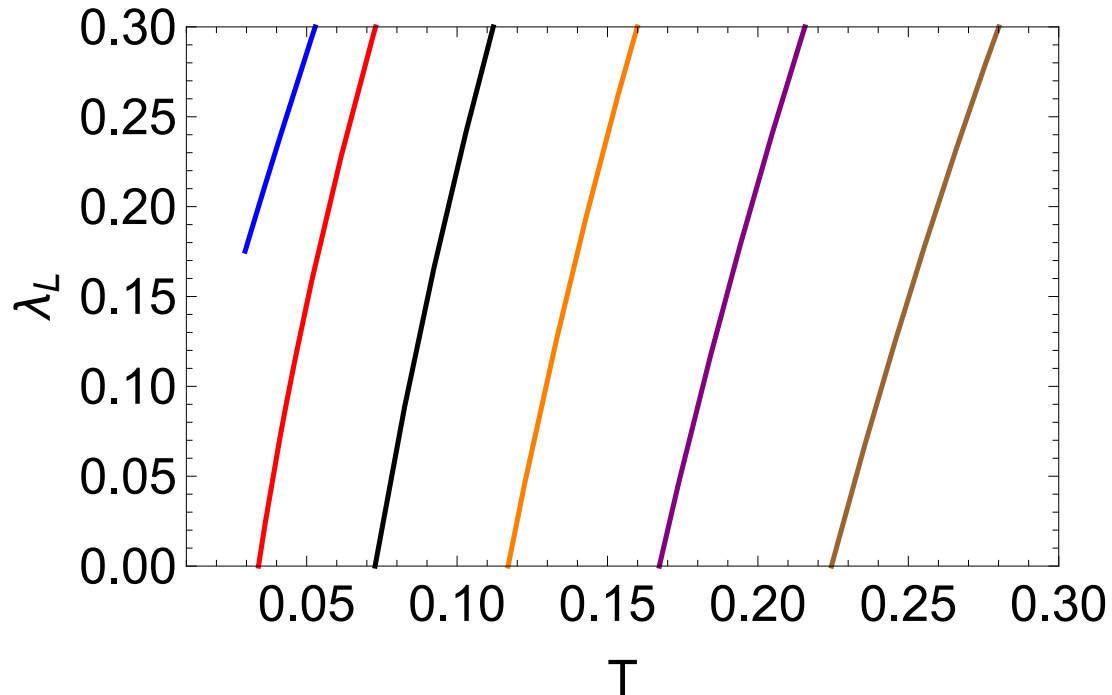
$$H = \text{SYK}_q + \kappa \text{SYK}_2$$



OTOC analytical - large- q

Lyapunov exponent

$$H = \text{SYK}_q + \kappa \text{SYK}_2$$



- SYK_q in the presence of a relevant one-body deformation:
 - Vanishing GS entropy. Small corrections to specific heat.
 - Persistence of chaos (though weakened)
- For sufficiently low temperature there is a **chaotic-integrable transition** vanishing λ_L ,
- This type of transition is common in low-dim. systems. Need to **identify gravity dual**
 - Centaur geometries? [Anninos, Hofman 2017]
 - Hawking-Page transition? thermal gas corresponding to non-chaotic phase