

# Supernova shock breakouts

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# Early SN emission

- SN shock is radiation mediated at the envelope
- Outer ( $10^{-3}M_{\text{sun}}$ ) heated to  $\sim\text{keV}$
- Shock emergence accompanied by thermal X-rays

[Colgate 74; Falk 78; Klein & Chevalier 78]

- Post breakout expansion  $\rightarrow$  early UV emission

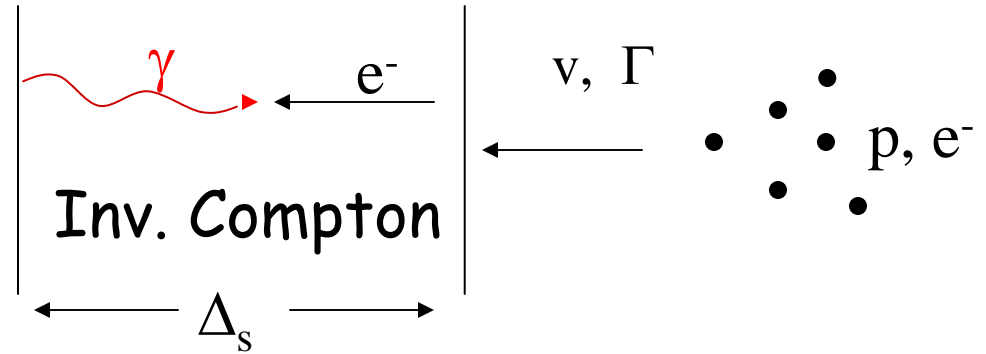
[Ensmann & Burrows 92; Chevalier 92; Blinnikov et al. 00]

- Wind
  - Breakout may take place within the wind
  - Ejecta/wind interaction  $\rightarrow$  non-thermal X, radio

# Radiation mediated shocks: I

$$U_{\text{rad.}} \gg U_{\text{part.}}$$

$$U_{\text{rad.}} \approx \Gamma^2 n m_p c^2$$



$$t_\gamma \approx \frac{\Delta_s}{\lambda_\gamma} \frac{\Delta_s}{c} \approx t_e \approx \frac{\Delta_s}{v} \Rightarrow \frac{\Delta_s}{\lambda_\gamma} \approx \frac{c}{v}$$

- Radiation escapes when the shock reaches  $\tau \sim c/v$ ,

$$\delta m \approx \frac{4\pi R^2}{\kappa} \tau \approx 10^{-8} R_{12}^2 \tau M_{\text{Sun}}.$$

- Optically thick wind,

$$\frac{\dot{M}}{v_w} \approx \frac{4\pi R}{\kappa} \tau \approx 5 \dot{m} R_{12} \tau; \quad \dot{m} \equiv 10^{-5} \frac{M_{\text{Sun}} / \text{yr}}{10^3 \text{ km/s}}.$$

# Breakout X-rays: a simple model

- Envelope density  $\rho \sim \delta^n$ ,  $\delta = (1-r/R)$   
( $n=3, 3/2$  for radiative, convective)
- Shock velocity (interpolating ST-Sakuri)

$$v_s \approx 0.8 \left( \frac{E}{M} \right)^{1/2} \delta^{-0.2n}.$$

[Matzner & McKee 99]

- Post-shock thermal energy

$$U_{\text{rad}} = (18/7) \rho v_s^2$$

# Breakout X-rays: a simple model

- At  $\tau=c/v_s$ : 
$$\beta_{s,\max} = v_{s,\max} / c \approx 0.2 \frac{E_{51}^{0.6}}{(M / M_{\text{Sun}})^{0.4}} R_{12}^{-0.3},$$

$$\beta_{\text{free}} \approx 2\beta_{s,\max}$$

$$T_{\text{BO}} \approx 0.2 \frac{E_{51}^{0.2}}{(M / M_{\text{Sun}})^{0.05}} R_{12}^{-0.5} \text{ keV},$$

$$E_{\text{BO}} \approx 2 \times 10^{46} \frac{E_{51}^{0.6}}{(M / M_{\text{Sun}})^{0.4}} R_{12}^{1.7} \text{ erg.}$$

[Matzner & McKee 99]

- Optically thick wind,  
mildly relativistic ( $\Gamma\beta \sim 1$ ) breakout:

$$T_{\text{BO}} \approx 0.1 (\Gamma\beta)^3 R_{12}^{-1/4} \text{ keV},$$

$$E_{\text{BO}} \approx 3 \times 10^{46} (\Gamma\beta)^4 R_{12}^2 \text{ erg.}$$

- $E_X, T \rightarrow R, v_s$ ; Consistency:  $\Delta t \sim R/c$ .

# Ejecta/Wind interaction

- Deceleration:  $t_{\text{dec}} \approx 10 \frac{E_{k,47}}{\beta^3 \dot{m}} \text{ min}, \quad \frac{\dot{M}}{v_w} = \dot{m} \frac{10^{-5} M_{\text{Sun}} / \text{yr}}{10^3 \text{ km/s}}.$

- Collisionless shock L:

$$(\nu L_\nu)_{\text{synch.}} \approx \frac{\varepsilon_e E_k / t}{2\Lambda} \approx 10^{40} \frac{\varepsilon_{e,-1} E_{k,47}}{t_{\text{day}}} \frac{\text{erg}}{\text{s}},$$

$$\Lambda \equiv \log(\gamma_{e,\text{max}} / \gamma_{e,\text{min}}).$$

- IC of SN light:

$$R_s \approx 0.7 \left( \frac{E_k}{\dot{M} / 4\pi v_w} t^2 \right)^{1/3}, \quad h\nu_{\text{IC,T}} \approx 1 \varepsilon_{e,-1}^2 \left( \frac{E_{k,47}}{\dot{m} t_{\text{day}}} \right)^{4/3} \text{ keV}$$

$$(\nu L_\nu)_{\text{IC,T}} \approx \min \left[ 10^{40} \varepsilon_{e,-1}^2 \frac{E_{k,47} L_{\text{SN},42}}{t_{\text{day}}^{2/3}} \frac{\text{erg}}{\text{s}}, (\nu L_\nu)_{\text{syn}} \right].$$

# XRO 080109-SN 2008D

- XRO:  $E_X \sim 3 \times 10^{46} \text{ erg}$ ,  $R \sim c \Delta t \sim 10^{12} \text{ cm}$  ( $\rightarrow \Gamma \beta \sim 1$ )  
 $R_* \sim 10^{11} \text{ cm} \rightarrow \text{Wind}$   
Wind transparency:  $m_{\text{dot}} \sim \text{few}$  (@  $10^{12} \text{ cm}$ ).
- Wind/Ejecta interaction vs. observed X-ray, radio:  
Late non-thermal X ( $L_X \sim 10^{40} t_d^{-0.7} \text{ erg/s}$ )  
+ non-thermal radio  
Consistent with  
 $m_{\text{dot}} \sim 1$  (@  $10^{15} \text{ cm}$ ) wind +  $10^{47} \text{ erg}$ ,  $\beta \sim 0.3$  shell
- $T = 0.1 \text{ keV}$ ? No! Non-thermal,  $d \log n_\gamma / d \log E_\gamma \sim -2$

# SN 2008D: X-ray outburst spectrum

- $T=0.1\text{keV}$ ? No! Non-thermal,  $d\log n_\gamma/d\log E_\gamma \sim -2$

[Soderberg et al. 08]

- What is the origin of the non-thermal emission?

-- Let's pretend it's thermal

[Chevalier & Fransson 08]

-- Breakout ruled out. Must be a Relativistic Jet

[Mazzali et al. 08, Li 08]

However: VLBI (30d)  $\rightarrow v/c < 0.6$

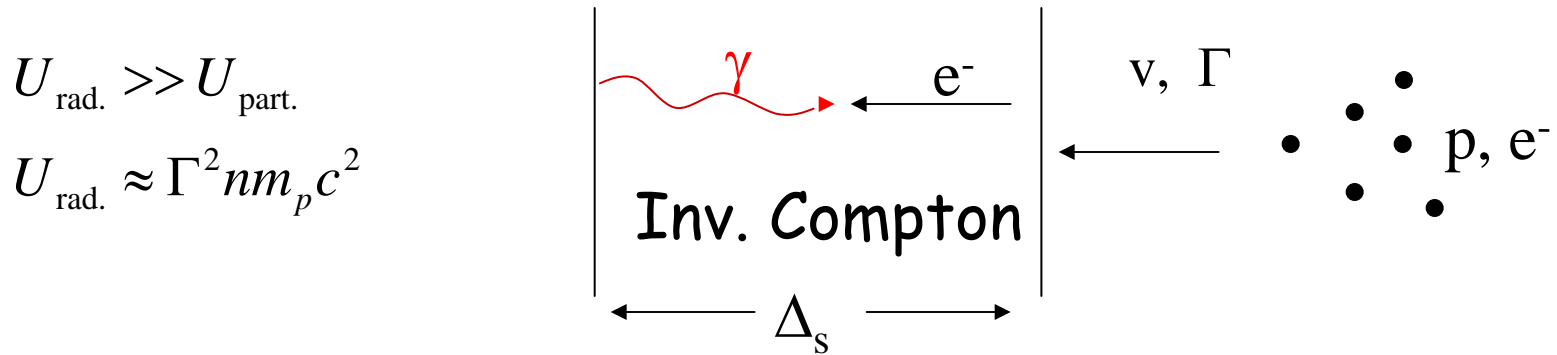
[Bietenholz, Soderberg & Bartel 08]

-- Shock breakout physics?

[Campana et al. 06; Waxman, Meszaros & Campana 07;  
Wang et al. 07; Soderberg et al. 08]



# Radiation mediated shocks: II



- Relativistic shock challenges

$\Delta_s / \lambda_\gamma \sim c/v \sim 1, \Delta E_\gamma / E_\gamma \gg 1 \rightarrow$  Transport (not diffusion)

Relativistic processes ( $\gamma\gamma \rightarrow e^+e^-, \gamma e^- \rightarrow e\gamma\gamma, \gamma e^- \rightarrow ee^+e^- \dots$ )

$\rightarrow$  Solutions (Weaver 76) exist only for NR shocks

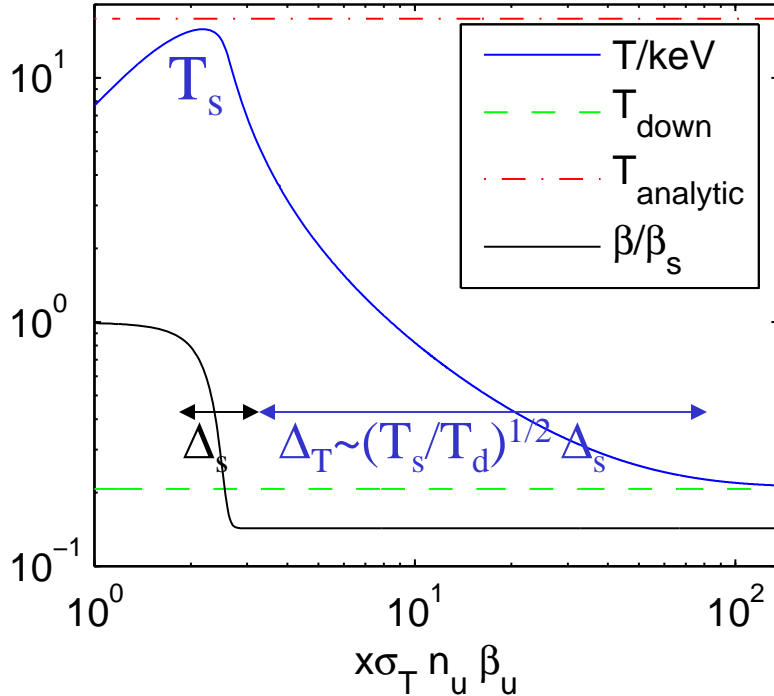
- $T_{e,\text{tran}} \gg T_{\text{down}} \rightarrow T_{e,\text{tran}} \sim m_e c^2 @ v/c \sim 0.2$

$\rightarrow$  Existing solutions not valid for fast breakouts

# Radiation mediated shocks: III

[Katz, Budnik & Waxman 09]

$$v_s/c=0.25, n_u=10^{15}/\text{cm}^3$$



$n_{\gamma s}$ : Production/Diffusion

$$n_{\gamma,s} \approx Q_{\text{Bremm.}}(T_s, n_d) t_{\text{Diff}} \approx Q_{\text{Bremm.}}(T_s, n_d) \frac{1}{3n_d \sigma_T \beta_d^2 c}$$

$$Q_{\text{Brem.}} = \alpha n^2 \sigma_T c \sqrt{\frac{m_e c^2}{T}} g_{\text{ff}} \Lambda$$

$$\Lambda \approx \log \left[ \frac{T}{h\nu_a (@ N_{\text{coll.}} = m_e c^2 / 4T)} \right]$$

$$T_s < 50 \text{ keV}: n_{\gamma s} T_s = U_{\text{rad}}$$

$$\rightarrow \beta_s = 0.2 (T_s / 10 \text{ keV})^{1/8}$$

$T_s$  independent of  $n_u$

$$T_s \gg T_d = 0.2 \text{ keV} (\beta_s / 0.2)^{1/2} (n_u / 10^{15} \text{ cm}^{-3})^{1/4}$$

- Highly relativistic limit  $\beta_d \rightarrow 1/3$ :

pair eq. (at  $T \sim m_e c^2$ )

$$\frac{n_{\gamma,s}}{n_{\pm,s}} \approx \frac{m_e c^2}{2T}$$

production/diffusion

$$\frac{n_{\gamma,s}}{n_{\pm,s}} \approx 2.5 \left( \frac{\Lambda}{15} \right)^2 \left( \frac{\beta_d}{1/3} \right)^{-2}$$

$\rightarrow T_s < 200 \text{keV}$

- Thermalization tail: Production (no Diffusion)

$$\Delta_T \approx \beta c \frac{n_\gamma(T_d)}{Q_{\text{Bremm.}}(T_d, n_d)}$$

$$Q_{\text{Bremm.}} = \alpha n_d^2 \sigma_T c \sqrt{\frac{m_e c^2}{T_d}} g_{ff} \Lambda$$

$$\Lambda \approx \log \left[ \frac{T}{h\nu_a (@ 4T / m_e c^2 \text{ coll.})} \right]$$

# Some implications

- XRO 080109 may be SN 2008D breakout
- For reasonable explosion parameters,  
WR & BSG progenitors produce fast breakouts  
→ non-thermal XRO's up to 10's to 100's keV

# Open issues

- At breakout shock-width~“scale height”  
Steady shock solution not strictly valid
- Transition to a collisional/collisionless shock  
→ modifies electron spectrum

# Collisional or Collisionless?

- If a transition occurs  
Radiation mediated  $\rightarrow$  Collisionless (not collisional)

- For RSG- collisional shock structure would be

$$\Delta_s \approx v_s / v_{ii} \approx 3 \times 10^8 \rho_{-10}^{-1} \text{ cm},$$

$$T_i \approx 100 \text{ keV}, \quad T_r \approx 30 \text{ eV}, \quad T_e \approx v_{ie} T_i / v_{\text{Compt.}} \approx 30 \text{ keV}.$$

- Under these conditions, EM instabilities

$$v_{EM} \approx \left( 0.1 \frac{m_e}{m_p} \right)^{1/2} \frac{v_s}{c} v_{pi} \approx 3 \times 10^6 \rho_{-10}^{1/2} \text{ s}^{-1}.$$

- If a collisionless shock forms: p acceleration + inelastic nuclear collisions  $\rightarrow$

$10^{45}$  erg, 1 hr flash of TeV  $\nu$ 's and 10 GeV  $\gamma$ 's

# GRB-SNe: 060218/SN2006aj

- Thermal,  $T=0.2\text{keV}$ , + non-thermal emission  
 $E_T \sim E_{NT} \sim 10^{49} \text{ erg}$ ,  $\Delta t \sim 10^{3.5} \text{ s}$   
Long term X-rays:  $L_X \sim 2 \times 10^{42} t_d^{-1} \text{ erg/s}$  ( $t > 10^4 \text{ s}$ )

[Campana et al. 06, Pian et al. 06, Mazzali et al. 06]

- Inverting  $T, E_{NT}(R, \beta)$ :

$$R \sim 8 \times 10^{12} \text{ cm}, \beta \sim 0.8 \rightarrow \dot{m}_{\text{dot}} \sim 30$$

Long term X:

$$t_{\text{dec}} \approx 10^4 \frac{E_{k,49}}{\beta^3 \dot{m} / 10} \text{ s}, \quad L_{IC,T} \approx 10^{42.5} \frac{E_{k,49} L_{SN,42}}{t_{\text{day}}^{2/3}} \frac{\text{erg}}{\text{s}}.$$

- Challenges:  $R/c \sim 10^{2.5} \text{ s}$  -- Anisotropic breakout?  
Too low predicted radio emission

[Waxman, Meszaros & Campana 07]

- Jet models- explain radio, but not X (+UV)

[Soderberg et al. 2006,  
Fan et al. 07]

# GRB-SNe: 080425/1998bw

- $E_{NT} \sim 10^{48}$  erg ( $\epsilon_{cut} \sim 200$  keV)

[Pian 00]

- Long term X-ray & Radio monitoring:

Decelerating shell,  $E_K \sim 10^{49.5}$  erg,  $\beta \sim 0.8$ ,  $m_{dot} \sim 0.1$

No GRB jet

[Kulkarni et al. 98, Loeb & Waxman 99; Li & Chevalier 99; Frail et al. 2001; Kouveliotou et al. 04; Waxman 04]

- $E_K \sim 10^{49.5}$  erg,  $\beta \sim 0.8$  shell common to low-L SN-GRBs?

Challenge:

Shock envelope acceleration  $E_K(\beta \sim 0.8)/E_{K,tot} \sim 10^{-6}$

[Tan, Matzner & McKee 01]



# Low-L GRB/SN

- Can these be due to fast breakouts?
- Expectations:
  - Smooth light curve
  - cutoff  $< \sim 200 \text{keV}$
  - No energetic relativistic jets
- Consistent with properties of  
980425/SN1998b, 031203/SN2003lw, 060218/SN2006aj
- Challenges:
  - $E_K(\beta \sim 0.8)/E_{K,\text{tot}} \sim 10^{-2}$  [Anisotropy, Failed Jet?]

# Early UV/Optical emission

- Rapid post-breakout expansion  
→ Adiabatic cooling, photosphere penetration

- Predictions:

$$\delta m_{\text{photo.}} / M \approx 10^{-2.5} \frac{E_{51}^{0.8}}{(M / M_{\text{Sun}})^{1.6}} t_{\text{day}}^{1.6},$$

$$T_{\text{eff.}} \approx 1 \left( \kappa \sigma_T / m_p \right)^{0.27} R_{12}^{1/4} t_{\text{day}}^{-1/2} \text{ eV},$$

$$T_{\text{diff.-depth}} / T_{\text{eff.}} \sim 1.2 - 1.3$$

$$L_{\text{bol.}} \sim 10^{42} \left( \kappa \sigma_T / m_p \right)^{-0.8} \frac{E_{51}^{0.9}}{(M / M_{\text{Sun}})^{0.7}} R_{12} t_{\text{day}}^{-1/3} \text{ erg/s.}$$

[Waxman, Meszaros & Campana 07  
Rabinak & Waxman 09]

- Measure R ( from T),  $E_K$  (@  $\delta m/M \sim 0.003$ )

# SNIIP- GALEX early UV

[Gezari et al. 08, Schawinski et al. 08]

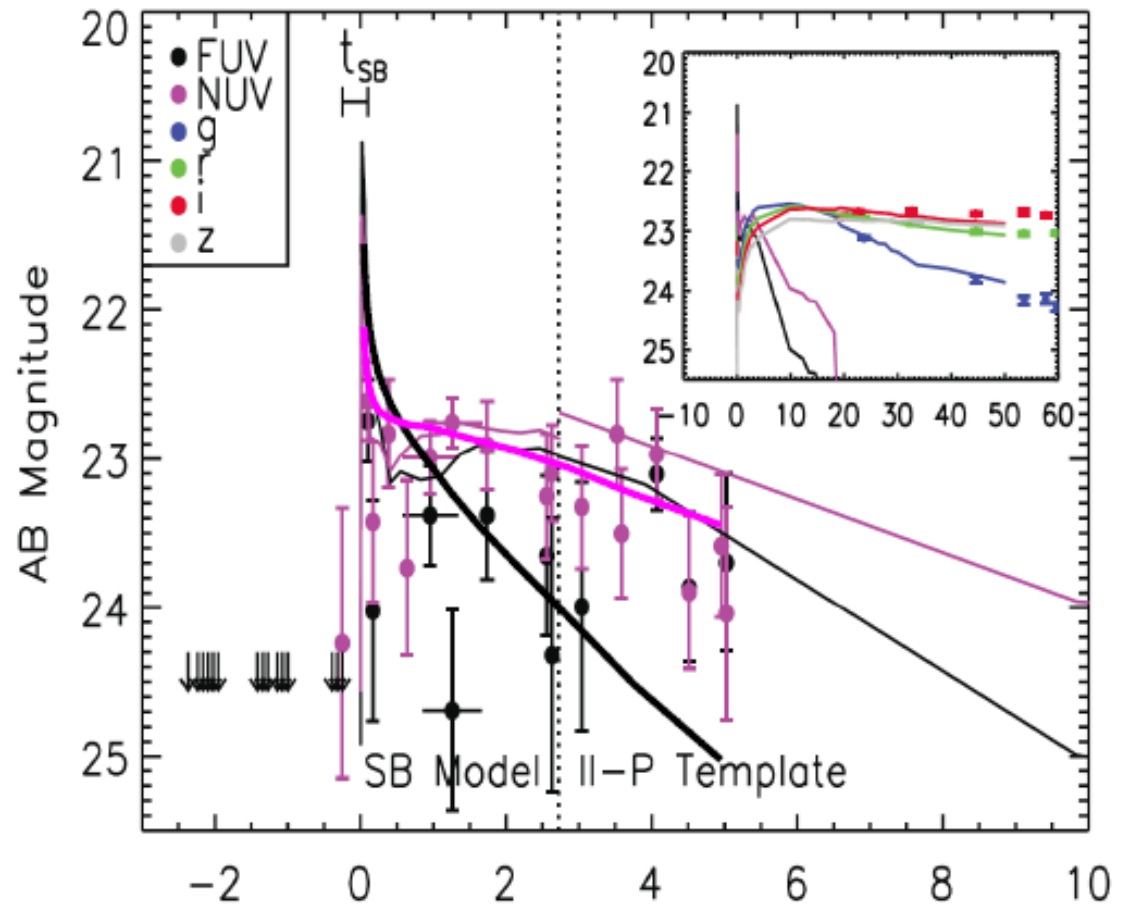
- $R=6 \times 10^{13} \text{cm}$   
 $E=1.2 \times 10^{51} \text{erg}$   
 $M=11 M_{\text{sun}}$

Solid: Rad-hydro  
numerics

[Gezari et al. 08]

Other: Simple model

[Rabinak & Waxman 09]



# Open issues

- Simple model limited by simplified opacity ( $\lambda$  dependence)
- Smaller progenitors  $\rightarrow$   
Lower T ( $\sim 1\text{eV}$  @  $\sim 1\text{d}$ )  
Absence of H  $\rightarrow$  modification of opacity  
(e.g. recombination)

# Trans-relativistic SNe & CRs

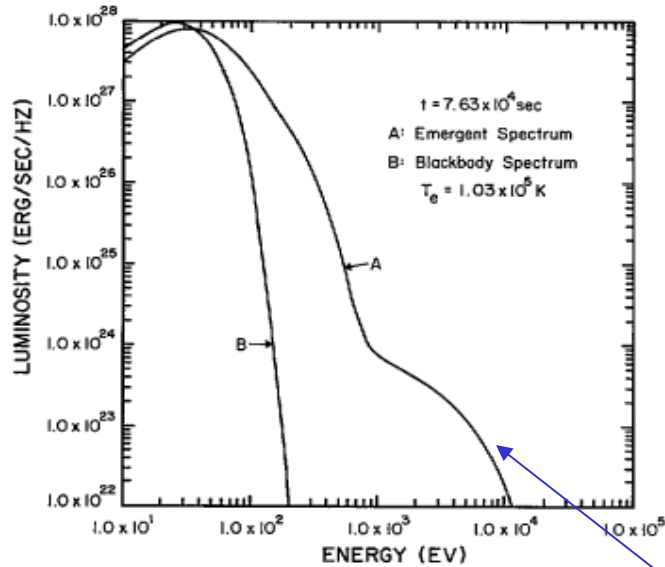
- Origin of  $10^{15}\text{eV}$ - $10^{19}\text{eV}$  CRs unknown  
Likely Galactic (smooth steepening at  $10^{15}\text{eV}$ )
- With pre-shock B amplification:  
 $E_{\text{CR}}(Z\sim 10) < 10^{19} \beta_{-1}^2 \text{ eV}$
- Normal SNe:  $E_{\text{K}}(\Gamma\beta) \sim (\Gamma\beta)^{-4}$   
If the fast edge of normal SNe ejecta produce  $10^{19}\text{eV} \rightarrow$  Over-production at  $10^{15}\text{eV}$
- Trans-relativistic,  $E_{\text{K}}(\Gamma\beta\sim 1)/E_{\text{K,tot}} \sim 10^{-2}$ : Allow acceleration to  $10^{19}\text{eV}$ , no overproduction at  $10^{15}\text{eV}$ ,  
Rate consistent with observed flux

# Summary

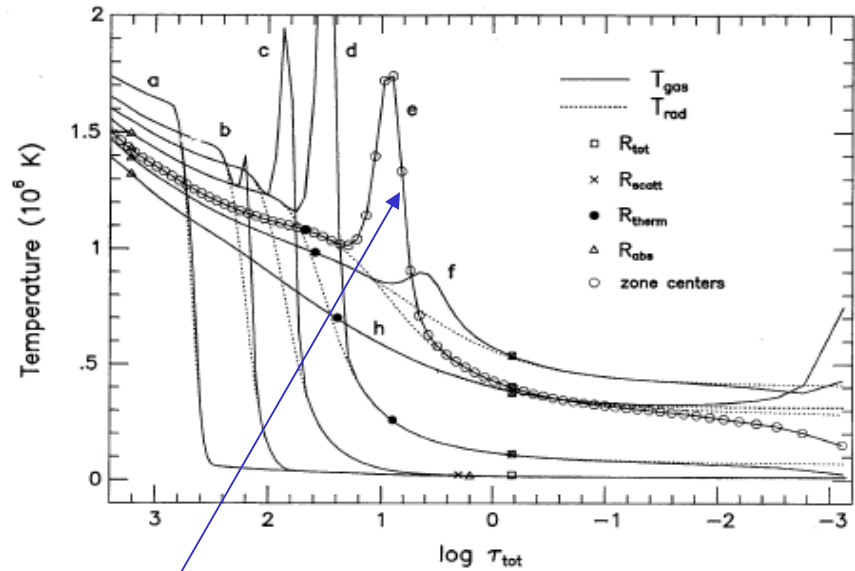
- Fast,  $v/c > 0.1$ , breakouts are likely in WR/BSG progenitors  
Will produce non-thermal XRO's, up to 10's—100's keV  
XRO 080109/SN2008D- 1<sup>st</sup> (clean) example
- \* Open: Non-steady shock, collisional(less) transition
- Low-L, smooth light-curve,  $< \sim 200$  keV SN-GRB/XRF/XRO  
Are all due to breakout, no energetic relativistic jet?  
 $E_K \sim 10^{49.5}$  erg,  $\beta \sim 0.8$  shells
- \* Open: For ordinary SNe  $E_K(\beta \sim 0.8)/E_{K,tot} \sim 10^{-6}$
- Simple model for post-breakout UV/O  
Observations  $\rightarrow R_*$ ;  $E/M @ 10^{-3} M_{sun}$  (XRO  $\rightarrow @ 10^{-7} M_{sun}$ )  
1<sup>st</sup> detections: 2xIb/c (2006aj, 2008D); 2xIIp
- \* Challenge & Opportunity: opacity  $\rightarrow$  composition
- \* XRO SNe Triggering: Large sample, some o.w. undetected  
Constrain progenitor & environment
- \* XRO/UV timing: explosion models, GW &  $v$  S/N enhancement

# Transition to viscous shock?

- Viscous shock @  $\tau = c/v_s \rightarrow T_e \sim m_p v_s^2 \gg T_{\text{rad}}$



[Klein & Chevalier 78]



[Ensmann & Burrows 92]

Purely numerical (viscosity, Compton cooling) effects  
→ Caution required when inferring  $T_c$  from these simulations