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Effective Field Theory descriptions of Higgs boson pair production

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High Energy Physics - Phenomenology

[Submitted on 4 Apr 2023]

Effective Field Theory descriptions of Higgs boson pair production

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Higgs boson pair production is traditionally considered to be of particular interest for a measurement of the trilinear Higgs self-coupling. Yet it can offer insights into other couplings as well, since – in an effective field theory (EFT) parameterisation of potential new physics – both the production cross section and kinematical properties of the Higgs boson pair depend on various other Wilson coefficients of EFT operators. This note summarises the ongoing efforts related to the development of EFT tools for Higgs boson pair production in gluon fusion, and provides recommendations for the use of distinct EFT parameterisations in the Higgs boson pair production process. This document also outlines where further efforts are needed and provides a detailed analysis of theoretical uncertainties. Additionally, benchmark scenarios are updated. We also re-derive a parameterisation of the next-to-leading order (NLO) QCD corrections in terms of the EFT Wilson coefficients both for the total cross section and the distribution in the invariant mass of the Higgs boson pair, providing for the first time also the covariance matrix. A reweighting procedure making use of the newly derived coefficients is validated, which can be used to significantly speed up experimental analyses.

Comments: Matches CDS record LHCHWG-2022-004; ancillary file contains newly derived coefficients for reweighting procedure
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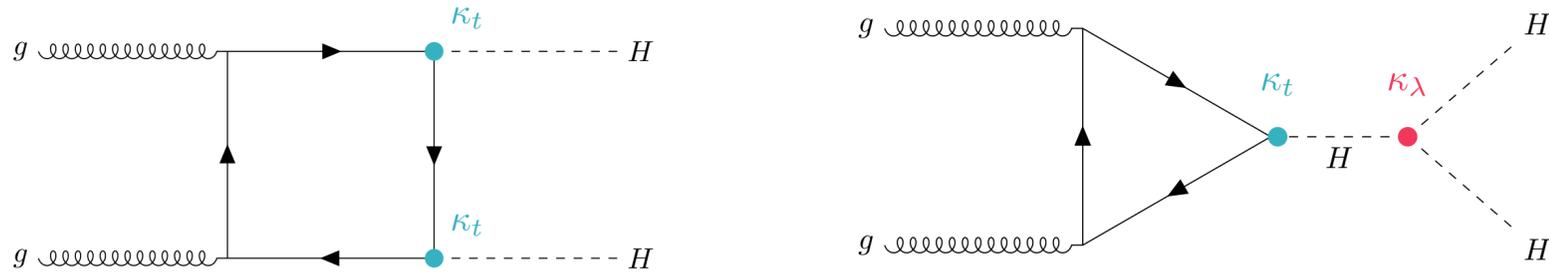
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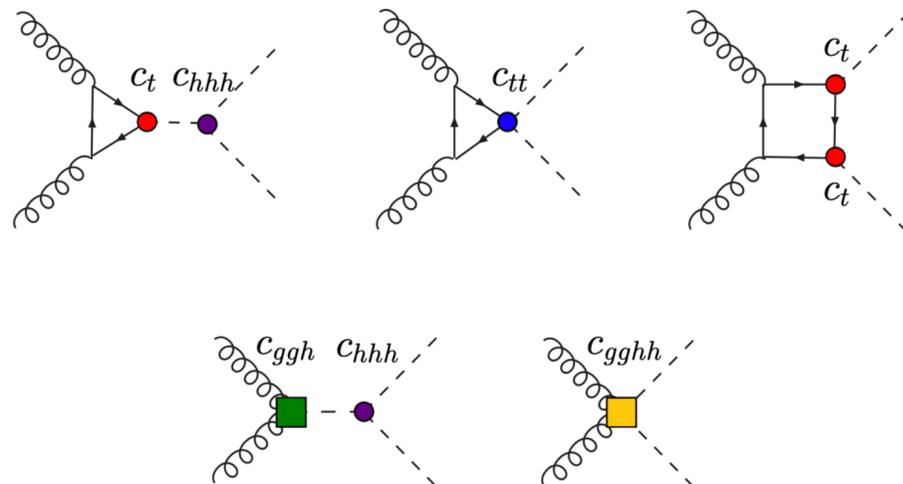
Motivation

- HH production is of great interest for the Higgs self-coupling measurement

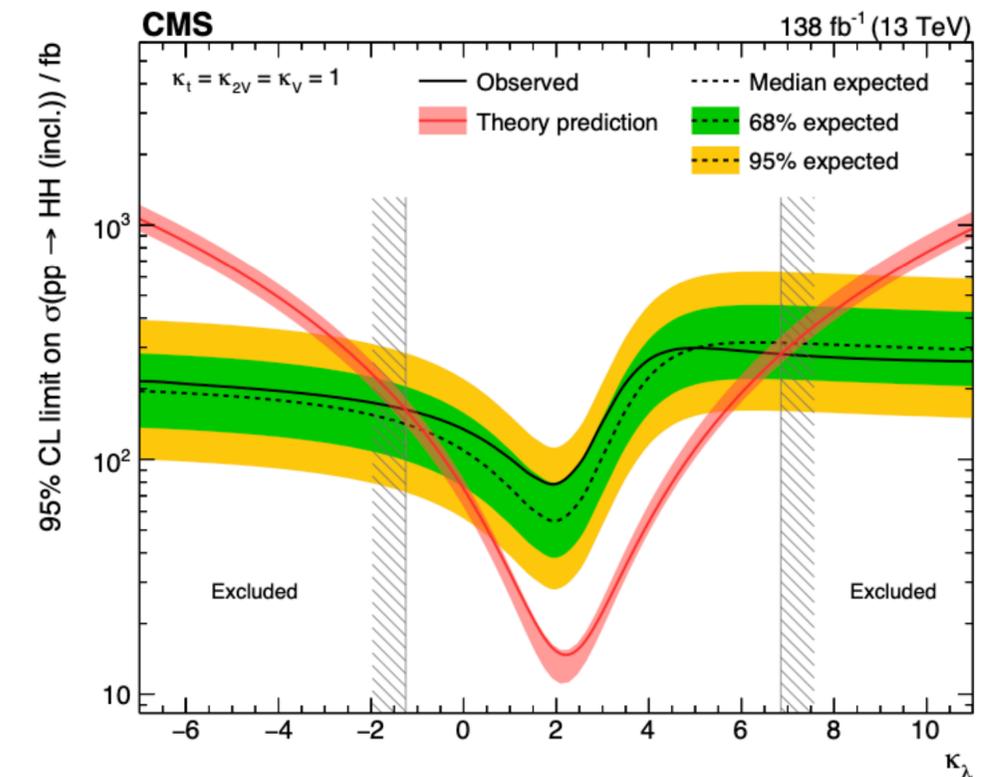
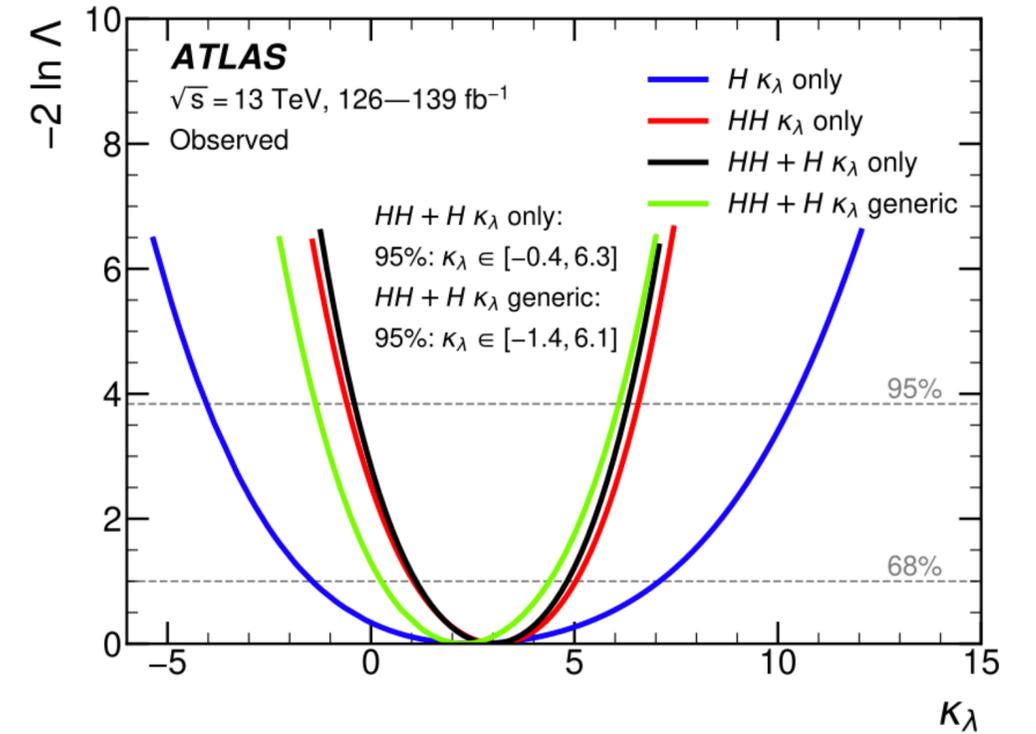


- SM HH rate very small, but many models predict alternative cross-sections and kinematics

- Effective operators can modify the $gg \rightarrow HH$ production in various ways



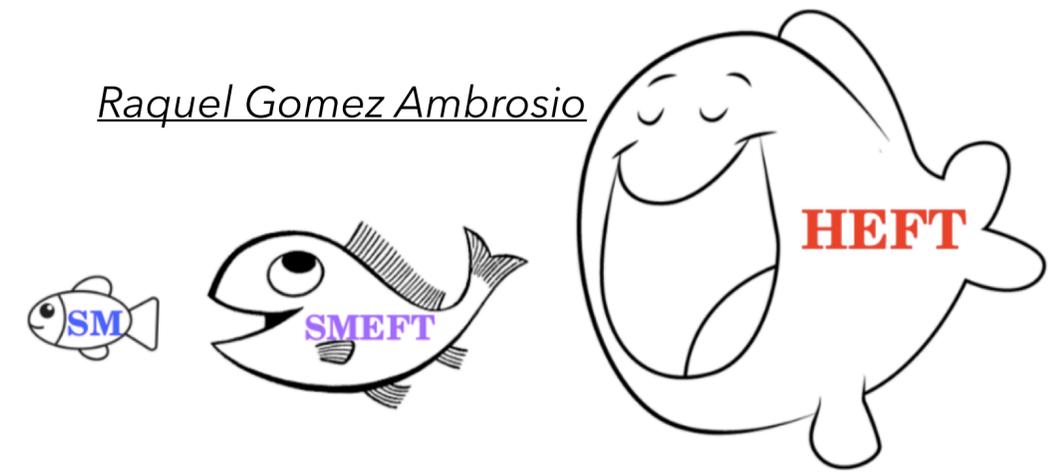
EFTs in HH production



15 June, 2023

EFT frameworks

Raquel Gomez Ambrosio



SMEFT

- Canonical counting, expansion in $1/\Lambda$

$$\mathcal{L}_{\text{SMEFT}} = \mathcal{L}_{\text{SM}} + \sum_{n,i} \frac{c_i^{(n)}}{\Lambda^{n-4}} \mathcal{O}_i^{(n)}$$

- SM symmetries, traditional EWSB mechanism (Higgs field: $SU(2)_L$ doublet)
- More restrictive (correlated Wilson coefficients)

HEFT

- No power-counting like in SMEFT, more similar to chiral perturbation theory

$$\mathcal{L}_{d_\chi} = \mathcal{L}_{(d_\chi=2)} + \sum_{L=1}^{\infty} \sum_i \left(\frac{1}{16\pi^2} \right)^L c_i^{(L)} \mathcal{O}_i^{(L)}$$

- Higgs field: EW singlet
- Much more general (independent couplings)

SMEFT vs. HEFT

SMEFT

$$\Delta\mathcal{L}_{\text{Warsaw}} = \frac{C_{H,\square}}{\Lambda^2}(\phi^\dagger\phi)\square(\phi^\dagger\phi) + \frac{C_{HD}}{\Lambda^2}(\phi^\dagger D_\mu\phi)^*(\phi^\dagger D^\mu\phi) + \frac{C_H}{\Lambda^2}(\phi^\dagger\phi)^3 + \left(\frac{C_{uH}}{\Lambda^2}\phi^\dagger\phi\bar{q}_L\tilde{\phi}t_R + \text{h.c.}\right) + \frac{C_{HG}}{\Lambda^2}\phi^\dagger\phi G_{\mu\nu}^a G^{\mu\nu,a} + \frac{C_{uG}}{\Lambda^2}(\bar{q}_L\sigma^{\mu\nu}T^a G_{\mu\nu}^a\tilde{\phi}t_R + \text{h.c.})$$

$$\Delta\mathcal{L}_{\text{SILH}} = \frac{\bar{c}_H}{2v^2}\partial_\mu(\phi^\dagger\phi)\partial^\mu(\phi^\dagger\phi) + \frac{\bar{c}_u}{v^2}y_t(\phi^\dagger\phi\bar{q}_L\tilde{\phi}t_R + \text{h.c.}) - \frac{\bar{c}_6}{2v^2}\frac{m_h^2}{v^2}(\phi^\dagger\phi)^3 + \frac{\bar{c}_{ug}}{v^2}g_s(\bar{q}_L\sigma^{\mu\nu}G_{\mu\nu}\tilde{\phi}t_R + \text{h.c.}) + \frac{4\bar{c}_g}{v^2}g_s^2\phi^\dagger\phi G_{\mu\nu}^a G^{a\mu\nu}$$

HEFT

$$\Delta\mathcal{L}_{\text{HEFT}} = -m_t\left(c_t\frac{h}{v} + c_{tt}\frac{h^2}{v^2}\right)\bar{t}t - c_{hhh}\frac{m_h^2}{2v}h^3 + \frac{\alpha_s}{8\pi}\left(c_{ggh}\frac{h}{v} + c_{gggh}\frac{h^2}{v^2}\right)G_{\mu\nu}^a G^{a,\mu\nu}$$

Naive translation ! Not generally applicable in practical calculations

HEFT	SILH	Warsaw
c_{hhh}	$1 - \frac{3}{2}\bar{c}_H + \bar{c}_6$	$1 - 2\frac{v^2}{\Lambda^2}\frac{v^2}{m_h^2}C_H + 3\frac{v^2}{\Lambda^2}C_{H,\text{kin}}$
c_t	$1 - \frac{\bar{c}_H}{2} - \bar{c}_u$	$1 + \frac{v^2}{\Lambda^2}C_{H,\text{kin}} - \frac{v^2}{\Lambda^2}\frac{v}{\sqrt{2}m_t}C_{uH}$
c_{tt}	$-\frac{\bar{c}_H + 3\bar{c}_u}{4}$	$-\frac{v^2}{\Lambda^2}\frac{3v}{2\sqrt{2}m_t}C_{uH} + \frac{v^2}{\Lambda^2}C_{H,\text{kin}}$
c_{ggh}	$128\pi^2\bar{c}_g$	$\frac{v^2}{\Lambda^2}\frac{8\pi}{\alpha_s}C_{HG}$
c_{gggh}	$64\pi^2\bar{c}_g$	$\frac{v^2}{\Lambda^2}\frac{4\pi}{\alpha_s}C_{HG}$

SMEFT truncations

- Amplitude: $\mathcal{M} = \mathcal{M}_{\text{SM}} + \mathcal{M}_{\text{dim6}} + \mathcal{M}_{\text{dim6}^2}$

$\underbrace{\mathcal{M}_{\text{dim6}}}_{\text{Single dim-6 operator insertions}}$
 $\underbrace{\mathcal{M}_{\text{dim6}^2}}_{\text{Double dim-6 operator insertions}}$

→ Same order as the dim-8 operators (neglected) and the $\mathcal{O}(\Lambda^{-4})$ terms following field redefinition

- Amplitude squared:

$$\sigma \simeq \left\{ \begin{array}{l} \sigma_{\text{SM} \times \text{SM}} + \sigma_{\text{SM} \times \text{dim6}} \\ \sigma_{(\text{SM} + \text{dim6}) \times (\text{SM} + \text{dim6})} \\ \sigma_{(\text{SM} + \text{dim6}) \times (\text{SM} + \text{dim6})} + \sigma_{\text{SM} \times \text{dim6}^2} \\ \sigma_{(\text{SM} + \text{dim6} + \text{dim6}^2) \times (\text{SM} + \text{dim6} + \text{dim6}^2)} \end{array} \right.$$

Truncation options

- (a) LO of an expansion of the cross-section in Λ^{-2} (linearised SMEFT)
- (b) LO of an expansion of the amplitude in Λ^{-2} , which is then squared
- (c) All terms of $\mathcal{O}(\Lambda^{-4})$ from single and double dim-6 insertions
- (d) Naive translation from HEFT to SMEFT

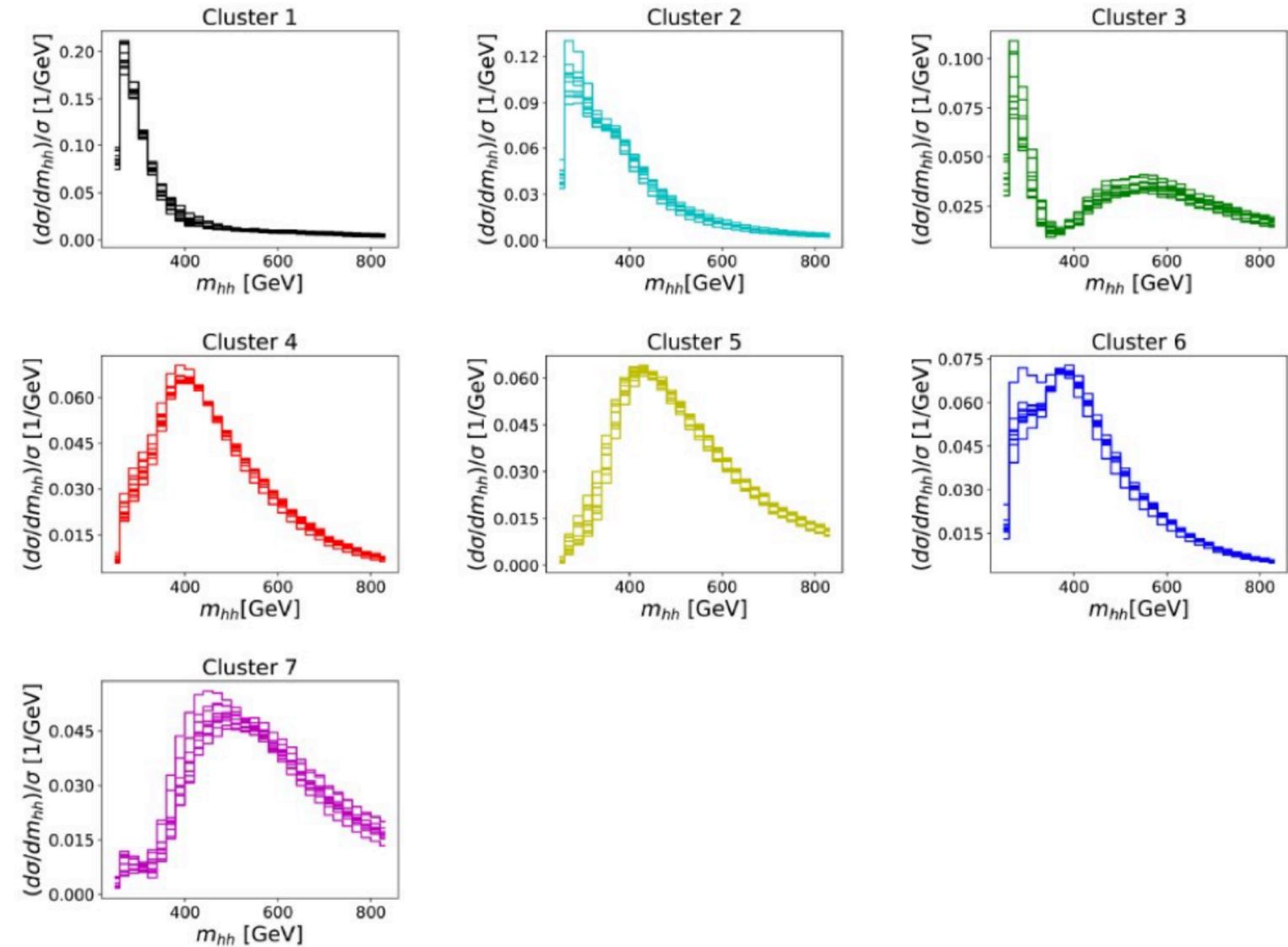
- Typically only options (a) and (b) are used for predictions based on SMEFT

Available MC tools (including full m_t dependence at NLO)

POWHEG code ggHH (<https://powhegbox.mib.infn.it/>)

- Update HEFT benchmarks
 - Originally defined in [arXiv:1908.08923](https://arxiv.org/abs/1908.08923) based on clustering of m_{hh} shapes using unsupervised ML
 - Apply tighter constraints $0.83 \leq c_t \leq 1.17$ ($|c_{tt}| < 0.05$ for benchmark 1*)

benchmark (* = modified)	c_{hhh}	c_t	c_{tt}	c_{ggh}	c_{gghh}
SM	1	1	0	0	0
1*	5.11	1.10	0	0	0
2*	6.84	1.03	$\frac{1}{6}$	$-\frac{1}{3}$	0
3	2.21	1.05	$-\frac{1}{3}$	$\frac{1}{2}$	$\frac{1}{2}$
4*	2.79	0.90	$-\frac{1}{6}$	$-\frac{1}{3}$	$-\frac{1}{2}$
5	3.95	1.17	$-\frac{1}{3}$	$\frac{1}{6}$	$-\frac{1}{2}$
6*	-0.68	0.90	$-\frac{1}{6}$	$\frac{1}{2}$	0.25
7	-0.10	0.94	1	$\frac{1}{6}$	$-\frac{1}{6}$



Available MC tools (including full m_t dependence at NLO)

POWHEG code `ggHH_SMEFT` - Warsaw basis (<https://powhegbox.mib.infn.it/>)

- Built on NLO HEFT `ggHH` (very similar usage)

`usesmeft 0 (1)` for HEFT (SMEFT) operators

Vary values of Wilson coefficients

multiple-insertion 0-3 corresponding to truncation options (a)-(d)

```
! Choose EFT parametrization
usesmeft 1 ! 0: use HEFT parametrization and ignore CHbox, CH, CuH, CHG (no truncat
! 1: use SMEFT (Warsaw) parametrization and ignore chhh, ct, ctt, cggh,
! 2: use HEFT parametrization and ignore CHbox, CH, CuH, CHG (with trunc
```

```
! Values of the Higgs couplings w.r.t SM: HEFT parametrization
chhh 1.0 ! Trilinear Higgs self-coupling
ct 1.0 ! Top-Higgs Yukawa coupling
ctt 0.0 ! Two-top-two-Higgs (tthh) coupling
cggh 0.0 ! Effective gluon-gluon-Higgs coupling
cgghh 0.0 ! Effective two-gluon-two-Higgses coupling
```

```
! Values of the Higgs couplings using SMEFT (Warsaw) parametrization (Wilson coefficients en
Lambda 1.0 ! EFT counting mass Scale (in TeV)
CHbox 0.0 ! Kinetic term of SU(2)_L singlet (with d'Alembert operator)
CHD 0.0 ! second Kinetic term
CH 0.0 ! Additional term to Higgs potential
CuH 0.0 ! Modified Yukawa term
CHG 0.0 ! Higgs-Gluon-Gluon operator
```

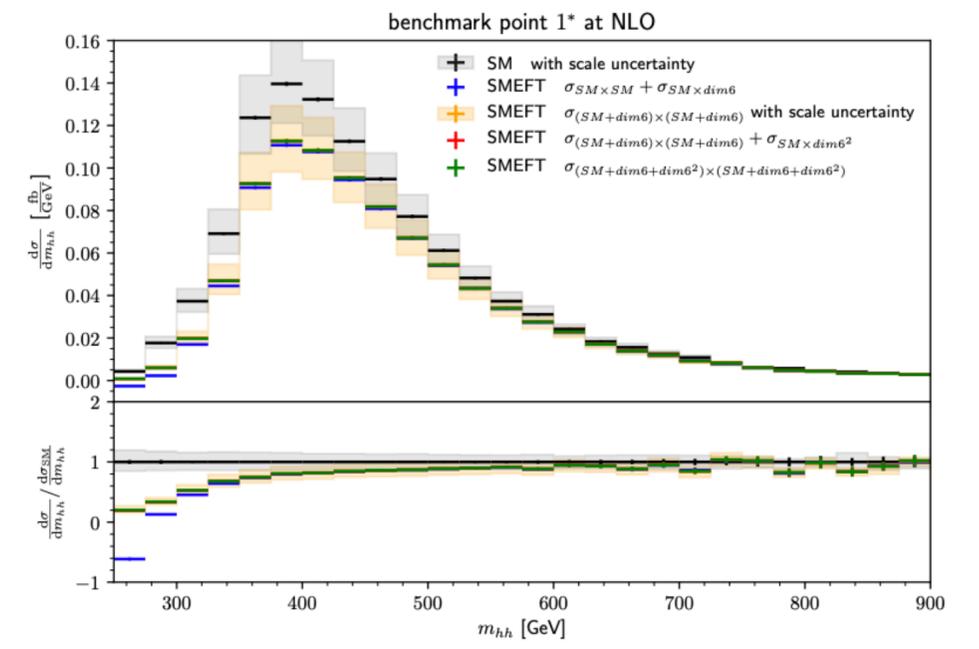
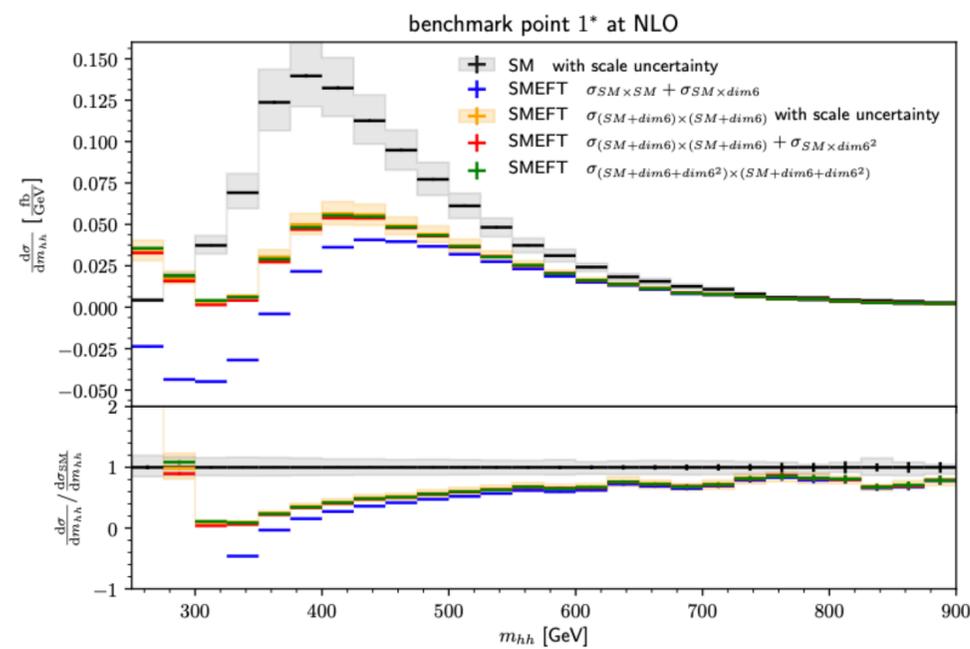
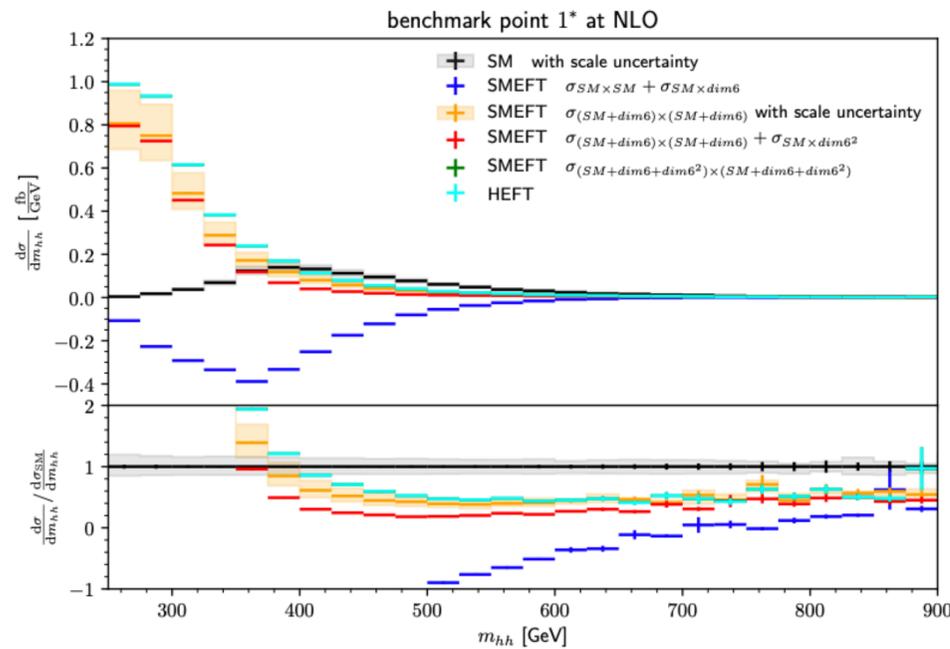
```
! Truncation options:
! 3: cross section based on |A_SM+A_dim6+A_dbldim6|^2
! 2: cross section based on |A_SM+A_dim6|^2+2*Re(A_SM x conj(A_dbldim6))
! 1: cross section based on |A_SM+A_dim6|^2
! 0: cross section based on |A_SM|^2+2*Re(A_SM*conj(A_dim6))
multiple-insertion 1
```

SMEFT truncation effects

Benchmark shape 1*
Enhanced low- m_{hh} region

benchmark (* = modified)	C_{hhh}	C_t	C_{tt}	C_{ggh}	C_{gggh}	$C_{H,\text{kin}}$	C_H	C_{uH}	C_{HG}
SM	1	1	0	0	0	0	0	0	0
1*	5.11	1.10	0	0	0	4.95	-6.81	3.28	0

Naive benchmark translation
HEFT \leftrightarrow SMEFT



- A valid point in HEFT can become unphysical in SMEFT with the naive translation – negative differential cross-section for truncation (a)
- Increasing Λ reduces the differences between the truncations – convergence towards the SM

HEFT reweighting

- Generating MC samples is computationally expensive → event reweighting instead

- Cross-section can be parametrised at NLO as follows: $\sigma_{hh}^{\text{NLO}}(c_{hhh}, c_t, c_{tt}, c_{ggh}, c_{gghh}) = \text{Poly}(\mathbf{c}, \mathbf{A}) = \mathbf{c}^\top \cdot \mathbf{A}$

- NEW set of \mathbf{A} and (differential in m_{hh}) $d\mathbf{A}$ coefficients (for $\sqrt{s} = 13$ TeV) derived using a weighted least square fit

- Lower statistical uncertainty (more simulated HH MC events)

- Cover a larger kinematic range (up to $m_{hh} = 1400$ GeV)

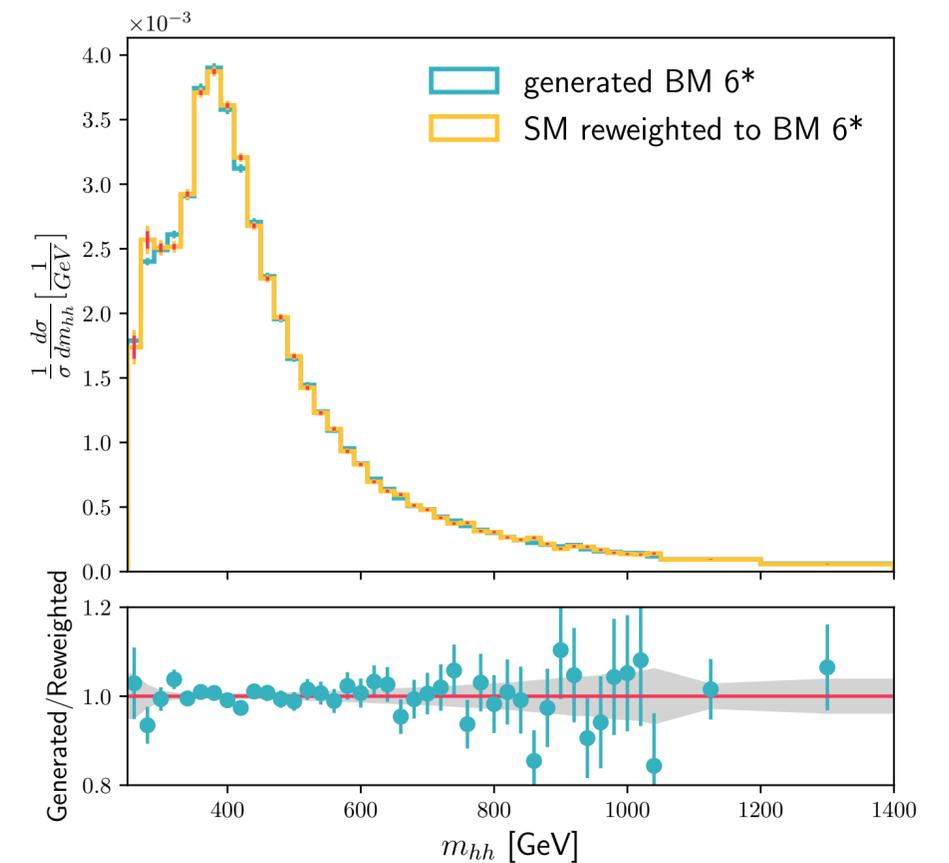
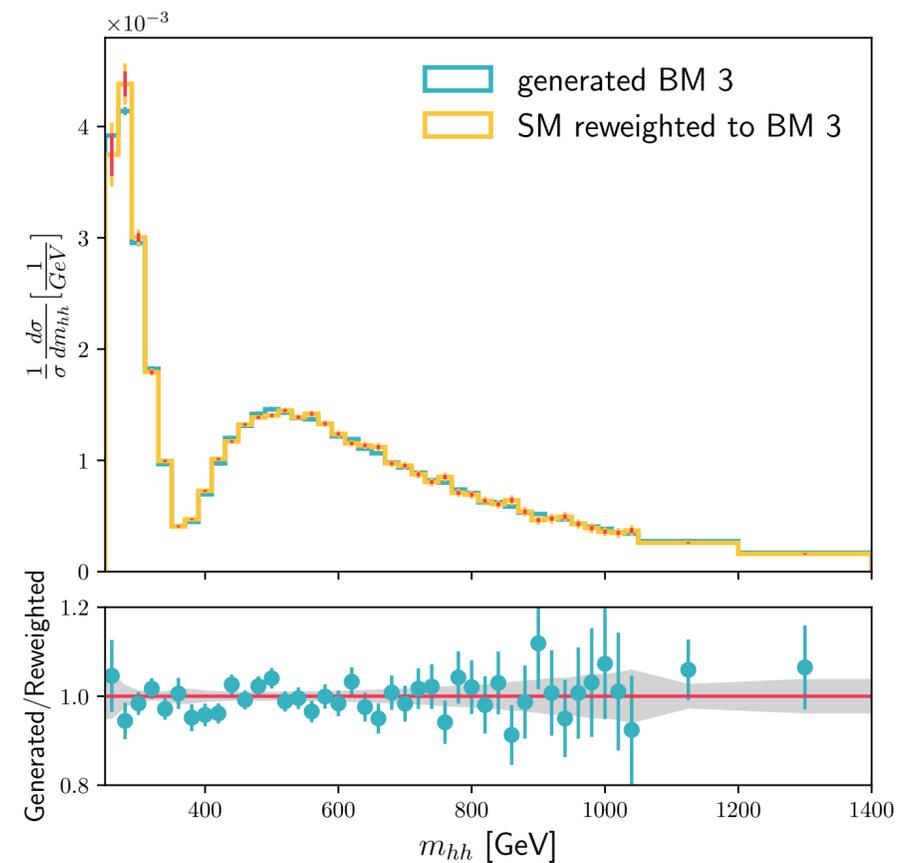
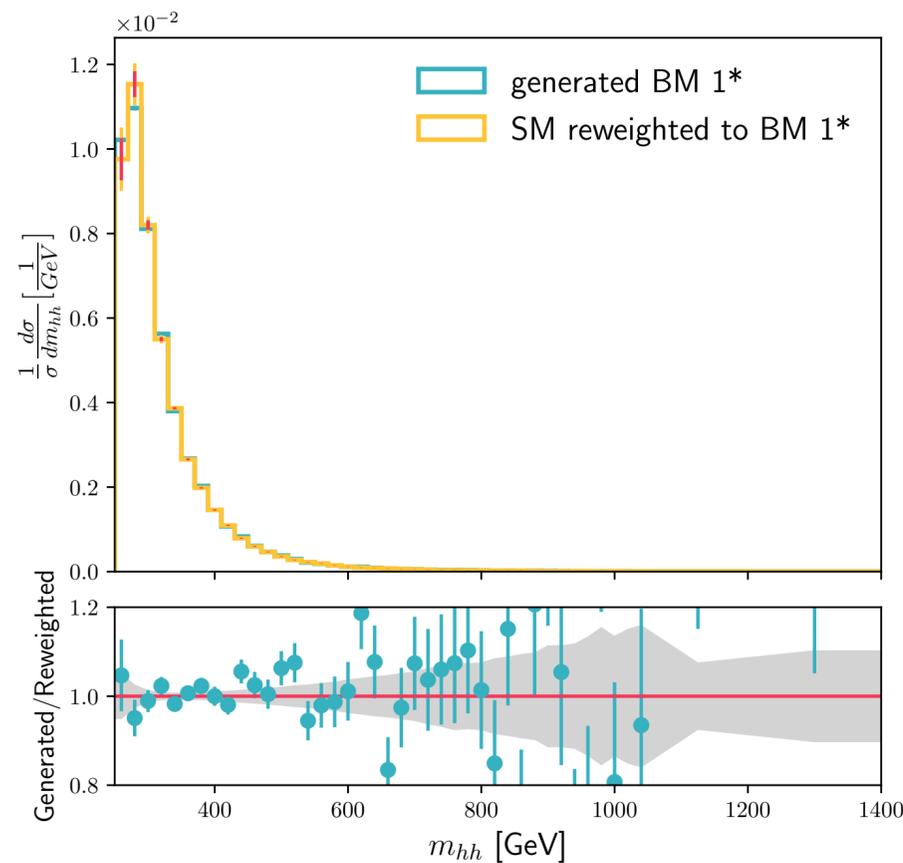
- Weights obtained as

$$w_{\text{HEFT}} = \frac{\text{Poly}(\mathbf{c}, d\mathbf{A} | m_{hh})}{\text{Poly}(\mathbf{c}_{\text{SM}}, d\mathbf{A} | m_{hh})}$$

$$\begin{aligned} &= A_1 c_t^4 + A_2 c_{tt}^2 + (A_3 c_t^2 + A_4 c_{ggh}^2) c_{hhh}^2 \\ &+ A_5 c_{gghh}^2 + (A_6 c_{tt} + A_7 c_t c_{hhh}) c_t^2 \\ &+ (A_8 c_t c_{hhh} + A_9 c_{ggh} c_{hhh}) c_{tt} + A_{10} c_{tt} c_{gghh} \\ &+ (A_{11} c_{ggh} c_{hhh} + A_{12} c_{gghh}) c_t^2 \\ &+ (A_{13} c_{hhh} c_{ggh} + A_{14} c_{gghh}) c_t c_{hhh} \\ &+ A_{15} c_{ggh} c_{gghh} c_{hhh} + A_{16} c_t^3 c_{ggh} \\ &+ A_{17} c_t c_{tt} c_{ggh} + A_{18} c_t c_{ggh}^2 c_{hhh} \\ &+ A_{19} c_t c_{ggh} c_{gghh} + A_{20} c_t^2 c_{ggh}^2 \\ &+ A_{21} c_{tt} c_{ggh}^2 + A_{22} c_{ggh}^3 c_{hhh} \\ &+ A_{23} c_{ggh}^2 c_{gghh} \end{aligned}$$

Validation plots (m_{hh})

- Reweighting SM sample to benchmarks 1*, 3 and 6*

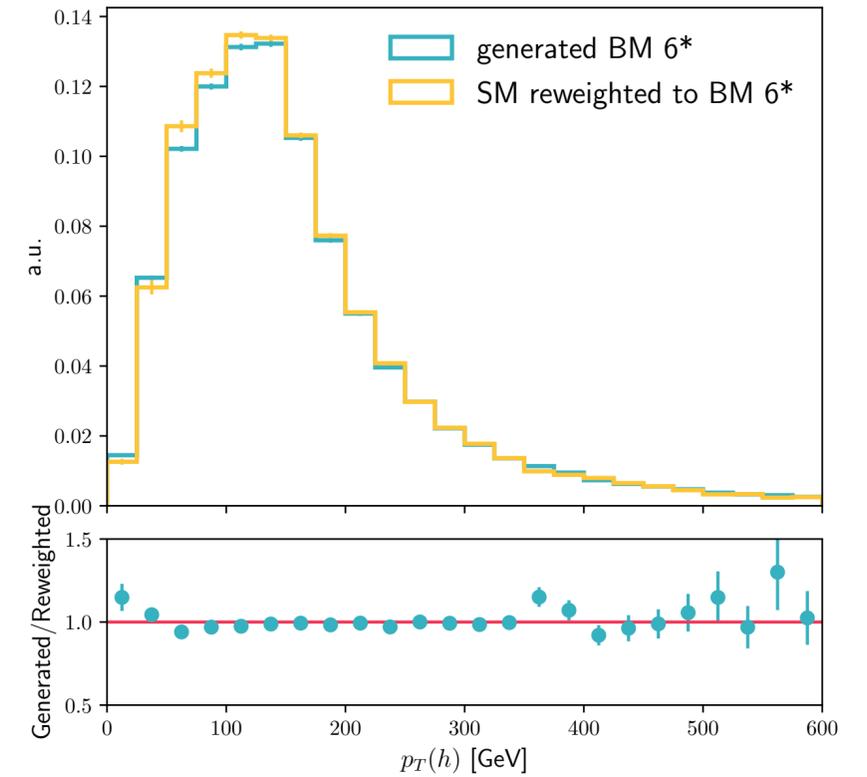
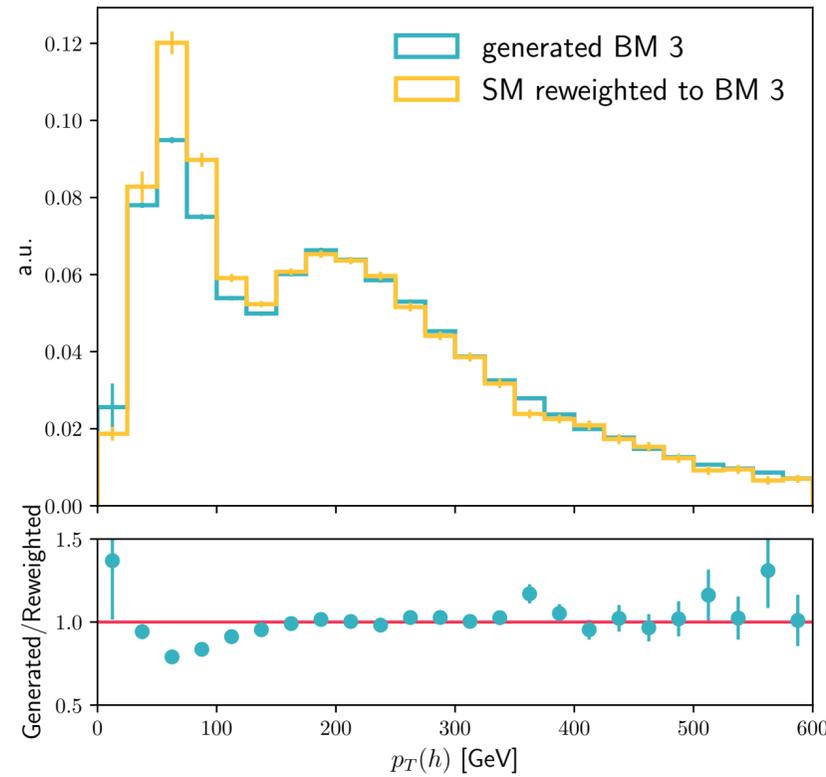
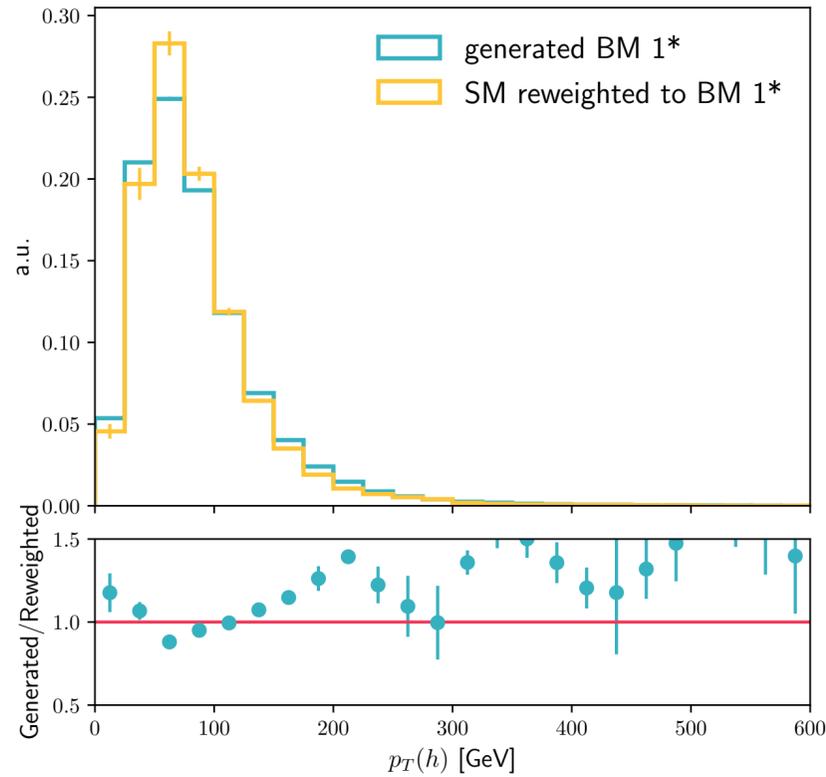


- Distributions account for varying bin width
- Uncertainty source: statistical + reweighting procedure
- Good closure for m_{hh} distributions

↪ shown as red error bars (grey bands) in the upper (lower) panel

Validation plots ($p_T(h)$)

- Reweighting SM sample to benchmarks 1*, 3 and 6*



- General shape is reproduced, but discrepancies up to ~40% (BM 1*)
- Reweighting performed based on $m_{hh'}$, hence not accounting for additional jet radiation

Summary

Overview of the [LHCHWG-2022-004](#) note

- Discuss $gg \rightarrow HH$ production in **HEFT** and **SMEFT** at NLO
- How to use existing **POWHEG** implementations
- Updated list of **benchmarks**
- Investigate potential **translation** between HEFT and SMEFT
- Present HEFT **reweighting** method and **validation**

Back-up

Available MC tools

HEFT

- LO and NLO QCD HTL HPAIR [[link](#)]
- Full m_t NLO QCD POWHEG-BOX-V2/ggHH [[links 1, 2, 3, 4](#)]
- NNLO' QCD HEFT (combination of full m_t NLO QCD and NNLO QCD in HTL) [[link](#)]

SMEFT

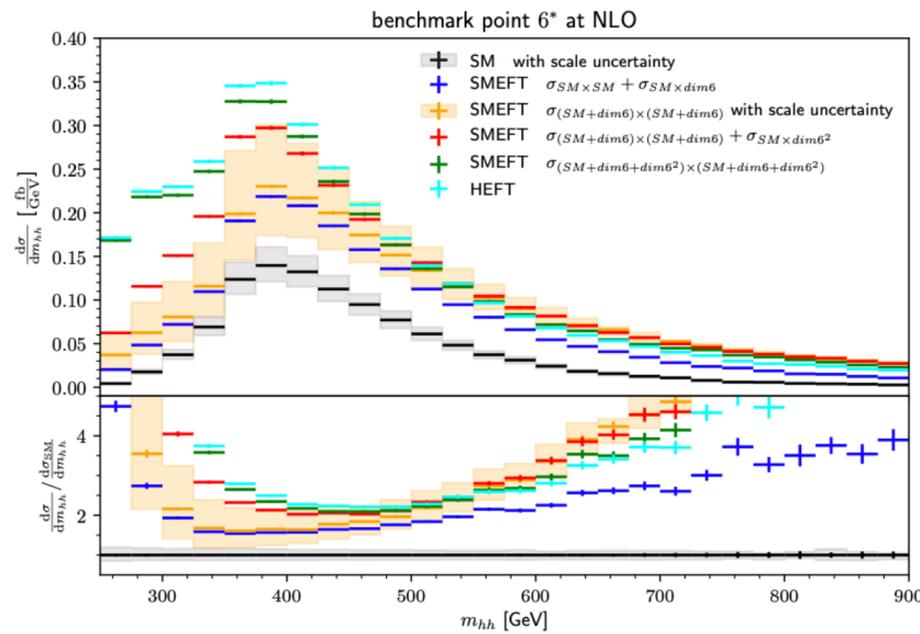
- LO and NLO QCD HTL HPAIR [[link](#)]
- SMEFT@NLO in MG5_aMC@NLO [[link](#)]
- SMEFTsim and SmeftFR built on Feynrules [[links 1, 2](#)]
- Full m_t NLO QCD POWHEG-BOX-V2/ggHH_SMEFT with truncation options [[link](#)]

SMEFT truncation effects

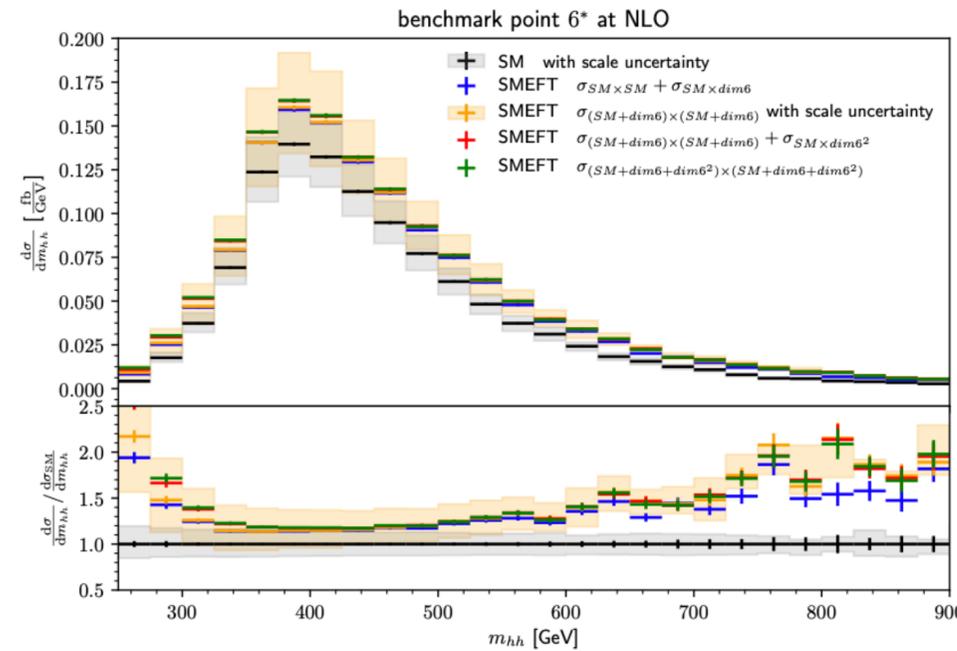
Benchmark shape 6*
Shoulder left of the peak

benchmark (* = modified)	C_{hhhh}	C_t	C_{tt}	C_{ggh}	C_{gggh}	$C_{H,kin}$	C_H	C_{uH}	C_{HG}
SM	1	1	0	0	0	0	0	0	0
6*	-0.68	0.90	$-\frac{1}{6}$	0.50	0.25	0.56	3.80	2.20	0.04

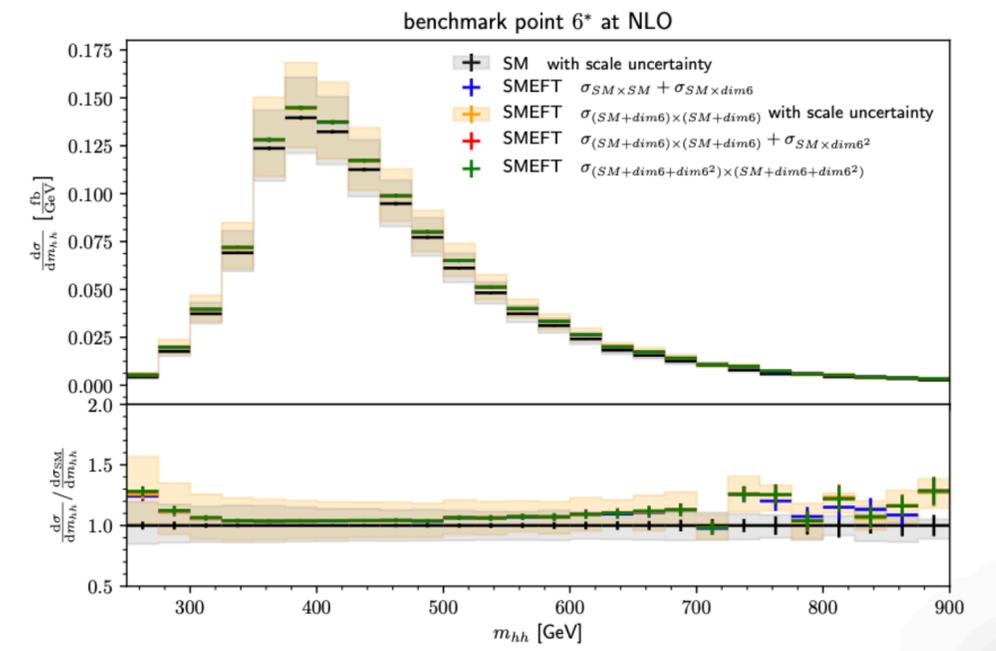
Naive benchmark translation
HEFT ↔ SMEFT



$\Lambda = 1 \text{ TeV}$



$\Lambda = 2 \text{ TeV}$



$\Lambda = 4 \text{ TeV}$

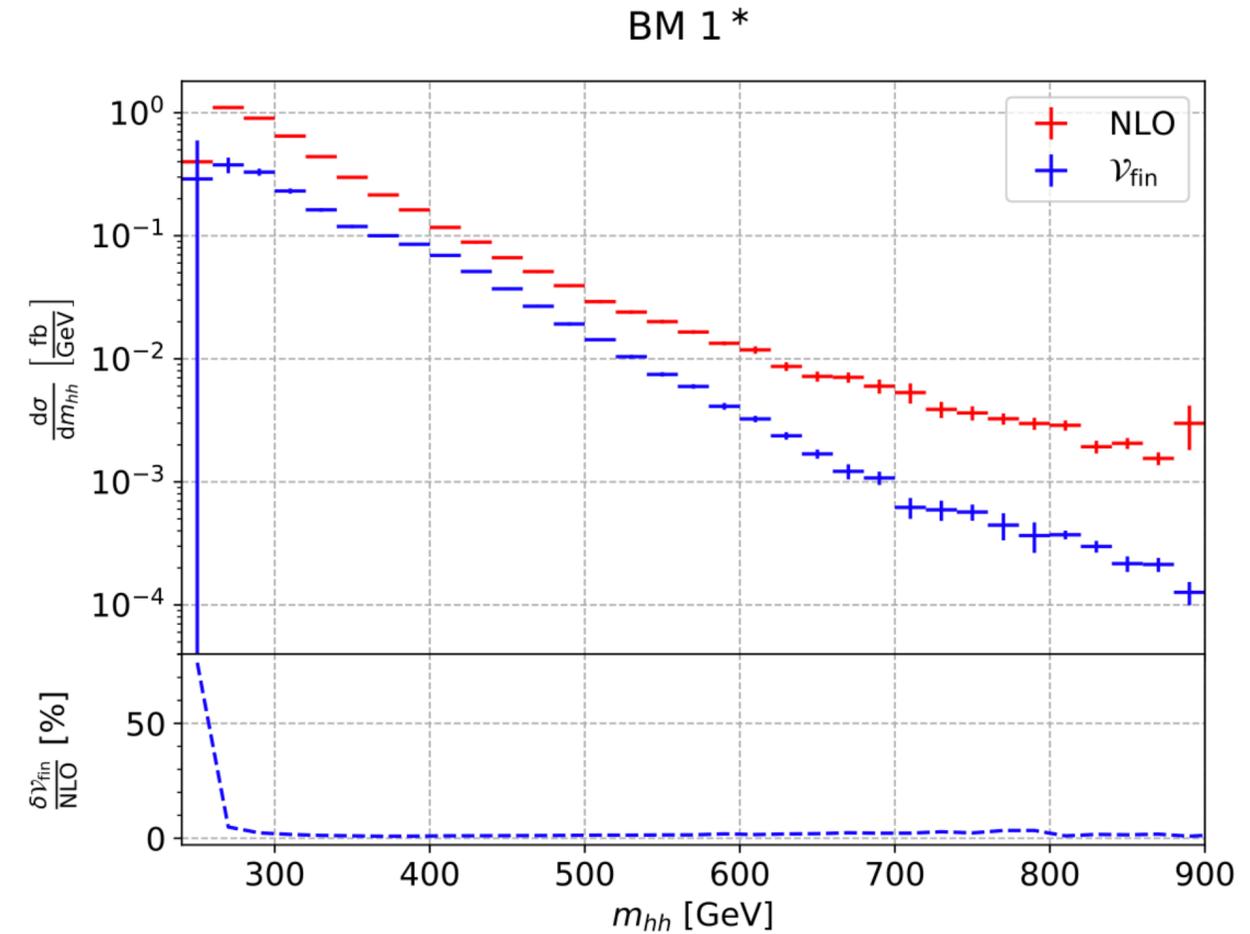
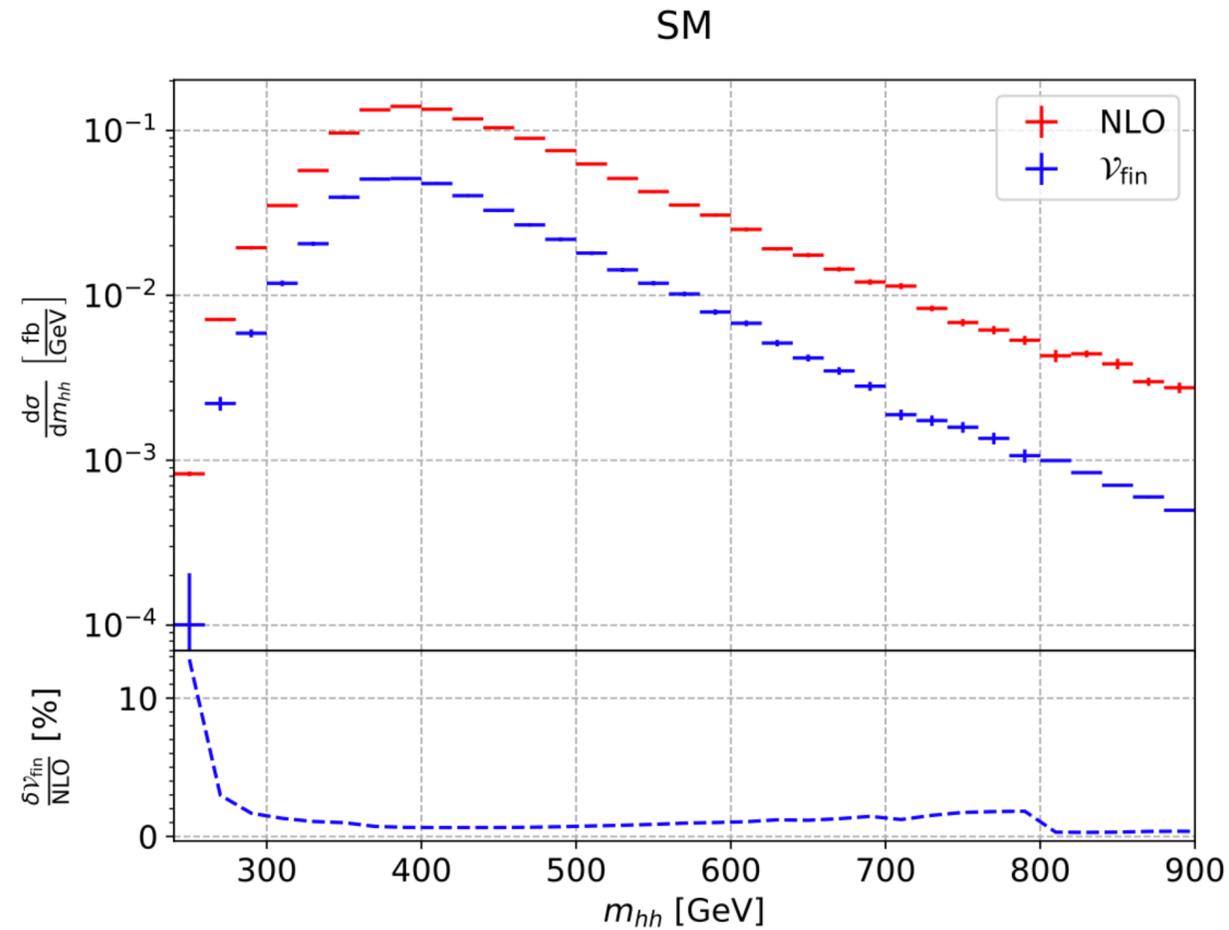
- No negative cross-section in SMEFT, but different interference pattern wrt HEFT leading to smaller cross-sections and modified shape (no shoulder)
- Increasing Λ reduces the differences between the truncations – convergence towards the SM

Theoretical uncertainties

Total unc. \approx Scale + (PDF+ α_s) + m_t renormalisation scheme + EW corrections + numerical grid

- **Scale:** Assessed by 7-point variation of $\mu_R = \mu_F = \{\frac{1}{2}, 1, 2\} \cdot \mu_0$ and is of $\mathcal{O}(15\%)$ for SM and $\mathcal{O}(15 - 20\%)$ for SMEFT truncation (b) of benchmarks 1* and 6* at NLO QCD
- **PDF+ α_s :** $\pm 3\%$ at NNLO (Born improved HTL using PDF4LHCNNLO), expected not to depend much on the benchmark
- **m_t renormalisation scheme:** Currently largest unc. on σ_{hh}^{SM} . Depends strongly on c_{hhh} and has to be evaluated for each EFT parameter point separately – not available at the moment
- **Missing EW corrections:** Full NLO EW corrections unknown, expected to be larger than the ones related to Yukawa-type ($\sim 0.2\%$)
- **Accuracy of numerical computation of NLO QCD virtual corrections:** Grids derived originally for SM, can't capture the EFT phase space accurately where SM cross-section contribution is small, possibly leading to sizeable uncertainties up to $\sim 75\%$ in the first m_{hh} bin (not covered by the MC generators)

Numerical grids uncertainty



- $\mathcal{O}(12\%)$ for SM in the first bin, much worse for scenarios with enhanced low- m_{hh} region

HEFT weights and statistical uncertainties

- Differential coefficients have been derived for $m_{hh} \in [250, 1400]$ GeV with bins of 20 GeV for $m_{hh} \in [250, 1050]$ GeV and with two broader bins in the range $m_{hh} \in [1050, 1200]$ GeV and $m_{hh} \in [1200, 1400]$ GeV
- Weights calculated as $w_{\text{HEFT}} = \frac{\text{Poly}(\mathbf{c}, d\mathbf{A} | m_{hh})}{\text{Poly}(\mathbf{c}_{\text{SM}}, d\mathbf{A} | m_{hh})}$
- Corresponding uncertainty calculated using $\delta_{w_{\text{HEFT}}} = \sqrt{\mathbf{J}_w \Sigma_{d\mathbf{A}} \mathbf{J}_w^T}$, with $\mathbf{J}_w = \frac{\mathbf{c}^T}{\text{Poly}(\mathbf{c}_{\text{SM}}, d\mathbf{A} | m_{hh})} - \frac{\text{Poly}(\mathbf{c}, d\mathbf{A} | m_{hh}) \cdot \mathbf{c}_{\text{SM}}^T}{\text{Poly}(\mathbf{c}_{\text{SM}}, d\mathbf{A} | m_{hh})^2}$
- Total stat. unc. in bin j when reweighting simulated SM HH events is as follows:

$$\delta^j = N^j \sqrt{\left(\frac{\delta_{w_{\text{HEFT}}}^j}{w_{\text{HEFT}}^j}\right)^2 + \left(\frac{\delta_{\text{SM}}^j}{N_{\text{SM}}^j}\right)^2},$$

with N^j being the sum of weighted events,

N_{SM}^j the sum of weighted SM events,

w_{HEFT}^j the weight and δ_{SM}^j the weighted stat. unc. for SM events