### Phases of Holographic Nuclear matter, Instanton crystals

>

Nordita October 2022

Matti Jarvinen, V. Kaplunovsky , D. Melnikov

#### Nuclear matter in Large Nc

• Holography obviously associates with large Nc.

• Do we expect that the phase of **nuclear matter** in large Nc and finite Nc are the same?

• It is believed the nuclpar matter in nature (Nc=3) is in a liquid phase. Is it the same at large Nc?

• Is the behavior **universal** or model dependent

#### Nuclear matter in Large Nc

- Let's take an analogy from condensed matter some atoms that attract at large and intermediate distances but have a hard corerepulsion at short ones.
- The kinetic energy

$$K \sim \frac{\pi^2 \hbar^2}{2M_{\rm atom} (\text{well diameter})^2}$$

 The ratio between the kinetic and potential energies at T=0 p=0 is given by the deBour parameter

and where  

$$\frac{K}{U} \approx 11\Lambda_B^2$$
,  $\Lambda_B = \frac{\hbar}{r_c\sqrt{2M\epsilon}}$   
 $r_c$  hard core radius  $\epsilon$  is the maximal depth of the potential.

#### Limitations of Large Nc and holography

When  $\Lambda_B$  exceeds 0.2-0.3 the crystal melts. For example,

- Helium has  $\Lambda_B = 0.306$ , K/U  $\approx 1$  quantum liquid
- Neon has  $\Lambda_B = 0.063$ , K/U  $\approx 0.05$ ; a crystalline solid
- For large Nc the leading nuclear potential behaves as

$$V(\vec{r}, I_1, I_2, J_2, J_2; N_c) = N_c \times A_C(r) + N_c \times A_S(r)(\mathbf{I}_1 \mathbf{I}_2)(\mathbf{J}_1 \mathbf{J}_2) + N_c \times A_T(r)(\mathbf{I}_1 \mathbf{I}_2) [3(\mathbf{nJ}_1)(\mathbf{nJ}_2) - (\mathbf{J}_1 \mathbf{J}_2) + O(1/N_c).$$

 Since the well diameter is Nc independent and the mass M scales as~Nc

$$\frac{K}{U} \propto \frac{N_c^{-1}}{N_c^{+1}} = \frac{1}{N_c^2}$$

#### Limitations of Large Nc and holography

• The maximal depth of the nuclear potential is ~ 100 MeV so we take it to be  $\epsilon \sim N_c \times 30$ , the mass scales as

$$M_N \sim N_c \times 300 \text{ MeV}$$
  $r_c \sim 0.7$ 

Consequently

$$\Lambda_B = \frac{\hbar}{r_c \sqrt{2M\epsilon}} \sim \frac{2}{N_c} \implies \frac{K}{U} \sim \frac{45}{N_c^2}$$

Hence the critical value is N<sub>c</sub>=8

Liquid nuclear matter Nc<8

Solid Nuclear matter Nc>8 Holographic nuclear matter is a crystal of baryons that are flavor instantons

#### Baryons as Instantons in the SS model ( review)

In the SS model the baryon takes the form of an instanton in the 5d U(N<sub>f</sub>) gauge theory.



The instanton is a BPST-like instanton in the (x<sub>i</sub>,z) 4d curved space. In the leading order in λ it is exact.

baryon # Instanton # 
$$N_B = \frac{1}{8\pi^2} \int \mathrm{tr} F \wedge F$$

### Baryons in the generalized SSW model

● The probe brane world volume 9d → 5d upon
 Integration over the S<sup>4</sup>. The 5d DBI+ CS is approximated

$$S = S_{\rm YM} + S_{\rm CS} ,$$

$$S_{\rm YM} = -\kappa \int d^4x dz \, \operatorname{tr} \left[ \frac{1}{2} h(z) \mathcal{F}_{\mu\nu}^2 + k(z) \mathcal{F}_{\mu}^2 \right]$$

$$S_{\rm CS} = \frac{N_c}{2 + 2} \int \omega_{\rm g}^{U(N_f)}(\mathcal{A}) .$$
where  $h(z) = (1 + z^2)^{-1/3} , \quad k(z) = 1 + z^2$ 

#### Baryons in the Sakai Sugimoto model

- Upon introducing the CS term (next to leading in 1/λ), the instanton is a source of the U(1) gauge field that can be solved exactly.
- Rescaling the coordinates and the gauge fields, one determines the size of the baryon by minimizing its energy

$$\begin{split} M &= 8\pi^{2}\kappa + \kappa\lambda^{-1} \int d^{3}x dz \left[ -\frac{z^{2}}{6} \operatorname{tr}(F_{ij})^{2} + z^{2} \operatorname{tr}(F_{iz})^{2} \right] \\ &- \frac{1}{2}\kappa\lambda^{-1} \int d^{3}x dz \left[ (\partial_{M}\widehat{A}_{0})^{2} + \frac{1}{32\pi^{2}a}\widehat{A}_{0} \epsilon_{MNPQ} \operatorname{tr}(F_{MN}F_{PQ}) \right] + \mathcal{O}(\lambda^{-1}) \\ &= 8\pi^{2}\kappa \left[ 1 + \lambda^{-1} \left( \frac{\rho^{2}}{6} + \frac{1}{320\pi^{4}a^{2}} \frac{1}{\rho^{2}} + \frac{Z^{2}}{3} \right) + \mathcal{O}(\lambda^{-2}) \right] \,. \end{split}$$

#### Baryon (Instanton) size

# For Nf= 2 the SU(2) yields a rising potential The coupling to the U(1) via the CS term has a run away potential.

The combined effect



#### Baryons in the Sakai Sugimoto model

• One decomposes the flavor gauge fields to SU(2) and U(1)

• In a  $1/\lambda$  expansion the leading term is the YM action

Ignoring the curvature the solution of the SU(2) gauge field with baryon #= instanton #=1 is the BPST instanton

$$A_M(x) = -if(\xi) g\partial_M g^{-1} ,$$

$$\begin{split} f(\xi) &= \frac{\xi^2}{\xi^2 + \rho^2} \ , \quad \xi = \sqrt{(\vec{x} - \vec{X})^2 + (z - Z)^2} \\ g(x) &= \frac{(z - Z) - i(\vec{x} - \vec{X}) \cdot \vec{\tau}}{\xi} \ , \end{split}$$

#### On holographic nuclear interaction

## In real life, the nucleon has a fairly large radius , Rnucleon ~ 4/Mρmeson.

•But in the holographic nuclear physics with  $\lambda \gg 1$ ,

Rbaryon ~ 1/ (
$$\sqrt{\lambda}$$
 M),

 Thanks to this hierarchy, the nuclear forces between two baryons at distance r from each other fall into

#### **3** distinct zones

#### Zones of the nuclear interaction

#### • The **3 zones** in the **nucleon-nucleon interaction**



#### Near Zone of the nuclear interaction

- In the near zone r <Rbaryon « (1/M), the two baryons overlap and cannot be approximated as two separate instantons ; instead, we need the ADHM solution of instanton #= 2 in all its complicated glory. We solved it in one dimension.
- On the other hand, in the near zone, the nuclear force is 5D: the curvature of the fifth dimension z does not matter at short distances, so we may treat the U(2) gauge fields as living in a flat 5D spacetime.

#### Far Zone of the nuclear interaction

- In the far zone r > (1/M) >> Rbaryon poses the opposite situation: The curvature of the 5D -> z-dependence of the gauge coupling becomes very important at large distances.
- At the same time, the two baryons become well-separated instantons which may be treated as point sources of the 5D abelian field . In 4D terms, the baryons act as point sources for all the massive vector mesons comprising the 5D vector field Aµ(x, z), hence the nuclear force in the far zone is the sum of 4D Yukawa forces

$$V(r) = \frac{N_c^2}{4\kappa} \sum_n |\psi_n(z=0)|^2 \times \frac{e^{-m_n r}}{4\pi r}$$

#### Intermediate Zone of the nuclear interaction

- In the **intermediate zone** R<sub>baryon</sub> **« r « (**1**/M)**, we have the best of both situations:
- The baryons do not overlap much and the fifth dimension is approximately flat.
- At first blush, the nuclear force in this zone is simply the 5D Coulomb force between two point

$$V(r) = \frac{N_c^2}{4\kappa} \times \frac{1}{4\pi^2 r^2} = \frac{27\pi N_c}{2\lambda M_\Lambda} \times \frac{1}{r^2}$$

#### Attraction versus repulsion

In the generalized model the story is different.
Indeed the 5d effective action for A<sub>M</sub> and φ is

$$S_{5d} = \int d^4x dw \left[ N_c \lambda M_\Lambda \left[ \frac{u}{u_0} tr[F_{MN}^2 + \frac{u^9}{u_0^9} \frac{1}{1 - \zeta^{-3}} (\partial_M \phi)^2 \right] - N_c [\phi(TrF_{MN}^2) - V_c (\Phi(TrF_{MN}^2)) - V_c (\Phi(TFF_{MN}^2)) - V_c$$

 $\bullet$  The attraction potential also behaves as  $V_{scalar} \sim 1/r^2$ 

#### Attraction versus repulsion

## • The ratio between the **attraction** and **repulsion** in the intermediate zone is



•  $\zeta = u_o / u_{KK}$ 



#### Instanton lattice: basic three dimensional setup

- We consider a 3d system of point-like SU(2) instantons located at the same value of the holographic coordinate.
- Each instanton is characterized by  $(\vec{X}_n, y_n)$
- The **position**  $\sim$ X = (X1;X2;X3)
- The **SU(2) orientation** expressed in terms of the unimodular quaternion (y1; y2; y3; y4)
- The vectors in the quaternion space are denoted by:
- We also use the representation of the quaternions as a 2x2 matrices,

$$y = y^4 + i\tau^j y^j \quad \operatorname{tr}(y^{\dagger}y) = 2$$

#### The two body forece between instantons

 In holographic nuclear physics, like in real life, besides the two-body nuclear forces due to meson exchanges, there are significant three-body and probably also higher number-body forces

$$\hat{H}_{\text{nucleus}} = \sum_{n=1}^{A} \hat{H}^{1 \text{ body}}(n) + \frac{1}{2} \sum_{\substack{\text{different} \\ m,n=1,\dots,A}} \hat{H}^{2 \text{ body}}(m,n) + \frac{1}{6} \sum_{\substack{\text{different} \\ \ell,m,n=1,\dots,A}} \hat{H}^{3 \text{ body}}(\ell,m,n) + \cdots$$

In the low-density regime of baryons separated by distances much larger then their radii, the two-body forces dominate the interactions, while the multi-body forces are smaller by powers of (radius/distance)<sup>2</sup>.

#### Two body forece between instantons

• We use a **two-body potential** motivated by the Witten-Sakai-Sugimoto model. Assuming that the separation  $|X_m-X_n|$  between the instantons n and m satisfies  $\frac{1}{M\sqrt{\lambda}} \ll |X_m-X_n| \ll \frac{1}{M}$ coupling constant Kaluza Klein scale

 The lower limit arises from the instanton size and the upper limit from neglecting curvature corrections in the AdS.

#### Two body potential

## • The two-body potential in this model takes a simple form

$$\mathcal{E}^{2 \operatorname{body}}(m,n) = \frac{2N_c}{5\lambda M} \times \frac{1}{|X_m - X_n|^2} \times \left[\frac{1}{2} + \operatorname{tr}^2(y_m^{\dagger}y_n) + \operatorname{tr}^2(y_m^{\dagger}y_n(-i\vec{N}_{mn}\cdot\vec{\tau}))\right]$$
  
unit vector  $\vec{N}_{mn} = \frac{\vec{X}_n - \vec{X}_m}{|X_n - X_m|}$ 

#### Two body forece between instantons

The normalization is such that the average over the orientations of either of the instantons gives

$$\langle \mathcal{E}^{2 \operatorname{body}}(m,n) \rangle = \frac{N_c}{\lambda M} \frac{1}{|X_n - X_m|^2}$$

Note that the expression inside `[]' is always positive, so the two-body forces between the instantons are always repulsive, regardless of the instantons' SU(2) orientations.

#### Two body forece between instantons

- However, the orientations do affect the strength of the repulsion: two instantons with similar orientations repel each other 9 times stronger then the instantons at the same distance from each other but whose orientations differ by a 180 rotation (in SO(3) terms) around a suitable axis.
- The **total energy** of the system (including only the dominant two-body terms) is the sum over all pairs

$$\mathcal{E}_{\text{tot}} = \sum_{n < m} \mathcal{E}^{2 \text{ body}}(m, n)$$

#### Generalizations of the dening instanton interactions

- It is natural to consider a generalization of the twobody potential which includes both the oriented interaction and the orientation independent potential.
- We therefore define

$$\mathcal{E}^{2 \operatorname{body}}(m,n;\alpha,\beta) = \frac{2N_c}{(1+2\alpha+2\beta)\lambda M} \frac{1}{|X_n - X_m|^2} \\ \times \left[\frac{1}{2} + \alpha \operatorname{tr}^2(y_m^{\dagger}y_n) + \beta \operatorname{tr}^2(y_m^{\dagger}y_n(-i\vec{N}_{mn}\cdot\vec{\tau}))\right]$$

#### generalization parameters

• Notice that  $\alpha = 0 = \beta$  gives the un-oriented potential and  $\alpha = 1 = \beta$  gives back the ordinary two body potential

#### Generalizations of the defining instanton interactions

- α multiplies a term **independent** of the spatial interactions.
- For positive (negative) α perpendicular (parallel) spins of nearby neighbors are preferred, and the effect increases with increasing |α|.
- The interaction β term involves a nontrivial coupling between the orientations and directions in coordinate space.
- Picking an instanton with unit orientation
   (y = 1), positive (negative) β means that the orientation y of a neighboring instanton which is perpendicular (parallel) to the spatial link between the two instantons is preferred.

#### IR divergences

- In this work we determined the crystal structure and the instanton orientation patterns that minimize the total energy of the system.
- In order to understand our results, it is important to notice that the two-body interaction gives rise to a strong long-distance (IR) divergence in three dimensions.
- The potential due to a configuration of (large) size R behaves

$$\int dr r^2 \, \mathcal{E}^{2 \operatorname{body}}(r) \sim \int dr \, r^0 \sim R$$

• So it is linearly divergent.

- In several of these phases, minimization of the energy leads to nontrivial long distance correlations between the instanton orientations: for example, we obtain orientation structures which are spherical at long distances.
- Because of the divergence we need a long-distance cutoff in all our simulations and computations.
- Moreover, in the simulations, the long distance interactions lead to clustering of the instantons at the surface of the simulation volume if we only set a hard wall cutoff which forces the instantons to stay within the volume.
- The removal of this undesired effect necessitates the use of a smooth external force which pushes the instantons towards the center of the simulation.

#### Results

- Because of the long-distance divergence, however, there is no obvious "correct" choice for the external force for all configurations that we consider.
- A simple choice which is applicable to all configurations that we encounter is to take a force which sets the (locally averaged) instanton density to be constant.
- For most of the phase diagram this force matches with the (regularized) force due to a homogeneous density of instantons outside the simulation volume, i.e., the force due to the instantons left out of the simulation.
- In fact all our simulation results turn out to be insensitive to the precise choice of the force.

#### Methods of analyzing the lattices

- The results are obtained by using three different methods of analyzing the lattices of instantons:
- I. We perform simulations of ensembles of instantons of the order of O(10000) instantons subjected to the two body interactions between any two of them.
- II. We determine the **orientation patterns** based on the behavior of the two body potential for far apart instantons.
- In this range of distances between the instantons we take the continuum limit and ignore the lattice geometry. We compute the total energy of the system as a function of α and β, in particular we determine the associated lowest energy configuration.

#### Methods of analyzing the lattices

- III. We compute the energy difference between various pairs of geometrical and orientation structures by which we eventually determine the phase diagram.
- The computations of energy differences yield finite results since the divergences are cancelled out in the differences.

#### Resuts of the simulations of the basic setup

(1) In the non-orientation case α = ο = β the crystal structure is face-centered-cubic (fcc).



#### Resuts of the simulations of the basic setup

- (2) The basic oriented case α= 1 = β is face-centered tetragonal lattice with a large aspect ratio,1 i.e., fcc with one direction rescaled, breaking the cubic symmetry. The aspect ratio c is large: we find c ~2.467.
- That is, the instantons form clearly separated layers with two-dimensional square lattice structure.
- A sample of this structure is shown in the following fig.
- The two dimensional layers have antiferromagnetic structure. The orientations between the layers repeat in cycles of two.
- Overall, there are therefore four distinct and linearly independent orientations so that the set of orientations does not single out any direction. We call this class of orientation structures "non-Abelian".

#### The basic orientation setup $\alpha = 1 = \beta$



Figure 2: A sample of a simulation result for the oriented two-body interaction of 5d instanton dynamics (i.e. with  $\alpha = \beta = 1$ ). The lattice is tetragonal with non-Abelian orientation structure. The colors show the four different orientations of the instantons. The figure was created by using the Jmol software [45]. An interactive 3D version of the figure can be viewed with Adobe Reader.

#### **Oriented non-Abelian phase**

• We have also revealed the structure of the phase diagram of the ensembles of instantons as a function of  $\alpha$  and  $\beta$ .

• We identify the following phases:

(3) Oriented non-Abelian phase. This phase contains crystals in the same class with the case α = β = 1, i.e., the orientations span the whole four dimensional orientation space.

• We find this phase when

 $\alpha > \beta$ ,  $\beta > -1/8$ , and  $\alpha > \gamma_1 \beta$  with  $\gamma_1 \sim$  0.0575.

#### **Oriented non-Abelian phase**

- The phase is further divided into sub-phases having different lattice and orientation structures, which can be classified into the following classes:
- (a) **Tetragonal/cubic** (fcc or fcc-related) lattices with "standard" orientation pattern
- (b) **Tetragonal/cubic** (fcc or fcc-related) lattices with "alternative" orientation pattern
- (c) Hexagonal lattices
- (d) **Simple cubic/tetragona**l lattices





(b) fcc with "alternative" orientations.





(d) Simple cubic.

#### Oriented non abelian phase



Figure 3: Generic lattice structures found in the non-Abelian phase. Left: A sample of fcc lattice from a simulation with 5000 instantons at  $\alpha = 1$  and  $\beta = 0.5$ . Right: A sample of simple cubic lattice from a simulation with 6000 instantons at  $\alpha = 0.5$  and  $\beta = -0.03$ .

#### **Oriented non-Abelian phase**



Figure 4: Additional lattice structures found for  $0 < \alpha = \beta < 1$  and for  $\beta = 0$ . Top left: A sample of hexagonal lattice from a simulation with 3000 instantons at  $\alpha = \beta = 0.4$ . Top right: A sample of a (nearly) bcc lattice from a simulation with 3000 instantons at  $\alpha = \beta = 0.07$ . Bottom left: A sample of a lattice with hexagonal antiferromagnetic layers from a simulation with 5000 instantons at  $\alpha = 10$  and  $\beta = 10$ . Bottom right: A sample of a hexagonal determines at  $\alpha = 10$  and  $\beta = 10$ . Bottom right: A sample of a

#### Anti-ferromagnetic phase

• (4) Anti-ferromagnetic phase. This phase is found when  $\alpha < \beta < \Gamma \alpha$  with  $\Gamma = 2:14$ .

The orientation structure is locally antiferromagnetic: in domains of small size, only two different orientations appear.

- Globally the orientations are arranged spherically.
- The spatial lattice has a strong tendency of forming spherical shells.
- The two-dimensional lattice structure depends on  $\alpha$  and  $\beta$ For  $\alpha = O(1)$  and  $\beta = O(1)$ , the spherical shells have the structure of two-dimensional square lattice (with antiferromagnetic orientations).
- As and decrease, the lattice changes to rhombic. For small values, α = O(0.1) and β = O(0.1), the layers are closer to triangular and the spatial structure is locally close to bcc.

#### Spherical and global ferromagnetic phase

- (5) Spherical ferromagnetic phase. In the sector  $\beta > \Gamma \alpha > 0$ ,
- we find a pattern which is **locally fcc and ferromagnetic**, all nearby orientations are (almost) the same.
- However globally the orientations form a spherical structure. The simulations indicate that the lattice structure is independent of α and β within the phase.
- (6) Global ferromagnetic phase. In the area of negative , precisely limited by the conditions

 $-1/8 < \alpha < 0, \beta > -1/8, \alpha < \gamma_2 \beta \gamma_2 \sim 0.543$ 

we find a global **ferromagnetic** pattern.

That is, the lattice is (locally and globally) fcc and all orientations are aligned.

#### Spherical and global ferromagnetic phase



Figure 5: anti-ferromagnetic spherical lattices found for  $0 < \alpha < \beta$ . Left: A sample with two-dimensional square lattice layers from a simulation with 15000 instantons at  $\alpha = 2/3$  and  $\beta = 1$ . Right: A sample with two-dimensional rhombic layers from a simulation with 15000 instantons at  $\alpha = 0.1$  and  $\beta = 0.15$ .

#### Ferromagnetic egg phase

• (7)Ferromagnetic egg phase. In the remaining corner of the phase diagram, i.e., whe

 $\gamma_2 \beta < \alpha < \gamma_1 \beta$  and  $\beta > -1/8$ ,

 we find a special ferromagnetic crystal. It is again locally fcc, and the interior of the sample orients as a global ferromagnet, but there is crust of finite width where the orientations obey a global spherical alignment.

- We draw the **phase diagram** of the **non-Abelian** lattices on the  $\alpha$  and  $\beta$  -plane.
- The result for the phase diagram is shown in fig.
- In the figure the blue, red, green, and yellow colors indicate crystals (a), (b), (c), and (d), respectively.
- The brightness of the color is given by the aspect ratio, with brighter (darker) shades corresponding to lower (higher) values.
- The largest solid blue domain is fcc, i.e., aspect ratio is c = 1, and the large yellow domain is simple cubic. In all the other domains, the aspect ratio varies with α and β.



 We continue by analyzing the phase diagram close to the critical lines in the simulations in Sec. 3, starting from the results near the diagonal,

$$0 < \alpha = \beta < 1.$$

 We show the phase structure in this region in the following fig. where we switched to angular coordinates and show the \radial" coordinate in log scale



Figure 11: The details of the phase diagram near  $\alpha = \beta$ . We use angular coordinates and



Figure 8: Orientation phase diagram of 3D quasi-instanton matter, regardless of lattice structure.

#### Open questions

- The systems discussed in this paper are at zero temperature. It will be interesting to consider instead lattices of instantons (Torons) at finite temperature.
- Another obvious generalization is transforming the avor symmetry U(2) ! U(Nf) and in particular the eight-fold symmetry Nf = 3. In the opposite direction one would like to explore also the breaking of the isospin symmetry
- In recent years there has been an effort to apply holography to the study of neutron starts and their mergers. It will be interesting to understand if and how taking crystal structures rather than the liquids changes the picture of neutron stars. Moreover, our analysis included the spherical fermionic and anti-fermionic structure. These phases may be relevant to the nuclear structure of neutron stars that obviously are spherically symmetric.