

Holographic Floquet on the brane

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with Martí Berenguer, Ana Garbayo and Javier Mas

Plan of the talk

- Introduction and motivation
- Simple examples
- Holographic brane model
- Results of the D3-D5 model
- Future directions

Floquet theorem in QM

Temporal analogue of Bloch theorem

For a hamiltonian periodic in time

$$H(t + T) = H(t)$$

The solutions of the Schrödinger equation are of the form:

$$|\psi(t)\rangle = e^{-i\epsilon t} |\Phi(t)\rangle$$

$$|\Phi(t + T)\rangle = |\Phi(t)\rangle \rightarrow \text{Floquet mode}$$

$$\epsilon \rightarrow \text{quasienergy}$$

It applies to periodically driven systems

There are specific techniques to study these systems:

Extended (Sambe) Hilbert space

High-frequency & Magnus expansions

...

Why is interesting?

Floquet engineering 

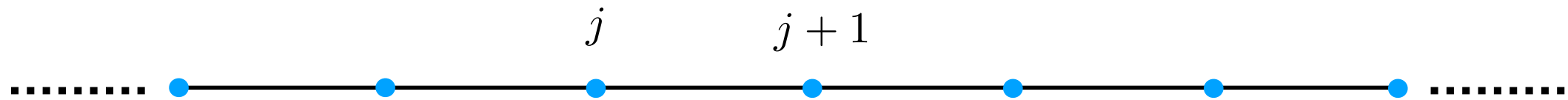
Control and design of
quantum systems using
periodic drivings

Examples

-  New quantum (artificial) quantum materials induced by the driving
(topological insulators, new superconductors, ...)
-  Floquet time crystals
-  Control of decoherence
-  Artificial gauge fields
-  New stable states out of equilibrium

We want to study these driven systems in holography

A simple example of a modulated system



Consider a 1d lattice with hamiltonian:

$$H_0 = -J \sum_j a_{j+1}^\dagger a_j + \text{h.c.}$$

$J \rightarrow$ hopping parameter $\rightarrow$ amplitude of the $j \rightarrow j+1$ transition

H_0 is the lattice version of a periodic one-particle hamiltonian in the tight-binding approximation

Add a periodic perturbation

$$H = H_0 + \omega \xi(t) V$$

$$\xi(t) = \xi_0 \cos(\omega t)$$

$$V = \sum_j j a_j^\dagger a_j$$

V is the lattice version of the position operator x

The driving term can be absorbed by an unitary transformation

$$|\psi\rangle = R(t) |\widetilde{\psi}\rangle$$

$$R(t) = e^{-i\xi_0 \sin(\omega t) V}$$

New hamiltonian

$$\tilde{H}(t) = R^\dagger(t) H(t) R(t) - iR^\dagger(t) \partial_t R(t) = R^\dagger(t) H_0 R(t)$$

$$\tilde{H}(t) = -J \sum_j \left(e^{i\alpha(t)} a_{j+1}^\dagger a_j + e^{-i\alpha(t)} a_j^\dagger a_{j+1} \right)$$

$$\alpha(t) = \xi_0 \sin \omega t$$

The driving has added a coupling to a gauge field

$$a_{j+1}^\dagger a_j \rightarrow a_{j+1}^\dagger \exp \left[-i \int_j^{j+1} A \right] a_j$$

Peierls substitution

Stroboscopic time evolution

$$U(T, 0) = e^{-i \int_0^T \tilde{H}(t) dt}$$

$$T = \frac{2\pi}{\omega}$$

Then

$$U(T, 0) = e^{-iT \mathcal{H}_{eff}}$$



$$\mathcal{H}_{eff} = J_0(\xi_0) H$$

Equivalent to changing the hopping as:

$$J \rightarrow J_0(\xi_0) J \equiv J_{eff}(\xi_0)$$

Choosing ξ_0 such that $J_0(\xi_0) = 0$ we suppress the hopping

Dynamical localization

We want to study a (2+1)-dimensional gauge theory under the influence of an external electric field $\mathcal{E}$ rotating in the xy plane

$$\mathcal{E} = \mathcal{E}_x + i \mathcal{E}_y = E e^{i\Omega t}$$

Two physical regimes

- Weak field $E \rightarrow$ vacuum polarization in an insulating gapped phase

A rotating polarization current $\vec{j}$ is induced with no dissipation ($\vec{j} \perp \vec{\mathcal{E}}$)

- For $E \geq E_c \rightarrow$ vacuum is unstable by Schwinger pair production

Dielectric breakdown, dissipation and Joule heating

The critical field depends on the frequency $\Omega \rightarrow E_c = E_c(\Omega)$

Remarkably, in our top-down holographic model
for some frequencies $\Omega = \Omega_c$

$$E_c(\Omega_c) = 0$$

Moreover, $E = 0$ and the current $j \neq 0$
in a finite range of frequencies $\Omega_c \leq \Omega \leq \Omega_m$

States with rotating current and zero external electric field



Floquet condensate of vector mesons

Similar results in (3+1)-dim were previously obtained by
Hashimoto, Kinoshita, Murata and Oka [\[1611. 03702\]](#) [\[1712.06786\]](#)

Brane setup

	1	2	3	4	5	6	7	8	9
$D3 :$	$\times$	$\times$	$\times$	$-$	$-$	$-$	$-$	$-$	$-$
$D5 :$	$\times$	$\times$	$-$	$\times$	$\times$	$\times$	$-$	$-$	$-$

Gauge theory at the intersection

The D5-brane is treated as a probe in the $AdS_5 \times S^5$ background

DBI action for the D5-brane

$$S = -N_f T_5 \int d^6 \xi \sqrt{-\det (g_6 + 2\pi\alpha' \mathcal{F})} \qquad \mathcal{F} = d\mathcal{A}$$

Bulk degrees of freedom



Act as a bath for the flavor dof's

Background 10d metric (zero T)

$$ds_{10}^2 = \frac{\rho^2 + w_1^2 + w_2^2 + w_3^2}{R^2} \left(-dt^2 + dx^2 + dy^2 + dz^2 \right) + \\ + \frac{R^2}{\rho^2 + w_1^2 + w_2^2 + w_3^2} \left(d\rho^2 + \rho^2 d\Omega_2^2 + dw_1^2 + dw_2^2 + dw_3^2 \right)$$

$$d\Omega_2^2 = d\theta^2 + \sin^2 \theta d\phi^2$$

We take $R = 1$ from now on

D5-brane extended in $x, y, \rho, \theta, \phi \rightarrow \xi^a = (t, x, y, \rho, \theta, \phi)$

Ansatz for the transverse scalars

$$z = \text{constant} , \quad w_1 = w(t, \rho) , \quad w_2 = w_3 = 0 .$$

and the gauge field

$$2\pi \alpha' \mathcal{A} = a_x(t, \rho) dx + a_y(t, \rho) dy ,$$

Complexify the gauge field in the rotating frame

$$a(t, \rho) = a_x + i a_y = b(t, \rho) e^{i(\Omega t + \chi(t, \rho))}$$

Important $\rightarrow$ The equations can be solved by

$$b = b(\rho) \quad \chi = \chi(\rho) \quad w = w(\rho) \quad \text{No PDEs!}$$

Lagrangian density

$$\mathcal{L} = \frac{\rho^2}{\rho^2 + w^2} \sqrt{\left((\rho^2 + w^2)^2 - \Omega^2 b^2\right) \left(1 + b'^2 + w'^2\right) + (\rho^2 + w^2)^2 b^2 \chi'^2}$$

UV boundary behavior

$$c(\rho) = b(\rho) e^{i\chi(\rho)} = \frac{i E}{\Omega} + \frac{j}{\rho} + \dots,$$

$$w(\rho) \sim m + \frac{\mathcal{C}}{\rho} + \dots$$

$j \rightarrow$ Polarization current

$$j = \dot{\mathcal{P}}$$

$$\mathcal{P} = \langle \bar{\psi} (\gamma_x + i \gamma_y) \psi \rangle$$

χ is a cyclic variable

First integral $\rightarrow$ $q = \Omega \frac{\partial \mathcal{L}}{\partial \chi'} = \Omega \frac{\rho^4}{\mathcal{L}} b^2 \chi'$

Evaluating q at the boundary

$$q = \text{Re}(E j^*) = j_x E_x + j_y E_y \quad \longrightarrow \quad \text{Joule heating}$$

Types of embeddings

Eoms are potentially singular when:

$$b_0 = \frac{w_0^2 + \rho_c^2}{\Omega}$$

$$b_0 = b(\rho = \rho_c)$$

$$w_0 = w(\rho = \rho_c)$$

What is the meaning of $\rho = \rho_c$?

$\rho = \rho_c \rightarrow \text{pseudohorizon}$

(of the open string metric)

Three possibilities

- Black hole embeddings

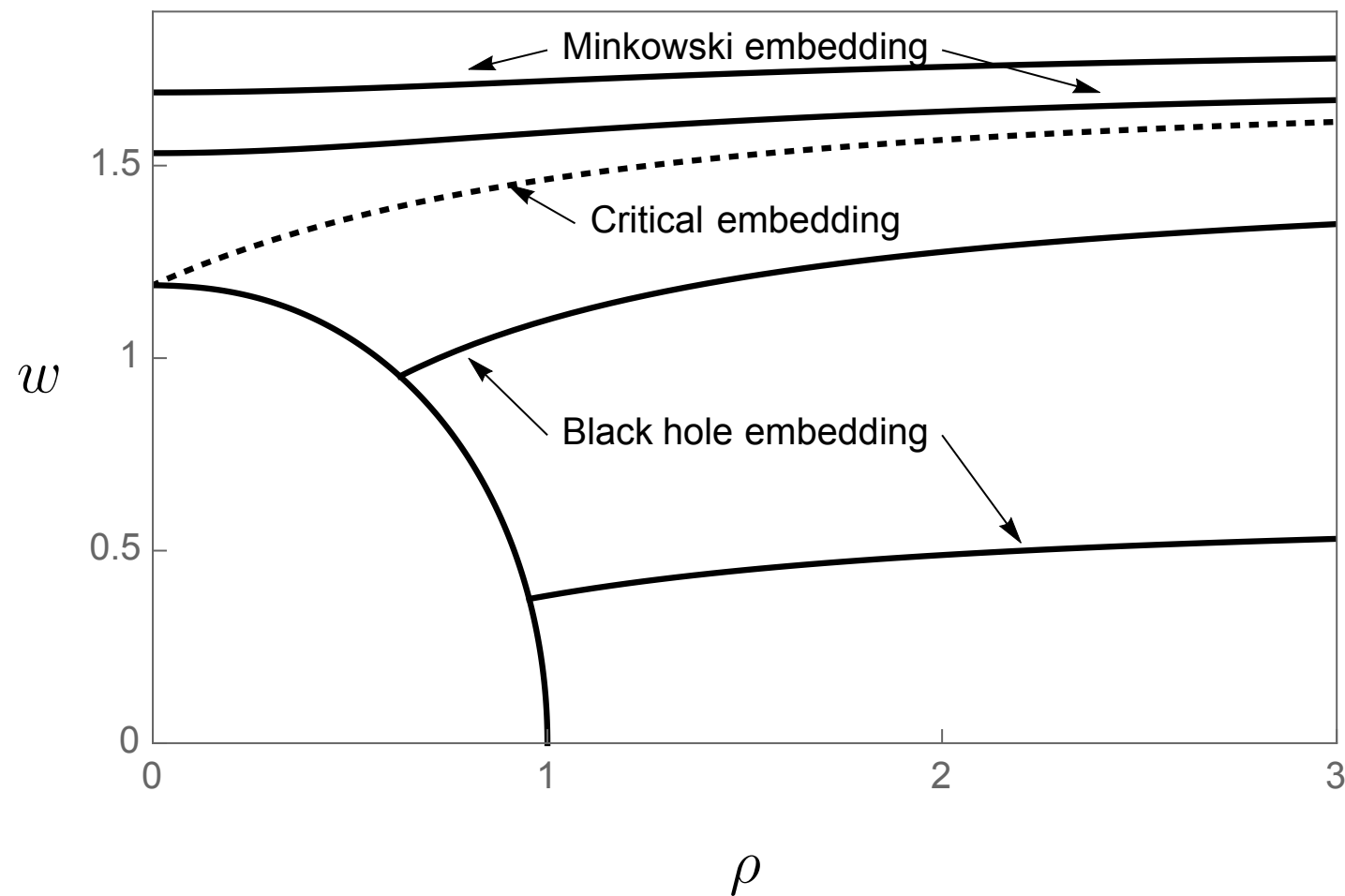
Branes cross the pseudohorizon at $\rho_c \neq 0$

- Minkowski embeddings

Branes do not cross the pseudohorizon and reach $\rho = 0$

- Critical embeddings

Branes reach the pseudohorizon at $\rho_c = 0$



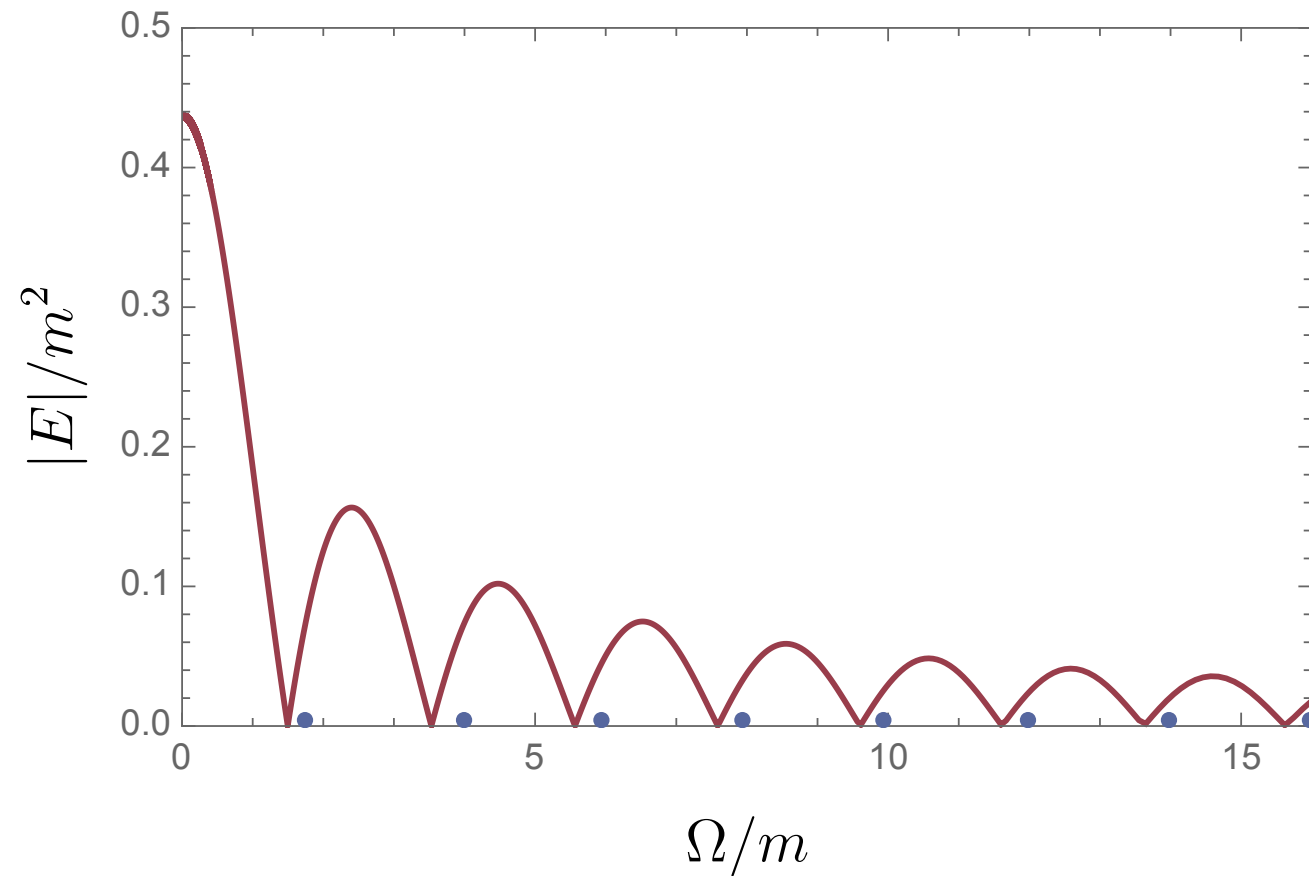
● Mink. embeddings $\rightarrow$ gapped insulating phase

No dissipation $\rightarrow q = 0$

● BH embeddings $\rightarrow$ metallic conductive phase

Dissipative $\rightarrow q \neq 0$ $q = \rho_c^2 (w_0^2 + \rho_c^2)$

Phase diagram (critical embeddings)

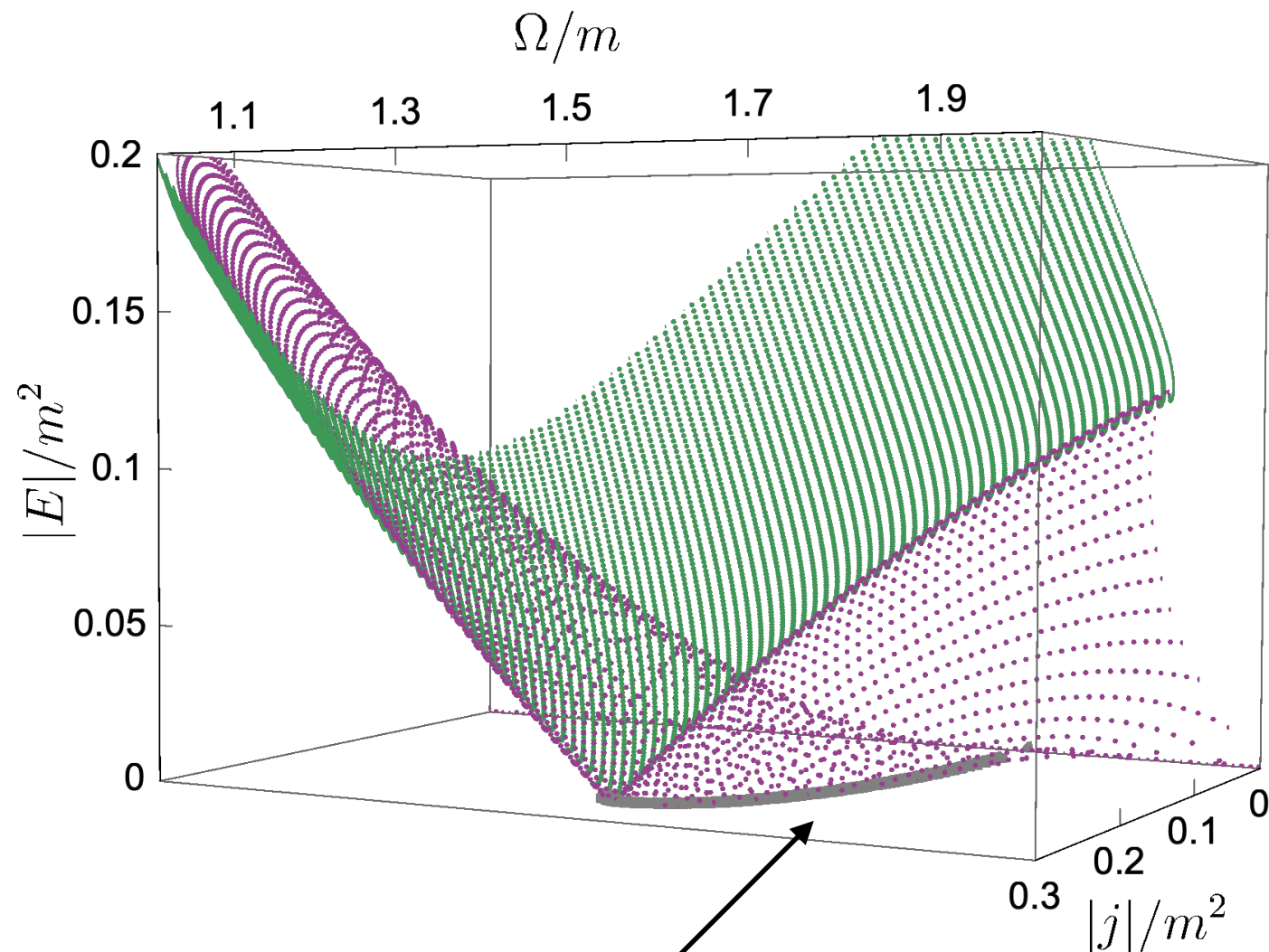


Critical frequencies $\rightarrow \Omega_c/m = 1.4965, 3.5308, 5.5676, 7.5851 \dots$

- $\rightarrow \Omega_{meson}/m = 1.7320, 3.8730, 5.9161, 7.9372, \dots$

$$\Omega_{meson}/m = 2\sqrt{\left(n + \frac{1}{2}\right)\left(n + \frac{3}{2}\right)} \quad n = 0, 1, 2, \dots$$

3d phase diagram

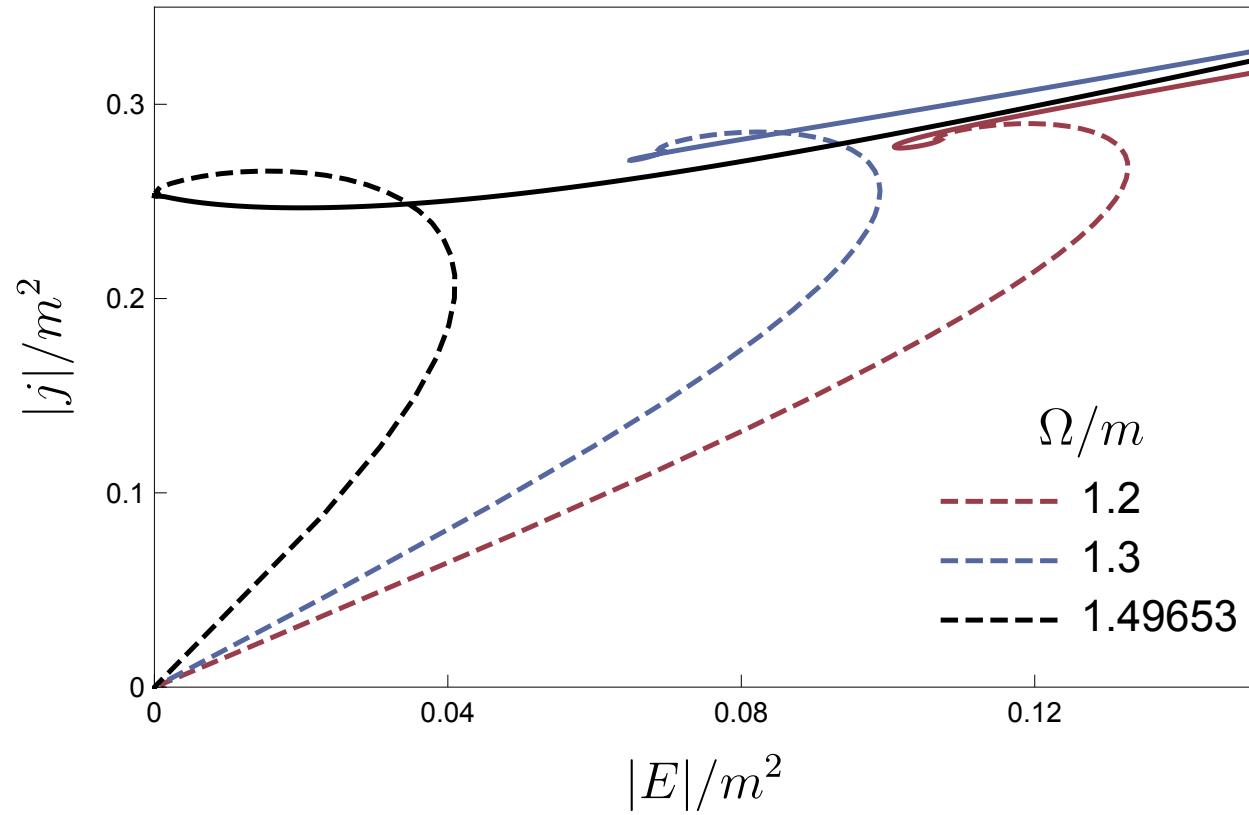


1st Floquet condensate $(\Omega \in [1.4965, 1.7320])$

Non-Equilibrium Steady State (NESS)

Energy injection=dissipation

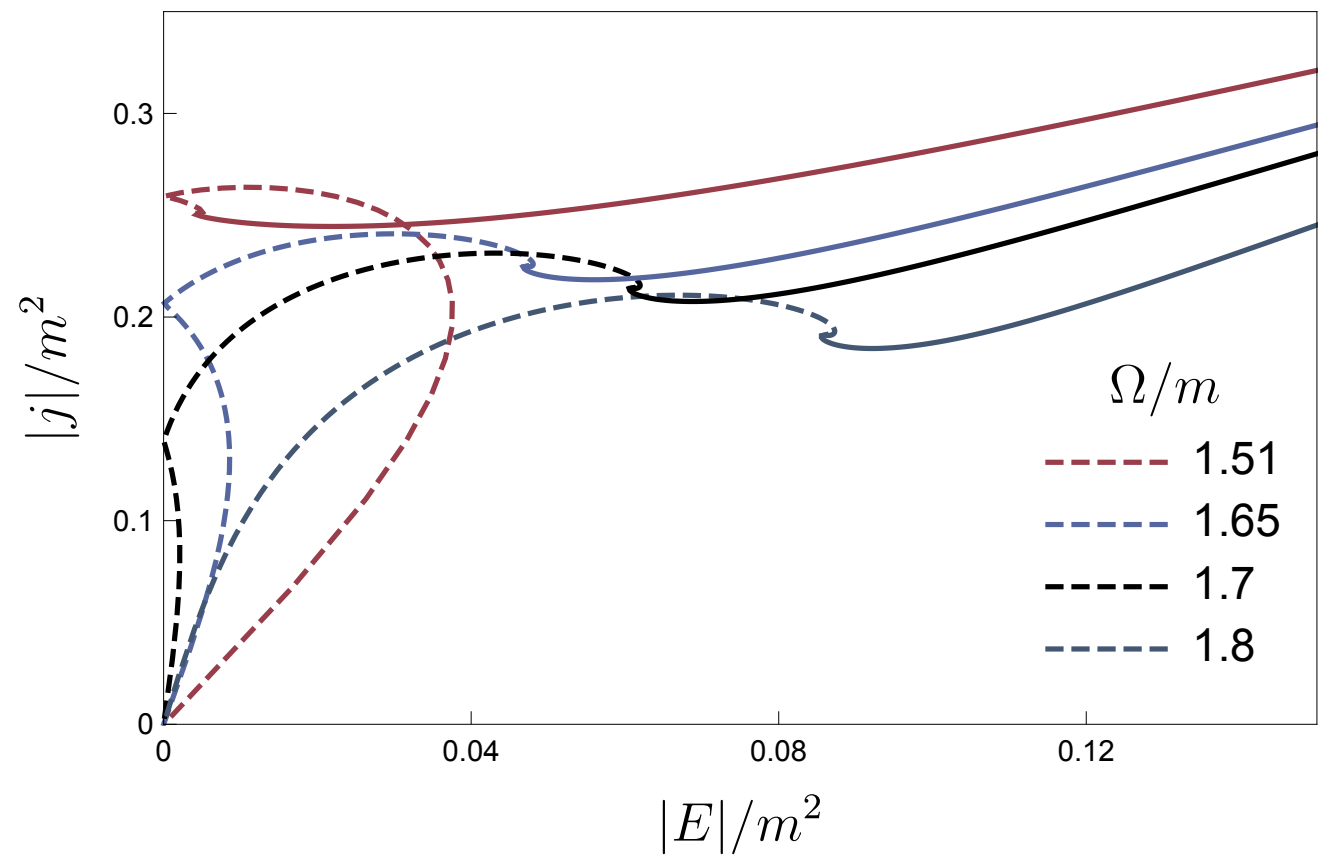
$$\Omega \leq \Omega_c$$



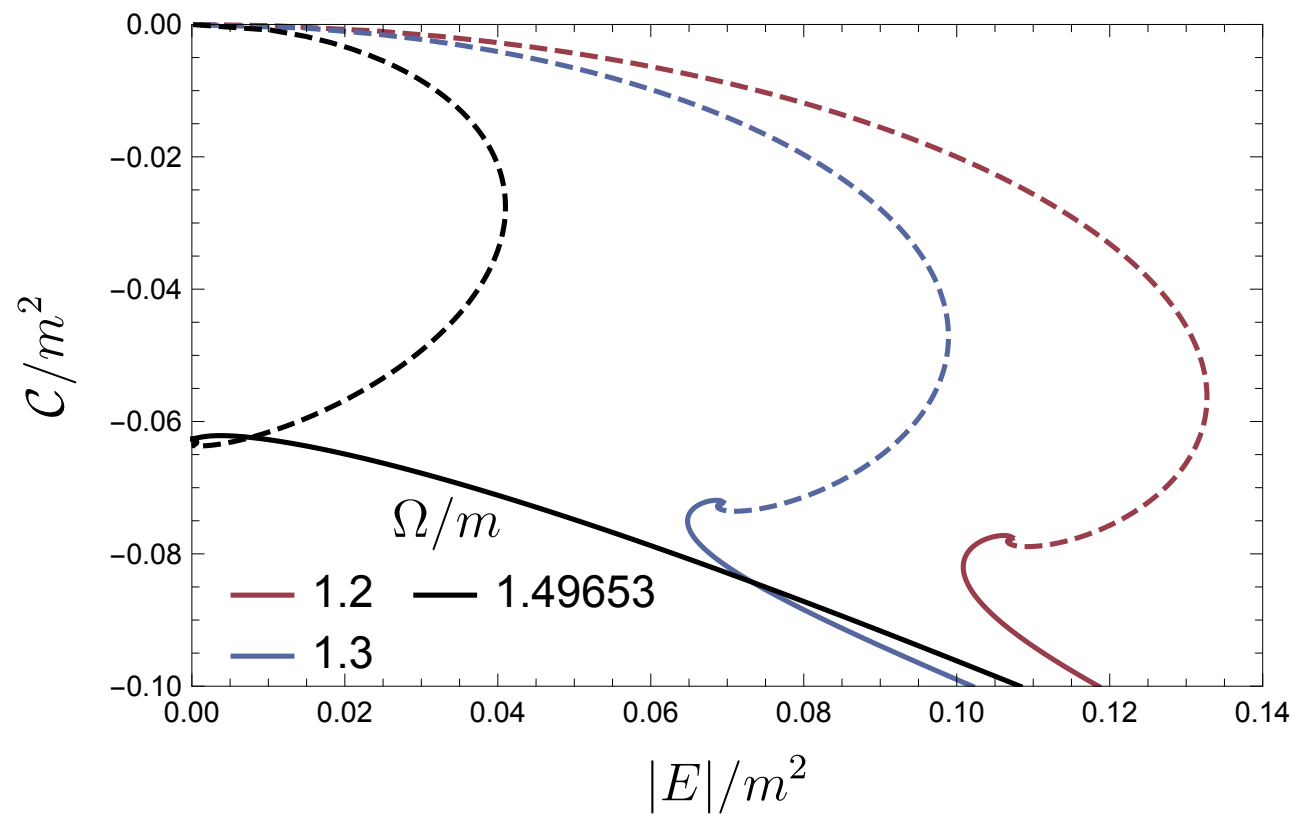
dashed $\rightarrow$ Mink.

$$\Omega_c \leq \Omega \leq \Omega_m$$

cont. $\rightarrow$ BH



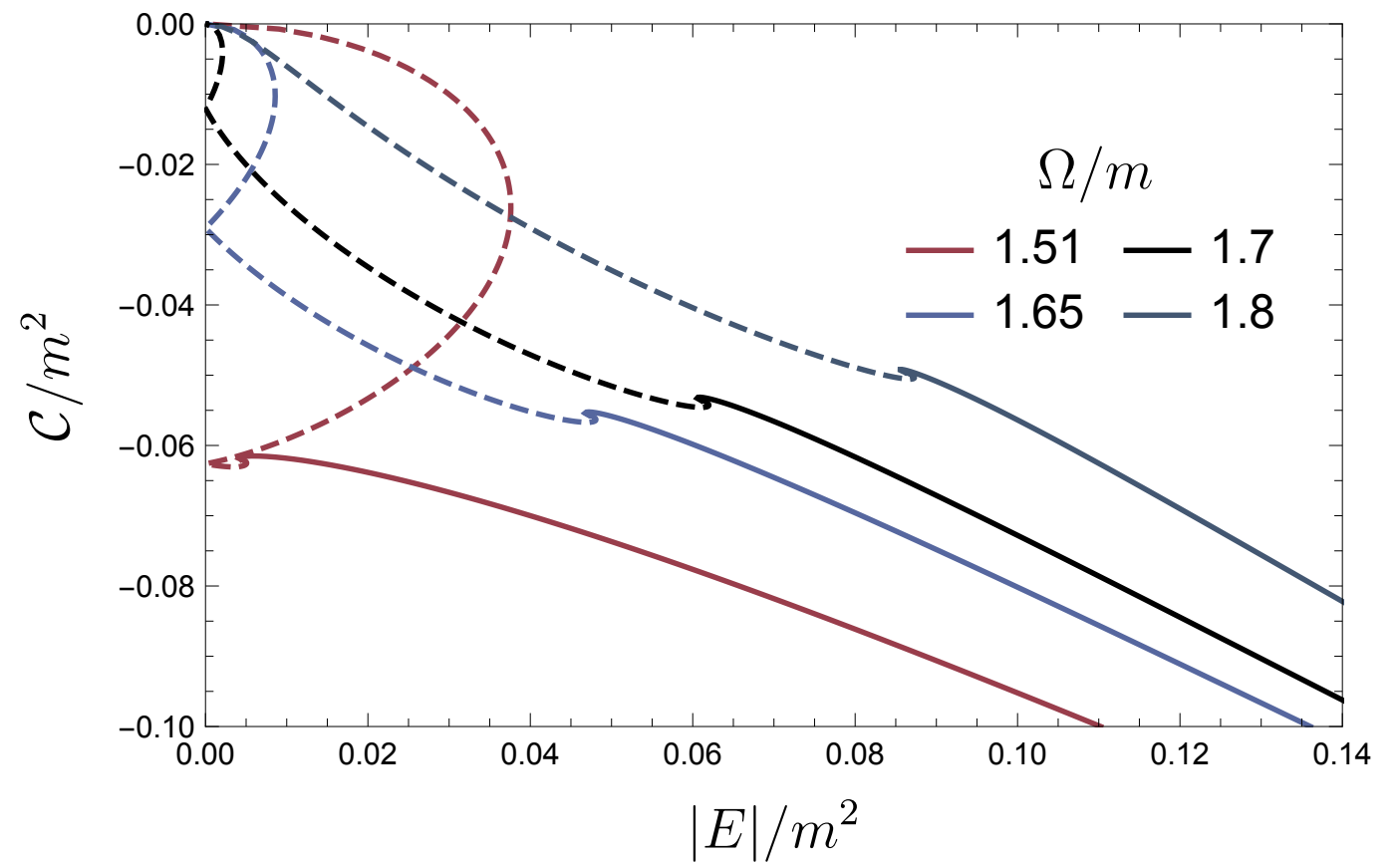
$$\Omega \leq \Omega_c$$



dashed $\rightarrow$ Mink.

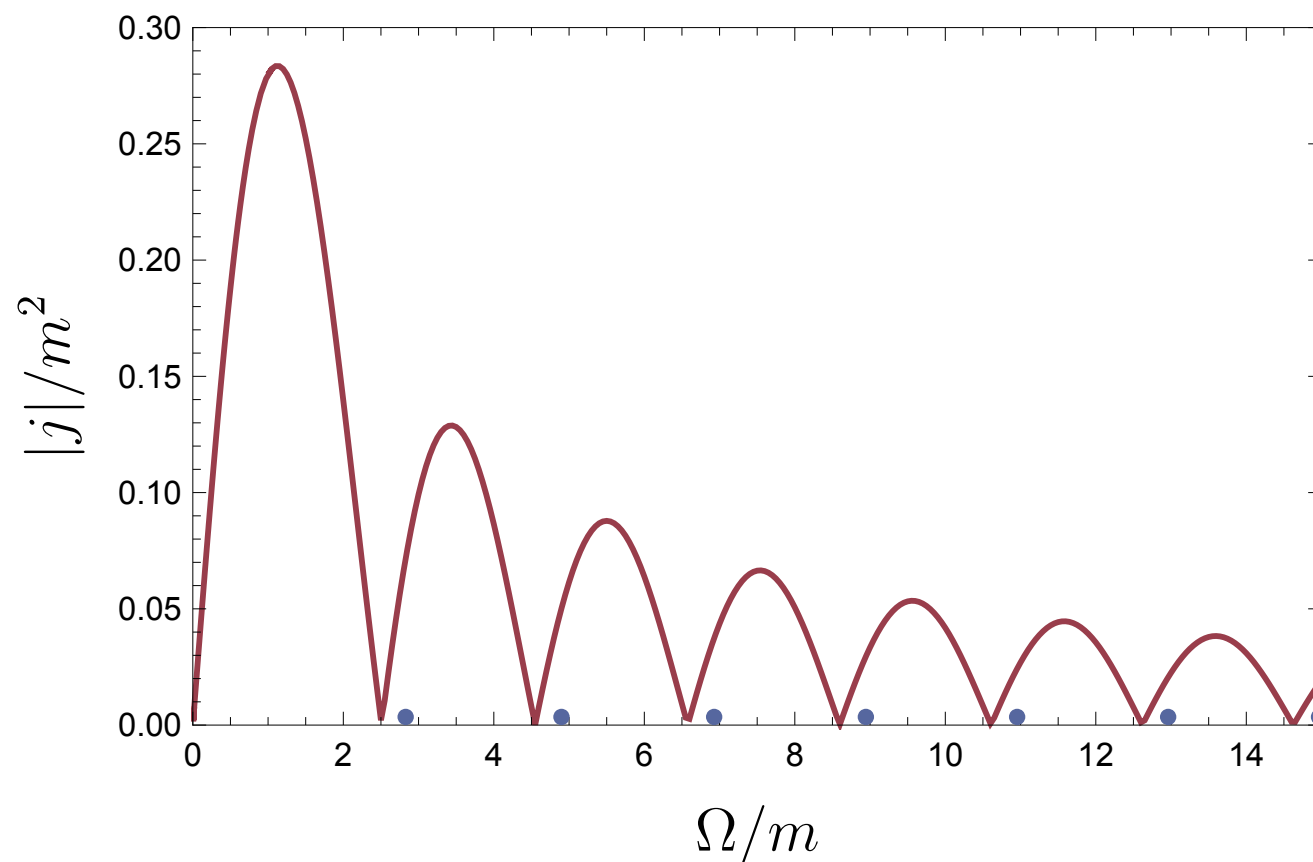
cont. $\rightarrow$ BH

$$\Omega_c \leq \Omega \leq \Omega_m$$



Floquet suppression points

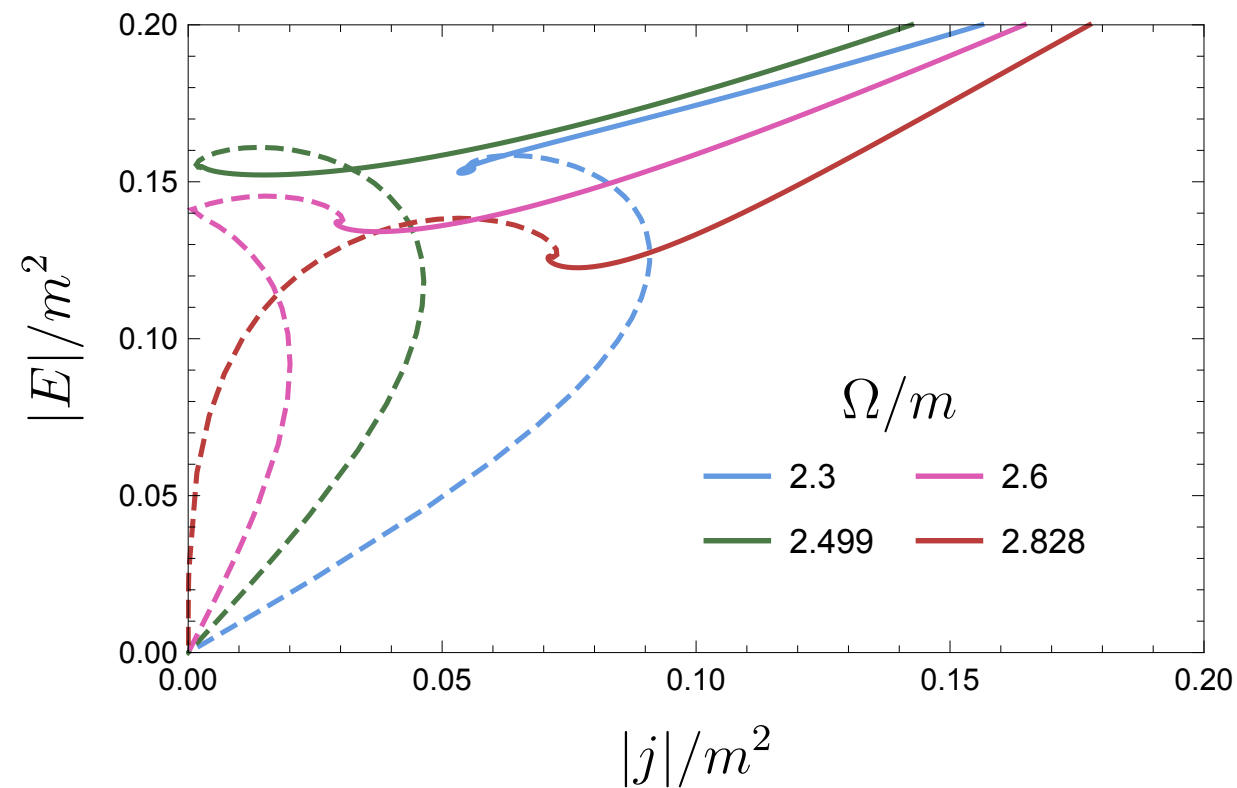
- j has also a lobe structure
- $j = 0$ close to the points where E is maximal



← Critical embeddings

Critical frequencies $\rightarrow \Omega_c/m = 2.499, 4.550, 6.580, \dots$

- $\rightarrow \frac{\Omega_k}{m} = 2\sqrt{k(k+1)} = 2.828, 4.899, \dots$

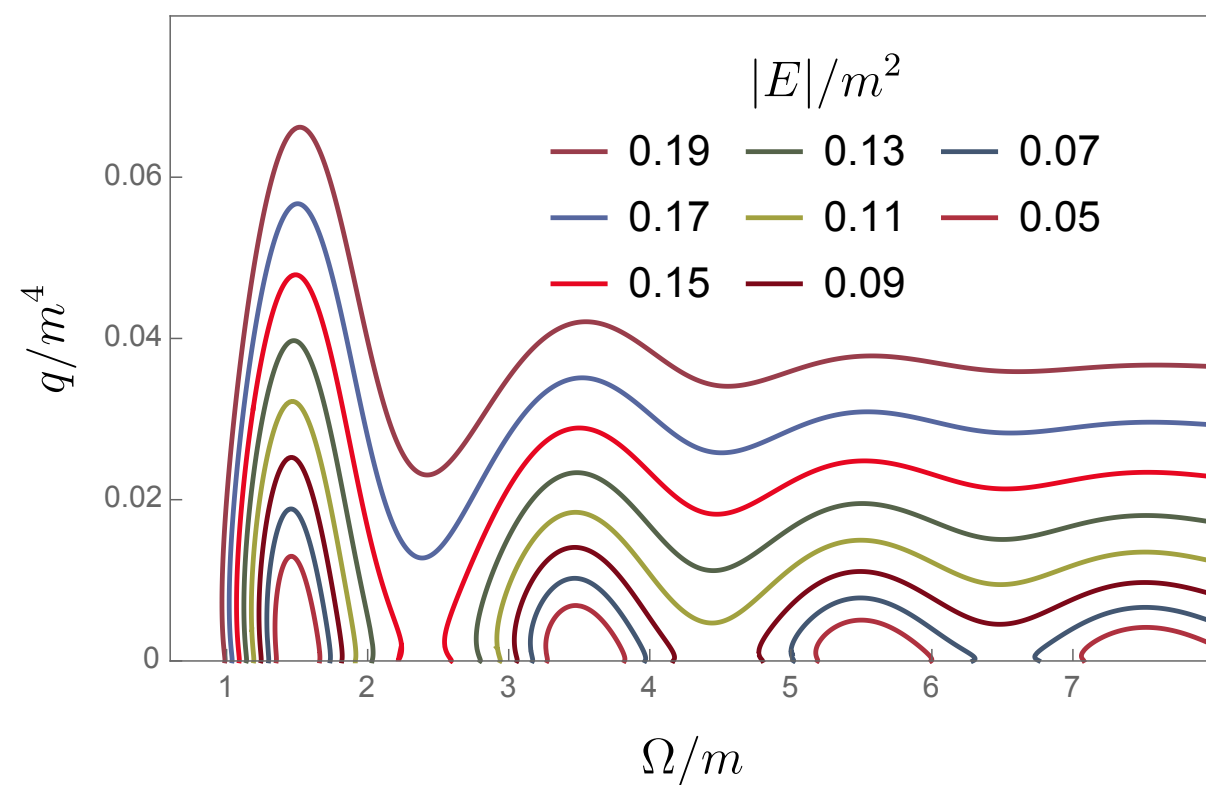
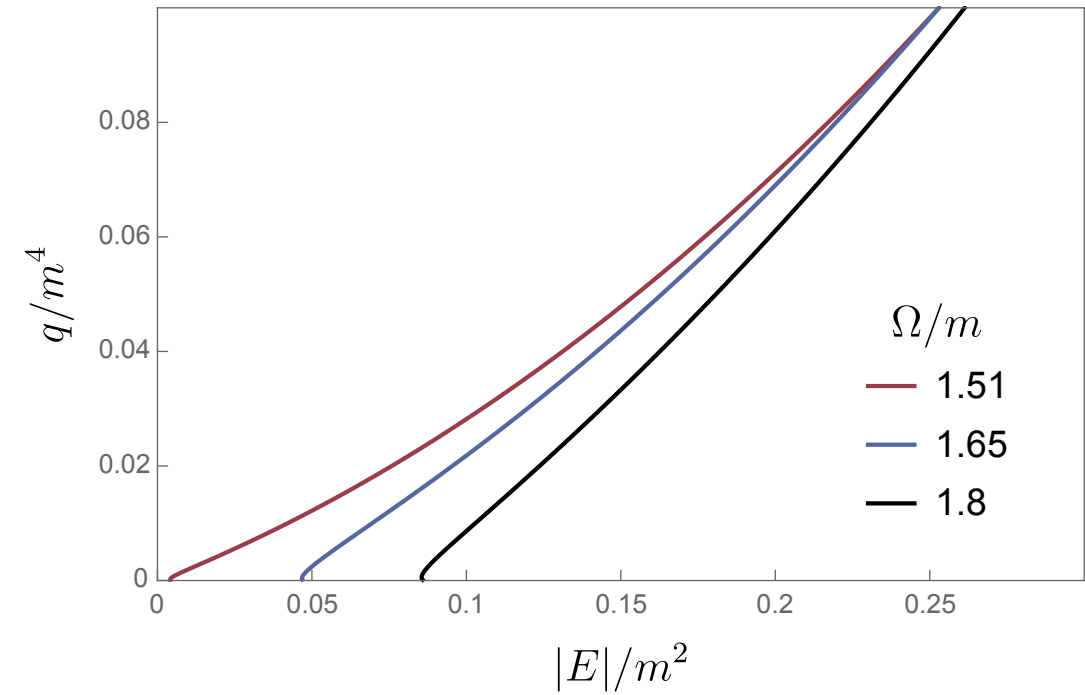
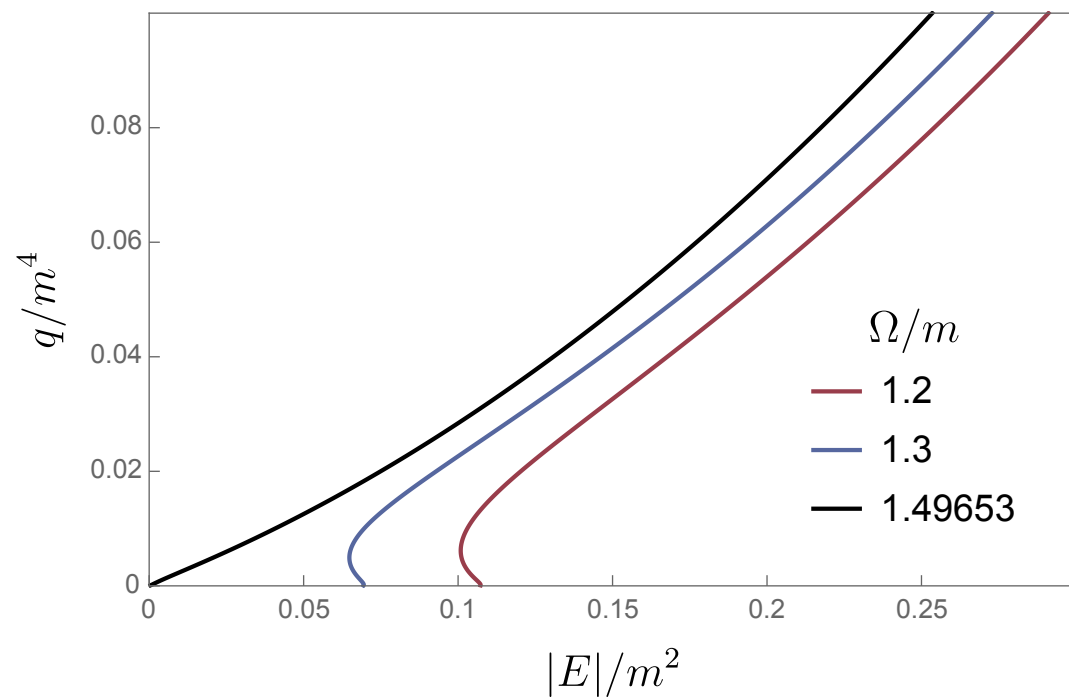


dashed $\rightarrow$ Mink.

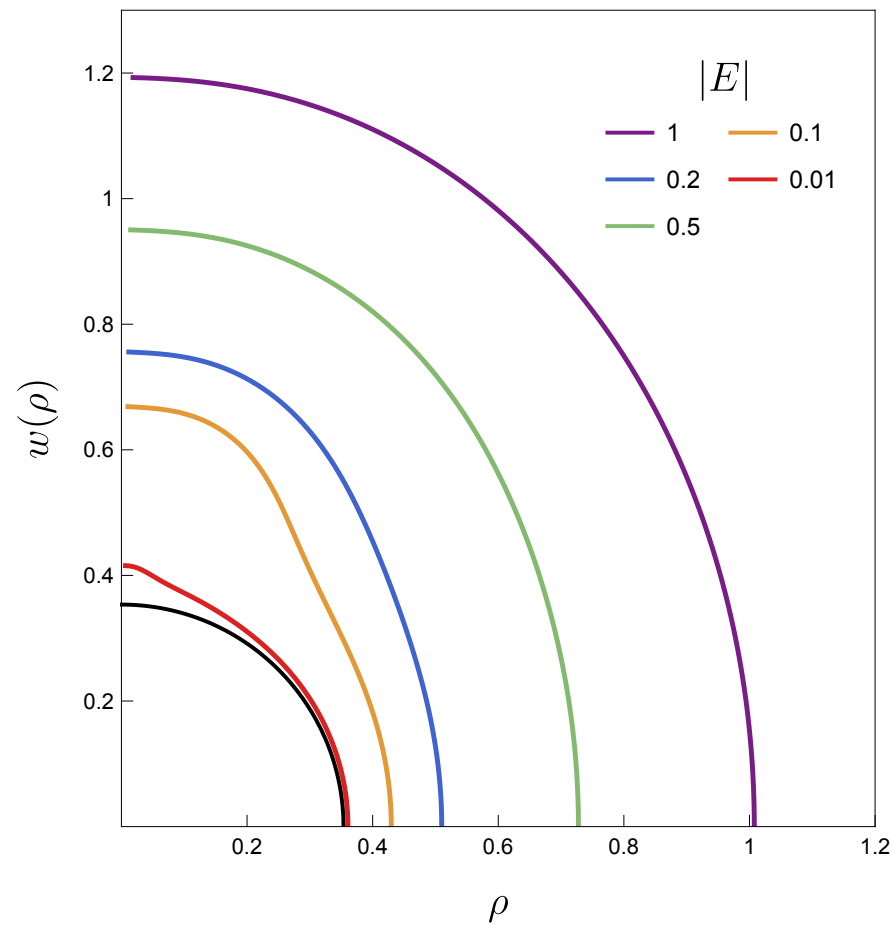
cont. $\rightarrow$ BH

- $j = 0$ even with $E \neq 0$ (Floquet suppressed states)
- The polarization is dynamically suppressed for some frequencies
(Similar to tunneling suppression)

Joule heating for BH embeddings



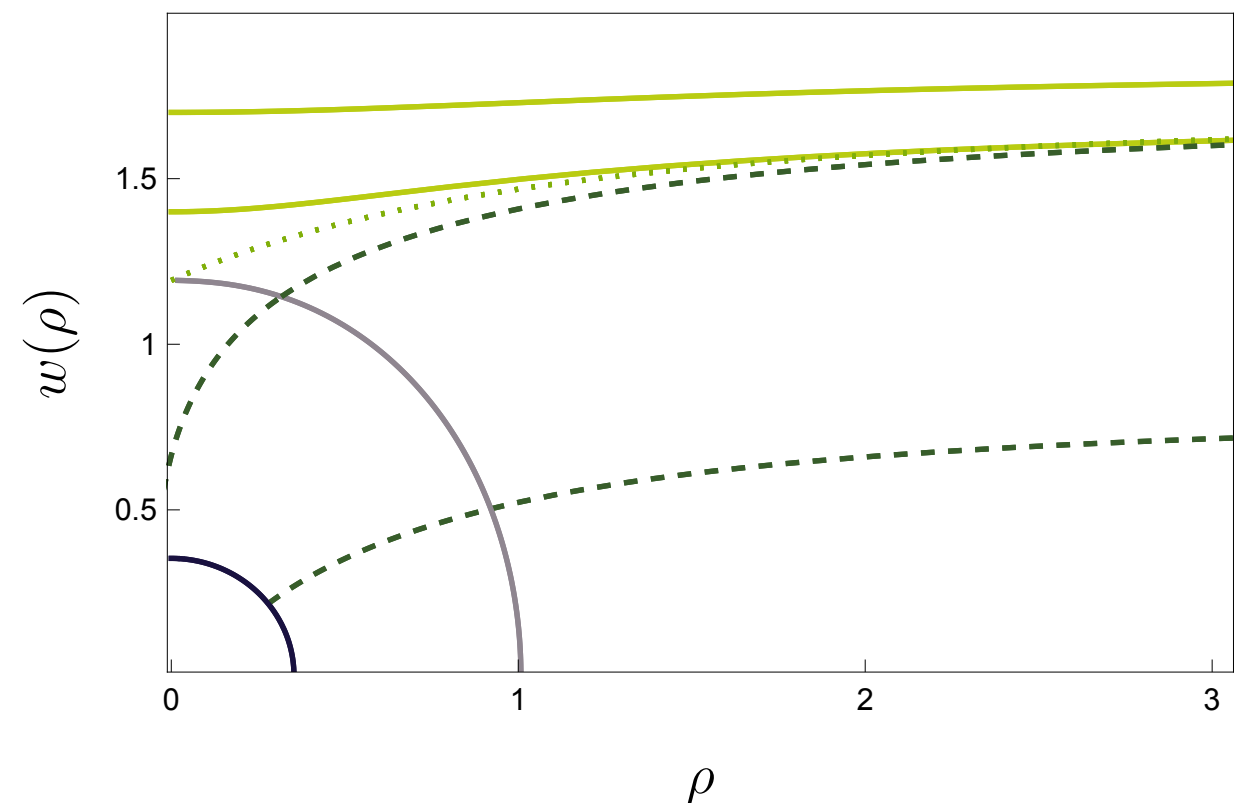
Non-zero temperature



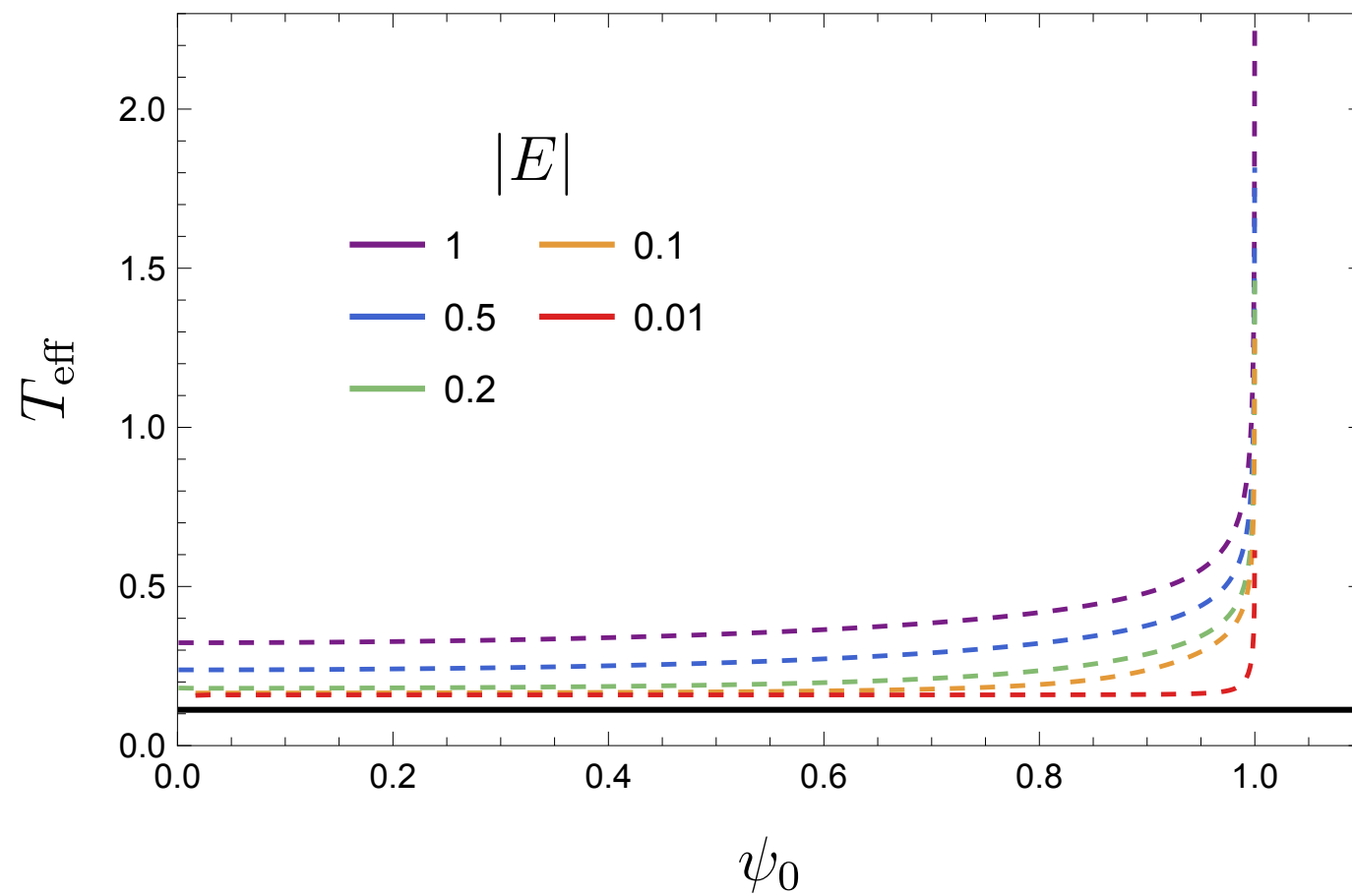
- Two horizons
- The BH horizon is always inside the singular shell

Embeddings

- Minkowski
- BH $\rightarrow$ $\left\{ \begin{array}{l} \text{Thermal} \\ \text{Conical} \end{array} \right.$
- Critical



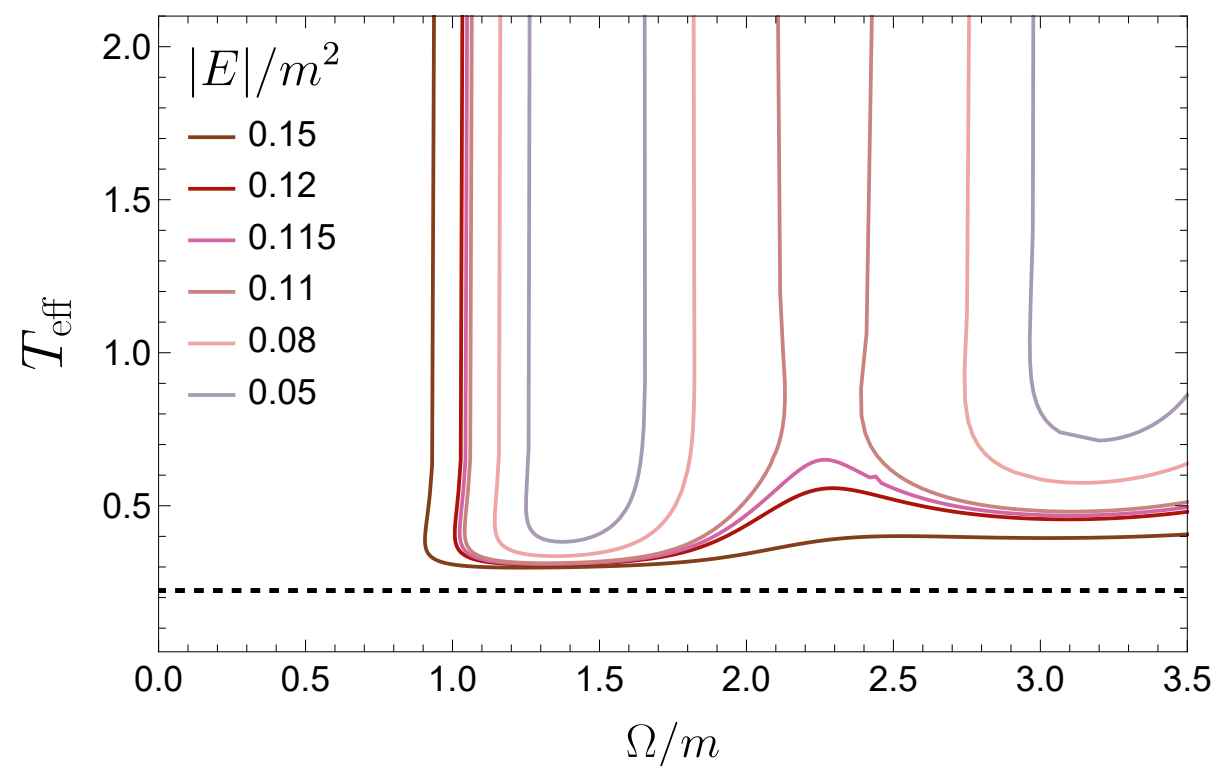
Effective temperature



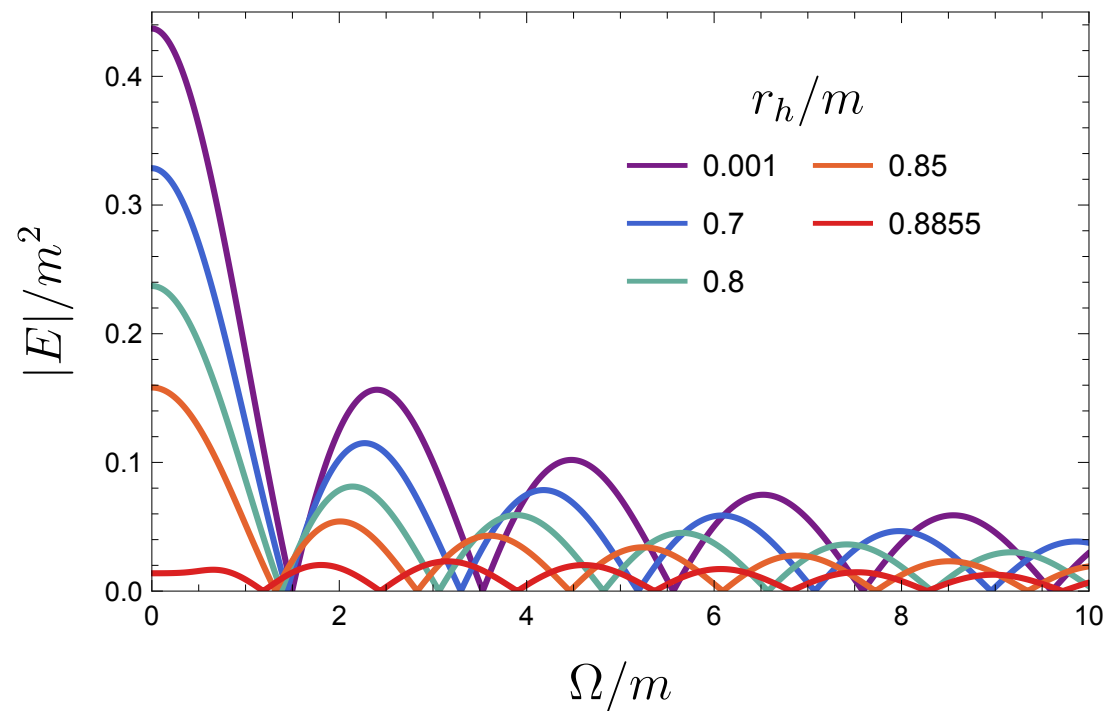
● Depends on the embedding
(not just on E !)

● $T_{eff} > T$

$T_{eff} \rightarrow \infty$ at the critical
embedding

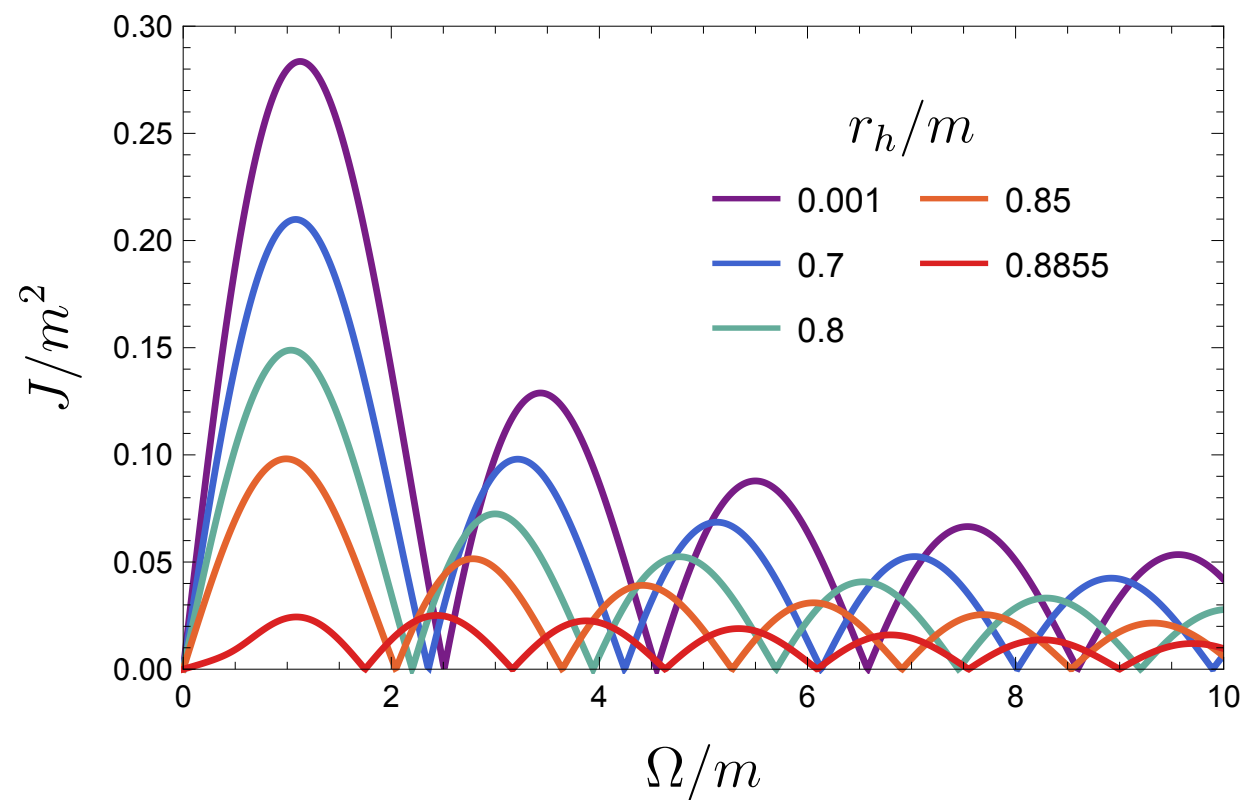


The lobes are depleted as T grows



Two bending effects (T and E)

$r_h \uparrow \rightarrow$ Less E is needed



For $r_h/m > 0.8897$ all embeddings are BH

Future directions

- Add chemical potential & magnetic field
- Include backreaction
- Bottom-up models (see Ishii&Murata [1804. 06785])
- Clarify the role of topology
- Clarify the nature of the phase transition
(Schwinger-Keldysh approach for open systems?)

That's it.

Thank you for your attention!!