

# The Anisotropic Compactification of Non-Relativistic M-Theory

Stephen Ebert  
Non-Relativistic Strings and Beyond

UCLA

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Based on work in progress with Z. Yan

# Goal

Discuss a few results on M-theory with Ziqi Yan regarding:

1. Nonrelativistic limit.
2. Anisotropic geometry.
3. Relations between D3- and M5-branes using the anisotropic torus.

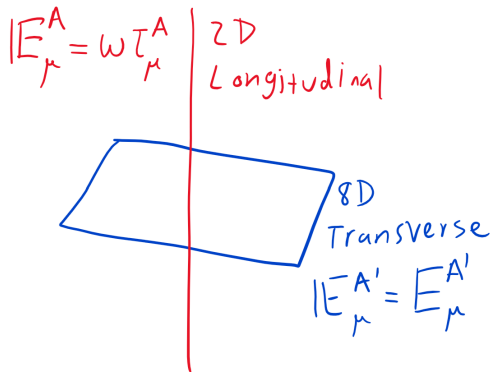
There is a U-duality relating NR M-theory and Matrix theory & DLCQ of M-theory motivating this work.

# Features of nonrelativistic string theory

1. Self-contained corner of string/M-theory.
2. String excitations have a Galilean-invariant dispersion relation.
3. Propagates on a codimension-two geometry called the string Newton-Cartan geometry.
4. Low energy effective theory is Newtonian gravity.

# Geometric view of nonrelativistic string theory

$$G_{\mu\nu} = E_{\mu}^A E_{\nu}^B \eta_{AB} + E_{\mu}^{A'} E_{\nu}^{B'} \delta_{A'B'}$$



$A = 0, 1$  and  $A' = 2, \dots, 9$ . NR limit defined by  $\omega \rightarrow \infty$ . NR M-theory has a 3d longitudinal sector.

# Bosonic background fields

## 1. Kalb-Ramond

$$\mathbb{B} = -\omega^2 \tau^A \wedge \tau^B \epsilon_{AB} + B^{(2)}$$

## 2. Ramond-Ramond potentials

$$\mathbb{C}^{(q)} = \omega^2 \tau^A \wedge \tau^B \wedge C^{(q-2)} \epsilon_{AB} + C^{(q)}$$

## 3. Dilaton

$$\Phi = \phi + \ln \omega^2$$

## 4. $U(1)$ field strength

$$\mathbb{F} = F$$

## Example: nonrelativistic D $p$ -brane action

Textbook definition of a relativistic D $p$ -brane action

$$S_{Dp} = - \int d^{p+1}\sigma e^{-\Phi} \sqrt{-\det(\mathbb{G}_{\mu\nu} + \mathbb{F}_{\mu\nu})} - \int \sum_{q=0}^{p+1} C^{(q)} \wedge e^{\mathbb{F}} \Big|_{p+1}$$

Plugging the expansions from the previous slide and take  $\omega \rightarrow \infty$  limit to see the divergences in DBI and WZ cancel out individually (Gomis, Yan, Yu 2020; SE, Sun, Yan 2021)

$$S_{Dp} = - \int d^{p+1}\sigma e^{-\phi} \sqrt{-\det \begin{pmatrix} 0 & \\ \bar{\tau}_\mu & E_\mu^{A'} E_\nu^{A'} + F_{\mu\nu} \end{pmatrix}} \\ - \int \sum_{q=0}^{p+1} C^{(q)} \wedge e^F \Big|_{p+1}$$

with  $E_{\mu\nu} = E_\mu^{A'} E_\nu^{A'}$ ,  $\tau_\mu = \tau_\mu^0 + \tau_\mu^1$  and  $\bar{\tau}_\mu = \tau_\mu^0 - \tau_\mu^1$ .

# M-theory on a torus and $SL(2, \mathbb{Z})$ duality

Toroidal dimensional-reduction

$$G_{IJ} = \begin{pmatrix} G_{\mu\nu} & 0 \\ 0 & G_{mn}^{T^2} \end{pmatrix}, \quad \mu, \nu = 0, 1, \dots, 8, \quad m, n = 9, 10$$

$G_{\mu\nu}$  10d superstring metric and torus metric is

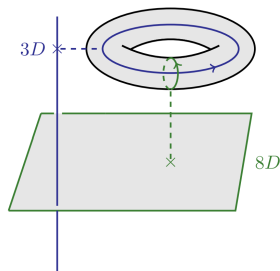
$$G_{mn}^{T^2} d\hat{x}^m d\hat{x}^n = \frac{A}{\hat{\tau}_2} |d\hat{x}^{10} - \hat{\tau} d\hat{x}^9|^2$$

Torus has isometry group  $SL(2, \mathbb{Z})$  with  $\alpha, \beta, \gamma, \delta \in \mathbb{Z}$

$$\hat{x}'^m = \Lambda^m_n \hat{x}^n, \quad \hat{\tau}' = \frac{\alpha \hat{\tau} + \beta}{\gamma \hat{\tau} + \delta}, \quad \det \Lambda^m_n = \det \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} = 1$$

## Zweibein formalism

Convenient to work in this formalism because we can easily obtain IIB quantities from projecting fields in M-theory e.g.  $\hat{C}^{(3)}$ .



$$G_{mn}^T = V_m V_n + E_m E_n,$$

SL(2,  $\mathbb{Z}$ ) transformation to  $V = V_m d\hat{x}^m$  and  $E = E_m d\hat{x}^m$ :

$$\begin{pmatrix} V' \\ E' \end{pmatrix} = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} V \\ E \end{pmatrix}$$

$$\theta = \tan^{-1} \hat{k} + \frac{\pi}{2} [\text{sgn}(\gamma \hat{\tau}_1 + \delta) - 1], \quad \hat{k} = \frac{\gamma \hat{\tau}_2}{\gamma \hat{\tau}_1 + \delta}$$

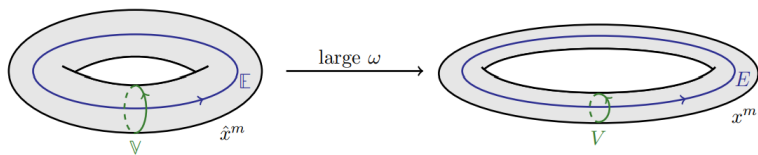


# The anisotropic torus

$$\mathbb{V} = \omega^{\frac{2}{3}} V, \quad \mathbb{E} = \omega^{-\frac{1}{3}} E$$

and IIB from M-theory

$$\begin{pmatrix} \mathcal{B}^{(2)} \\ \mathcal{C}^{(2)} \end{pmatrix} = \begin{pmatrix} V^m \mathcal{C}_m^{(3)} \\ E^m \mathcal{C}_m^{(3)} \end{pmatrix}$$



$SL(2, \mathbb{Z})$  transformations become Galilean boosts!

$$\begin{aligned} \begin{pmatrix} V' \\ E' \end{pmatrix} &= \lim_{\omega \rightarrow \infty} \frac{\text{sgn}(\gamma\tau_1 + \delta)}{\sqrt{1 + \kappa^2/\omega^2}} \begin{pmatrix} 1 & -\frac{\kappa}{\omega^2} \\ \kappa & 1 \end{pmatrix} \begin{pmatrix} V \\ E \end{pmatrix}, \quad \kappa = \frac{\gamma\tau_2}{\gamma\tau_1 + \delta} \\ &= \text{sgn}(\gamma\tau_1 + \delta) \begin{pmatrix} 1 & 0 \\ \kappa & 1 \end{pmatrix} \begin{pmatrix} V \\ E \end{pmatrix} \end{aligned}$$

## Polynomial realization of $SL(2, \mathbb{Z})$ in NR IIB

Other fields under the  $\omega \rightarrow \infty$  limit projected from the three-form's  $\mathbb{C}_m^{(3)}$  components in M-theory

$$\begin{pmatrix} \mathcal{B}^{(2)} \\ \mathcal{C}^{(2)} \end{pmatrix} = \begin{pmatrix} V^m \mathbb{C}_m^{(3)} \\ E^m \mathbb{C}_m^{(3)} \end{pmatrix} = \begin{pmatrix} e^{-\frac{\phi}{2}} \mathcal{B}^{(2)} \\ e^{\frac{\phi}{2}} (\mathcal{C}^{(2)} + \mathcal{C}^{(0)} \mathcal{B}^{(2)}) \end{pmatrix}$$

and the  $SL(2, \mathbb{Z})$  transformations of these 2-forms are

$$\mathcal{B}'^{(2)} = \mathcal{B}^{(2)} - \kappa \mathcal{C}^{(2)} + \frac{1}{2} \kappa^2 \tau^A \wedge \tau^B \epsilon_{AB}, \quad \mathcal{C}'^{(2)} = \mathcal{C}^{(2)} - \kappa \tau^A \wedge \tau^B \epsilon_{AB}$$

Also the RR 4-form  $\mathcal{C}^{(4)}$  in IIB from the 6-form in M-theory

$$\mathcal{C}^{(4)} = \frac{1}{2} \mathbb{C}_{mn}^{(6)} V^m E^n.$$

$$\mathcal{C}'^{(4)} = \mathcal{C}^{(4)} - \frac{1}{2} \kappa \mathcal{B}^{(2)} \wedge \tau^A \wedge \tau^B \epsilon_{AB} + \frac{1}{4} \kappa^2 \mathcal{C}^{(2)} \wedge \tau^A \wedge \tau^B \epsilon_{AB}$$

where

$$\mathcal{C}^{(4)} = \mathcal{C}^{(4)} + \frac{1}{2} \mathcal{B}^{(2)} \wedge \mathcal{C}^{(2)}$$

# M5-brane

The M5-brane has an extensive history filled with important applications. Very incomplete timeline:

1. Perry-Schwarz (1996): flat relativistic M5, but loss of general covariance.
2. Schwarz (1997): curved relativistic M5, but loss of general covariance.
3. Pasti-Sorokin-Tonin (1997): curved relativistic M5 with general covariance!
4. Aganagic-Park-Popescu-Schwarz (1997): Supersymmetrization of PST.
5. Cherkis-Schwarz (1998): PST action on K3 realizes the heterotic string.
6. Berman (1998): PST action on  $T^2$  realizes D3-brane with manifestly  $SL(2, \mathbb{Z})$ .
7. Heydeman-Schwarz-Wen (2017-2020): M5-brane amplitudes.
8. SE, Z. Yan (2023): Nonrelativistic PST action & relation to manifestly  $SL(2, \mathbb{Z})$  invariant D3-brane action!

## Relativistic PST action

PST (Pasti, Sorokin, and Tonin) Action for a single M5-brane:

$$S_{M5} = - \int d^6 \sigma \sqrt{-\det \left( G_{MN} + i \tilde{H}_{MN} \right)} + S_{WZ}$$

where

$$N_M = \frac{v_M}{\sqrt{G^{NL} v_N v_L}}, \quad \tilde{H}^{MN} = \frac{1}{6 \sqrt{-G}} \epsilon^{MNOPL} H_{OPQ}, \quad H^{(3)} = \Theta^{(3)} - \mathbb{A}^{(3)}$$

and

$$\Theta^{(3)} = d\theta^{(2)}, \quad \Theta^{(3)} = \star \Theta^{(3)}.$$

1. Fundamental d.o.f. is  $\theta^{(2)}$  for M5 unlike D-branes' d.o.f. being a 1-form  $A_\mu$ .
2. General covariance is guaranteed by the purely auxiliary vector  $N_M$ .

# Nonrelativistic PST Action

$$\tilde{\mathbb{H}}^M_N = L^M_N + \frac{1}{c^3} M^M_N + O\left(\frac{1}{c^6}\right)$$

M5-brane action

$$S_{M5} = - \int d^6\sigma E \sqrt{\text{Tr}(LM) \left(1 + \frac{1}{2} \text{Tr}(L^2)\right) - \text{Tr}(L^3M)} + S_{WZ}$$

with

$$L^M_N = \gamma_{NQ} \tilde{H}^{MQ} + E_{NQ} \tilde{\ell}^{MQ}, \quad M^M_N = -\frac{1}{2} N_u N^u L^M_N + E_{NQ} \tilde{H}^{MQ}$$

and

$$\begin{aligned} \tilde{H}^{MN} &= \frac{1}{6E} \epsilon^{MNQP SL} H_{QPS} N_L, & \tilde{\ell}^{MN} &= \frac{1}{6E} \epsilon^{MNQP SL} \ell_{QPS} N_L, \\ \ell &= \frac{1}{3!} \tau^u \wedge \tau^v \wedge \tau^w \epsilon_{uvw}, & u, v &= 0, 1, 2, \quad u', v' = 3, 4, 5. \end{aligned}$$

## Dimensional reduction setup

$M, N = (\underbrace{0, 1}_{D3}, \underbrace{2, 3}_{T^2}, \underbrace{4, 5}_{D3})$ . Longitudinal are 0, 1, 2 and transverse are 3, 4, 5. Torus must have both long. and trans. directions to lead to NR IIB or else it would be the DLCQ of relativistic IIB. 6D PST vector is valued on  $T^2$  to preserve covariance of D3

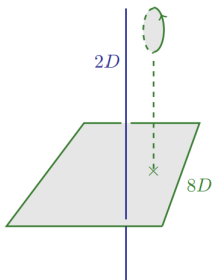
$$N_a = \begin{pmatrix} p \\ q \end{pmatrix}$$



Origins of the  $SL(2, \mathbb{Z})$  D3-brane! E.g.  $N_a = (1, 0)$  and  $(0, 1)$  are related via  $SL(2, \mathbb{Z})$  transformation ([Berman 1998](#)).

## Matching M5-brane on $T^2$ with $SL(2, \mathbb{Z})$ D3-brane

From the  $SL(2, \mathbb{Z})$  D3-brane side ([Bergshoeff, Grosvenor, Lahnsteiner, Yan, Zorba 2022](#)) we compactify one of the 10d target space dimensions on a circle



and dualize the scalars arising from dimensional reduction to obtain

$$S_{\text{Dual D3}} = -e^{-\phi} \int d^4\sigma \sqrt{-\det \begin{pmatrix} 0 & & \\ \bar{\tau}_\mu & E_\mu \bar{E}_\nu + \mathcal{F}_{\mu\nu} & \\ & & \end{pmatrix} + \det \begin{pmatrix} 0 & J_\nu & 0 \\ J_\mu & \tau_\mu \bar{\tau}_\nu + i \star \mathcal{F}_{\mu\nu} & E_\mu \\ 0 & \bar{E}_\nu & 0 \end{pmatrix}} + S_{\text{WZ}}$$

# Conclusion

Open questions:

1. Study U-duality groups  $E_{n(n)}(\mathbb{Z})$  from NR M-theory on  $T^n$ . Only considered  $E_2(\mathbb{Z}) = \text{SL}(2, \mathbb{Z})$  here. Test for Matrix theory to better understand these groups in the non-relativistic context.
2. Top-down holography. Do black holes exist in nonrelativistic string theory from stacking coincident D $p$ -, M2- and M5-branes?  
NR limit of ABJM theory (i.e. M2-branes in  $\text{AdS}_4 \times S^7$ , M2-branes in  $\text{AdS}_4 \times S^7/\mathbb{Z}_k$  or D2-branes in  $\text{AdS}_4 \times \mathbb{CP}^3$ ), D3-branes in  $\text{AdS}_5 \times S^5$ , M5-branes in  $\text{AdS}_7 \times S^4$  ...?
3. Explore more anisotropic geometries to compactify string/M-theory objects on:  $T^n$ , K3, CY ...
4. Find the scattering amplitudes of Galilean M2- and M5-branes.

**Thanks for your attention!**