

# Schwinger Model (1962)

- Quantum Electrodynamics in 1+1 dimensions coupled to  $N_f$  Dirac fermions of mass  $m$ .  
Admits a theta-angle Coleman, Jackiw, Susskind (1975)

$$\mathcal{L} = -\frac{1}{4g^2} F_{\mu\nu}^2 - \frac{\theta}{4\pi} \epsilon^{\mu\nu} F_{\mu\nu} + \sum_{\alpha=1}^{N_f} \bar{\Psi}_\alpha (i\not{D} - m_\alpha) \Psi_\alpha$$

- One-flavor model exactly solvable for  $m=0$  where it reduces to the free massive Schwinger boson.
- It is a tightly bound state of electron and positron.

# Lattice Hamiltonian Approach

- Using the staggered fermions Banks, Susskind, Kogut (1975)

$$H = \frac{e^2 a}{2} \sum_{n=0}^{N-1} \left( L_n + \frac{\theta}{2\pi} \right)^2 + m_{\text{lat}} \sum_{n=0}^{N-1} (-1)^n \chi_n^\dagger \chi_n$$

$$- \frac{i}{2a} \sum_{n=0}^{N-1} \left[ \chi_n^\dagger U_n \chi_{n+1} - \chi_{n+1}^\dagger U_n^\dagger \chi_n \right]$$

- The Gauss Law Constraints Hamer, Zheng, Oitmaa (1997)

$$L_n - L_{n-1} = Q_n, \quad Q_n \equiv \chi_n^\dagger \chi_n - \frac{1 - (-1)^n}{2}$$

$$[L_n, U_m] = \delta_{nm} U_n \quad \{\chi_n, \chi_m^\dagger\} = \delta_{nm}$$

- The lattice approach revisited with the surprising result Dempsey, IRK, Pufu, Zan, arXiv: 2206.05308

$$m_{\text{lat}} = m - \frac{1}{8}e^2 a$$

- At  $m=0$  the Hamiltonian is preserved by a “discrete chiral symmetry:” shift by one lattice unit accompanied by  $\theta \rightarrow \theta + \pi$
- The **mass shift** greatly improves the extrapolation of strong coupling expansions

$$\omega_1 - \omega_0 = 1 + 2\mu + \frac{2x^2}{1+2\mu} - \frac{2(5+2\mu)x^4}{(1+2\mu)^3} + \frac{4(59+68\mu+24\mu^2+4\mu^3)x^6}{(1+2\mu)^5(3+2\mu)}$$

$$\mu = 2m_{\text{lat}}/(e^2 a) \quad x^2 = y = 1/(ea)^4$$

- In earlier work the massless Schwinger model was assumed to be described by  $\mu=0$ , and extrapolation to large  $x$  did not seem to give good results.
- We instead set  $\mu = -1/4$  to obtain

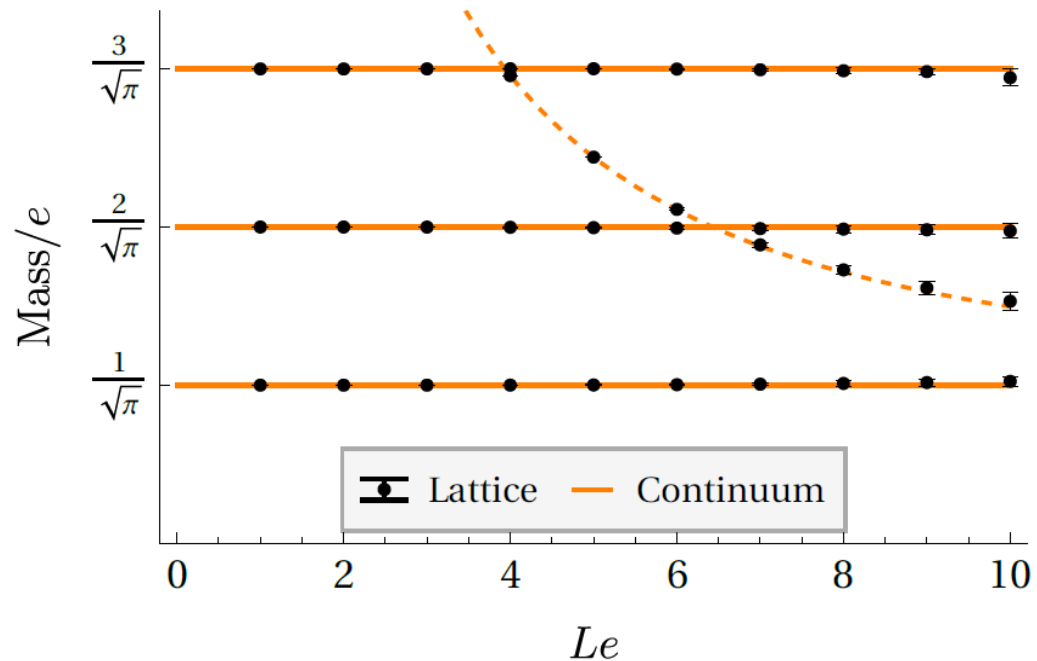
$$\delta\omega = \frac{1}{2} + 4y - 72y^2 + 2224y^3 + O(y^4)$$

- Pade extrapolating to weak coupling we find

$$E_1 - E_0 \approx \left( \frac{19}{188} \right)^{1/4} e \approx 0.56383e$$

- This reproduces the mass of Schwinger boson with error  $< 0.1 \%$ .

- Exact diagonalizations on lattices with periodic boundary conditions also produced excellent results



- The DMRG methods give very precise results at the shifted mass. Connections with cold atoms.

# Two-Flavor Schwinger Model

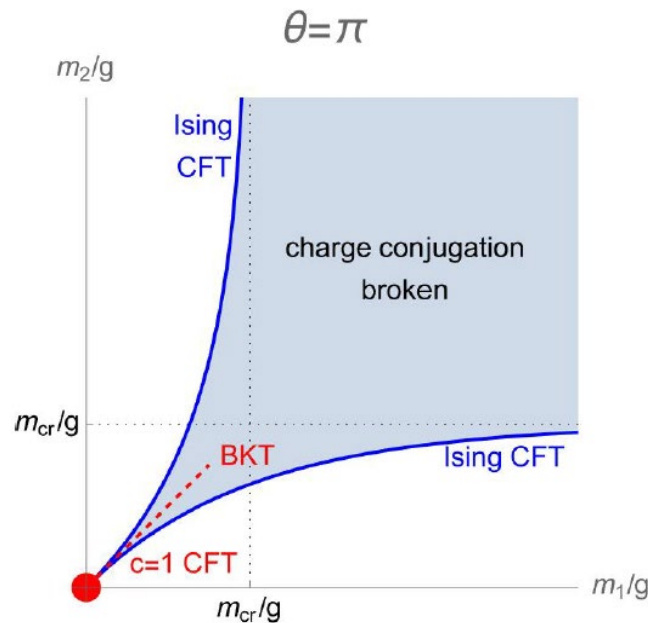
- For  $m=0$  it is a conformal field theory coupled to a massive field. The CFT is a free massless boson at the self-dual radius where it has  $SU(2) \times SU(2)$  symmetry.
- Charge conjugation symmetry

$$C : \quad A_\mu \rightarrow -A_\mu, \quad \Psi_\alpha \rightarrow \gamma^5 \Psi_\alpha^*$$

- Is preserved by the lagrangian for  $\theta=0$  or  $\pi$ . At  $\theta = \pi$  it can be broken spontaneously. This is reminiscent of spontaneous T breaking in QCD. Dashen; Gaiotto, Kapustin, Komargodski, Seiberg

# Phase Diagram at Zero Temperature

- Our proposal Dempsey, IRK, Pufu, Soegaard, Zan, arXiv: 2305.04437



- In particular, C is broken along the SU(2) invariant line  
line  $m_1 = m_2 = m$

# Bosonized 2-flavor model

- Form two combinations of scalar fields

$$\phi_+ = 2^{-1/2}(\phi_1 + \phi_2 + \frac{1}{2}\pi^{-1/2}\theta)$$

$$\phi_- = 2^{-1/2}(\phi_1 - \phi_2)$$

$$\begin{aligned} \mathcal{L}_{\text{bos}} = & -\frac{1}{4g^2}F_{\mu\nu}^2 - \frac{\phi_+}{\sqrt{\pi}}\epsilon^{\mu\nu}F_{\mu\nu} + \frac{1}{2}(\partial_\mu\phi_+)^2 + \frac{1}{2}(\partial_\mu\phi_-)^2 \\ & + \frac{e^\gamma}{\pi}m\mathcal{M}N_{\mathcal{M}}\cos\left[\sqrt{2\pi}\phi_+ - \frac{\theta}{2}\right]N_{\mathcal{M}}\cos\left[\sqrt{2\pi}\phi_-\right] \end{aligned}$$

- Integrating out the gauge field, makes the plus field massive, but there is also a massless minus field.



- For generic theta, the effective Lagrangian is

$$\mathcal{L}_{\text{eff}} = \frac{1}{2}(\partial_{\mu}\phi_{-})^2 + m\mu\frac{e^{\gamma}}{\pi}\cos\frac{\theta}{2}N_{\mu}\cos\left[\sqrt{2\pi}\phi_{-}\right]$$

- The mass term is a relevant operator of dimension  $\frac{1}{2}$  which induces RG flow to a theory with mass gap  $\sim |m\cos(\theta/2)|^{2/3}g^{1/3}$
- This vanishes for  $\theta = \pi$ , Could this theory be gapless?!
- No, but the **energy gap is non-perturbatively small.**

- When  $\theta = \pi$

$$\mathcal{L}_{\text{bos}} = \frac{1}{2}(\partial_\mu \phi_-)^2 + \frac{e^{3\gamma} I_s m^2}{8\pi^2 \mu^2} \left( \mu^2 N_\mu \cos(\sqrt{8\pi} \phi_-) + 2\pi e^{-2\gamma} (\partial_\mu \phi_-)^2 \right) + O(m^4),$$

- This was derived by Coleman in 1976, but he did not study the logarithmic RG flow of the two nearly marginal operators.
- This is the Berezinkii-Kosterlitz-Thouless flow in the sine-Gordon model

$$\mathcal{L} = \frac{1 - \delta}{2} (\partial_\mu \phi)^2 + \frac{\alpha e^{2\gamma}}{32\pi} \mathcal{M}^2 N_{\mathcal{M}} \cos(\sqrt{8\pi} \phi)$$

- The beta functions are

$$\beta_{\bar{\alpha}} = 2\bar{\alpha}\bar{\delta}, \quad \beta_{\bar{\delta}} = \frac{1}{32}\bar{\alpha}^2$$

- The SU(2) invariant RG flow is along the line

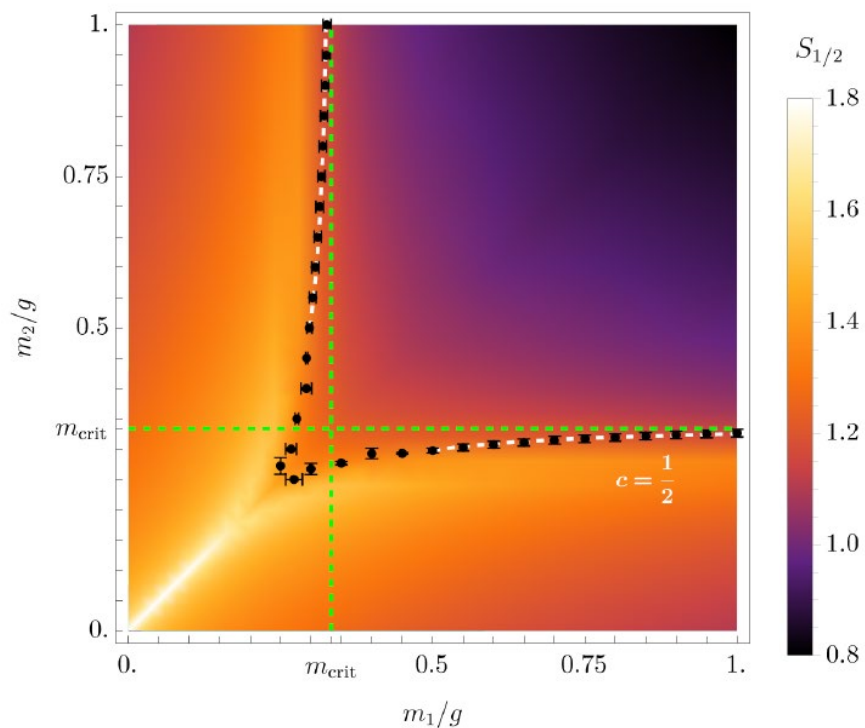
$$\beta_{\bar{m}} = M \frac{d\bar{m}}{dM} = -\frac{e^\gamma I_s}{4g^2} \bar{m}^3$$

- Starting with the bare values  $\alpha = \frac{8e^\gamma I_s}{4} \frac{m^2}{g^2} = -8\delta$

$$\beta_{\bar{m}} = M \frac{d\bar{m}}{dM} = -\frac{e^\gamma I_s}{4g^2} \bar{m}^3$$

$$E_{\text{gap}} \sim e^{-A \frac{g^2}{m^2}}, \quad A = \frac{2e^{-\gamma}}{I_s} \approx 0.111$$

- This exponentially small scale seems analogous to the appearance of  $\Lambda_{\text{QCD}}$
- Numerical evidence in support of the exponentially small gap and our proposed phase diagram is provided by the Entanglement Entropy
- Calculated using



# Lattice Hamiltonian calculation

- Analogously to the 1-flavor case, we adopt

$$H = \frac{g^2 a}{2} \sum_{n=0}^{N-1} \left( L_n + \frac{\theta}{2\pi} \right)^2 + \sum_{\alpha=1}^{N_f} m_{\text{lat},\alpha} \sum_{n=0}^{N-1} (-1)^n c_{n,\alpha}^\dagger c_{n,\alpha} - \frac{i}{2a} \sum_{n=0}^{N-1} \sum_{\alpha=1}^{N_f} \left( c_{n,\alpha}^\dagger U_n c_{n+1,\alpha} - c_{n+1,\alpha}^\dagger U_n^\dagger c_{n,\alpha} \right).$$

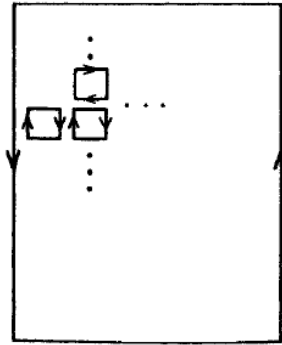
- The mass shift is  $m_{\text{lat},\alpha} = m_\alpha - \frac{N_f g^2 a}{8}$
- Very important in the 2-flavor case. For  $m=0$  a **discrete chiral symmetry** is preserved. It is the lattice translation by one site.

# Euclidean Lattice SU(N) Theory

- The gauge field kinetic term is encoded in the plaquette terms.

$$S = -(1/2g^2) \sum_{n, \mu\nu} \text{tr} U_\mu(n) U_\nu(n + \mu) U_{-\mu}(n + \mu + \nu) U_{-\nu}(n + \nu) + \text{h.c.}$$

- In the strong coupling expansion where these terms are treated as perturbations, the **Area Law** of the **Wilson loop** is obvious.



$$\left\langle \prod_C \exp[iB_\mu(n)] \right\rangle \approx \exp[-F(g^{-2})A]$$

- To obtain the continuum limit, one needs to interpolate to the weak coupling limit on lattice scale due to **Asymptotic Freedom**

$$g^2(a) = \frac{g_0^2}{1 + (Cg_0^2/2\pi) \ln(a_0/a)}$$

- Can confinement disappear in this limit? Monte Carlo simulations strongly suggest that the answer is “No.” Lattice sizes beyond  $\sim 100^4$  now.

# Dimensional Transmutation

- The QCD scale is exponentially small compared to inverse lattice spacing

$$\Lambda_{QCD} = a^{-1} e^{-2b_0/(4\pi)^2 g_0^2}$$

- This follows from the **Asymptotic Freedom**

$$\mu \frac{dg}{d\mu} = -b_0 \frac{g^3}{(4\pi)^2} + O(g^5) , \quad b_0 = \frac{11}{3}N - \frac{2}{3}N_f$$

**D. Gross, F. Wilczek; D. Politzer (1973)**

# The AdS/CFT Duality

Maldacena; Gubser, IRK, Polyakov; Witten

- Relates conformal gauge theory in 4 dimensions to string theory on 5-d Anti-de Sitter space times a 5-d compact space. For the  $\mathcal{N}=4$  SYM theory this compact space is a 5-d sphere.
- The geometrical symmetry of the  $AdS_5$  space realizes the conformal symmetry of the gauge theory.
- The AdS space-time is a generalized hyperboloid. It has negative curvature.
- Where does this come from?!

Stacking D3-branes.

Reviewed in hep-th/0009139





- When a gauge theory is strongly coupled, the radius of curvature of the dual  $\text{AdS}_5$  and of the 5-d compact space becomes large: 
$$\frac{L^2}{\alpha'} \sim \sqrt{g_{\text{YM}}^2 N}$$

- String theory in such a weakly curved background can be studied in the effective (super)-gravity approximation, which allows for a host of explicit calculations. Corrections to it proceed in powers of

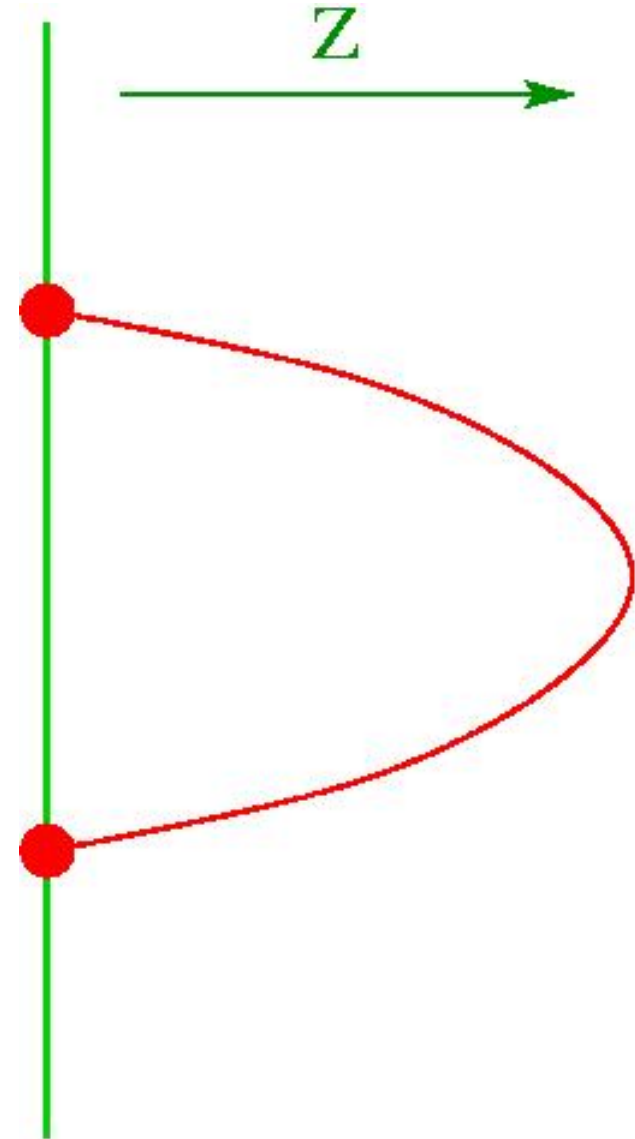
$$\frac{\alpha'}{L^2} \sim \lambda^{-1/2}$$

- Feynman graphs instead develop a weak coupling expansion in powers of  $\lambda$ . At weak coupling the dual string theory becomes difficult.

# The quark anti-quark potential

- The  $z$ -direction of AdS is dual to the energy scale of the gauge theory: small  $z$  is the UV; large  $z$  is the IR.
- The quark and anti-quark are placed at the boundary of Anti-de Sitter space ( $z=0$ ), but the string connecting them bends into the interior ( $z>0$ ). Due to the scaling symmetry of the AdS space, this gives Coulomb potential Maldacena; Rey, Yee

$$V(r) = -\frac{4\pi^2\sqrt{\lambda}}{\Gamma(\frac{1}{4})^4 r}$$



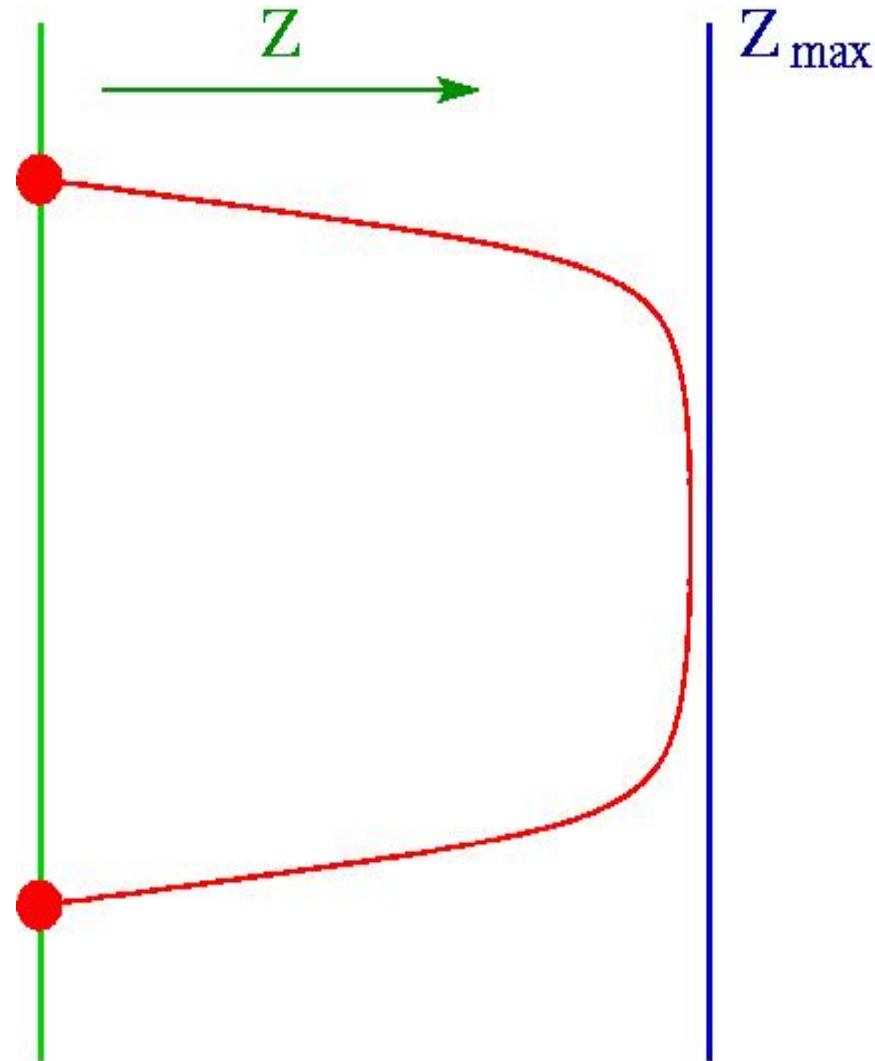
# Confining = Fundamental

- The quark anti-quark potential is linear at large distances but nearly Coulombic at small distances.
- The 5-d metric should have a warped form Polyakov

$$ds^2 = \frac{dz^2}{z^2} + a^2(z)(-(dx^0)^2 + (dx^i)^2)$$

- The space ends at a maximum value of  $z$  where the warp factor is finite. Then the confining string tension is

$$\frac{a^2(z_{\max})}{2\pi\alpha'}$$



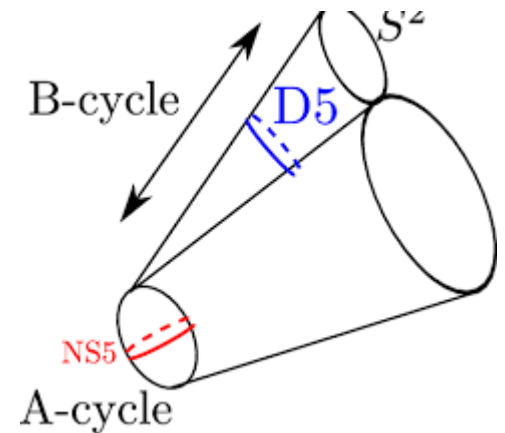
# Confinement and Warped Throat

- To break conformal invariance, change the gauge theory: add to the  $N$  D3-branes  $M$  D5-branes wrapped over the sphere at the tip of the conifold.
- The 10-d geometry dual to the gauge theory on these branes is the **warped deformed conifold** (IRK, Strassler)

$$ds_{10}^2 = h^{-1/2}(y) \left( - (dx^0)^2 + (dx^i)^2 \right) + h^{1/2}(y) ds_6^2$$

- $ds_6^2$  is the metric of the deformed conifold, a Calabi-Yau space defined by the following constraint on 4 complex variables:

$$\sum_{i=1}^4 z_i^2 = \varepsilon^2$$



- The quark anti-quark potential is qualitatively similar to that found in numerical simulations of QCD (graph shows lattice QCD results by G. Bali et al with  $r_0 \sim 0.5$  fm).
- The dual gravity provides a **'hyperbolic cow'** approximation, i.e. a toy model, for QCD.

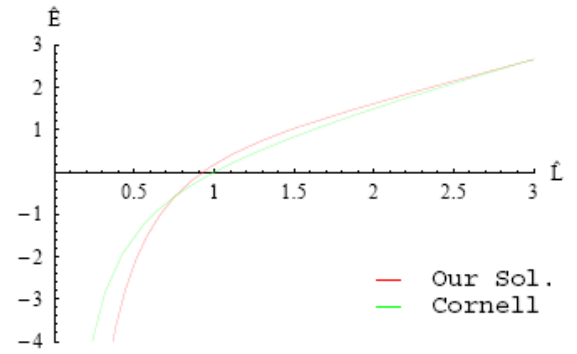
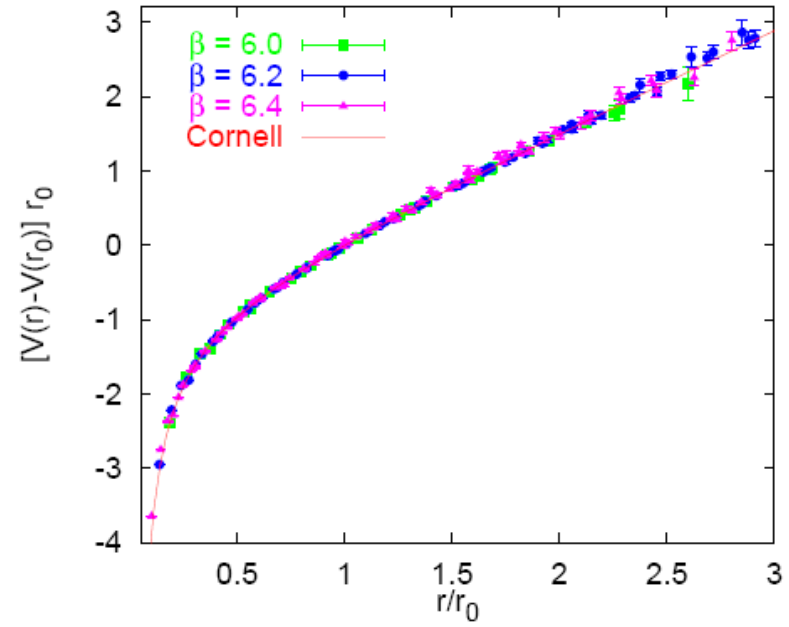


Figure 11: Comparison to the Cornell model





# Thank you!

