

# THE RISE OF THE OCTAGON

- TOWARDS THE GEOMETRIC DUAL OF DYNAMICAL SUSY BREAKING -



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based on [2207.00525](#), [2005.0967](#), [2007.13762](#) & [1909.04682](#)

w/ Argurio, Franco, Garcia-Valdecasas,  
Meynet, Pasternak, Tatitscheff

*NORDITA - Di Vecchia-80 Fest*  
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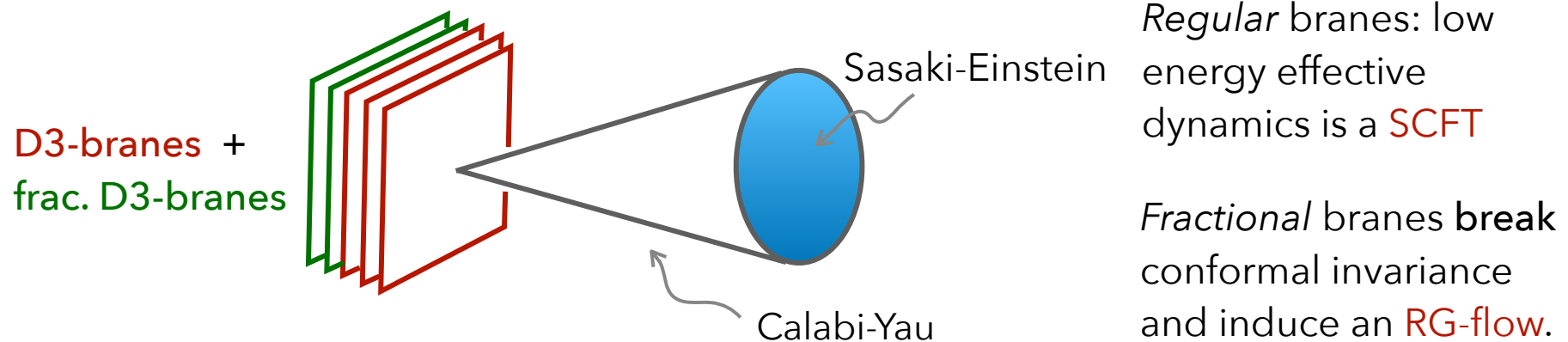
# CONTEXT, GOALS AND TOOLS

- The AdS/CFT correspondence is a remarkable duality which in its original form relates type IIB string theory on  $\text{AdS}_5 \times S^5$  to  $\mathcal{N}=4$   $\text{SU}(N)$  SYM in 4d, which is a SCFT.

[MALDACENA '97]

- Since the early days, generalizations were constructed to describe gauge theories with non-trivial **RG-flows**.

[MANY, INCLUDING PAOLO!]



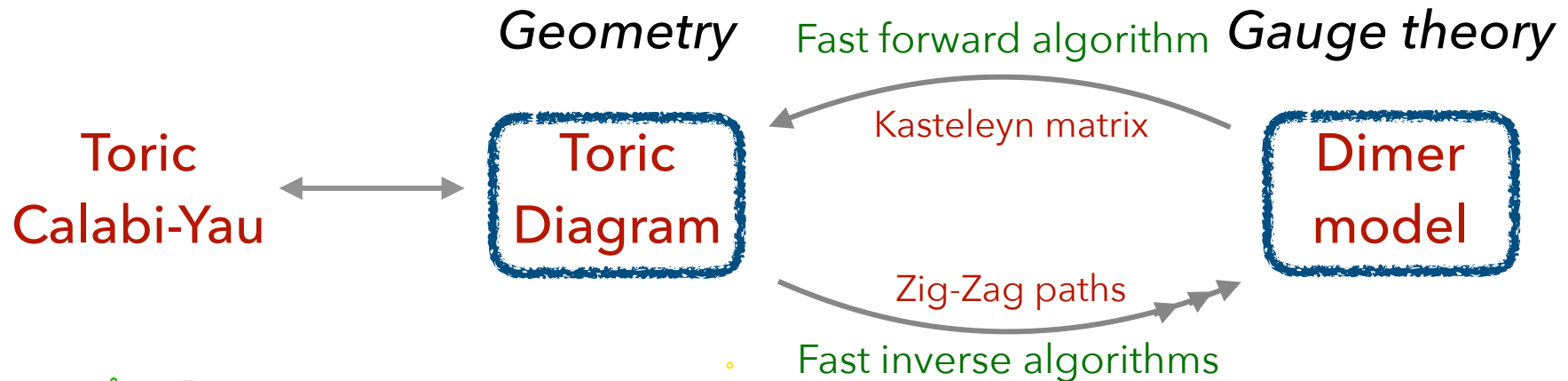
Quiver Gauge Theories : SU groups, matter in bifundamental/adjoint reps

- Different phases that supersymmetric gauge theories can enjoy have been described in terms of gravity/geometric duals:
    - **Confinement**, generation of a **chiral condensate**, (local)  $N = 2$  **Coulomb-like** dynamics, large  $N$  version of **SW curve**.
      - **Deformation** fractional branes  $\longrightarrow$  confinement via *complex structure* deformation [KLEBANOV-STRASSLER '00]
      - **$N=2$**  fractional branes  $\longrightarrow$  Coulomb-like branch due to (local) *line singularity* [MB ET AL '00]
    - Models where vacua **dynamically break supersymmetry** (DSB) were also constructed. [BERENSTEIN ET AL, FRANCO ET AL, MB-BIGAZZI-COTRONE '05]
      - **DSB** fractional branes  $\longrightarrow$  obstruction to complex structure deformation
- However, these were *unstable* or, at best, *metastable*.

**Question:** is *stable* DSB in the *swampland*?

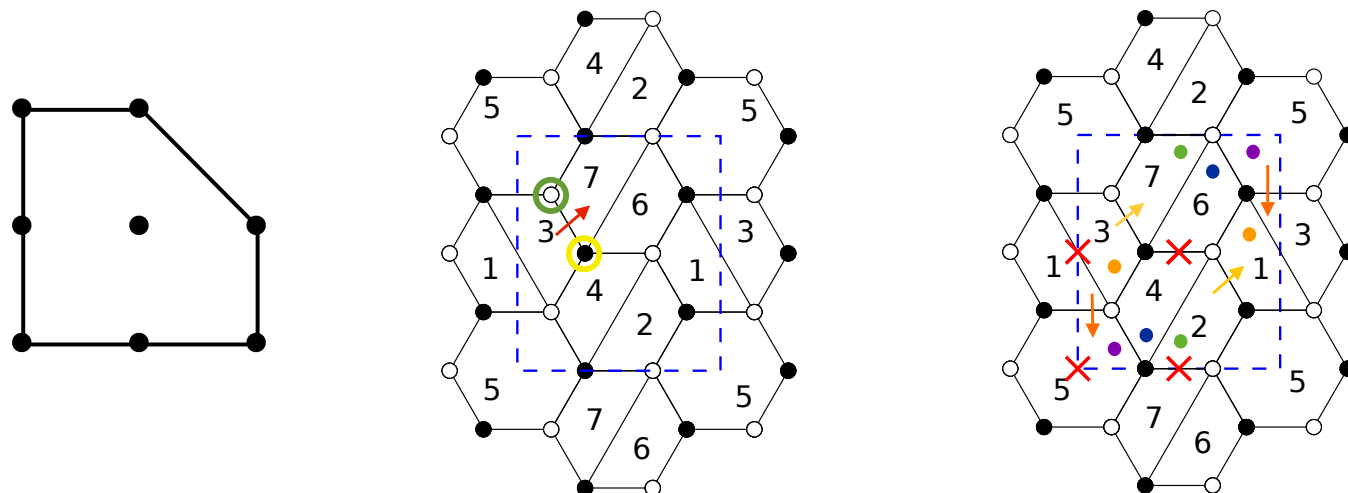
- All (known) DSB models have properties that an ordinary QGT cannot reproduce. For instance:
  - One needs matter in reps other than bifundamental/adjoint, e.g. **symmetric** and **antisymmetric** reps.
  - The **superpotential** is not alternate in sign with each matter field appearing twice, as it happens in ordinary QGT.
- A key ingredient for potentially promising set-ups are **Orientifolds** →
  - Reps other than bifundamental/adjoint are possible.
  - The superpotential does not need to respect the +/- rule.
  - They provide *non-generic* contributions which can, e.g.
    - cure runaways **[FRANCO ET AL '07]**
    - change the *nature* of fractional branes **[ARGURIO-MB '17]**

- **Tool: dimer models.** Powerful and efficient way to describe gauge theories on D-branes at CY singularities.



[HANANY-FENG-FRANCO-HE-KENNAWAY-URANGA-VEGH-... '02-'10]

*Ex:* Pseudo del Pezzo 4 singularity



**Toric diagram:** convex lattice polygon in 2d.

**Dimer model:** bipartite graph on a torus.

**Orientifolds:**  $\mathbb{Z}_2$  involutions of the graph, either point or line reflections.

# D-BRANE MODELS OF DSB (AND THEIR LARGE-N INSTABILITY)

- **Question:** can one find D-brane configurations at CY *orientifolds* whose dimers reproduce DSB models?

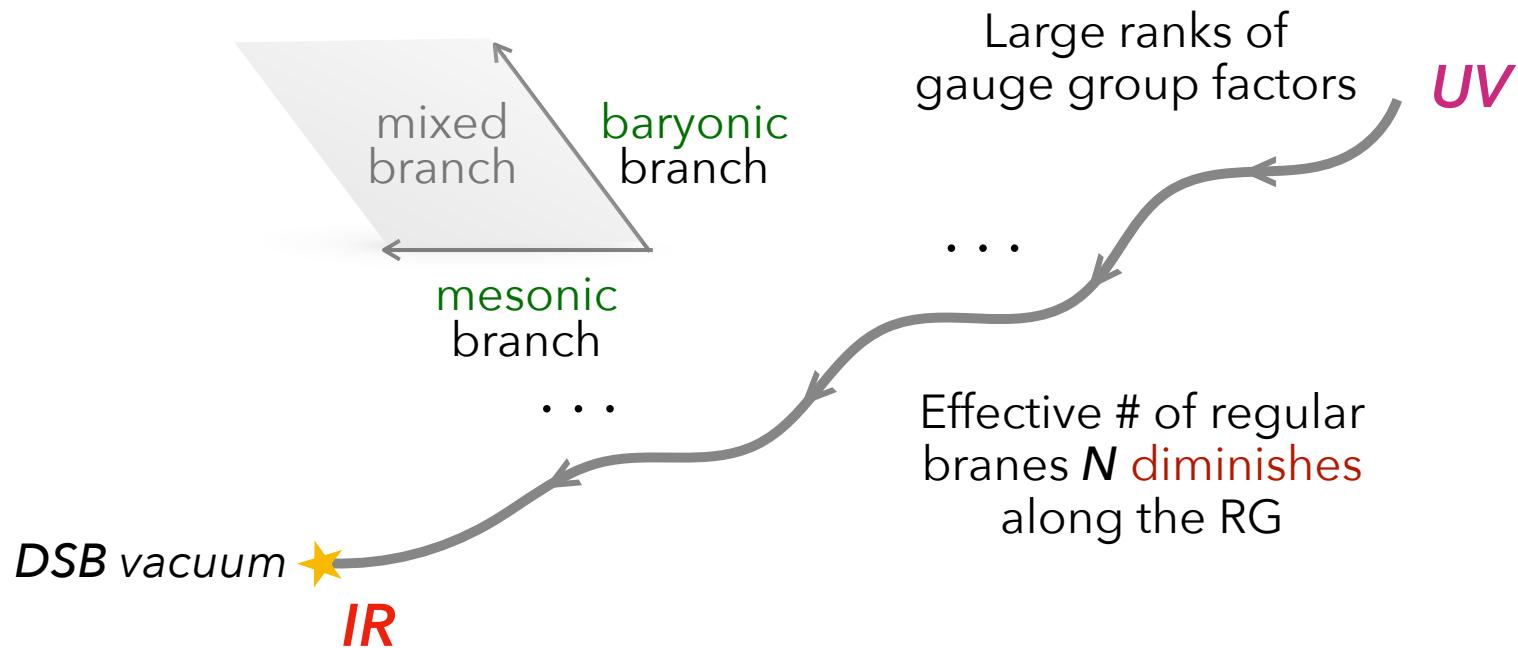
Yes! Remarkably, some of the most famous ones!

the  $SU(5)$  and  $3-2$  models

[AFFLECK-DINE-SEIBERG '84-'85,  
MEURICE-VENEZIANO '84]

- First instance of the  $SU(5)$  model was shown to be realized by *fractional* brane configurations at  $PdP4$  and  $\mathbb{C}^3/\mathbb{Z}_6$  (orientifold) singularities. [FRANCO ET AL '07]
- Later, in [ARGURIO ET AL '19] we showed that a *large class* of toric CY admit consistent *fractional* D-brane bound states giving the  $SU(5)$  and the  $3-2$  models.

- In the **decoupling limit** the addition of a large number  $N$  of *regular* D3-branes is required.
  - Gauge theory is richer and with a larger moduli space.
  - A **duality cascade** is generated which interpolates btw an (almost) UV-fixed point down to the DSB vacuum.





- It turns out that there exists an **instability** on the *mesonic* branch.

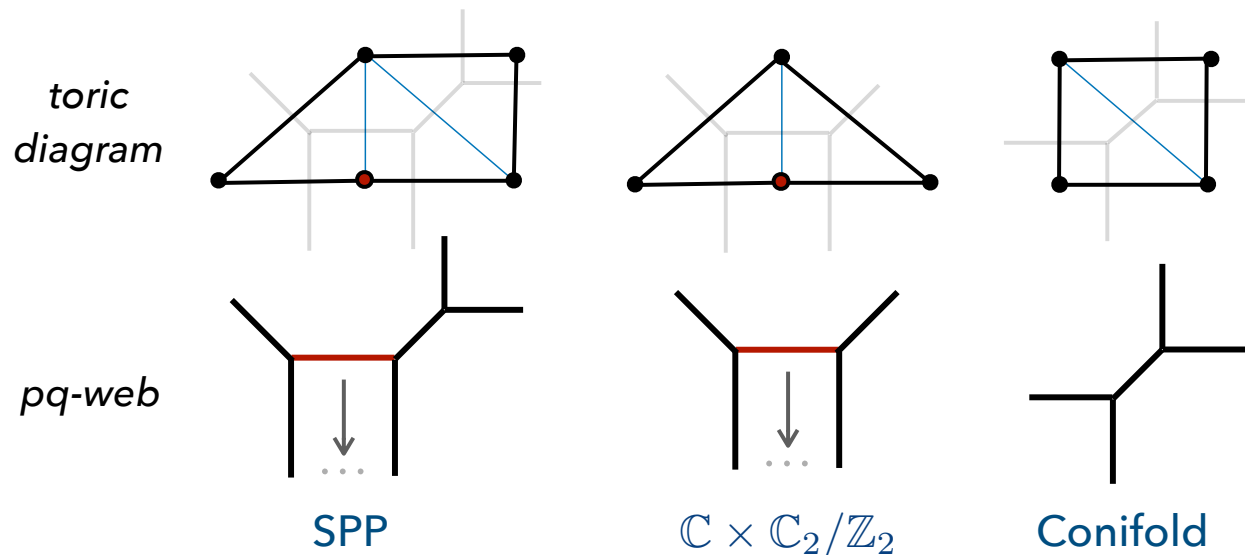
Mesonic branch

**$N=2$  branch:** it exists whenever a CY displays, locally, a non-isolated  $\mathbb{C}_2/\mathbb{Z}_n$  singularity.  
 Parametrised by mobile  $N=2$  fractional branes.  
 Vacuum energy depends on VEVs

$$E \sim \left( \frac{v'}{v} \right)^\alpha \Lambda \quad , \quad \alpha \neq 0$$

**Upshot:** DSB vacua at best **metastable!**

Non-isolated singularity:  
 points inside the edges  
 along the boundary of  
 toric diagram



# STABLE DSB: THE RISE OF THE OCTAGON

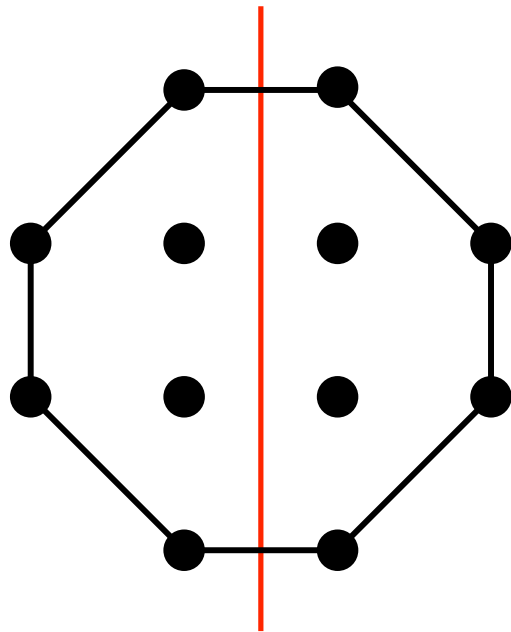
- **Question:** Is the existence of line singularities a *necessary condition* for a CY to host DSB models? If not, do CY of this sort (being also free of any other kind of instabilities) **exist**?

We were expecting a **NO** and therefore completely exclude DSB in D-brane models altogether... but the answer turns out to be a

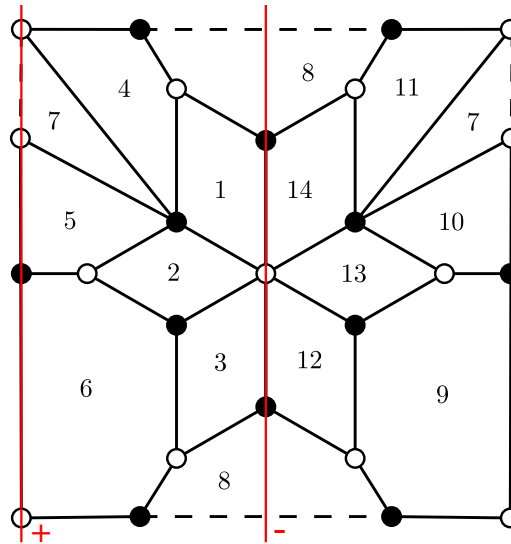
**YES!**

[ARGURIO ET AL '20]

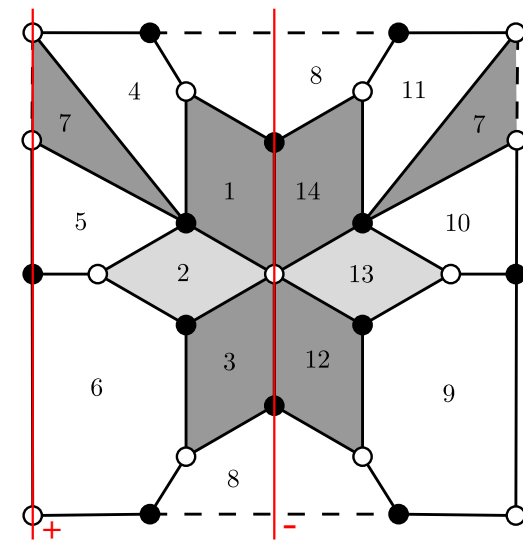
- The *simplest* CY which meets *all* criteria is an **Octagon**.



*toric diagram*



*dimer*



*dimer w/ frac branes*

The dual gauge theory has 14 gauge groups which, after orientifolding, become 8 which include a  $SO$  and a  $USp$  factor, matter in diverse reps and a non-trivial superpotential.

- The orientifold gauge theory, with  $N$  regular and  $M$  fractional D3-branes reads

$$SU(N + M + 4)_1 \times SU(N + M)_2 \times SU(N + M + 4)_3 \times \\ SU(N)_4 \times SU(N)_5 \times SU(N)_6 \times SO(N + M + 4)_7 \times USp(N)_8$$

RG flow  (described by a *duality cascade*)

$$SU(M + 4)_1 \times SU(M)_2 \times SU(M + 4)_3 \times SO(M + 4)_7$$

$$X = (\overline{\square}_1, \square_2) \quad Y = (\overline{\square}_2, \square_3) \quad A_1 = \overline{\square}_1 \quad A_3 = \overline{\square}_3$$

$$W = A_1 X Y A_3 Y^t X^t$$

 isolated  
SYM factor

- Taking  $M=1$  one gets the following theory in the IR

$$SU(5)_1 \times SU(1)_2 \times SU(5)_3 \quad \text{and} \quad W = 0$$

$$\overline{\square}_1 \oplus \overline{\square}_1 \quad \uparrow \text{flavour index} \quad \overline{\square}_3 \oplus \square_3$$

→ two decoupled DSB SU(5) models: **twin SU(5)!**

# STABILITY

- *Mesonic* branch stable. No **N=2 instability**: there are no points inside the edges along the boundary of the toric diagram.
- Any other decay channel is obstructed:
  - *Baryonic* branch: fusion of adjacent faces in the dimer. These correspond to *partial resolutions* of the CY. For the Octagon, this gives CYs admitting local non-isolated singularities! These resolutions are obstructed, because **M≠0** or due to the **orientifold**.
  - *Stringy instantons*. They may provide (potentially dangerous) extra terms to the superpotential. All ingredients are there: orientifold + USp(0) and SU(1) nodes coupled to the SU(5) groups. Not there: chiral gauge invariants cannot be written.

- **Question:** is there anything special about  $M=1$ ?
- For generic  $M$  the IR effective dynamics reduces to

$$SU(M+4)_1 \times SU(M)_2 \times SU(M+4)_3$$

$$X = (\bar{\square}_1, \square_2) \quad Y = (\bar{\square}_2, \square_3) \quad A_1 = \begin{array}{|c|} \hline \square \\ \hline \end{array}_1 \quad A_3 = \begin{array}{|c|} \hline \bar{\square} \\ \hline \end{array}_3$$

$$W = h \text{Tr} A_1 X Y A_3 Y^t X^t$$

- If  $SU(M)_2$  were not gauged this is essentially a double copy of large  $M$  generalisations of SU(5) model: stable for  $M$  **odd** and runaway for  $M$  **even**. [AFFLECK-DINE-SIEBERG '85]
- In [ARGURIO ET AL '22] we showed that the same conclusion holds in the actual model, where  $SU(M)_2$  is gauged!

Having  $M$  large paves the way to a *weakly coupled gravity dual* along the *whole* RG-flow, hence a geometric description of DSB!

# TOWARDS THE GRAVITY DUAL OF DSB

- Confinement corresponds to complex structure deformation of the CY geometry (a 3-cycle blows up).
- DSB into *runaway* vacua is due to geometric obstruction to such deformation: RR 3-form flux does not have a 3-cycle where to stabilize.

$$\text{F-eqs imply } V_3 \sim \frac{1}{X}, \quad X \rightarrow \infty \quad \text{[ARGURIO-CLOSSET '07]}$$

- As far as fluxes, **orientifolds** behave as fractional branes.
- **Octagon**: for  $M=0$  the gauge theory is a SCFT. Adding the orientifold it becomes runaway (orientifold  $\sim$  DSB frac brane). Adding deformation frac branes runaway cured: a deformation is induced and the orientifold flux has where to stabilize!

**Note**: blown-up 3-cycle and orientifold flux *misaligned*!

- **Question:** how does the difference between  $M$  even and  $M$  odd enter, from geometric dual p.o.v.?

### $M$ even

$$SU(M + 4)_1 \times SU(M)_2 \times SU(M + 4)_3$$

$$\downarrow v \sim \Lambda$$

$$SU(4)_1 \times SU(4)_3 \times USp(M)$$

quantum effect: in undeformed geometry branes are stuck!

Note:  $M$  has to be even.

In LEET exist baryonic operators whose VEVs  $\text{Pf}A_1, \text{Pf}A_3 \rightarrow \infty$ : the dual blown-up 2-cycle diverges and makes the geometry singular.

### $M$ odd

$$SU(M + 4)_1 \times SU(M)_2 \times SU(M + 4)_3$$

$$\downarrow v \sim \Lambda$$

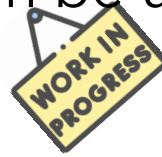
$$SU(5)_1 \times SU(5)_3 \times USp(M - 1)$$

# of branes must be even!

The *one* brane at the origin obstructs the resolution: no runaway!



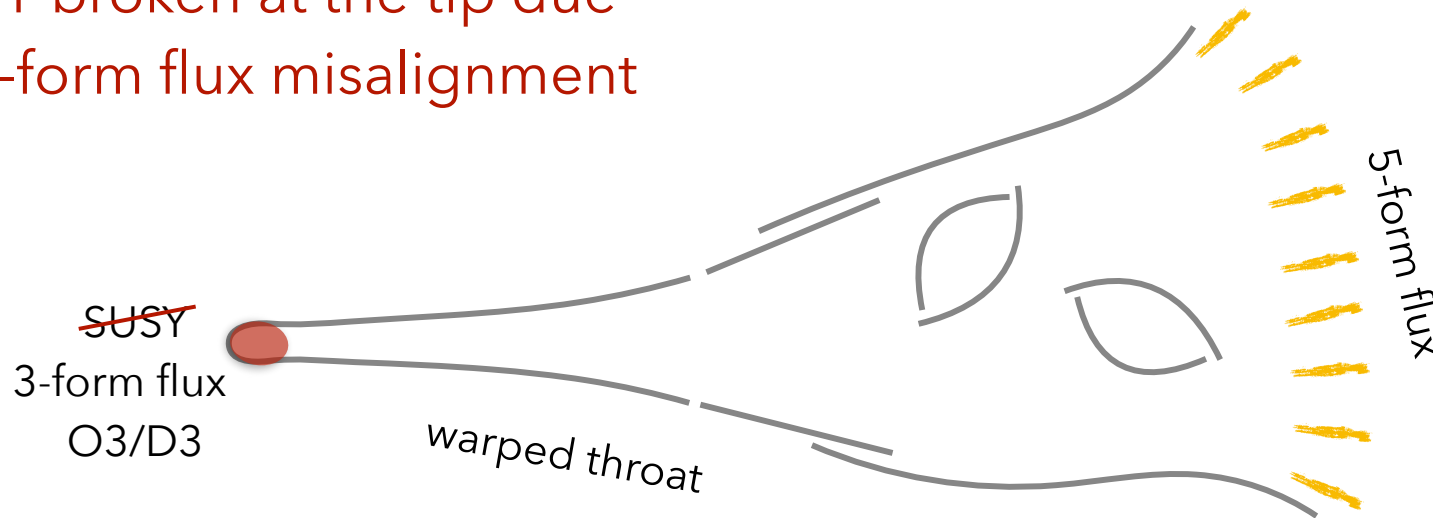
- To get a gravity dual we need the (*deformed*) metric of the Octagon  $\rightarrow$  toric technology can be used to extract a metric or (less ambitiously) its topology.



Warped throat with log-corrections  
wrt asymptotically AdS spacetime

SUSY broken at the tip due  
to 3-form flux misalignment

5-form flux increasing  
towards the boundary



- Note:** geometric obstruction is a  $\mathcal{O}(1)$  effect, but  $\mathcal{R} \sim 1/(\alpha' g_s M)$ , which is small for large enough  $M$ !

# CONCLUSIONS & RELATION W/ STRING LANDSCAPE AND SWAMPLAND PROGRAM

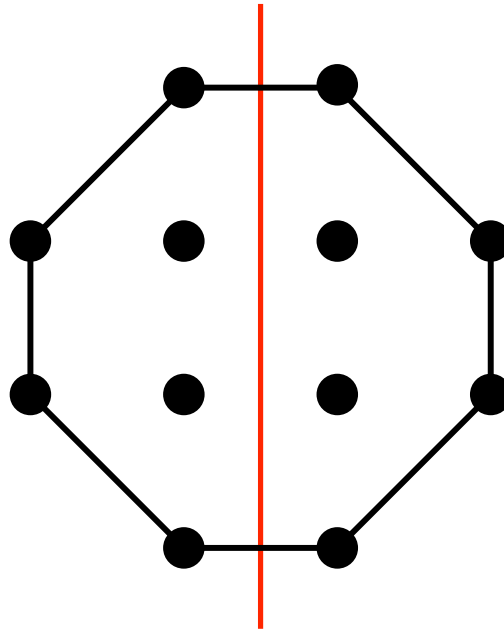
- We have shown that **stable** DSB is possible in D-branes at CY singularities.
- By the very meaning of *gauge/string duality*, if SUSY is broken in a stable vacuum on the gauge theory side, then it is so on the dual side  $\longrightarrow$  our results *imply* the existence of a stable SUSY breaking background of type IIB in  $10d$ .
- This means that warped throats w/ stable DSB D-brane sectors at their bottom, *i.e. stable non-supersymmetric locally AdS warped throats* are in the *landscape*.

- If embedded in a compact CY (a la GKP) the Octagon could be used as an ingredient to construct **de Sitter vacua** in 4d à la *KKLT* or *LVS*.

[KACHRU ET AL '03, BALASUBRAMANIAN ET AL. '05]

An alternative to *antiD3*, with possibly some advantages:

- stable vs metastable, it avoids e.g. antiD3 decay channels;
- no need to add external sources (antiD3): it is the dynamics of the supersymmetric brane system which spontaneously breaks SUSY  $\longrightarrow$  more control.
- one step vs two steps construction of dS vacua; could this challenge some recent criticism raised in [LUST ET AL. '22]?



THANK YOU!