

Black Holes

in Higher Spin $\text{AdS}_4/\text{CFT}_3$

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Does Vasiliev higher spin theory
make sense?

Holography for higher spin theory black holes

Background



Higher spin AdS₄/CFT₃

Symmetry and simplicity

$$J_{\mu_1 \dots \mu_s}^s = \sum_{k=0}^s a_{sk} \partial_{\{\mu_1} \dots \partial_{\mu_k} \varphi^\dagger \partial_{\mu_{k+1}} \dots \partial_{\mu_s\}} \varphi \qquad \partial^\mu J_{\mu \mu_1 \dots \mu_s}^s = 0$$

- Short distance string theory (on AdS) is higher spin symmetric
- Massless higher spin fields form a classical sub-theory
- Pure AdS higher spin theory was known by Vasiliev
- The O(N) model is proposed to be the boundary dual
- 3-point interactions match between boundary and AdS

Sundborg00, Witten01

SezginSundell02

FradkinVasiliev87

KlebanovPolyakov02

GiombiYin11

Higher spin AdS₄/CFT₃

Phase transitions

- Deconfinement transition is dual to AdS black hole formation
- The black hole transition was known to Hawking and Page
- The free deconfinement $T_{m,c} \sim 1$ solved by matrix models

Witten98

HawkingPage84

Sundborg00
(AharonyMarsano
MinwallaPapadodimas
VanRamsdonk04)

$$Z(x) = \frac{1}{N!} \int \prod_{i=1}^N \frac{d\alpha_i}{2\pi} \prod_{i \neq j} \left| 2 \sin \left(\frac{\alpha_i - \alpha_j}{2} \right) \right| \left(\sum_{k=1}^N \delta \left(-2\pi k + \sum_i \alpha_i \right) \right) \\ \times \exp \left(\sum_{n=1}^{\infty} \frac{1}{n} \left(\zeta_B(x^n) - (-1)^n \zeta_F(x^n) \right) \left(-1 + \sum_{i,j} \cos \left(n (\alpha_i - \alpha_j) \right) \right) \right)$$

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- The U(N) model phase transition at $T_{v,c} \sim \sqrt{N}$ solved similarly

ShenkerYin11

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$$U_{m,bd} \sim N^2 T^d \qquad M_{bh} \sim \frac{T^d}{G} \qquad U_{v,bd} \sim N T^d$$

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ShenkerYin11

Higher spin $\text{AdS}_4/\text{CFT}_3$

The black hole issue

ShenkerYin11

- “Our main findings are simple to state: in this system there is a large N thermal transition but it occurs at temperatures $T \sim \sqrt{N}$, not $T \sim 1$. In bulk units this corresponds to an energy of order Planck scale, not AdS scale.”
- “This indicates the absence of a thermodynamically stable large AdS-Schwarzschild black hole solution in this theory at $T \sim 1$.”
- “...at temperatures $T \sim 1$ the corrections to the free energy on the sphere are not only nonperturbative in G , but of order $\exp(-N^{3/2})$, too small to be caused by a black hole. This indicates the absence of (uncharged) AdS-Schwarzschild-like black hole solutions in Vasiliev’s theory,...
- “The bulk interpretation of these results is unclear. The large AdS black hole familiar from ordinary AdS/CFT must either be absent in this theory or have subdominant free energy for lower temperatures. The known black hole solution of Didenko-Vasiliev [43] is an extremal charged black hole, whose charges are not yet understood. It is not a candidate for the generic thermal state.”

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Higher spin $\text{AdS}_4/\text{CFT}_3$

“Vector baryons” $\equiv$ Invariant totally antisymmetric scalar operators in vector model

- “...nontrivial relations among the bilinear invariants first appear at level ...
($\sim \frac{4}{3}N^{3/2}$ at large N)...”

Bosonic operators $\mathcal{O}_b = \epsilon^{i_1 \dots i_N} \phi_{i_1} \partial \phi_{i_2} \dots \partial \phi_{i_N}$

ShenkerYin11

The **one-vector-baryon** partition function $Z_N(x) = x^{\frac{N}{2}} \prod_{n=0}^{\infty} (1 + x^n y)^{2n+1} \Big|_{y^N}$

- “At temperatures of order 1 we expect leading corrections to the higher spin gas thermal free energy to be of order $\exp(-\Delta/T)$, nonperturbatively small in N . This gives $\exp(-N^{3/2}/T)$ for the scalar theory...”

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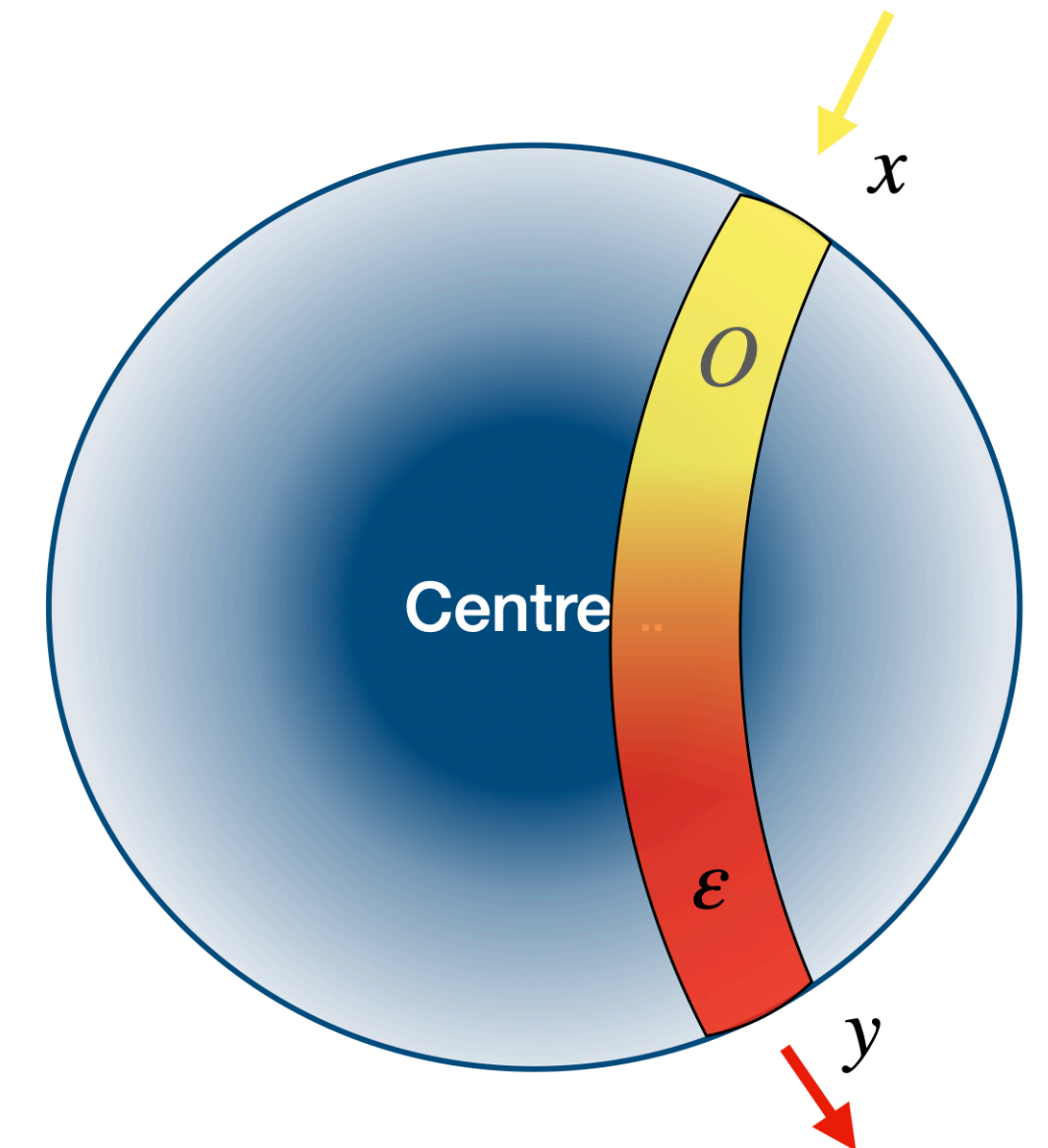
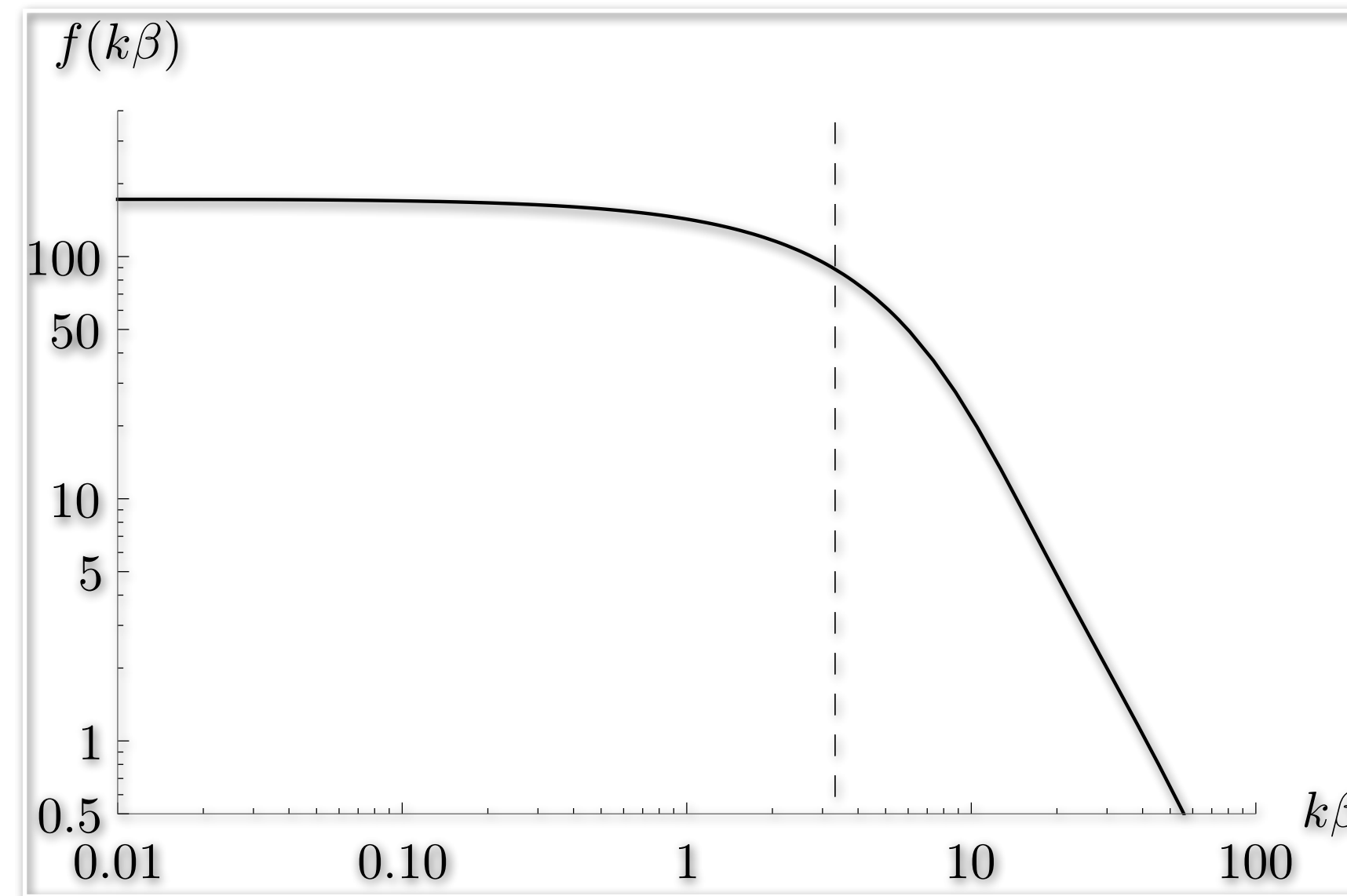
Uncanny similarities to black holes

Jevicki et al

SundborgThorlacius et al

SundborgEngelsöy

$$G_{O\varepsilon}(x, y) = \langle O(x) \varepsilon(y) \rangle$$



- Thermalisation – decay of correlators on thermal time scale
- Evanescent modes – modes inside the black hole photon sphere
- Tidal excitation – scalars converting to gravitons close to black holes

The high temperature phase of higher spin theory
is different from that of string theory/gravity

The high temperature phase of higher spin theory
is similar to that of string theory/gravity

Take aways

- There are interesting and numerous superheavy “vector baryon” states in the boundary theory.
- Just below the deconfinement transition heavy microstates become significant.
- A “new” bulk/boundary dictionary makes higher spin $\text{AdS}_4/\text{CFT}_3$ similar to standard holography (though the cosmological constant scales as boundary $\sqrt{N}$).

Super heavy states

Condensation nuclei for
black holes?



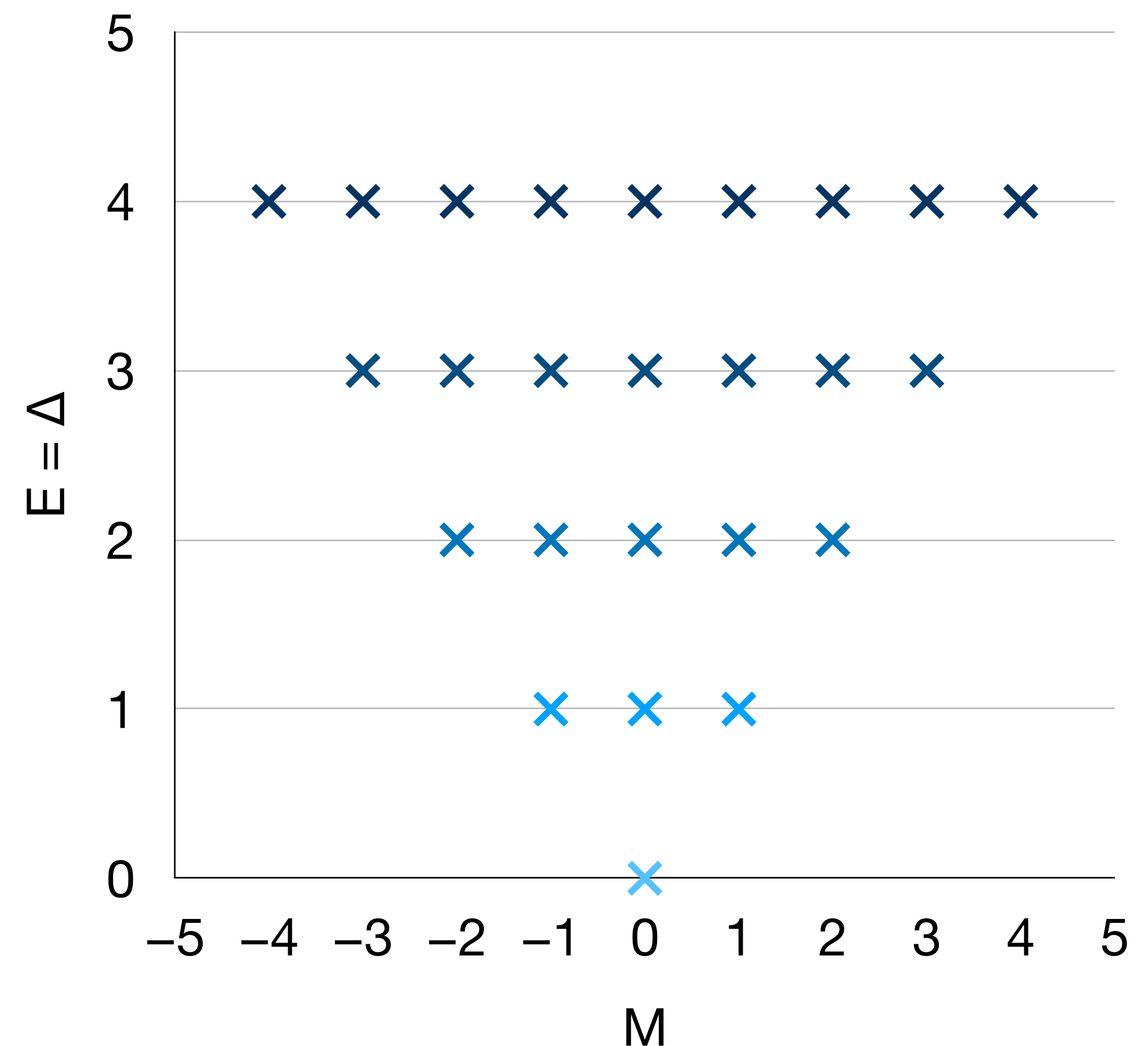
Super heavy states

The Fermi gas picture

Due to the ϵ tensor the constituent fields φ in the $SO(N)$ model baryon operator $B = \epsilon^{n_1 \dots n_N} \partial^{k_1} \varphi_{n_1} \partial^{k_2} \varphi_{n_2} \dots \partial^{k_N} \varphi_{n_N}$ obeys an **exclusion principle**.

- Effectively a gas of N free “fermions”.
- The spacetime vectors given by the derivatives ∂_μ are 3-vectors for the boundary of AdS_4 .
- For the **groundstate**, we fill all the lowest energy levels, with as few derivatives as possible, respecting Pauli.
- $\Delta_0 \sim \frac{2}{3} N^{3/2}$

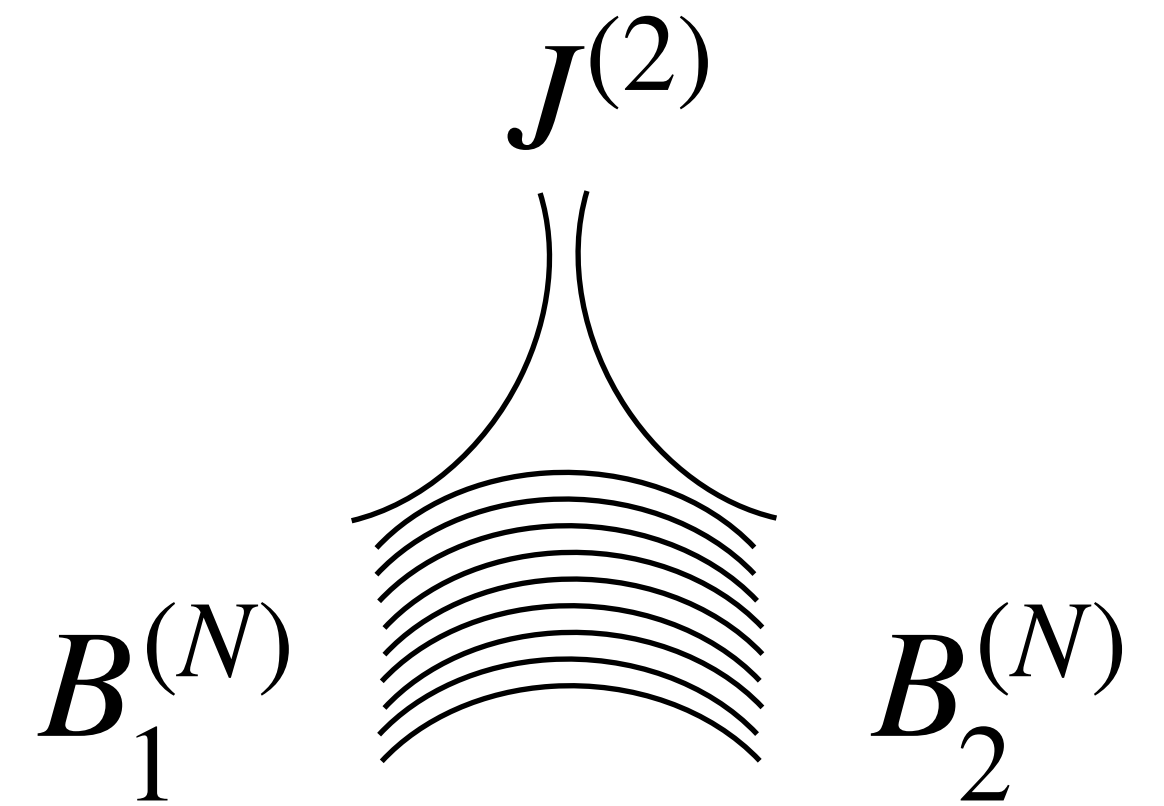
For N a squared integer:
Scalar baryon groundstate
— Filled shell



Bulk duals of super heavy states

Higher spin charges and (generalised) Didenko-Vasiliev black holes

- For higher spin currents J and vector baryons B_i the 3-point correlators $\langle J(x_0)B_1(x_1)B(x_2) \rangle \neq 0$ in general.
- Vector baryons carry higher spin charges.
- Just as Didenko-Vasiliev black holes.



$$\langle J(x_0)B_1(x_1)B(x_2) \rangle \neq 0$$

Statistical mechanics of vector baryons

The grand canonical ensemble

- For fermions the grand canonical ensemble is convenient.
- The chemical potential μ is chosen to get $N = \langle N \rangle = \partial_{\mu} \log \mathcal{Z}$.
- Study high temperatures, $\beta \ll 1$.

$$N \approx -\frac{2}{\beta^2} \text{Li}_2(-e^{\beta\mu})$$

$$Z_N(x) = x^{\frac{N}{2}} \prod_{n=0}^{\infty} (1 + x^n y)^{2n+1} \Big|_{y^N}$$

$$x = e^{-\beta}, \quad y = e^{\beta\mu}$$

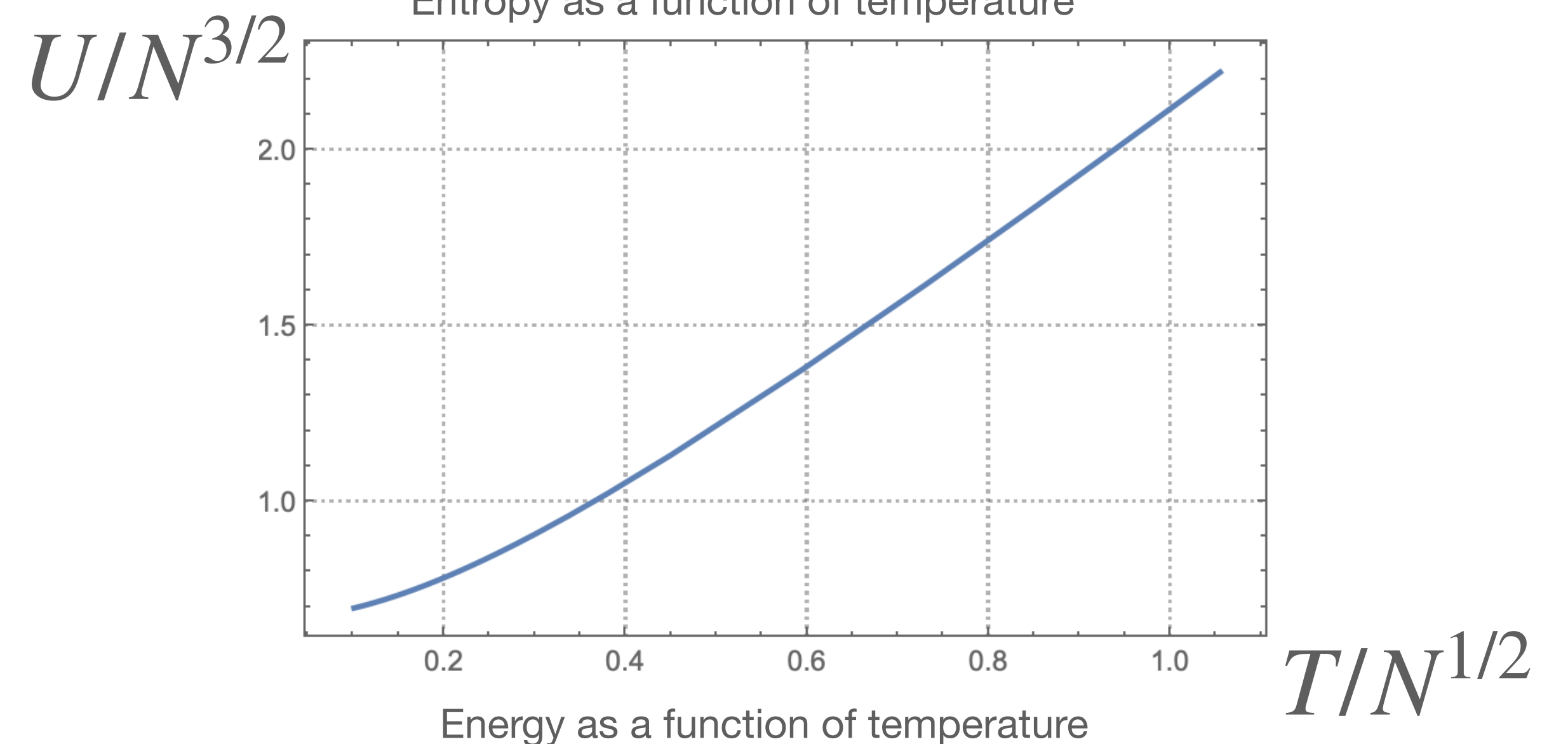
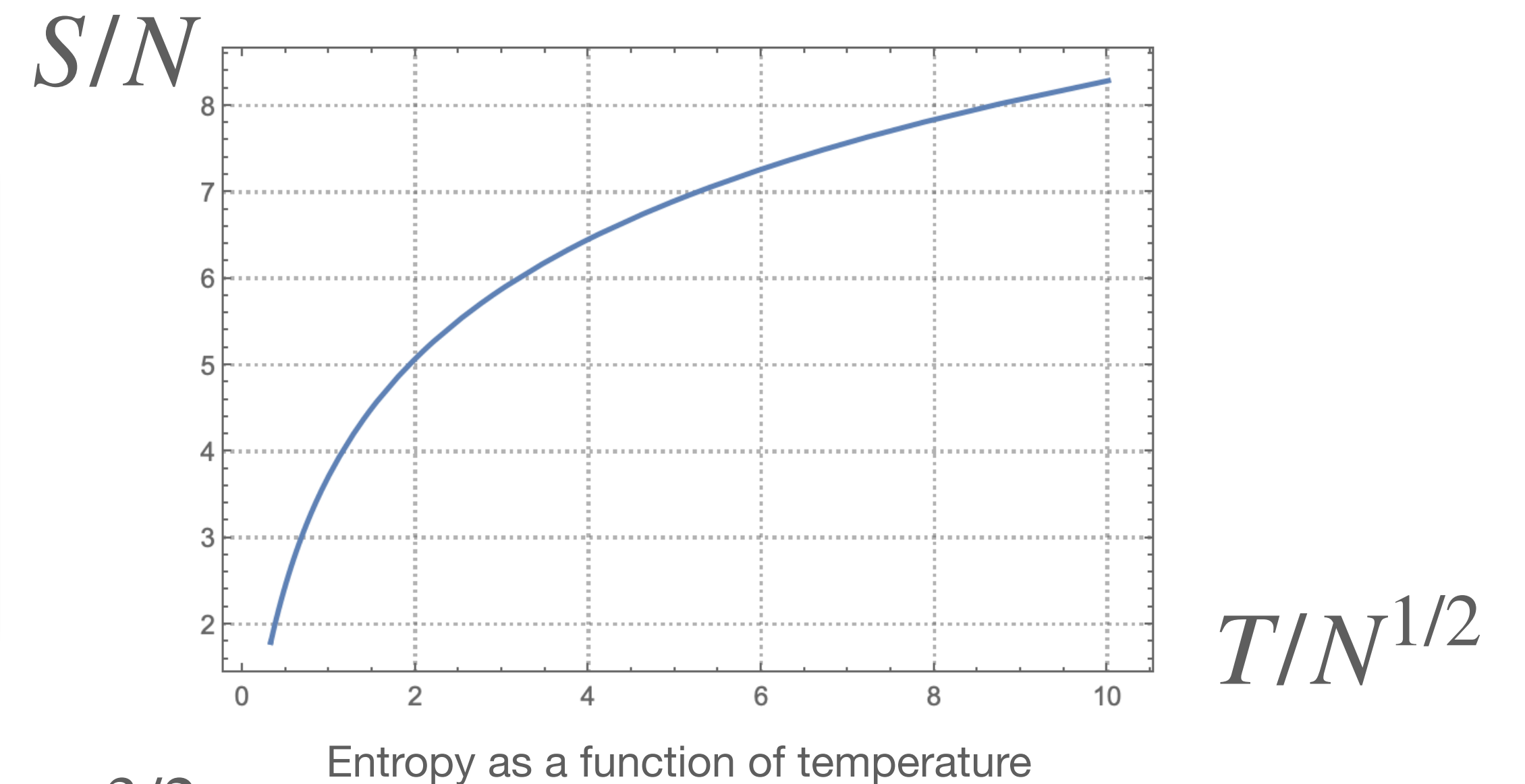
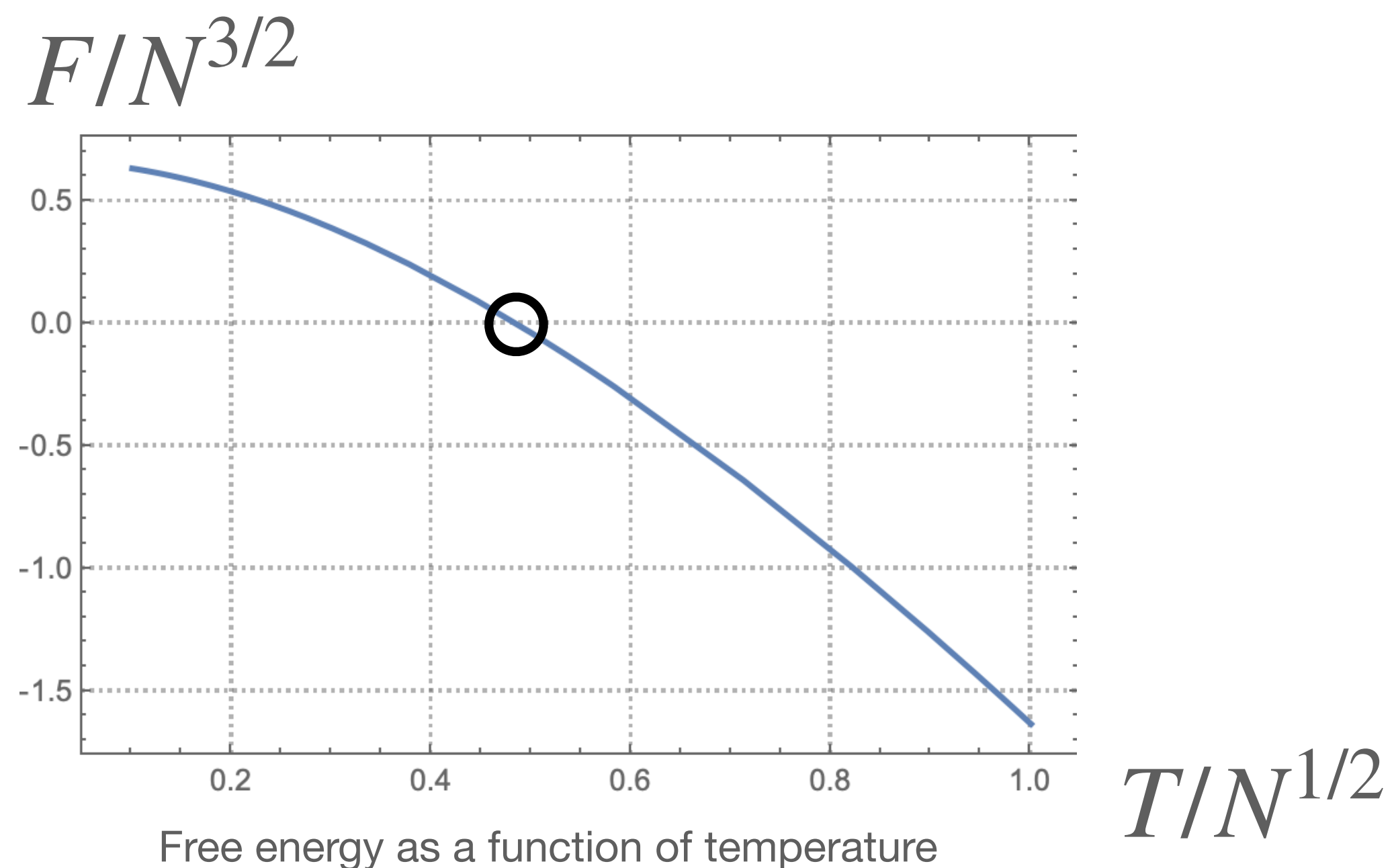
$$\mathcal{Z}(x, y) \approx \exp \left(- \sum_{m=1}^{\infty} \frac{(-1)^m}{m} \frac{y^m x^{m/2} (x^m + 1)}{(1 - x^m)^2} \right)$$

$$\log \mathcal{Z} \approx -\frac{2}{\beta^2} \text{Li}_3(-e^{\beta\mu})$$

Statistical mechanics of vector baryons

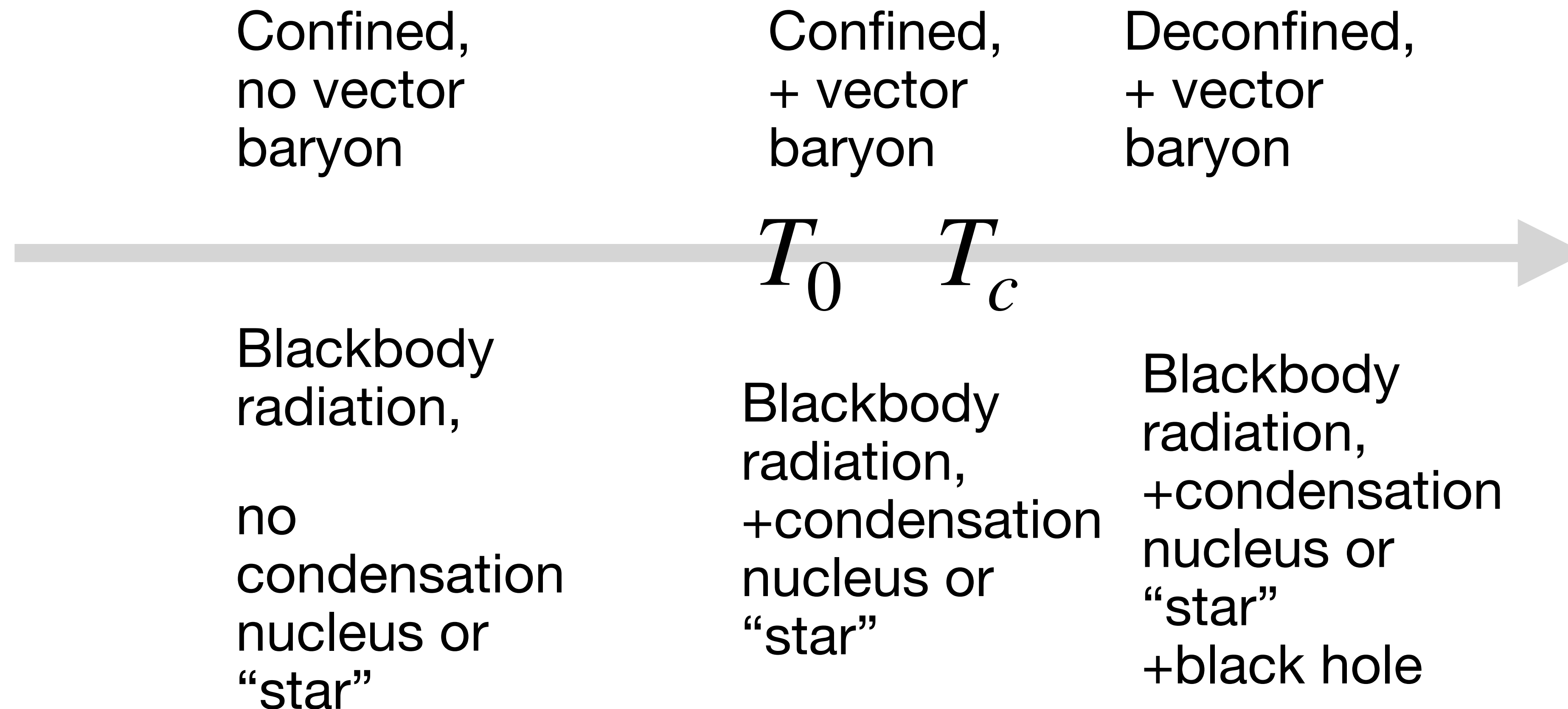
State functions

- The thermodynamic state functions scale
- Entropy as N
- Free and internal energy as $N^{3/2}$, as groundstate energy
- $F < 0$ for $T > T_0 \approx 0.48 \sqrt{N}$



Statistical mechanics of vector baryons

Vector baryons as condensation nuclei?



Units for higher spin holography

Measuring G and Λ



Units for higher spin holography

Assuming that the largest black holes are AdS-Schwarzschild

- Try a new perspective on higher spin black holes
- Since some pieces are missing in the vector model/higher spin theory duality, start by an assumption and check consequences.
- Assumption: **The largest higher spin black holes are AdS-Schwarzschild**
- This is a kind of correspondence limit, which has a chance of being classical
- Large higher spin black hole $\equiv$ dual to high T equilibrium of vector model

Units for higher spin holography

Eliminate geometry in the high T limit of AdS-Schwarzschild

- Assumption: **The largest higher spin black holes are AdS-Schwarzschild**

$$\beta = \frac{4\pi l^2 r_+}{l^2 + 3r_+^2} \rightarrow \frac{4\pi l^2}{3r_+}$$

$$M = \frac{1}{2G} r_+ \left(1 + \frac{r_+^2}{l^2} \right) \rightarrow \frac{r_+^3}{2Gl^2}$$

Leading order: $\frac{2GM}{l} \sim \frac{l^3}{\beta^3}$

Correction term: $\frac{2G\delta M}{l} \sim -\frac{l}{\beta}$

Units for higher spin holography

Find AdS scale and Newton's constant

- Assumption: **The largest higher spin black holes are AdS-Schwarzschild**

Determine the AdS length scale by cancelling G:

$$-\frac{l^2}{\beta^2} \sim \frac{M}{\delta M}$$

Then find Newton's constant G:

$$G \sim -\frac{l^2}{\beta \delta M} \sim \frac{\beta M}{\delta M^2}$$

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$$\text{Boundary: } M = U \sim N \frac{\hbar}{\beta_0} \frac{\beta_0^3}{\beta^3}$$

$$\text{Boundary: } \delta M = \delta U \sim -N^2 \frac{\hbar}{\beta}$$

Non-trivial large N calculation



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Thank you!