

# Gauge invariant and self-consistent phase transition calculations

*Computing the gauge-invariant bubble nucleation rate in finite temperature effective field theory (JHEP, [2112.08912](#))*  
*Nucleation at Finite Temperature: A Gauge-Invariant Perturbative Framework (PRL, [2112.05472](#)),*

**Johan Löfgren, 2023-10-25 ([about me](#))**

*Collaborators: Joonas Hirvonen, Michael J. Ramsey-Musolf, Philipp Schicho, Tuomas V.I. Tenkanen*



UPPSALA  
UNIVERSITET

# Abelian Higgs

*Is there a 1st order PT?*

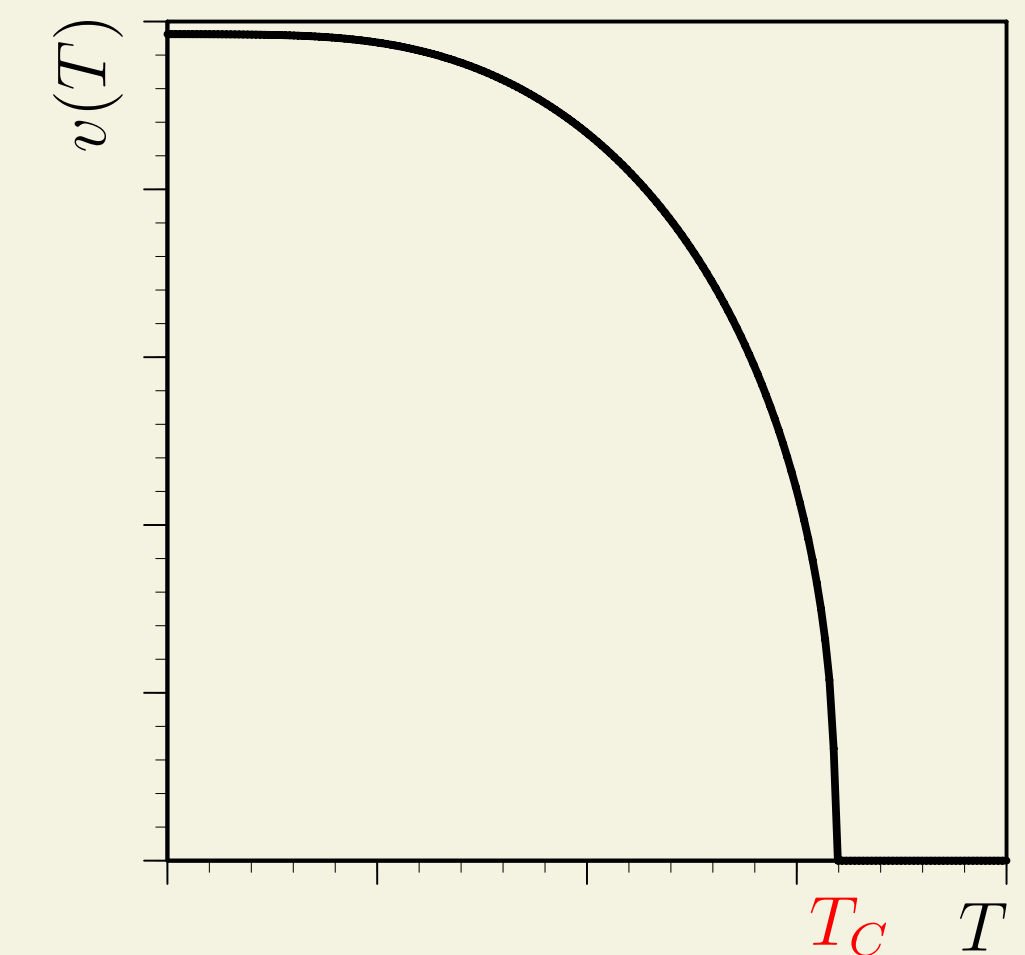
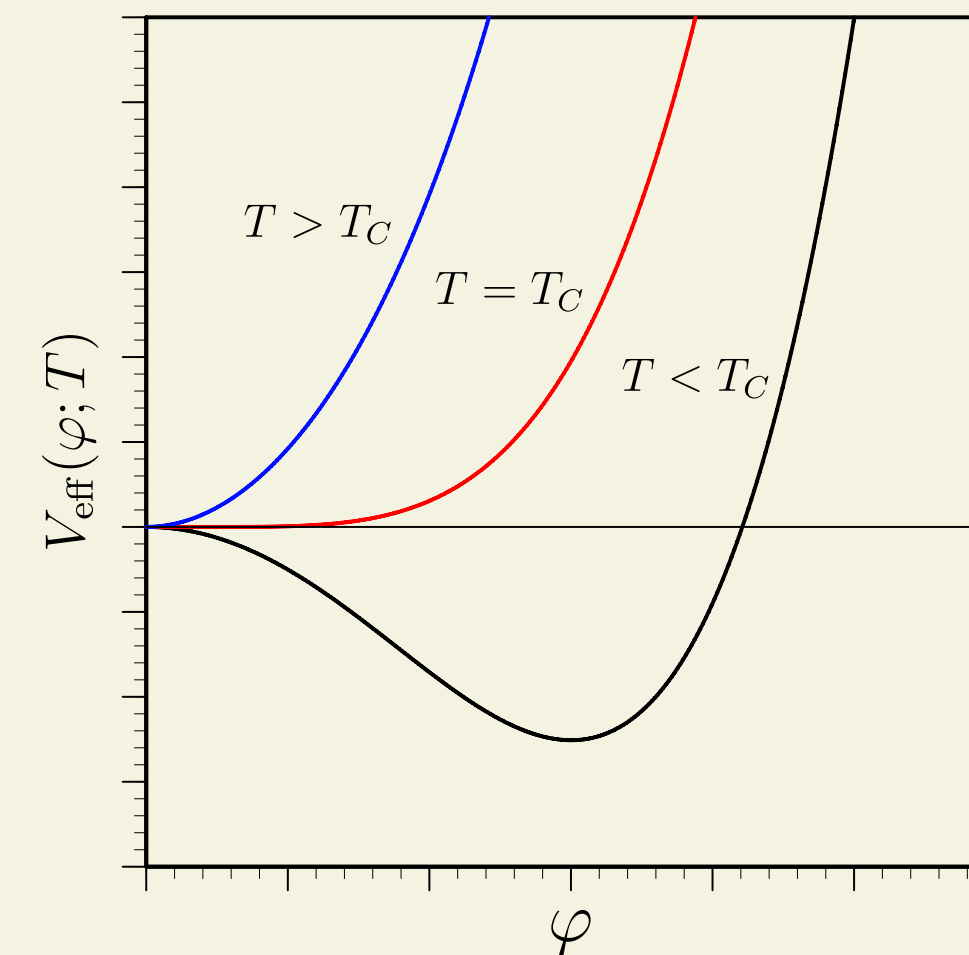
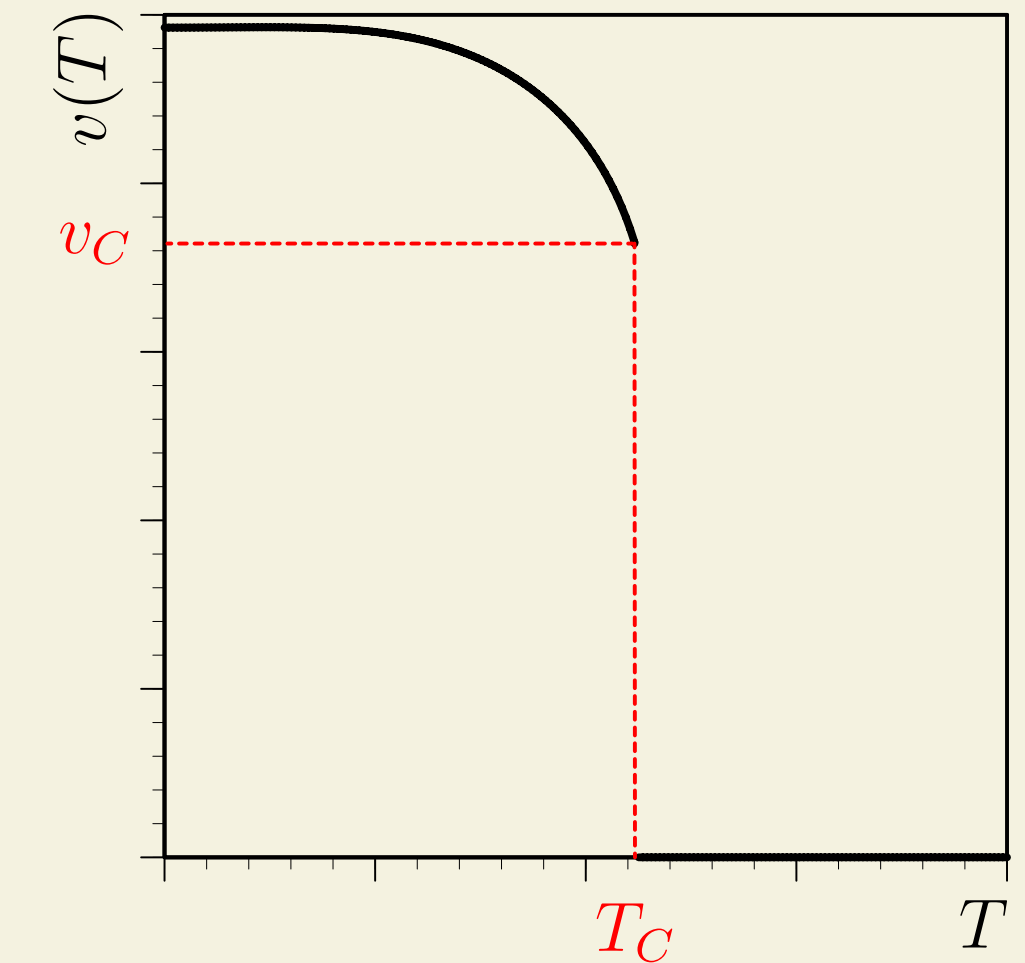
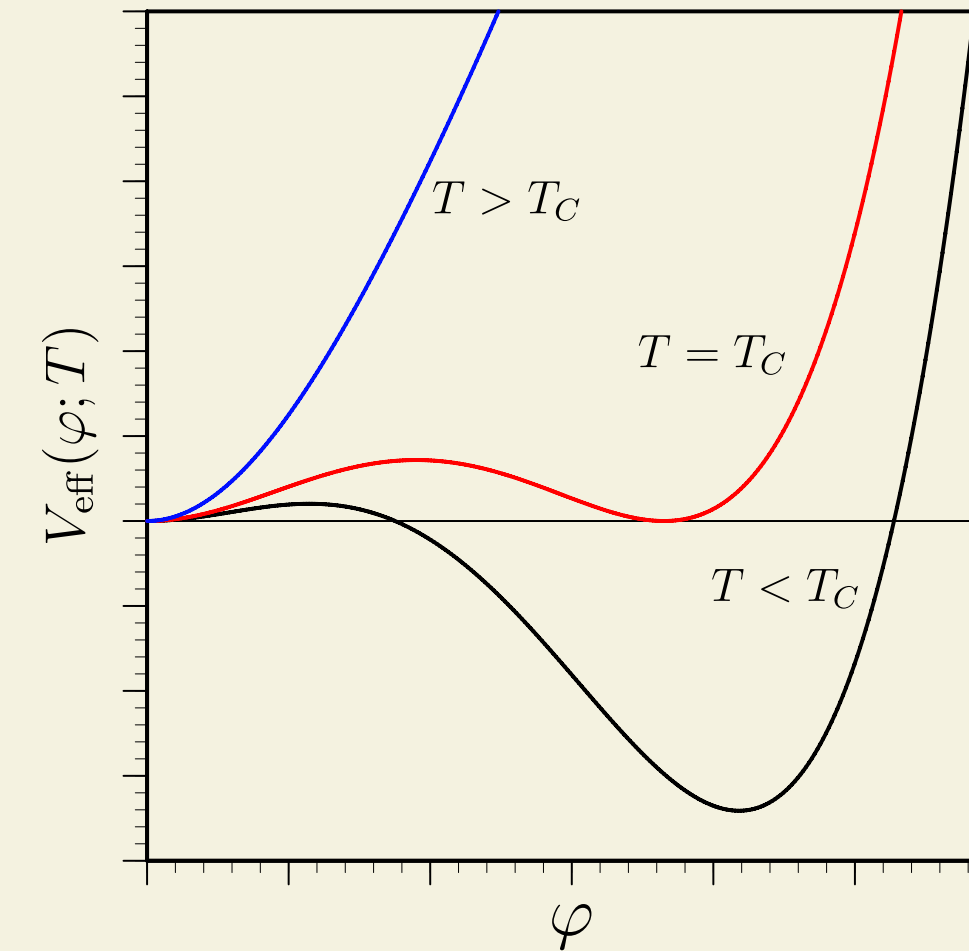
- Barrier?

$$\mathcal{L}_{4d} = \frac{1}{4} F_{\mu\nu} F_{\mu\nu} + (D_\mu \Phi)^* (D_\mu \Phi) + \mu^2 \Phi^* \Phi + \lambda (\Phi^* \Phi)^2$$

- [hep-ph/9212235](#), Arnold & Espinosa

- Yes, if:

$$\lambda \sim g^3, \quad \mu_{\text{eff}}^2 \sim g^3 T^2, \quad \phi \sim T \sim \frac{\mu}{g}$$



# Gauge Invariance?

## *Radiative transitions*

- Equilibrium quantities: **Yes!** ([2006.12614](#), Andreas Ekstedt, **JL**)
- Tunneling rate (Zero T): **Yes!** ([hep-ph/9507381](#), Metaxas, E. Weinberg)
- Bubble nucleation rate (Finite T): **No!** ([1205.3392](#), Garny, Konstandin)

# Gauge Invariance?

## *Radiative transitions*

- Equilibrium quantities: **Yes!** ([2006.12614](#), Andreas Ekstedt, **JL**)
- Tunneling rate (Zero T): **Yes!** ([hep-ph/9507381](#), Metaxas, E. Weinberg)
- Bubble nucleation rate (Finite T): **No!** ([1205.3392](#), Garny, Konstandin)
- ... But why would it work for zero T but not finite T?

# Tunneling / Nucleation analogy

2201.07331, Andreas Ekstedt

2108.04377, Oliver Gould, Joonas Hirvonen

- The bounce extremizes the Euclidian action:

$$\delta S_{\text{eff}}[\phi_b] = 0 \quad \nabla^2 \phi_b(x) = \left. \frac{\partial V_{\text{LO}}^{\text{eff}}}{\partial \phi} \right|_{\phi=\phi_b}, \quad \begin{cases} \phi_b(\infty) = 0 \\ \phi_b'(0) = 0 \end{cases}$$

**Zero T (4D Field Theory):**

$$\Gamma_{T=0} = 2\text{Im}e^{-S_{\text{eff}}}$$

**Finite T (3D Field Theory):**

$$\Gamma_{T \neq 0} = A_{\text{dyn}} e^{-\bar{S}_{\text{eff}}}$$

# The Objection

*The derivative expansion diverges*

- An expansion in  $P^2/m_B^2$  where  $m_B^2 = g^2\phi^2$
- The expansion diverges when approaching the symmetric minimum!

$$S_3 = \int d^3x \left[ V^{\text{eff}}(\phi, T) + \frac{1}{2} Z(\phi, T) (\partial_i \phi)^2 + \dots \right]$$

$$Z = 1 + Z_{\text{NLO}} + \mathcal{O}(g^{\frac{3}{2}}),$$

$$Z_{\text{NLO}}(\phi) = \frac{gT}{48\pi} \left[ -\frac{22}{\phi} + \frac{\phi^2}{\left(\frac{1}{3}T^2 + \phi^2\right)^{\frac{3}{2}}} \right]$$

# ... But why would it work for zero T and not for finite T?

*Similar divergence!*

- An expansion in  $P^2/m_B^2$  where  $m_B^2 = g^2\phi^2$
- The expansion diverges when approaching the symmetric minimum!

$$\Gamma = Ae^{-(\mathcal{B}_0 + \mathcal{B}_1 + \dots)}$$

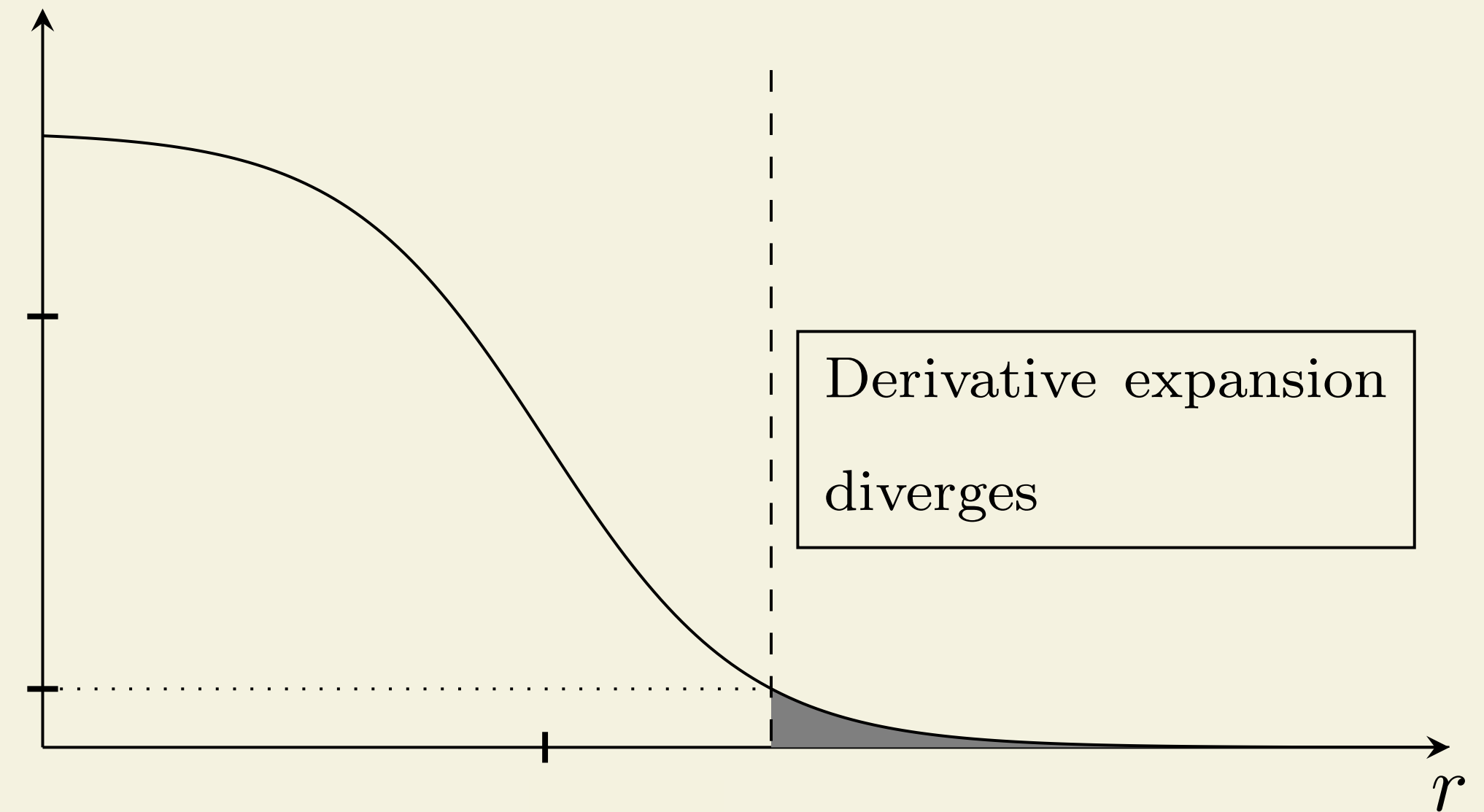
$$\mathcal{B}_0 = \int d^4x \left[ V_{g^4}^{\text{eff}}(\phi_b) + \frac{1}{2} (\partial_\mu \phi_b)^2 \right], \quad Z_{g^2} \sim \ln(\phi/\Lambda)$$

$$\mathcal{B}_1 = \int d^4x \left[ V_{g^6}^{\text{eff}}(\phi_b) + \frac{1}{2} Z_{g^2} (\partial_\mu \phi_b)^2 \right]$$

# Asymptotics at zero T

$$\square \phi_b \sim \mu^2 \phi_b, \quad \phi_b(\infty) = 0$$

$$\implies \phi_b(r) \sim c \frac{e^{-\mu r}}{r^{3/2}} \quad \text{as } r \rightarrow \infty$$



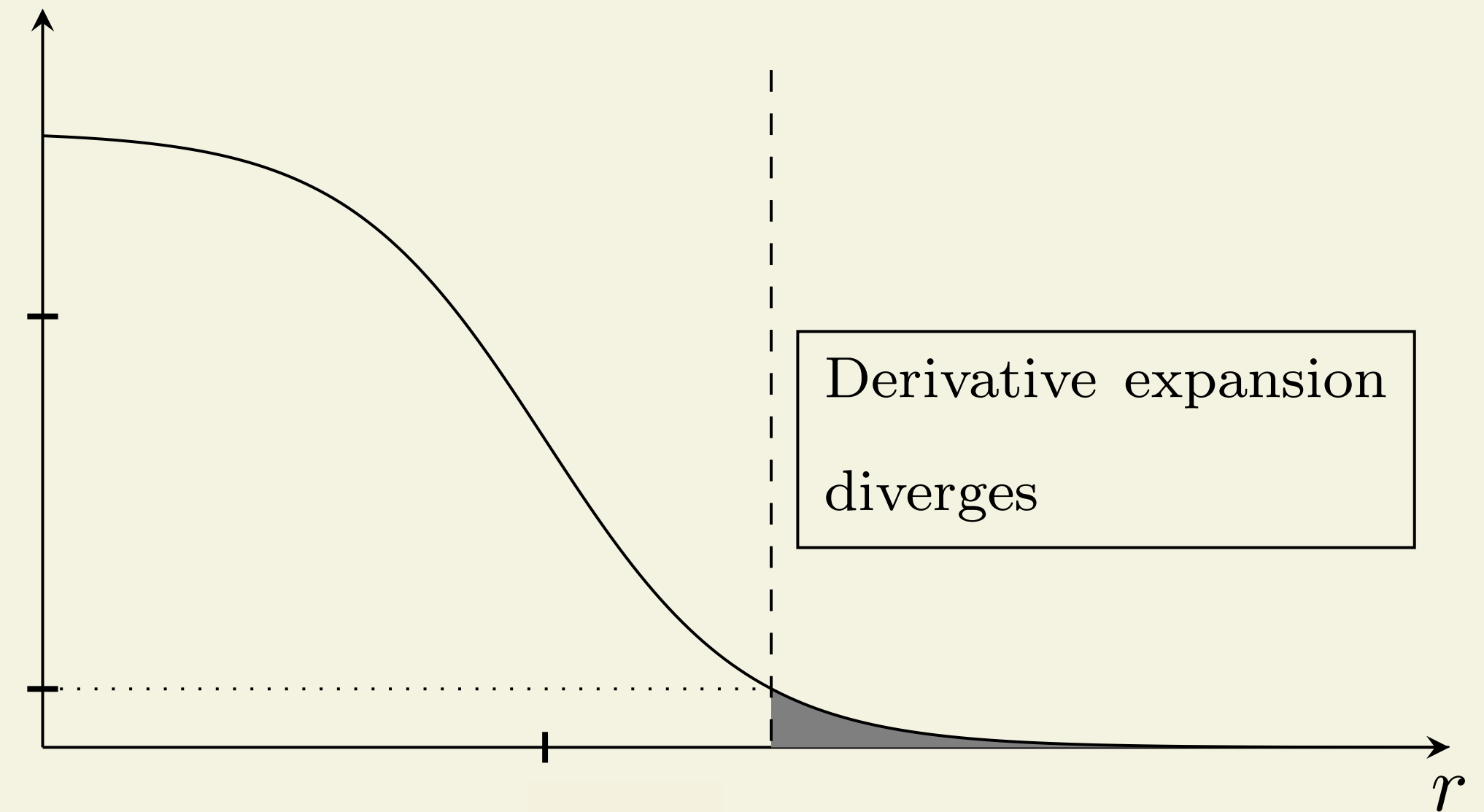


# Asymptotics at zero T

*Finite!*

$$\square\phi_b \sim \mu^2 \phi_b, \quad \phi_b(\infty) = 0$$

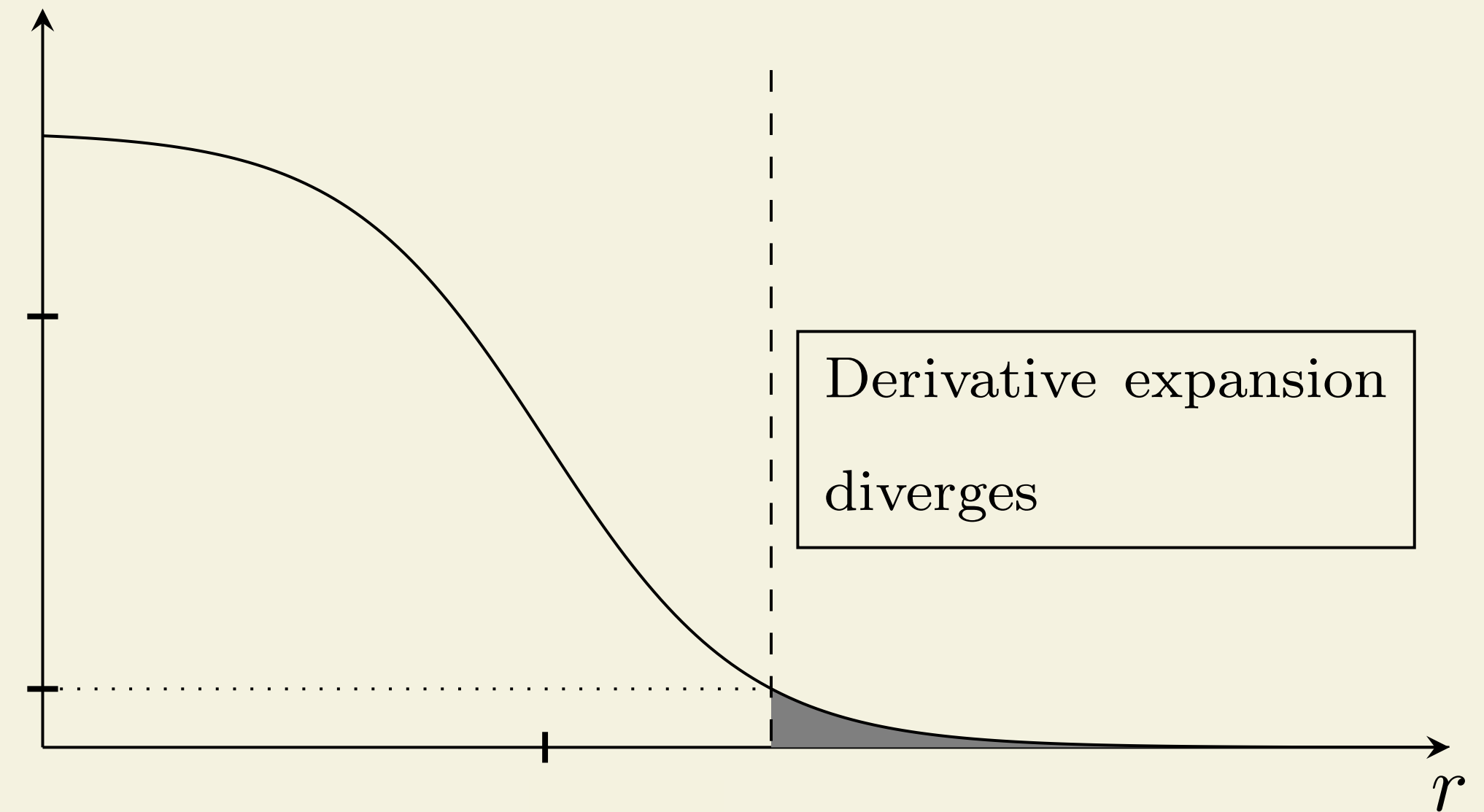
$$\implies \phi_b(r) \sim c \frac{e^{-\mu r}}{r^{3/2}} \quad \text{as } r \rightarrow \infty$$



$$\int d^4x \ln(\phi) (\partial_\mu \phi_b)^2 \approx (\text{contribution from } r \leq R) - 4\pi^2 c^2 \mu^3 \int_{r \geq R} dr r e^{-2\mu r},$$

# Asymptotics at finite T

$$\square \phi_b \sim \mu^2 \phi_b, \quad \phi_b(\infty) = 0,$$
$$\implies \phi_b(r) \sim c \frac{e^{-\mu r}}{r} \quad \text{as } r \rightarrow \infty$$

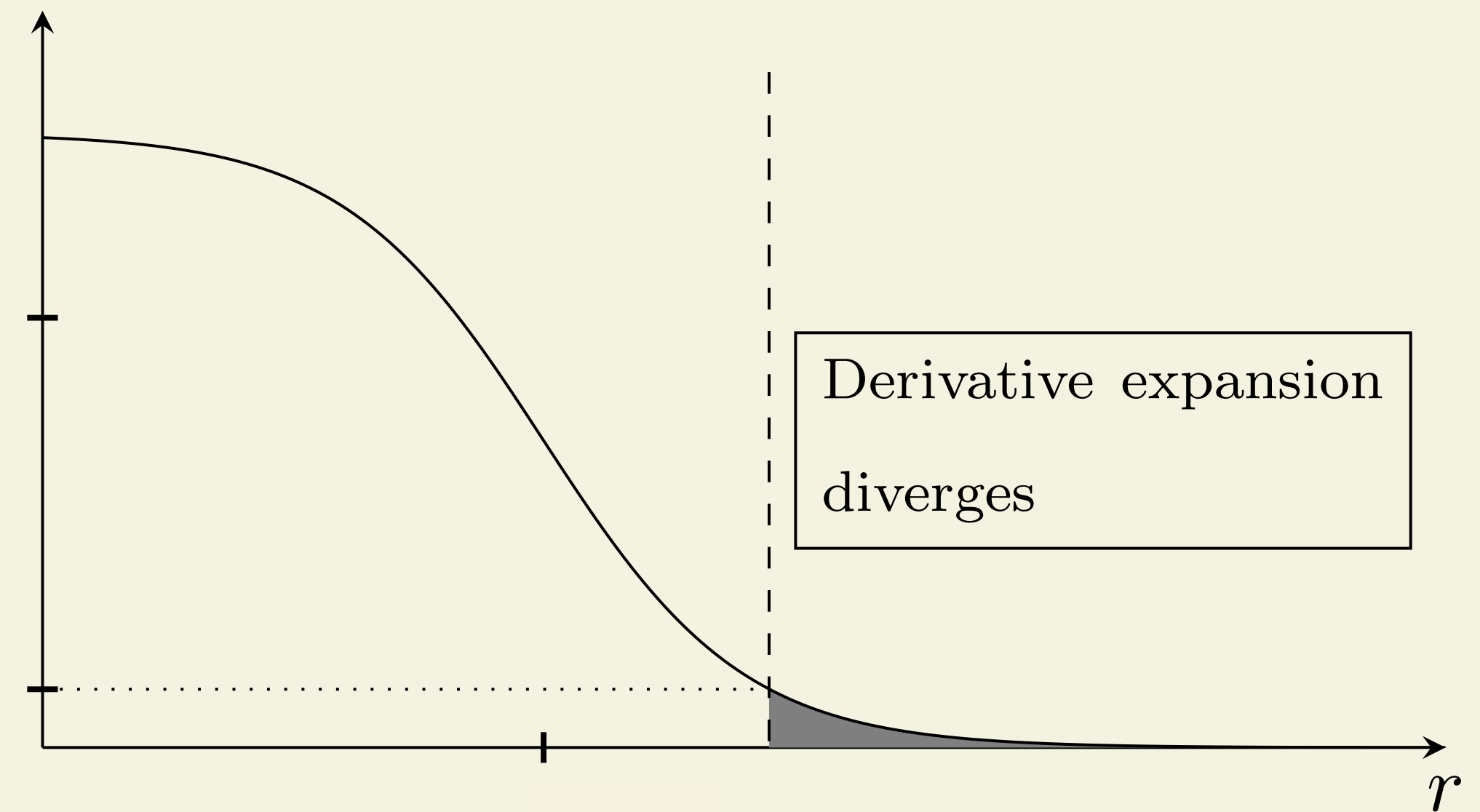


# Asymptotics at finite T

*Also finite!*

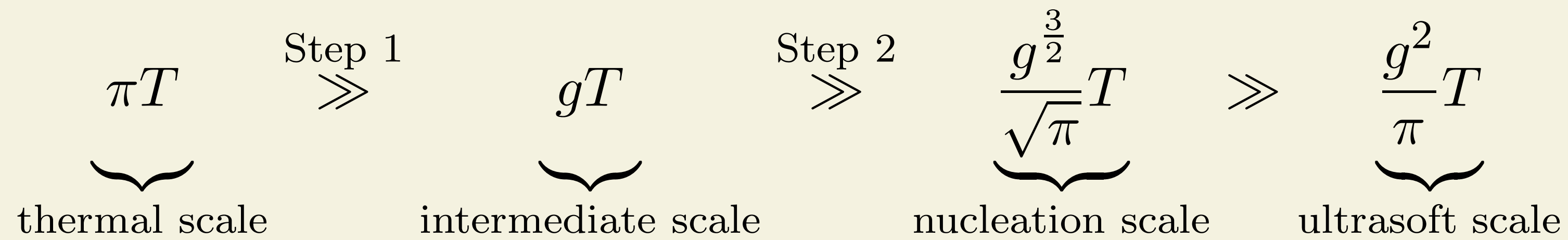
$$\square \phi_b \sim \mu^2 \phi_b, \quad \phi_b(\infty) = 0,$$

$$\implies \phi_b(r) \sim c \frac{e^{-\mu r}}{r} \quad \text{as } r \rightarrow \infty$$



$$\int d^3x \frac{(\partial_\mu \phi_b)^2}{\phi_b} \approx (\text{contribution from } r \leq R) - 4\pi c \mu^2 \int_{r \geq R} dr r e^{-\mu r}$$

# Protected by a Hierarchy of Scales



Scale	Validity	Dimension	Action	Fields
<i>Intermediate</i>	$gT$	$d$	$S_3(\phi_3)$	$B_{3,i}, B_0, H_3, \chi_3, c_3$
		↓ Step 2: Matching 1PI actions.		
<i>Nucleation</i>	$g^{\frac{3}{2}} T$	$d$	$S_{\text{nucl}}(\hat{\phi}_3)$	$\hat{H}_3$

$$Z = \int \mathcal{D}\Phi^{\text{IR}} e^{-S_{\text{eff}}[\Phi^{\text{IR}}]},$$

$$S_{\text{eff}}[\Phi^{\text{IR}}] = -\log \int \mathcal{D}\Phi^{\text{UV}} e^{-S[\Phi^{\text{IR}} + \Phi^{\text{UV}}]}.$$

See also:

[2205.02687](#), Joonas Hirvonen

[2108.04377](#), Oliver Gould, Joonas Hirvonen

# The strict expansion

$$S_{\text{eff}}[\phi] = S_{\text{LO}}[\phi] + x S_{\text{NLO}}[\phi] + \dots$$

$$\left. \frac{\delta S_{\text{LO}}[\phi]}{\delta \phi(x)} \right|_{\phi=\phi_{\text{LO}}} = 0$$

$$\phi_{\text{min}} = \phi_{\text{LO}} + x \phi_{\text{NLO}} + \dots$$

$$\Sigma = A e^{-(\mathcal{B}_0 + \mathcal{B}_1)},$$

$$\mathcal{B}_0 = \beta \int d^3x \left[ V_{g^3}^{\text{eff}}(\phi_b) + \frac{1}{2} (\partial_i \phi_b)^2 \right],$$

$$\mathcal{B}_1 = \beta \int d^3x \left[ V_{g^4}^{\text{eff}}(\phi_b) + \frac{1}{2} Z_g (\partial_i \phi_b)^2 \right]$$

# Gauge invariance

$$\xi \frac{\partial}{\partial \xi} V_{g^4}^{\text{eff}} = -C_g \frac{\partial}{\partial \phi} V_{g^3}^{\text{eff}} ,$$

$$\xi \frac{\partial}{\partial \xi} Z_g = -2 \frac{\partial}{\partial \phi} C_g ,$$

$$Z_{\text{NLO}}(\phi) = \frac{gT}{48\pi} \left[ -\frac{22}{\phi} + \frac{\phi^2}{\left(\frac{1}{3}T^2 + \phi^2\right)^{\frac{3}{2}}} \right]$$

$$C_{\text{LO}} = T \frac{\sqrt{\xi}}{16\pi} g$$

# Gauge invariance

$$\xi \frac{\partial}{\partial \xi} V_{g^4}^{\text{eff}} = -C_g \frac{\partial}{\partial \phi} V_{g^3}^{\text{eff}} ,$$

$$\xi \frac{\partial}{\partial \xi} Z_g = -2 \frac{\partial}{\partial \phi} C_g ,$$

$$Z_{\text{NLO}}(\phi) = \frac{gT}{48\pi} \left[ -\frac{22}{\phi} + \frac{\phi^2}{\left(\frac{1}{3}T^2 + \phi^2\right)^{\frac{3}{2}}} \right]$$

$$C_{\text{LO}} = T \frac{\sqrt{\xi}}{16\pi} g$$

$$\xi \frac{\partial}{\partial \xi} \mathcal{B}_1 = \xi \frac{\partial}{\partial \xi} \beta \int d^3x \left[ V_{\text{NLO}}^{\text{eff}}(\phi_b) + \frac{1}{2} Z_{\text{NLO}} (\partial_\mu \phi_b)^2 \right]$$

$$\stackrel{(A)}{=} \beta \int d^3x \left[ -C_{\text{LO}} \frac{\partial}{\partial \phi} V_{\text{LO}}^{\text{eff}}(\phi_b) \right]$$

$$\stackrel{(B)}{=} -C_{\text{LO}} \beta \int d^3x \left[ \square \phi_b \right]$$

$$\stackrel{(C)}{=} -C_{\text{LO}} \beta \int d^2S \cdot (\partial \phi_b)$$

$$\stackrel{(D)}{=} 0 .$$

# Recommendations

- Establish that an LO potential with correct behaviour exists
- When calculating nucleation rates, pay close attention to
  1. The hierarchy of scales
  2. How to generate a barrier
- Use EFTs + **strict** expansions! (Do not mix orders in the perturbative expansion.)
- Go forth and count powers!



**Thank you!**

# Bonus: Future Work

- Apply the methods to phenomenological models (2HDMs, SMEFT, ...)
- Extend the methods to higher orders to probe general behaviour of perturbation theory
- “What does a ghost weigh?” — I.e. what does it mean to integrate out a non-physical field?

# Bonus: “The Laundry List”

*Stop comparing resummation methods, JL, 2301.05197*

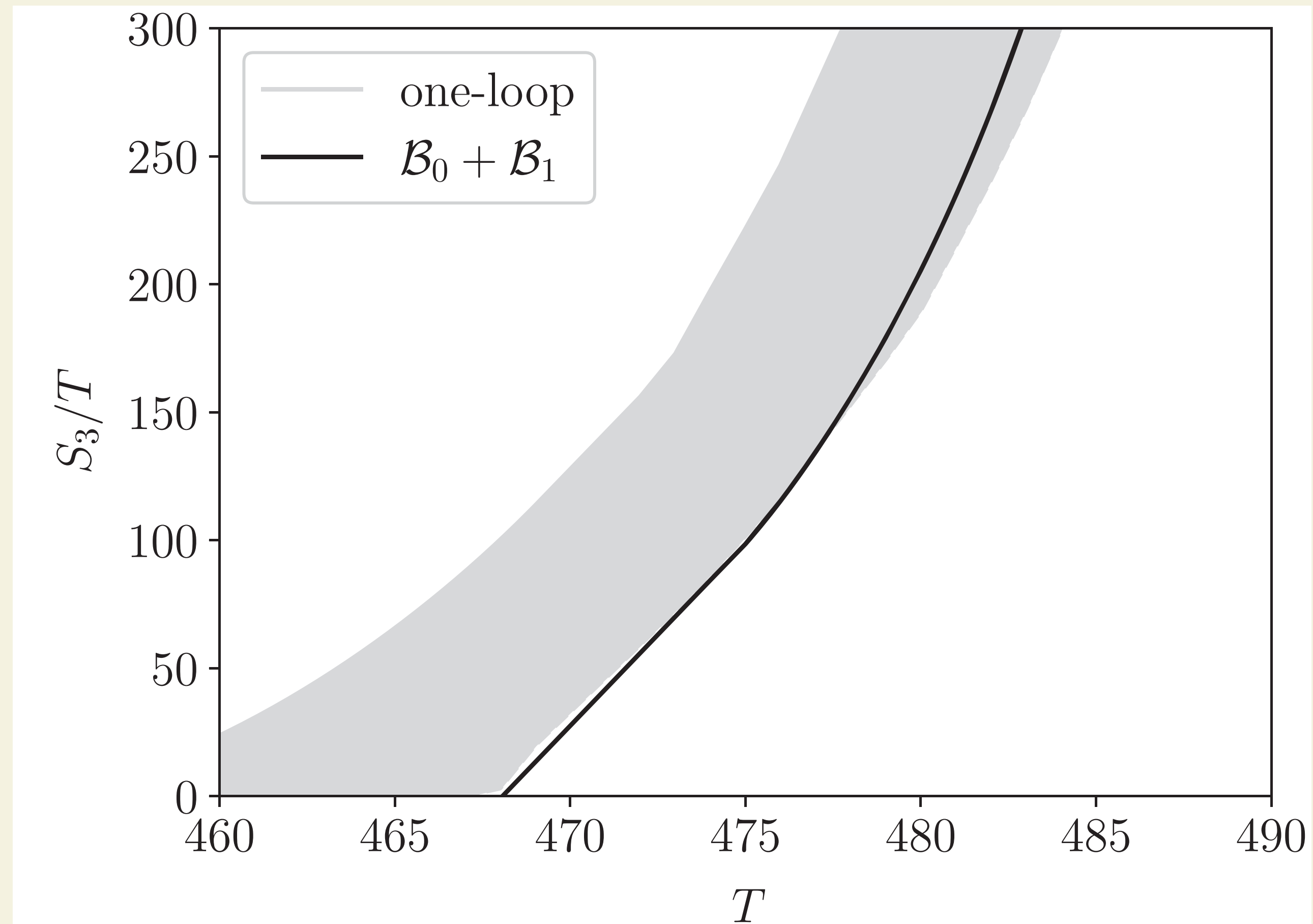
- Gauge dependence
- Strong renormalization scale dependence
- The Goldstone boson catastrophe
- IR divergences
- Imaginary potentials
- Mirages
- Perturbative breakdown
- Resummation method dependence
- Linear terms

# Bonus: “The Laundry List” — Dissolved

*Stop comparing resummation methods, JL, [2301.05197](#)*

- ~~Gauge dependence~~
- ~~Strong renormalization scale dependence~~
- ~~The Goldstone boson catastrophe~~
- ~~IR divergences~~
- ~~Imaginary potentials~~
- ~~Mirages~~
- Perturbative breakdown
- ~~Resummation method dependence~~
- ~~Linear terms~~
- Use EFTs together with strict expansions!
- Take perturbation theory seriously
- Use hierarchies of scales to guide your thinking

# Bonus: Numerical Results



$$\xi = [0, 4]$$