Axions in the Early Universe: an Overview

Lorenzo Sorbo





Axions in Stockholm 2025

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Plan

- Why you should like axions as inflatons
- Not only cosines

- (Some) other situations

- Axion inflaton coupled to U(1) gauge fields: phenomenology - Axion inflaton coupled to U(1) gauge fields: strong backreaction



Inflation and radiative stability **5 BIG FACTS ABOUT THE UNIVERSE**



- it is old and very large

- in first approximation it is homogeneous and isotropic

- it is approximately flat

- structure grew out of small, scale invariant perturbations

- spectrum of primordial perturbations was gaussian

... can all be explained by primordial inflation!

The 2dF Quasar Redshift Surve

A classical inflationary potential will receive radiative corrections

How to make sure that radiative effects are under control?

The situation is actually not so horrible...

- If we have a 4d theory where ϕ interacts only with gravity
- then perturbative quantum gravity corrections are not a problem!
 - Indeed: for potential $V(\phi)$, quantum gravity effects are
 - $O(1) V(\varphi)^2/M_P^4$ and $O(1) V''(\varphi) V(\varphi)/M_P^2$
 - negligible during inflation
 - However, in general there will be couplings to other fields

Smolin 80, Linde 88







moduli in string th

How to guarantee radiative stability? **Impose the shift symmetry!**

(Naturally realized if the inflaton is a pseudo-Nambu—Goldstone boson)

(more general than QCD axion)

pNGb=ALP=axion

For the same reason why axions are good inflaton candidates, they are excellent quintessence candidates

(bonus: they do not mediate fifth forces!) Carroll 98

Incidentally...

Back to inflation...



Natural inflation

Freese et al 1990



But data...

CEP/Keck 2021 B



...but let's keep pressing!

Stringy models of natural inflation?

String Theory appears to require $f < M_P$

(not only disfavored by data, if $f < M_P$ the cosine potential cannot sustain inflation at all!)

YES! (in principle) (string theory contains a plethora of axions) Liam's talk

However

Banks, Dine, Fox and Gorbatov 03 Arkani-Hamed, Motl, Nicolis, Vafa 06



Fig. 8. Marginalized joint 68 % and 95 % CL regions for n_s and r at $k = 0.002 \,\mathrm{Mpc}^{-1}$ from *Planck* alone and in combination with BK15 or BK15+BAO data, compared to the theoretical predictions of selected inflationary models. Note that the marginalized joint 68 % and 95 % CL regions assume $dn_s/d \ln k = 0$.

data we use the full constraining power of *Planck*, i.e., *Planck* TT,TE,EE+lowE+lensing, in combination with BK15.

$$\frac{1 - \cos\left(\left(\frac{\theta}{f_2} + \frac{\rho}{g_2}\right)\right) \right]$$

$$\left(\frac{\theta}{f_2} + \frac{\rho}{g_2}\right) \right]$$

$$\left(\frac{f_2}{f_2} + \frac{\rho}{g_2}\right) = \frac{f_2}{g_2}$$

rops out of the potential

- who presential $f_i g_i g_i$
- still be approblement)
- (issue 1)



-2.5 2.5 5 7.5 0

Phenomenanday belever

17

$$\mathcal{L} = -\sqrt{-g} \sum_{i=1}^{N} \left\{ \frac{1}{2} \left(\partial \phi_i \right)^2 + \Lambda_i^4 \left[1 + \cos(\phi_i/f_i) \right] \right\}$$
 - Use \mathcal{L}

-7.5

-5

The $\Delta \chi^2$ and the Bayesian evidence values for a seleq-tion of inflationary models with respect to the R^2 model ays out?

- Use two axions

Kim, Nilles and Peloso 2004

- Use axions and moduli

Blanco-Pillado et al 2004



many axions poulos et al 2005

-all based on multi field dynamics
- (nota bene: "string inspired"≠ "string theoretical")



Monodromy:

energy depends on number of times brane looped around a cycle



Or, just forget about periodic potentials!

McAllister, Silverstein, Westphal 08



A four-dimensional incarnation

$$\mathcal{S}_{bulk} = \int d^4x \sqrt{g} \Big(\frac{M_{Pl}^2}{2} R - \frac{1}{2} (\nabla \phi)^2 - \frac{1}{48} F_{\mu\nu\lambda\sigma}^2 + \frac{\mu\phi}{24} \frac{\epsilon^{\mu\nu\lambda\sigma}}{\sqrt{g}} F_{\mu\nu\lambda\sigma} + \dots \Big)$$

Di Vecchia and Veneziano 1980 Quevedo and Trugenberger 1996 Dvali and Vilenkin 2001 Beasley and Witten 2002 Dvali 2005

under
$$\phi \rightarrow \phi + c$$
, L

total derivative!

Kaloper, LS 08

 $F_{\mu\nu\varrho\lambda} = \partial_{[\mu} A_{\nu\varrho\lambda]}$

Action invariant under shift symmetry:

 $\rightarrow L + c \ \mu \ \epsilon^{\mu\nu\varrho\lambda} F_{\mu\nu\varrho\lambda}/24$

(ϕ is an axion!)





A four-dimensional incarnation

$$\mathcal{S}_{bulk} = \int d^4x \sqrt{g} \left(\frac{M_{Pl}^2}{2}R - \frac{1}{2}\right)$$

Variation of the action $\begin{cases} \nabla^{\mu}(F_{\mu\nu\varrho\lambda}-\mu \ \varepsilon_{\mu\nu\varrho\lambda} \phi)=0\\ \nabla^{2}\phi+\mu \ \varepsilon^{\mu\nu\varrho\lambda} F_{\mu\nu\varrho\lambda}/24=0 \end{cases}$

Kaloper, LS 08





A four-dimensional incarnation



$$\nabla\phi)^2 - \frac{1}{48}F_{\mu\nu\lambda\sigma}^2 + \frac{\mu\phi}{24}\frac{\epsilon^{\mu\nu\lambda\sigma}}{\sqrt{g}}F_{\mu\nu\lambda\sigma} + \dots\Big)$$

But isn't $m^2\phi^2$ inflation ruled out?

Yes, but corrections can flatten out potential at large ϕ D'Amico, Kaloper, Lawrence 17



Moving to phenomenology...



modes of photon

Pseudoscalar, quasi-shift symmetric inflaton, radiatively stable

theoretically very attractive

Coupling to U(1) gauge fields:

 $\mathcal{L}(\varphi, A^{\mu}) = \frac{1}{2} \partial_{\mu} \varphi \partial^{\mu} \varphi - V(\varphi) - \frac{1}{4} F^{\mu\nu} F_{\mu\nu} - \frac{\alpha}{4f} \varphi F^{\mu\nu} \tilde{F}^{\mu\nu}$

$$\lambda \frac{\alpha \, \phi'}{f} |\mathbf{k}| \Big) \, A_{\lambda} = 0$$



 $A_{\lambda}'' + \left(\mathbf{k}^2 + \lambda \frac{\alpha \, \phi'}{f} |\mathbf{k}|\right) \, A_{\lambda} = 0$

for $\lambda = -$, the "mass term" is negative and large for ~1 Hubble time:

Exponential amplification of left-handed modes only (parity violation)



$$\left\{ \frac{\pi}{2} \frac{\alpha \dot{\phi}}{f H} \right\}$$



A (large) population of chiral vector fields during inflation

potential for a very rich phenomenology!

Cosmological magnetic fields

(Observed up to $\sim Mpc$ scales, $\sim 10^{-17}G$, uncertain origin)

Blue spectrum, $B(k) \propto k^2$ too weak at large scales

...but inverse cascade (MHD effect for chiral gauge fields, amplifying large scale spectrum)

Carroll, Field, Garretson 93 Anber, LS 06 Durrer et al 10 Sharma et al 24

. . .





Nonvanishing net helicity +chiral anomaly Anber Sabancilar 16 Domcke von Harling Morgante Mukaida 19 1 Baryogenesis $H_{\rm inf} = 10^{14} \, {\rm GeV}$ 10⁻⁴ η_{bar} 10^{-8} $\eta_{\rm bar} = 10^{-10}$ 10⁻¹² $H_{\rm inf} = 10^{10} { m GeV}$ $H_{\rm inf} = 10^8 { m GeV}$ 10⁻¹⁶ 3 2 4









Parity violation in the scalar sector



Shiraishi 16

Possible to see at the trispectrum level (see Sha's talk on Monday)





(Chiral) gravitational waves





LS 11

 $A_{\mu} + A_{\nu} \to \delta g_{\mu\nu}$

(Chiral) gravitational waves

$$A_{\mu} + A_{\nu} \rightarrow \delta g_{\mu\nu}$$

$$\frac{2}{M_{P}^{2}} \begin{pmatrix} 1 + 9 \times 10^{-7} \frac{H^{2}}{M_{P}^{2}} \frac{e^{4\pi\xi}}{\xi^{6}} \end{pmatrix}$$

$$\frac{2}{M_{P}^{2}} \begin{pmatrix} 1 + 2 \times 10^{-9} \frac{H^{2}}{M_{P}^{2}} \frac{e^{4\pi\xi}}{\xi^{6}} \end{pmatrix}$$

$$\xi \equiv \frac{\alpha \dot{\phi}}{2fH} \gtrsim 1$$

$$A_{\mu} + A_{\nu} \to \delta g_{\mu\nu}$$

$$\mathcal{P}_{L}(\mathbf{k}) = \frac{H^{2}}{\pi^{2} M_{P}^{2}} \begin{pmatrix} 1 + 9 \times 10^{-7} \frac{H^{2}}{M_{P}^{2}} \frac{e^{4\pi\xi}}{\xi^{6}} \end{pmatrix}$$

$$\mathcal{P}_{R}(\mathbf{k}) = \frac{H^{2}}{\pi^{2} M_{P}^{2}} \begin{pmatrix} 1 + 2 \times 10^{-9} \frac{H^{2}}{M_{P}^{2}} \frac{e^{4\pi\xi}}{\xi^{6}} \end{pmatrix}$$
"standard"
$$\xi \equiv \frac{\alpha \dot{\phi}}{\alpha \, \ell \, W}$$

parity-invariant part

Parity violation in CMB, $\langle EB \rangle \neq 0$?



...but also, very large f_{NL}

$A_{\mu} + A_{\nu} \rightarrow \delta \varphi$ When effect of photons is large enough, $f_{NL} \sim 10^4$



LARGE AXION INDUCED TENSORS AT CMB SCALES **RULED OUT** (at least in simple models)

Barnaby Peloso 10



FIG. 2: Observational predictions for the large-field powerlaw inflation model (11) with p = 1, 2 and assuming $N_e \cong 60$. The spectral index is $n_s = 0.975, 0.967$ for p = 1, 2. At small f/α the coupling of ϕ to $F\tilde{F}$ is stronger and nongaussianity is large. The tensor-to-scalar ratio decreases at strong coupling; however, the decrease is important only at values of f/α which are ruled out by the current bound on f_{NL}^{equil} .



How about an axion in a transient roll?

Nongaussianities in the T fluctuations are weakly constrained because of cosmic variance

Namba, Peloso, Shiraishi, LS, Unal 15 (see Caravano, Peloso 24 for a recent analysis)

Field σ ($\neq \phi$) coupled to gauge fields rolls only for a finite number of efoldings

$$V_{\sigma}(\sigma) = \frac{\Lambda^4}{2} \left[\cos\left(\frac{\sigma}{f}\right) + 1 \right]$$

its effects will be visible only on a finite range of multipoles







Important! Constraints on f_{NL} on CMB scales only!

$$\begin{aligned} \mathcal{P}_{L}(\mathbf{k}) &= \frac{H^{2}}{\pi^{2} M_{P}^{2}} \left(1 + 9 \times 10^{-7} \frac{H^{2}}{M_{P}^{2}} \frac{e^{4\pi\xi}}{\xi^{6}} \right) \\ \mathcal{P}_{R}(\mathbf{k}) &= \frac{H^{2}}{\pi^{2} M_{P}^{2}} \left(1 + 2 \times 10^{-9} \frac{H^{2}}{M_{P}^{2}} \frac{e^{4\pi\xi}}{\xi^{6}} \right) \end{aligned}$$

ξ typically *increases* during inflation GWs produced towards the end of inflation (i.e., at smaller scales) have larger amplitude



Cook LS 11

 $\xi \equiv \frac{\alpha \phi}{2 f H} \gtrsim 1$



GWs at smaller scales

Important! Constraints on f_{NL} on CMB scales only! Inflationary gravitational waves for LIGO (LISA...)?



Barnaby Pajer Peloso 11



Is parity violation in stochastic GWs detectable by interferometers?

Not as long as system is *Z*₂-symmetric!



Seto Taruya 07



Is parity violation in stochastic GWs detectable by interferometers?

The presence of cosmic GW background dipole breaks the symmetry

Additional detectors break the Z_2 symmetry

Seto 06, Domcke et al 19

$$SNR \simeq \left(\frac{v}{10^{-3}}\right) \left|\frac{\sum_{\lambda} \lambda \,\Omega_{GW}^{\lambda} h^2}{1.4 \cdot 10^{-11}}\right| \sqrt{\frac{T}{3 \, \text{year}}}$$
for LISA

Crowder et al 12

for maximal chirality need $\Omega_{GW} \sim 10^{-8}$ for LIGO/Virgo/Kagra (already ruled out)

Domcke et al 19



an extra interferometer to maximize sensitivity?











Other features?

GW interferometers have poor but nonzero angular sensitivity

Bartolo et al 22



Figure 9: Estimated LISA sensitivity to a given multipole ℓ of the SGWB, for multipoles up to $\ell = 10$. Even (odd) multipoles are shown with solid (dashed) lines. The sensitivity is obtained by optimally summing over the LISA channels, see Eqs. (4.42) and (4.43).

Corba' LS 24

Angular correlations of energy in GWs with scalar CMB perturbations?

Other features?

Two sources of correlation



Corba' LS 24

Angular correlations of energy in GWs



Other features?

Two sources of correlation



Corba' 25

$$\frac{\times 10^{-5}}{\delta^2} \left(2\pi \frac{d\xi}{d\phi_0} \frac{\dot{\phi}_0}{H} \right)^2 \simeq 10^{-5} \div 10^{-1}$$
$$\delta \approx .05 \div .2$$



field is amplified.

Linde, Mooij, Pajer 12 Garcia-Bellido, Peloso, Unal 16

Figure 5. Scalar and tensor signals for a linear inflation potential. The solid lines show the signal if $\mathcal{N} = 6$ gauge fields are amplified. For comparison, the dashed lines show the signal when 1 gauge



 $V'(\phi) = - \frac{\alpha}{f} \left\langle \vec{E} \cdot \vec{B} \right\rangle$

 $\ddot{\phi} + 3H\dot{\phi} + V'$

Anber LS 09

Exponentially large occupation numbers for vectors

backreaction becomes quickly important: $\mathbf{\Lambda}$

$$(\phi) = - \quad \frac{\alpha}{f} \langle \vec{E} \cdot \vec{B} \rangle \qquad \langle \mathbf{E} \cdot \mathbf{B} \rangle \propto e^{\pi}$$

Strong backreaction regime:





NOTE: strong backreaction happens quite generally towards the end of inflation in phenomenologically interesting models





But remember $\langle \mathbf{E} \cdot \mathbf{B} \rangle = \int \mathbf{E}(\mathbf{k}) \cdot \mathbf{B}(\mathbf{k}) d^3 \mathbf{k}$

Cannot use single equation local in time, **need numerics!** $= -\frac{\alpha^2}{4\pi^2 a^3 f} \int dk \, k^2 \, \frac{\partial}{\partial \tau} \left|A_+\right|^2$ $A_{+} = 0$

$$\Phi'' + 2aH\Phi' + a^2 V'$$

$$A_+'' + k^2 A_+ - \frac{\alpha \Phi'}{f} A_+$$

(neglecting inflation gradients and non-amplified helicity of gauge field)

where E(k, t) and B(k, t) depend on E(k, t' < t), B(k, t' < t)

Numerical result with uniform inflaton and one helicity of photon only



Cheng, Lee, Ng, 15 Notari, Tywoniuk 16 Dall'Agata, Gonzalez-Martin, Papageorgiou, Peloso 19 Domcke, Guidetti, Welling, Westphal 20 Gorbar, Schmitz, Sobol, Vilchinwskii 21



Where is this coming from?

Notari, Tywoniuk 16 Domcke, Guidetti, Welling, Westphal 20

 $\ddot{\phi}(t) + 3H\dot{\phi}(t) + V'(\phi(t)) = -\frac{\alpha}{f} \int^{\tau} K(t, t') \langle \mathbf{E} \cdot \mathbf{B} \rangle(t') dt' \simeq -\frac{\alpha}{f} \langle \mathbf{E} \cdot \mathbf{B} \rangle(t - \Delta t)$

$\langle \mathbf{E} \cdot \mathbf{B} \rangle$ does not react instantly to change in ξ





So.... why oscillations?

Baby example: try to solve f'(t) = f(t+q), with q real solution $f(t) = e^{at}$, where a must satisfy $a = e^{aq}$

Equation has two real roots a_1 , a_2 for $q < e^{-1}$

but most importantly:

Looking for complex $a = a_R + i a_I \dots$

Infinite solutions! (with $q a_I \approx \pi/2 + n \pi$ at large *n*)



where...

$\Phi = \bar{\Phi} + \delta \Phi \quad , \quad A = \bar{A} + \delta A$ writing

$\delta \Phi \propto (-\tau)^{\alpha}$ look for solution



Strong backreaction Inflationary gravitational waves for LIGO (LISA...)?

$$\mathcal{P}_{L}(\mathbf{k}) = \frac{H^{2}}{\pi^{2} M_{P}^{2}} \left(1 + 9 \times 10^{-7} \frac{H^{2}}{M_{P}^{2}} \frac{e^{4\pi A}}{\xi^{6}}\right)$$
$$\mathcal{P}_{R}(\mathbf{k}) = \frac{H^{2}}{\pi^{2} M_{P}^{2}} \left(1 + 2 \times 10^{-9} \frac{H^{2}}{M_{P}^{2}} \frac{e^{4\pi A}}{\xi^{6}}\right)$$



How does this change with more realistic $\xi(t)$?

Need numerical solution of background

Example for steep-ish potential @ intermediate times



Garcia-Bellido, Papageorgiou, Peloso, LS 23





Strong backreaction Flashes of gravitational waves from axion inflation

Example for steep-ish potential @ intermediate times

•Peaks! •Parity violation! ·PTAs! ·LISA!



Garcia-Bellido, Papageorgiou, Peloso, LS 23



Strong backreaction But, lattice studies show that oscillations do not last



Caravano, Komatsu, Lozanov, Weller 22 Figueroa et al 23, 24 Sharma Brandenburg Subramanian Vikman 25 (see also Caravano Peloso 24)

> Inflaton gradients appear to be large and to affect the dynamics *a lot*! Only one oscillation or so in ξ

Strong backreaction Flashes of gravitational waves from axion inflation

Example for steep-ish potential @ intermediate times

•Peaks! •Parity violation! ·PTAs! ·LISA!



Garcia-Bellido, Papageorgiou, Peloso, LS 23





Too many gravitational waves from the end of inflation?

chaotic inflation

(but, model dependence during reheating!) Kyohei talk yesterday

Adshead, Giblin, Pieroni, Wiener 19 If axion inflation ends in a very strong backreaction regime, then GW can be overproduced at the end of inflation, violating constraints from N_{Eff}



Strong backreaction ...but, are there other ingredients in the model? If the gauge field is the SM photon in interaction with SM matter, then thermalization! Ferreira, Notari 17





Domcke, Ema, Mukaida, Sato 19 larygina, Sfakianakis, Brandenburg 25





Other settings! Chromonatural inflation

 $\mathcal{L}(\varphi, A^{\mu}) = \frac{1}{2} \partial_{\mu} \varphi \partial^{\mu} \varphi - V(\varphi) - \frac{1}{4} F^{\mu\nu}_{a} F^{\mu}_{\mu\nu} - \frac{\alpha}{4f} \varphi F^{\mu\nu}_{a} \tilde{F}^{\mu\nu}_{a}$

Can find a configuration with <u>background</u> gauge field SU(2) ~ SO(3) which respects SO(3): $A_0^a = 0 \quad , \quad A_i^a = \delta_i^a a(t) Q(t) \; ,$

Adshead, Wyman 12

Coupling to SU(2) gauge fields:

Chromonatural inflation

$\ddot{\phi} + 3H\dot{\phi} - V'(\phi) =$

$\ddot{Q} + 3H\dot{Q} + (\dot{H} + 2H^2)$

...complicated, but one can show slow roll!

Equations of motion...

$$= -3g\frac{\lambda}{f}Q^2\left(\dot{Q} + HQ\right)$$

$$Q + g Q^2 \left(2 g Q - \frac{\lambda}{f} \dot{\phi} \right) = 0$$

Chromonatural inflation

Perturbations: 2 tensors, 1 vector and 3 scalars... Dimastrogiovanni, Peloso 13 Adshead, Martinec, Wyman 13



...but can be revived if the rolling axion is not the inflaton!

(and large chiral gravitational waves!)

Dimastrogiovanni, Fasiello, Fujita 16







To sum up...

Inflationary axion dynamics is well motivated and very rich

Motivates search in data!