

String Theory and Particle Physics

I. Modern String Theory & implications for particle physics –D-branes

II. Supersymmetric Standard Model w/ intersecting D-branes (particle spectrum &couplings)

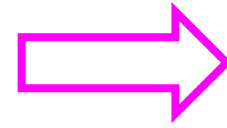
**III. New non-perturbative effects: D-instanons
Phenomenological implications: Majorana neutrino masses, μ -parameter, modified Yukawa couplings**

Ralph Blumenhagen, M. C., Timo Weigand, hep-th/0609191

M. C., Robert Richter, Timo Weigand, hep-th/0703028 & work in progress

IV. Conclusions/outlook

Quest to unify forces of nature



Green&Schwarz'84

String Theory – most promising candidate

as a consistent (finite) quantum theory of strings where elementary particles arise as massless excitations of strings.

In particular, gravitons - massless excitations of closed strings

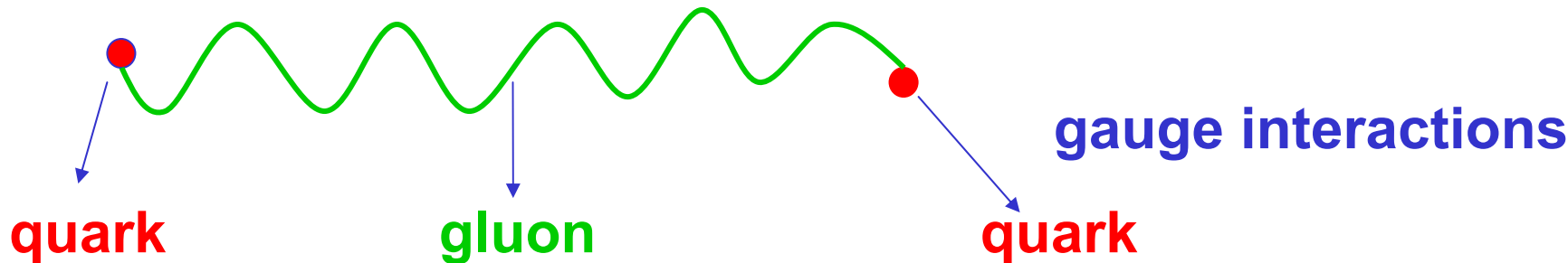
Quantum gravity for free!

Standard Model of elementary particle interactions (strong, weak & electromagnetic) based on **Non-Abelian Gauge theory**

$$SU(3)_C \times SU(2)_L \times U(1)_Y$$

Force mediated via spin 1-particles: **gluons, W-bosons & photon**

3-families: $Q_L \sim (\underline{3}, \underline{2}, 1/6)$ – quarks **chiral matter**
 $L \sim (\underline{1}, \underline{2}, -1)$ – leptons, etc. **matter**



& Supersymmetry

Modern String Theory (w/ D-branes) – geometric origin!

Perturbative String Theories (small string coupling)

Hull&Townsend'94

Witten'95

Non-perturbative Unification

11 dimensional supergravity

g_{IIA} -strong

Type IIA superstring

g_{IIA} -weak (closed)

Phenomenologically promising
(Penn) major effort in 80-90-ies&05-ies

Heterotic $E_8 \times E_8$ string

(hybrid closed)

M-theory

Type IIB superstring

(closed)

Heterotic $SO(32)$ string

(hybrid closed)

Type I superstring

(open)

w/advent of

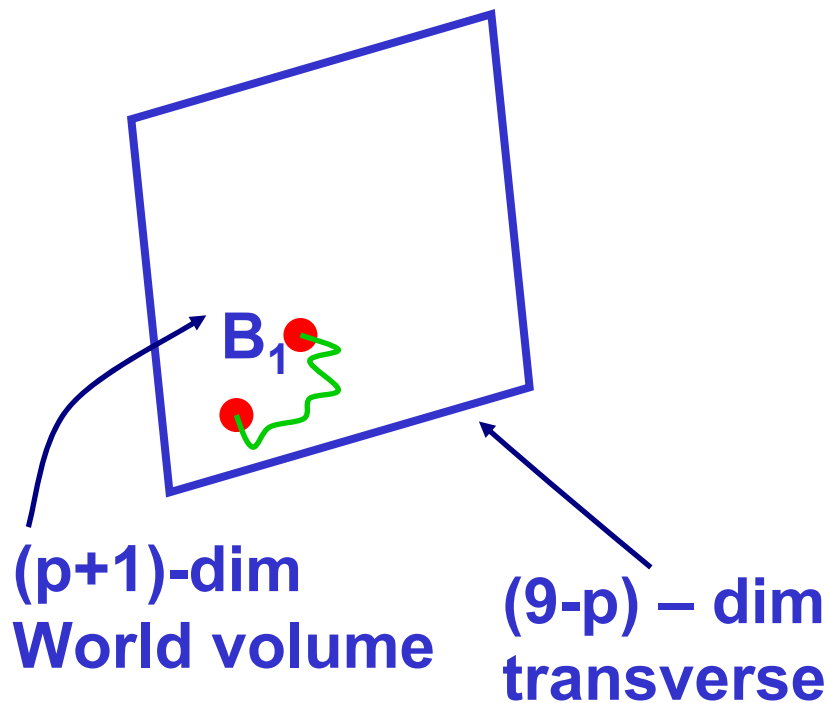
D-branes

Modern perspective on particle physics

Different String Theories related to each other by Weak-Strong Coupling DUALITY

D-branes & non-Abelian gauge theory

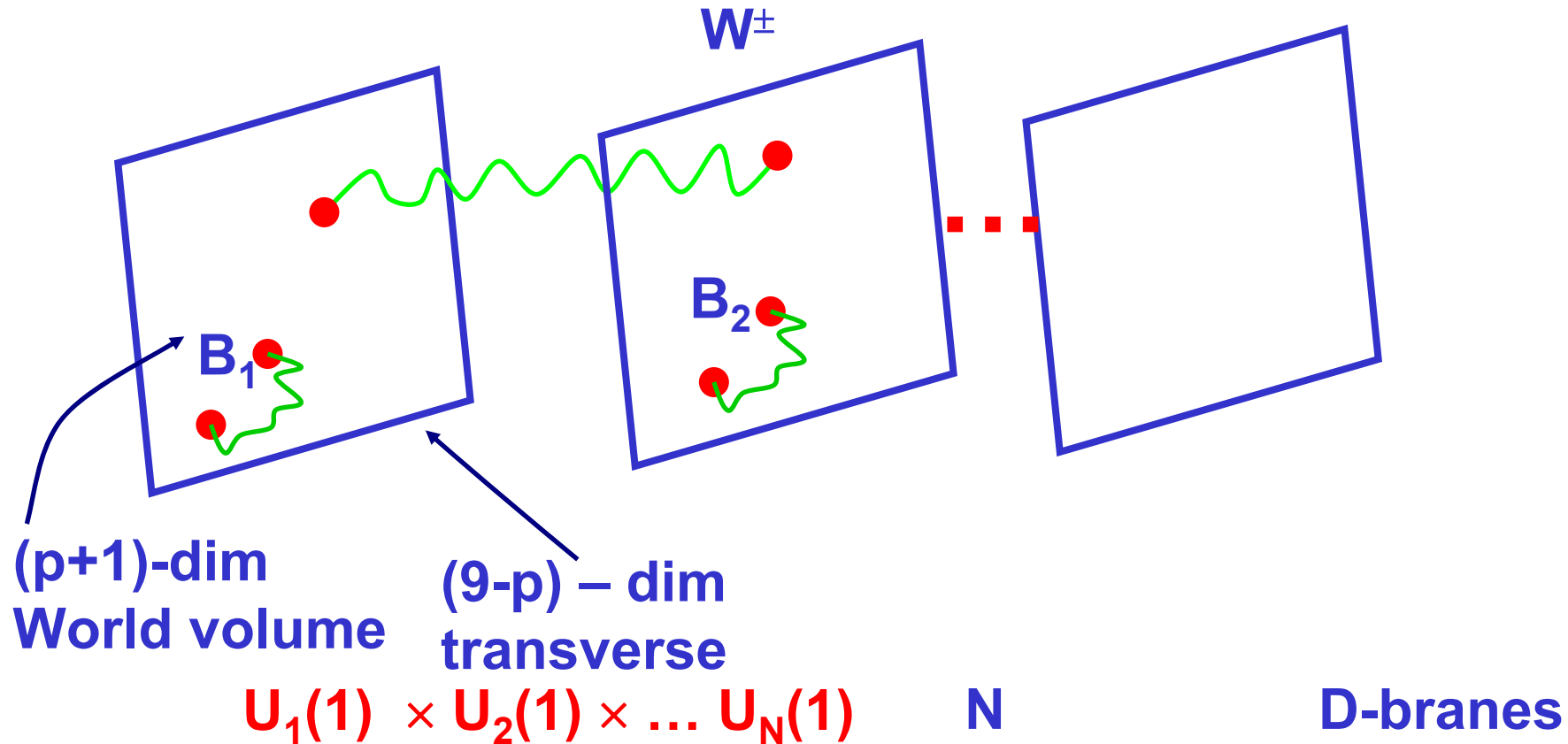
D p-branes



$B_1=U(1)$ spin-one particles as massless excitations of open strings w/boundaries on a D-brane

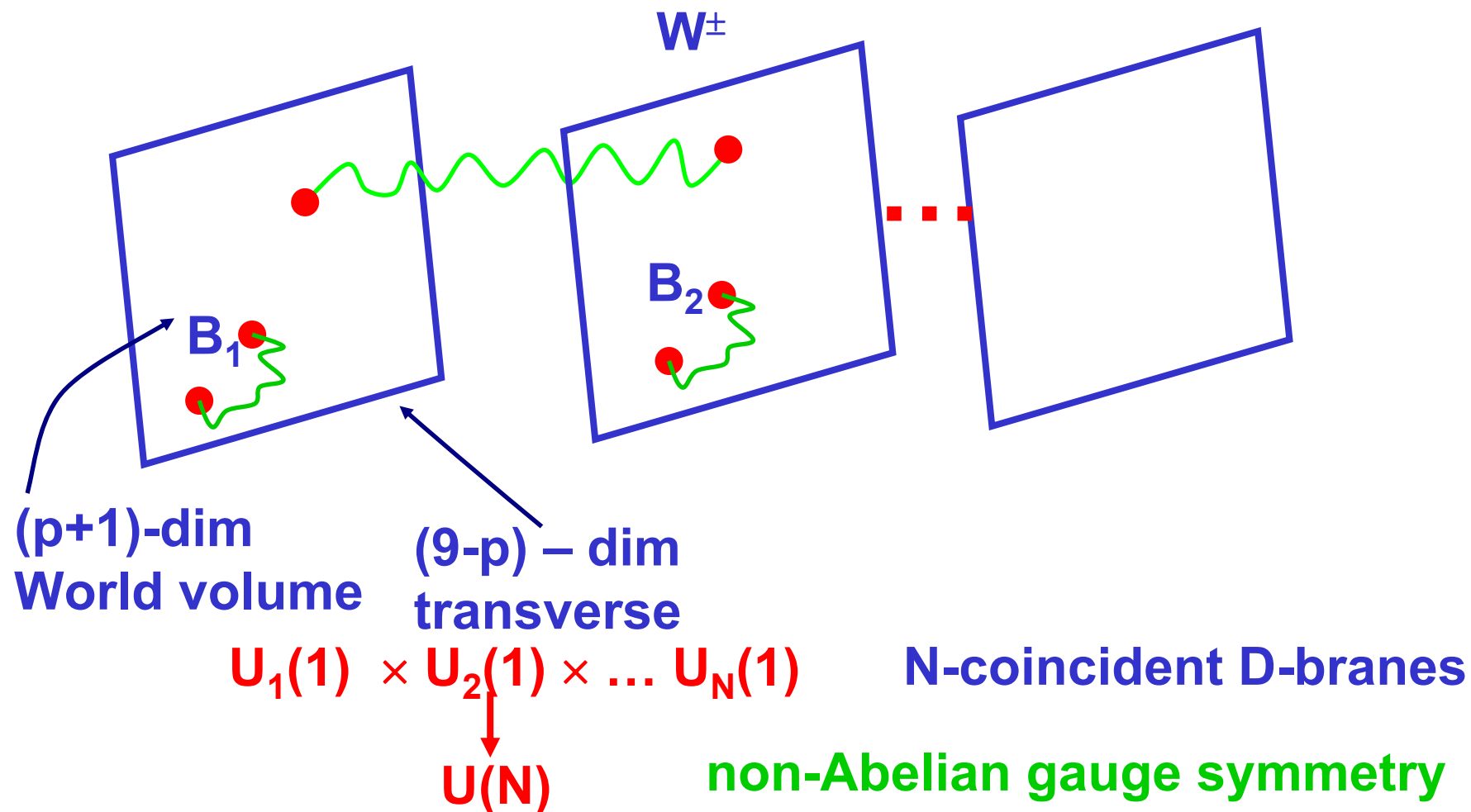
D-branes & non-Abelian gauge theory

D p-branes



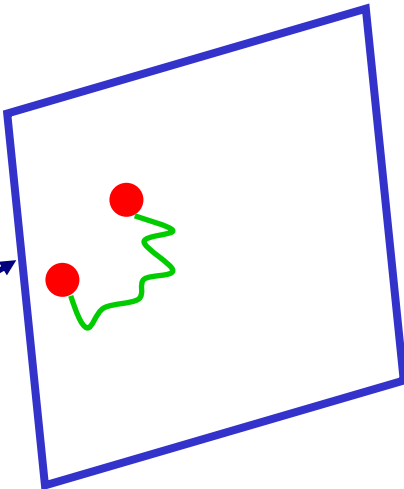
D-branes & non-Abelian gauge theory

D p-branes



DIGRESSION-Dual Nature of D-branes

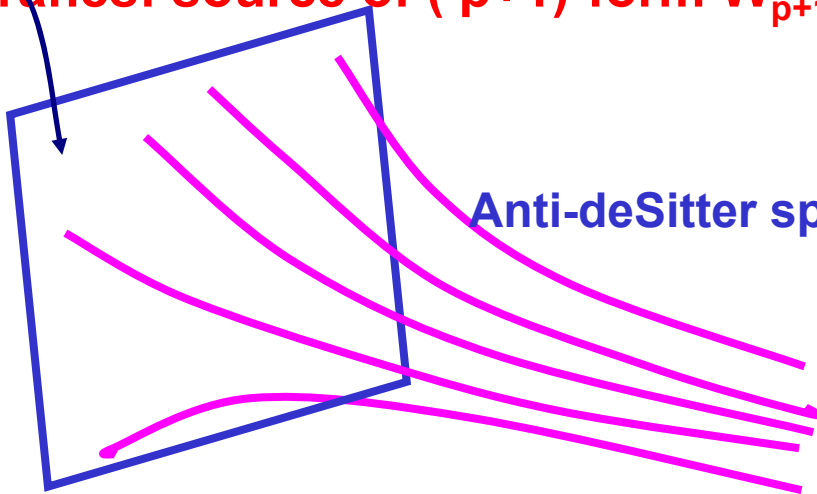
D p-branes: boundaries of open strings



(Conformal) Field Theory

(p+1)-dim
World volume

D p-branes: source of (p+1)-form W_{p+1} potential & curve space-time



Anti-deSitter space-time (negative cosmol. const.)

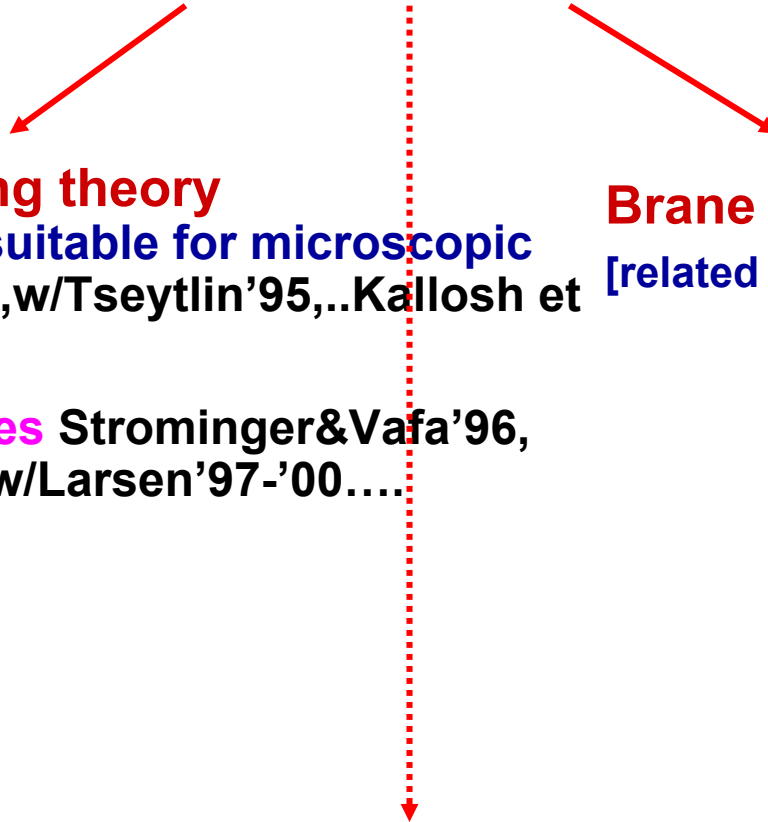
Maldacena'97

Anti-deSitter/Conformal Field Theory Correspondence AdS/CFT

Related?

Yes!

DIGRESSION: Gravitational role of branes



Black Holes in string theory

First constructions suitable for microscopic counting (w/Youm'95, w/Tseytlin'95, ... Kallosh et al.'93-present...)

Microscopic properties Strominger&Vafa'96, ...w/Tseytlin '95-'96, w/Larsen'97-'00....

Brane World Randall&Sundrum'99
[related -first supergravity domain walls
w/Griffies&Rey'92,...
w/Soleng'94-'96 (review)]

Source for ``Gravity Fluxes''

Can fix the shape of compactified space-

...Giddings, Kachru & Polchinski'01..., KKLT'03...w/Li&Liu'04...

Stabilisation of Moduli (no time!)

c.f., F. Quevedo's talk

Back to FIELD THEORY SIDE of D-branes (as boundaries of open strings)

(i) non-Abelian gauge symmetry

N-coincident D-branes  U(N)

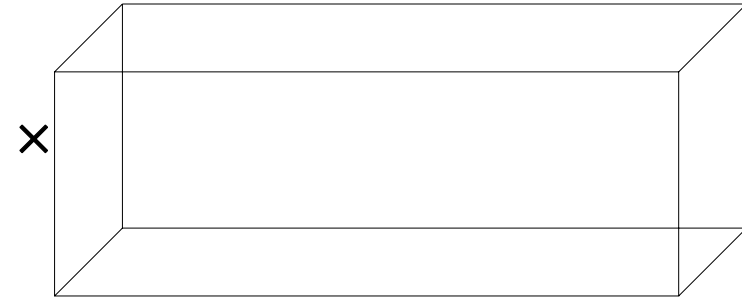
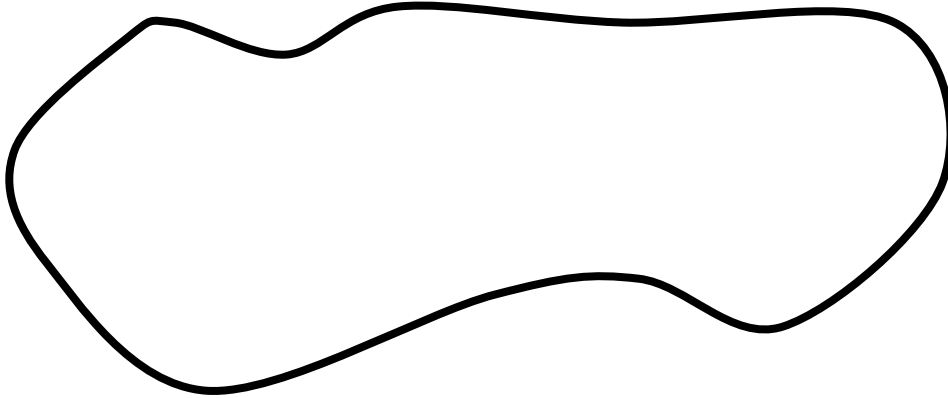
(ii) Appearance of matter  turn to compactification

Compactification

$D=9+1$  $D=3+1$



X_6 -special space (Calabi-Yau) \times $M_{(1,3)}$ -flat

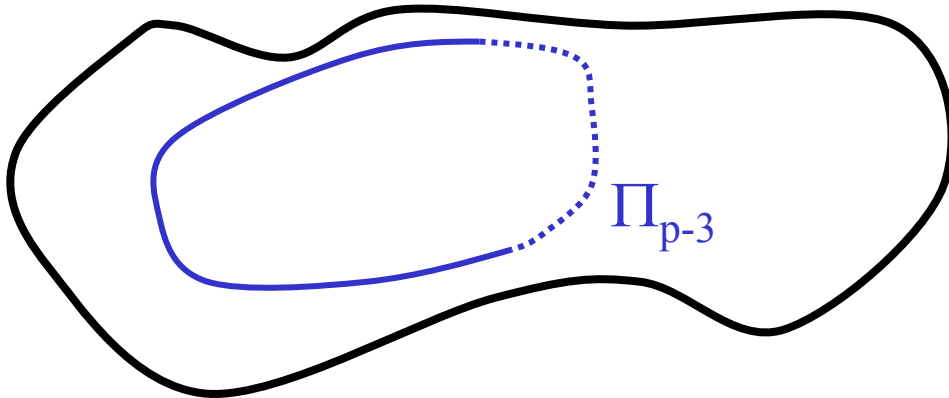


Compactification

$D=9+1$ \longrightarrow $D=3+1$



X_6 -special space (Calabi-Yau) \times $M_{(1,3)}$ -flat



D p-branes – extend in p+1 dimensions:

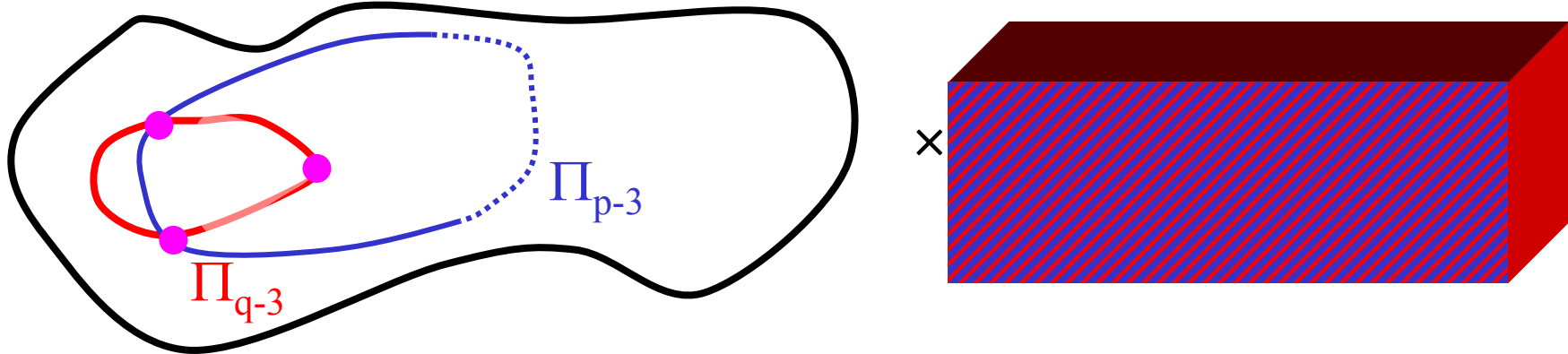
3+1-our world $M_{(3,1)}$; (p-3)-wrap Π_{p-3} cycles of X_6

Compactification

$D=9+1$ \longrightarrow $D=3+1$



X_6 -special space (Calabi-Yau) \times $M_{(1,3)}$ -flat



D p-branes – extend in $p+1$ dimensions:
3+1-our world $M_{(3,1)}$; $(p-3)$ -wrap Π_{p-3} cycles of X_6

D q-branes – extend in $q+1$ dimensions:
3+1-our world $M_{(3,1)}$; $(q-3)$ -wrap Π_{q-3} cycles of X_6

$$\begin{aligned} \Pi_{q-3} \cap \Pi_{p-3} \\ \Pi_{q-3} \subset \Pi_{p-3} \end{aligned}$$

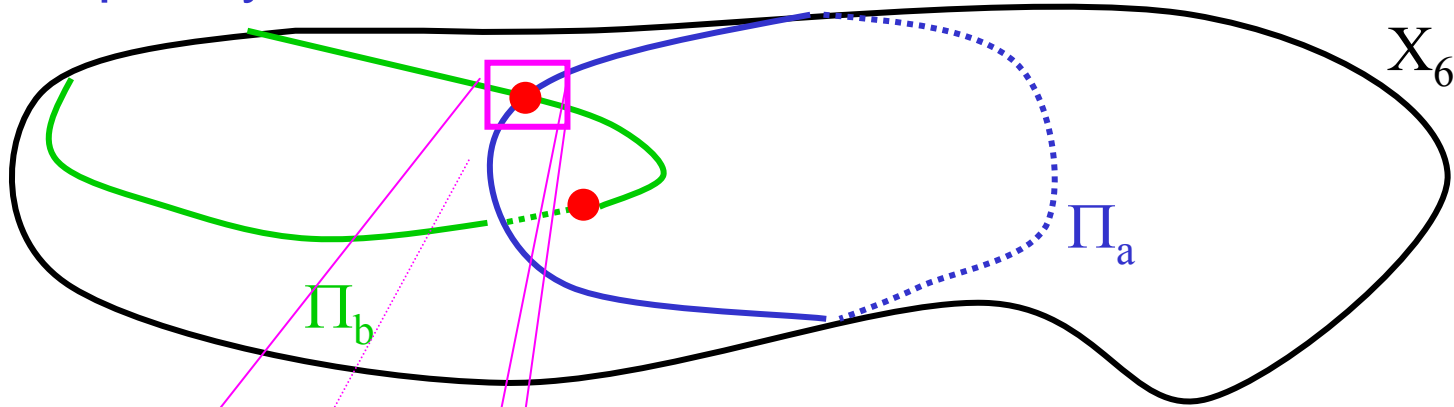


Rich structure

Penn efforts, early '00: D-branes at singularities & Wilson lines
 ...w/Wang&Plümacher'00; w/Wang&Uranga'01...

Intersecting D6-branes

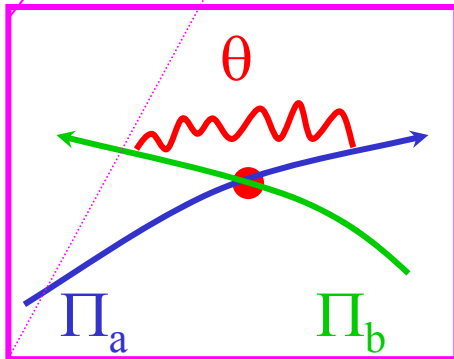
wrap 3-cycles Π



In internal space intersect at points:

Number of intersections $[\Pi_a] \circ [\Pi_b]$ - topological number

Geometric origin of family replications!



Berkooz, Douglas & Leigh '96

At each intersection-massless string excitation-

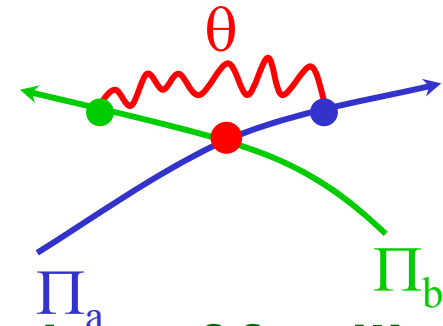
spin $\frac{1}{2}$ field ψ - matter candidate

Geometric origin of matter!

Engineering of Standard Model

N_a - D6-branes wrapping Π_a

N_b - D6-branes wrapping Π_b



$$\Psi \sim \left(\begin{array}{c} U(N_a) \times U(N_b) \\ N_a, \bar{N}_b \end{array} \right) - [\Pi_a]^\circ[\Pi_b] - \text{number of families}$$

$$N_a = 3, \quad N_b = 2, \quad [\Pi_a]^\circ[\Pi_b] = 3$$

$$U(3)_C \times U(2)_L$$

$$\Psi \sim (3, 2) - 3 \text{ copies of left-handed quarks}$$

Global consistency conditions (D6-brane charge conserv. in internal space)

& supersymmetry conditions (constraining!) - technical (no time!)



Building Blocks of Supersymmetric Standard Model

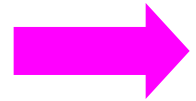
Explicit Constructions

Special six-dimensional internal space (special Calabi-Yau) :
compact flat w/isolated singularities



Torus T^6

modded out by discrete symmetry $(Z_N \times Z_M)$



Orbifold $T^6/(Z_N \times Z_M)$

[Toy example T^2/Z_2]

String theory can be quantised exactly employing

conformal field theory techniques Dixon et al'85; w/Dixon'85; M.C.'86-'87

Explicit constructions(CFT-techniques):

Toroidal Orbifolds: $T^6 / \mathbb{Z}_N \times \mathbb{Z}_M$ geometric phase

- Large (infinite) classes of non-supersymmetric Standard Models [Berlin/Munich group '00-'01], [Madrid group '00-'01]
- First three-family supersymmetric Standard Model on $\mathbb{Z}_2 \times \mathbb{Z}_2$ orientifold [M.C., Shiu, Uranga '01]

- Majority of supersymmetric constructions on $\mathbb{Z}_2 \times \mathbb{Z}_2$ orientifolds:

- i. **Semi-realistic constructions** (including $SU(5)$ GUT's)

Systematic searches [Penn group '03-'05],

Further models [Texas A&M group '06-'07]

- ii. **Coupling calculations**

- Yukawa couplings [Cremades, Ibàñez, Marchesano '03], [M.C., Papadimitriou '03 (full CFT calculation)], |

“

- Kähler potential [Lüst, Mayr, Richter, Stieberger '04] ,

- One-loop threshold corrections [Lüst, Stieberger '03], etc.

- iii. **Counting (landscape)** [Munich group '05], [Douglas & Taylor '06]

- **Other Orbifolds:**

[Blumenhagen et al. '03], [Honecker '03], [Honecker, Ott '05]

- **Abstract RCFT constructions** ((Non-geometric phase) -large classes of semi-realistic models

[Dijkstra, Huiszoon, Schellekens '04], [Anastasopoulos, Dijkstra, Kiritsis, Schellekens '04]

Three-family SM model w/ $SU(2)_L \times SU(2)_R$ directly ($Z_2 \times Z_2$ orbifold)

$$\ell^i \equiv 2m^i$$

III	$[U(4)_C \times SU(2)_L \times SU(2)_R]_{observable} \times [U(2)^* \times Sp(8)]_{hidden}$								
stack	N	$(n^1, l^1) \times (n^2, l^2) \times (n^3, l^3)$	$n_{\square\square}$	n_{\square}	b	c	d	d'	2
a	8	$(1, 0) \times (1, 3) \times (1, -3)$	0	0	3	-3	0	0	0
b	2	$(0, 1) \times (1, 0) \times (0, -2)$	0	0	-	0	-6	6	0
c	2	$(0, 1) \times (0, -1) \times (2, 0)$	0	0	-	-	-6	6	0
d	4	$(2, -1) \times (1, 3) \times (1, 3)$	$\chi_1 = 24\chi_3 / (4 - 9\chi_3^2)$ $\chi_2 = \frac{1}{2}\chi_3, \beta_2^g = -5$						
2	8	$(1, 0) \times (0, -1) \times (0, 2)$							

non-zero
Intersections
w/hidden sector
chiral exotics

wrapping nos. of SM

Cremades, Ibáñez & Marchesano '02

Embedding in $Z_2 \times Z_2$ orbifold - allows for consistent construction

w/ Langacker, Li & Liu, hep-th/0407178

*"hidden sector" (unitary) branes - necessary for global consistency
(charge conservation)

Status

- $\mathcal{O}(100)$ toroidal orientifold models (geometric phase) with semi-realistic features

- typically suffer from chiral exotics
- problems with realistic Yukawa couplings
- moduli stabilization issues

→ “The devil is in the details”

though further progress and more promising models are being constructed

- RCFT constructions-promising:
 - models without chiral exotics
 - couplings can be in principle be calculated, but hard
 - non-geometric phase—moduli stabilization?

Specific coupling issues

- Neutrino masses – Dirac and of order of charged sector masses

Majorana neutrino masses – absent

- μ -parameter – typically absent
- SU(5) GUT models – absent $10 10^5 H$ -couplings

Pertrurbative absence of all such couplings due to violation of “anomalous” U(1)

→ non-perturbative effects due to D-instantons

(non-perturbative violation of “anomalous” U(1))

Related work (contemporary with hep-th/0609191)

[Giddings&Maharana'06]

[Haack,Krefl,Lüst, VanProeyen, Zagermann, hep-th/0609211]

[Ibáñez,Uranga, hep-th/0609213] - also emphasis on charged (open sector)

superpotential coupling corrections

[Florea,Kachru,McGreevy,Saulina, hep-th/0610003]

c.f. S. Kachru's talk

"New generation" of papers (contemporary with hep-th/07003028)

[Abel,Goodsell, hep-th/0612110]

[Akerblom,Blumenhagen,Lüst,Plauschinn,Schmidt-Sommerfeld,hep-th/0612132]

[Bianchi,Kiritsis, hep-th/0702015],

[Bianchi,Fucito,Morales arXiv:0704.0784[hep-th]]

[Argurio,Bertolini,Ferretti,Lerda,Petersson aeXiv:0704.0262[hep-th]]

[Ibáñez,Schellekens,Uranga,arXiv:0704.1079[hep-th]] extensive RCFT search

for global models

[Akerblom,Blumenhagen, Lüst,Schmidt-Sommerfeld, arXiv:0705.2366[hep-th]]

one-loop & holomorphic coupling corrections

Instantons–Heuristics

Probe for non-pert. terms by computing suitable amplitudes in D-instanton background.

Euclidean Dp -brane wrapping internal $(p + 1)$ -cycle

→ for Type IIA relevant objects are **Euclidean $D2$ -branes ($E2$ -branes)**, wrapping three-cycles

Rules:

- Instanton sector corresponds to **local minimum of (full) string action**

→ $E2$ -brane volume minimizing

- Integrate over zero modes localized on $E2$

→ **All fermionic zero modes have to appear for relevant instanton induced couplings exactly once**

Focus: induced superpotential terms involving charged matter fields Φ_i

$$W_{np} \propto e^{-S_{E2}} = \exp \left[\frac{2\pi}{\ell_s^3} \left(-\frac{1}{g_s} \int_{\Xi} \Re(\Omega_3) + i \int_{\Xi} C_3 \right) \right]$$

Gauge potential sourced by D6-brane-transforms under $U(1)_a$! \rightarrow
 exponential not gauge invariant under $U(1)_a$!

$$e^{-S_{E2}} \rightarrow e^{i Q_a(E2) \Lambda_a} e^{-S_{E2}} : Q_a(E2) = \frac{\ell_s^3}{2\pi} N_a \Xi \circ (\Pi_a - \Pi'_a)$$

Consequence:

If $Q_a(E2) \neq 0$ for some a , no terms $W = e^{-S_{E2}}$ possible but:

$$W = \prod_i \Phi_i e^{-S_{E2}} \quad \text{with} \quad \sum_i Q(\Phi_i) + Q_a(E2) = 0 \quad \forall a$$

\rightarrow This selection rule explained in terms of fermionic zero modes:

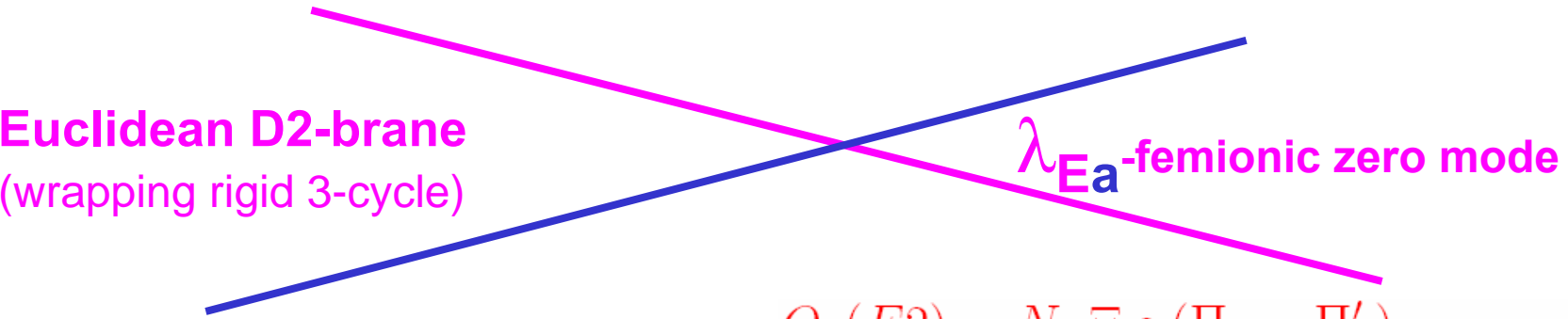
Constraints on Zero Fermionic Modes:

I. 3-cycle wrapped by instanton: RIGID & invariant under orientifold projection

II. Zero modes (strings between $E2$ and $D6_a$):

→ Localized at the each intersection of $E2$ and $D6_a$ branes:

One single fermionic zero mode λ_a



D6_a-brane

$$Q_a(E2) = N_a \Xi \circ (\Pi_a - \Pi'_a)$$

in agreement with $e^{-S_{E2}} \rightarrow e^{i Q_a(E2) \Lambda_a} e^{-S_{E2}}$

zero modes	Reps.	number
$\lambda_{a,I}$	$(-1_E, \square_a)$	$I = 1, \dots, [\Xi \cap \Pi_a]^+$
$\bar{\lambda}_{a,I}$	$(1_E, \bar{\square}_a)$	$I = 1, \dots, [\Xi \cap \Pi_a]^-$
$\lambda_{a',I}$	$(-1_E, \bar{\square}_a)$	$I = 1, \dots, [\Xi \cap \Pi'_a]^+$
$\bar{\lambda}_{a',I}$	$(1_E, \square_a)$	$I = 1, \dots, [\Xi \cap \Pi'_a]^-$

**Develop CFT INSTANTON CALCULUS to determine
such non-perturbatively induced superpotential
couplings quantitatively (technical, no time!)**

Phenomenological Implications:

Effects on Superpotential Matter Couplings

One can generate perturbatively forbidden matter couplings:

I. Majorana masses for right-handed neutrinos

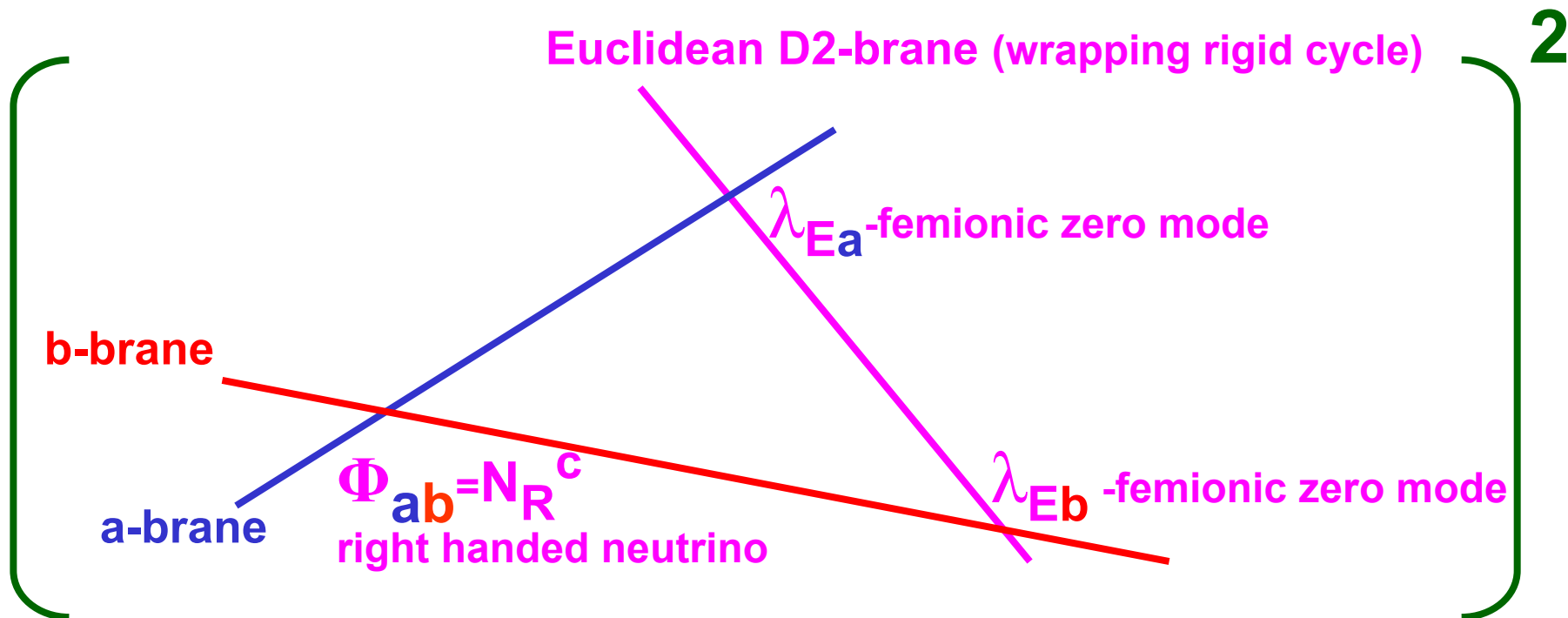
→ Neutrino Dirac masses $W_H = H^+ L_L (N_R)^c$ typically present, and of order of charged sector masses

Majorana mass $W_m = M_m (N_R)^c (N_R)^c$ perturbatively forbidden

Note, N_R^c -Standard Model singlets, typically charged under additional anomalous $U(1)_c \times U(1)_d$, say as $(1, -1)$.

Majorana Neutrino Masses:

D2-instanton wraps $[2+1 \text{ (euclidean time)}]=3\text{-cycle } [\Pi_{E_2}]$



There is non-zero non-perturbative coupling: $M_m N_R^c N_R^c$

D2-instanton w/ $[\Pi_{SM}]^\circ[\Pi_{E_2}] = 0$, $[\Pi_a]^\circ[\Pi_{E_2}] = 2$ & $[\Pi_b]^\circ[\Pi_{E_2}] = -2$

→ fermionic zero modes appear precisely ONCE and thus M_m non-zero

Geometric!

→ Non-pert. Majorana coupling:

$$W_m = M_m (N_R)^c (N_R)^c \text{ with } M_m = x M_s e^{-\frac{2\pi}{\ell_s^3 g_s} \text{Vol}_{E2}}$$

$$\text{Use } \frac{1}{\alpha_{\text{GUT}}} = \frac{1}{\ell_s^3 g_s} \text{Vol}_{D6} \longrightarrow M_m = x M_s e^{-\frac{2\pi}{\alpha_{\text{GUT}}} \frac{\text{Vol}_{E2}}{\text{Vol}_{D6}}}$$

For seesaw mechanism need $10^{11} \text{ GeV} < M_m < 10^{15} \text{ GeV}$

Possible within natural regime for

$$0.4 \cdot R_{D6} > R_{E2} > 0.2 \cdot R_{D6} \text{ (assume } x = \mathcal{O}(1)\text{)}.$$

Concrete realisations on $T^6/\mathbb{Z}_2 \times \mathbb{Z}'_2$

[M. C., Robert Richter, Timo Weigand, hep-th/0703028]

Aim:

- Provide example of model with **rigid $E2$ -brane** and **suitable zero mode structure** \longrightarrow highly constraining
- Realize **correct suppression scale for Majorana masses**
- Exemplify **CFT computation** \longrightarrow determine x exactly

Construct supersymmetric 3-stack GUT model:

$N_c = 5$: SU(5)-GUT stack

$N_a = N_b = 1$

sector	representation	matter
(c, c')	Antisym	10
(c, a)	(\bar{c}, a)	5
(c, b)	(c, \bar{b})	5_H
(a, b)	(\bar{a}, b)	N_R^c

with correct zero mode structure

$$[\Pi_{E2} \cap \Pi_a]^+ = 2, \quad [\Pi_{E2} \cap \Pi_b]^- = 2, \quad [\Pi_{E2} \cap \Pi_c]^\pm = 0$$

Constraining!

Extensive search merely leads to **local set-up** with 4 families of **10** and $32 N_R^c$

Result: $\langle \nu^A \nu^B \rangle_{E2_i} = \frac{2\pi}{g_s} \mathcal{V}_{E2} \vec{v}^T \mathcal{M} \vec{v} (2\pi)^4 \delta^4(k^A + k^B)$

$$\mathcal{M} = x M_s e^{-\frac{2\pi}{\alpha_{\text{GUT}}} \frac{8}{57}} \begin{pmatrix} A_i & 0 & B_i & 0 \\ 0 & C_i & 0 & D_i \\ B_i & 0 & E_i & 0 \\ 0 & D_i & 0 & F_i \end{pmatrix},$$

$x =$

$$\frac{(4\pi)^{3/2} \pi^2}{16} \left[\Gamma_{1-\theta_{ab}^1, 1-\theta_{E2a}^1, 1+\theta_{E2b}^1} \prod_{I=2}^3 \Gamma_{-\theta_{ab}^I, -\theta_{E2a}^I, 1+\theta_{E2b}^I} \right]^{\frac{1}{2}} e^{Z'}$$

$e^{Z'}$ **one-loop contribution** [Akerblom et al. arXiv:0705.236[he-th]]

Overall **exponential suppression scale** fixed by SUSY:

$$\frac{\text{Vol}_{E2}}{\text{Vol}_{\Pi_c}} = \left(\prod_I \frac{(n_{E2}^I)^2 + (\tilde{m}_{E2}^I)^2 U_I^2}{(n_c^I)^2 + (\tilde{m}_c^I)^2 U_I^2} \right)^{1/2} = \frac{8}{57}$$

- Sum up contributions from all 64 factorizable E2-instantons, **taking leading contribution (smallest triangle)**
 → $M_M \simeq 10^{10} GeV$
 for triangles of order string scale (as required)
- Together with **perturbative Dirac masses of $\mathcal{O}(TeV)$** (due to Yukawa couplings of the type $\bar{5} 5_H 1$)
 → **Can engineer small neutrino masses of $\mathcal{O}(1eV)$ via see-saw mechanism.**

II. Further applications:

- μ -term $\mu H^+ H^-$ forbidden perturbatively
→ can well be generated by $E2$ -instantons!
→ appropriate volume ratio may yield $\mu \simeq \mathcal{O}(\text{TeV})$
- R-parity violating couplings in the MSSM can be induced
(constrains!)
- GUT $SU(5)$ suffer from absence of pert. Yukawa couplings
 $10 10 5_H$,
Can be generated by $E2$ -instantons!

[R.Blumenhagen, M.C., R.Richter, T.Weigand, UPR-1180-T]

But no more time!

Summary/Outlook

- (a) Major progress: development of techniques **for consistent constructions on orbifolds w/intersecting D-branes** (primarily on toroidal orbifolds)
- (b) Sizable number of semi-realistic models; **systematic searches** (not-fully realistic-typically some chiral exotic matter)
- (c) Coupling calculation –Yukawa couplings, etc.
- (d) **Non-perturbative (D-instanton) effects:**
New hierarchical couplings:
Majorana neutrino masses →seesaw mechanism realised within a local model
 μ -parameter, SU(5) GUT Yukawa couplings,...

Challenge: search for global models with realistic features realising D-instanton effects!

Foresee further **progress**:

- (a) **DEVELOPMENT of TECHNIQUES!** → generalize constructions to **general Calabi Yau spaces**
- (b) Further study of non-perturbative effects:
Vacuum de-/re-stabilisation: SUSY breaking/open-string moduli stabilisation
effects of **additional zero modes**: non-rigid cycles, instanton cycle recombination, etc.
R.Blumenhagen, M.C. R.Richter, T. Weigand. Work in progress
- (c) Quantitatively improve **realistic model** constructions, including further progress on **globally consistent models with desired non-perturbative effects**

FULLY REALISTIC CONSTRUCTIONS
particle spectrum & interactions?

NOT THERE YET, BUT GETTING BETTER AT IT

EFFORTS PRESENTED PLAYING KEY ROLE