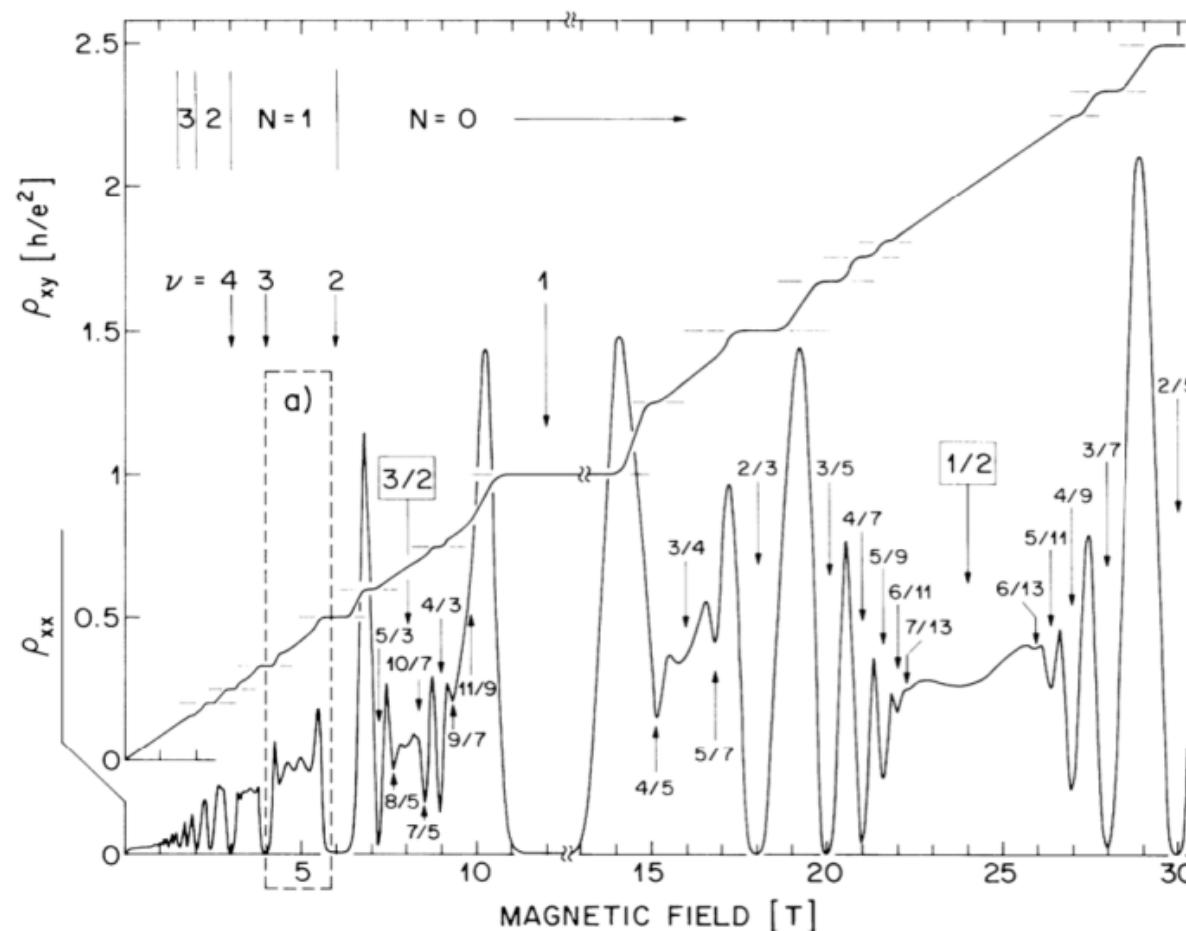


Splitting the Indivisible 2.0

Strongly correlated topological phases

Fractional Quantized Hall Effect

Existence proof for topological phases beyond band theory



Characterizing Many Body Topological Order in the Fractional Quantum Hall Effect

Many-Particle Wavefunction

- Laughlin wavefunction ($\nu = 1/m$)

$$\Psi_{\text{Laughlin}}(\{z_i\}) = \prod_{i < j} (z_i - z_j)^m e^{-\sum_i |z_i|^2/4}$$

- Moore Read wavefunction

Wavefunctions in lowest Landau level are correlators in a **2D conformal field theory**

Effective Field Theory

- Bulk: 2+1D Topological Field Theory

Girvin, MacDonald '87
Zhang, Hansson, Kivelson '89
Read '89,

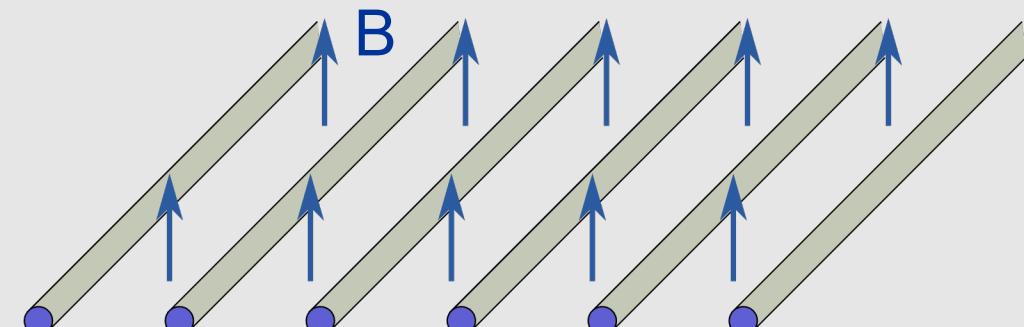
Chern Simons Theory $L = \sum_{IJ} \frac{K^{IJ}}{4\pi} \epsilon_{\mu\nu\lambda} a_\mu^I \partial_\nu a_\lambda^J$

- Boundary: 1+1D Conformal Field Theory Wen '91

Chiral Luttinger liquid $L = \sum_{IJ} \frac{1}{4\pi} K^{IJ} \partial_x \phi^I (\partial_t \phi^J + v \partial_x \phi^J)$

Coupled wire construction

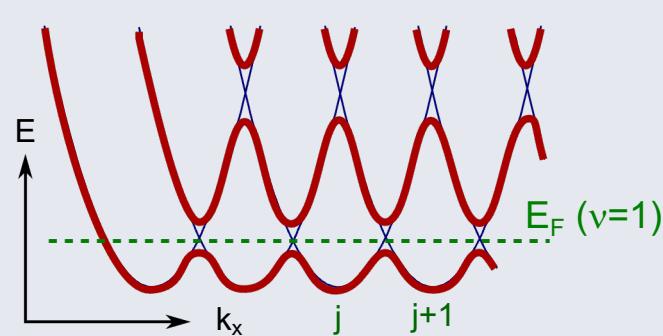
- A bridge between microscopic electronic models and effective field theory.
- Explicit connection between electrons and field theory via 1D bosonization



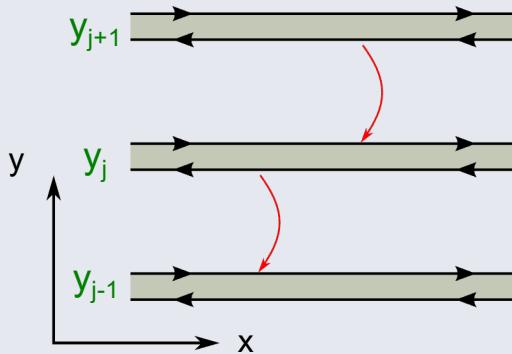
Coupled Wire Model

Integer Quantized Hall Effect

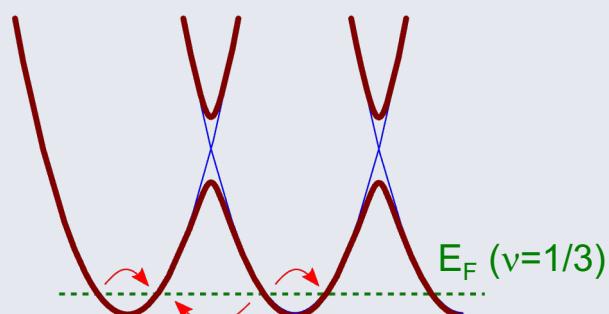
$$E_j(k_x) = \frac{1}{2m} (\hbar k_x - eA_x(y_j))^2 \quad A_x(y) = By$$



Sondhi, Yang 2001

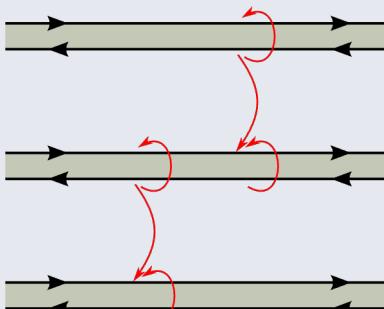


Laughlin State: $\nu = 1/m$



For filling $1/m$ (m odd) there is a momentum conserving m particle tunneling process

Kane, Mukhopadhyay, Lubensky 2002



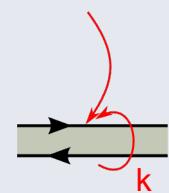
Low energy Hamiltonian

$$H_0 = -iv_F \sum_j (\psi_{j,R}^\dagger \partial_x \psi_{j,R} - \psi_{j,L}^\dagger \partial_x \psi_{j,L})$$

$$H = H_0 - t \sum_j (\psi_{j,R}^\dagger \psi_{j+1,L} + h.c.)$$

Composite electron operator

$$\Psi_{j,R}^\dagger = \psi_{j,R}^\dagger (\psi_{j,R}^\dagger \psi_{j,L})^k$$



Charge e ; momentum mk_F ($m=1+2k$)

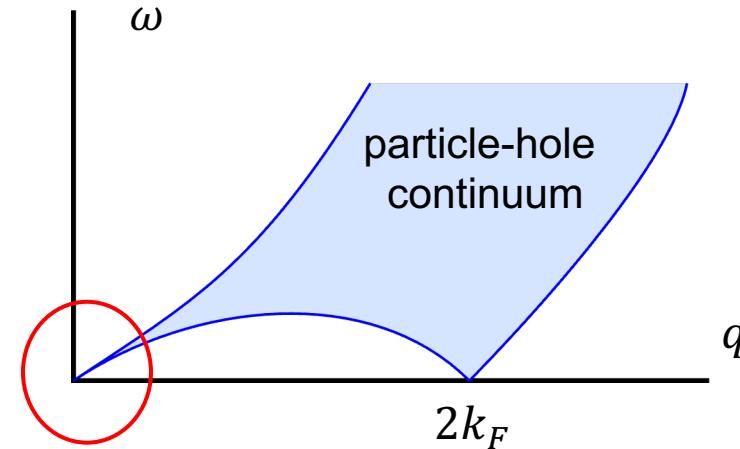
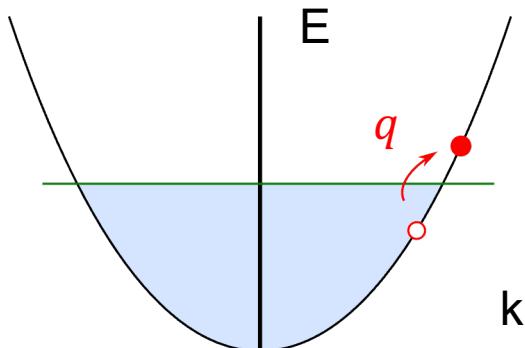
$$H = H_0 + t \sum_j (\Psi_{j,R}^\dagger \Psi_{j+1,L} + h.c.)$$

Digression: a primer on Bosonization and Luttinger Liquid

Classic reference: FDM Haldane, J. Phys. C 14, 2585 (1981).

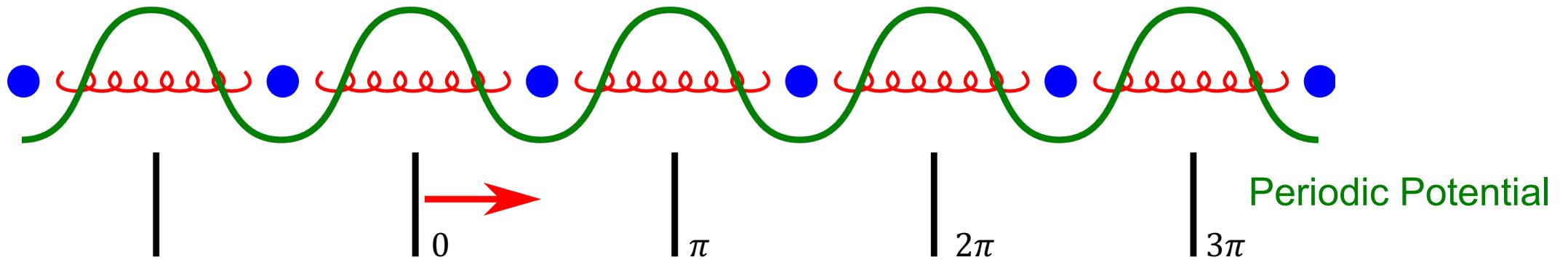
Also: monograph by T Giamarchi, 'Quantum physics in one dimension'

Intuitive picture : particle-hole spectrum of free Fermi gas



$$\omega \sim v_F q : \text{resembles acoustic phonon}$$

Strong Interaction Limit : Wigner Crystal



$$\theta(x) = \pi u(x) / a : \text{phonon displacement}$$

Lagrangian density for acoustic phonons

$$L = KE - PE = \frac{1}{2\pi K} \left[\frac{1}{v} \left(\frac{\partial \theta}{\partial t} \right)^2 - v \left(\frac{\partial \theta}{\partial x} \right)^2 + U \cos 2\theta \right]$$

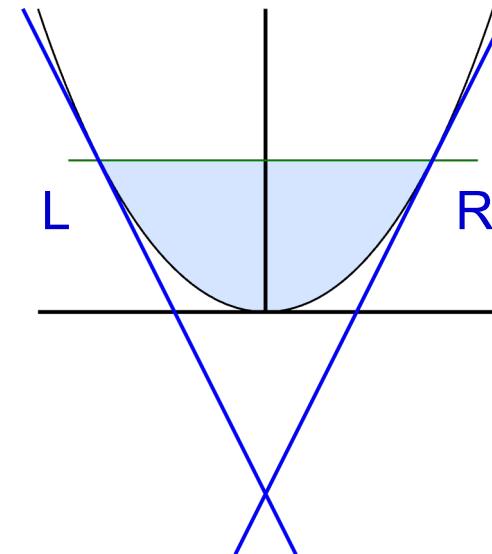
Zero point fluctuations of phonons mode still destroy long range crystal order at $T=0$.

Bosonization of non-interacting Fermi gas

Luttinger Model : Chiral Dirac fermions

$$E_k = \pm v_F k$$

$$H = -i v_F (\psi_R^+ \partial_x \psi_R - \psi_L^+ \partial_x \psi_L) = H_R + H_L$$



Focus on right moving sector. Chiral density operator :

$$\rho_R(x) = : \psi_R^+(x) \psi_R(x) : \equiv \psi_R^+(x) \psi_R(x) - \langle \psi_R^+(x) \psi_R(x) \rangle_0$$

$$\rho_R(q) = \sum_k : c_R^+(k+q) c_R(k) :$$

Kac Moody commutation algebra

$$[\rho_R(q'), \rho_R(q)] = \frac{qL}{2\pi} \delta_{q+q'}$$

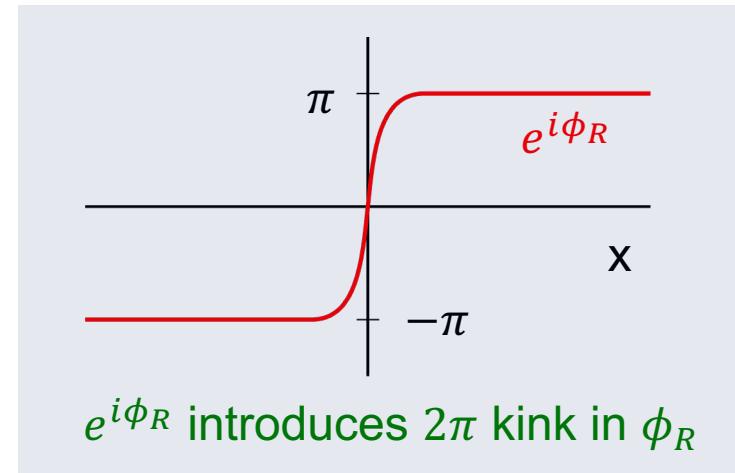
$$[\rho_R(-q), \rho_R(q)] |0\rangle = \int_{-q}^0 \frac{Ldq}{2\pi} |0\rangle = \frac{qL}{2\pi} |0\rangle$$

Chiral boson operator : $b_{R,q}^+ = \sqrt{\frac{2\pi}{qL}} \rho_R(q) \rightarrow [b_{R,q}, b_{R,q}^+] = 1$

Exact mapping between free fermions and free bosons

Chiral Phase Field $\rho_R(x) = \frac{\partial_x \phi_R}{2\pi}$ $[\phi_R(x), \phi_R(x')] = i\pi \operatorname{sgn}(x - x')$

Fermion creation operator : $\psi_R^+(x) \sim e^{i\phi_R}$



Chiral Hamiltonian : $H_R = -i v_F \psi_R^+ \partial_x \psi_R = \sum_q v_F q b_q^+ b_q = \frac{v_F}{4\pi} (\partial_x \phi_R)^2$

Chiral Lagrangian : $L_R = p \dot{q} - H = \frac{1}{4\pi} \partial_x \phi_R (\partial_t \phi_R - v_F \partial_x \phi_R)$



topological term : encodes Fermi statistics of $e^{i\phi_R}$

Combine right and left movers

$$L = L_R + L_L = \frac{1}{4\pi} [\partial_x \phi_R (\partial_t \phi_R - v_F \partial_x \phi_R) + \partial_x \phi_L (-\partial_t \phi_L - v_F \partial_x \phi_L)]$$

New variables : $\phi_R = \varphi + \theta$; $\phi_L = \varphi - \theta$ $[\partial_x \theta(x'), \varphi(x)] = \pi \delta(x - x')$

$$L = \frac{1}{\pi} \partial_x \theta \partial_t \varphi - \frac{v_F}{2\pi} ((\partial_x \theta)^2 + (\partial_x \varphi)^2) \rightarrow \frac{1}{2\pi} \left(\frac{1}{v_F} (\partial_t \theta)^2 - v_F (\partial_x \theta)^2 \right)$$

Charge density $\rho =: \psi_R^+ \psi_R + \psi_L^+ \psi_L : = \rho_R + \rho_L = \frac{1}{\pi} \partial_x \theta$

Electron operators : $\psi_R^+ \sim e^{i(\varphi + \theta)}$; $\psi_L^+ \sim e^{i(\varphi - \theta)}$

Periodic Potential : $\Delta L = V(2k_F) \psi_R^+ \psi_L + h.c. \sim V \cos 2\theta$

Interacting 1D Fermi gas : Luttinger Liquid

Forward scattering interactions : $H_{int} = \frac{1}{2} V_0 \int dx (\psi_R^+ \psi_R + \psi_L^+ \psi_L)^2 = \frac{V_0}{2\pi^2} (\partial_x \theta)^2$

$$L = \frac{1}{2\pi} \left(\frac{1}{v_F} (\partial_t \theta)^2 - v_F (\partial_x \theta)^2 \right) - \frac{V_0}{2\pi^2} (\partial_x \theta)^2$$

$$= \frac{1}{2\pi K} \left(\frac{1}{v} (\partial_t \theta)^2 - v (\partial_x \theta)^2 \right)$$

Luttinger parameter K

$$v = \frac{v_F}{K} \quad K = \frac{1}{\sqrt{1 + \frac{V_0}{\pi v_F}}}$$

- K < 1 : repulsive interactions
- K = 1 : non-interacting
- K > 1 : attractive interactions

Properties of a Luttinger Liquid

1. Almost a crystal

Density correlations at $q = 2k_F$:

$$\langle \psi_R^+ \psi_L(x) \psi_L^+ \psi_R(0) \rangle \sim \langle e^{2i(\theta(x)-\theta(0))} \rangle \sim \frac{1}{x^{2K}}$$

2. Almost a superfluid

Pair correlations at $q = 2k_F$:

$$\langle \psi_R^+ \psi_L^+(x) \psi_L \psi_R(0) \rangle \sim \langle e^{2i(\varphi(x)-\varphi(0))} \rangle \sim \frac{1}{x^{2/K}}$$

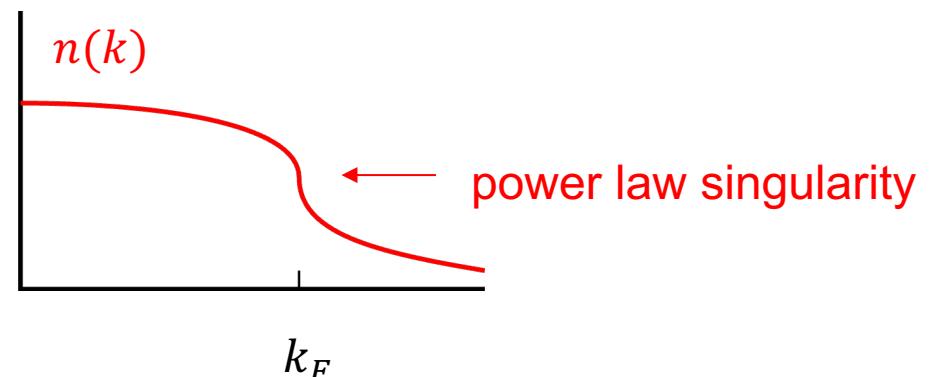
3. Single particle correlations

Reduced density matrix :

$$G(x) = \langle \psi_R^+(x) \psi_R(0) \rangle \sim \langle e^{i(\varphi(x)+\theta(x)-\varphi(0)-\theta(0))} \rangle \sim \frac{1}{x^{\frac{1}{2}(K+\frac{1}{K})}}$$

4. Momentum distribution

$$n(k) = \langle c_k^+ c_k \rangle = \int dk e^{ikx} G(x) \sim k^{\frac{1}{2}(K+\frac{1}{K})-1}$$



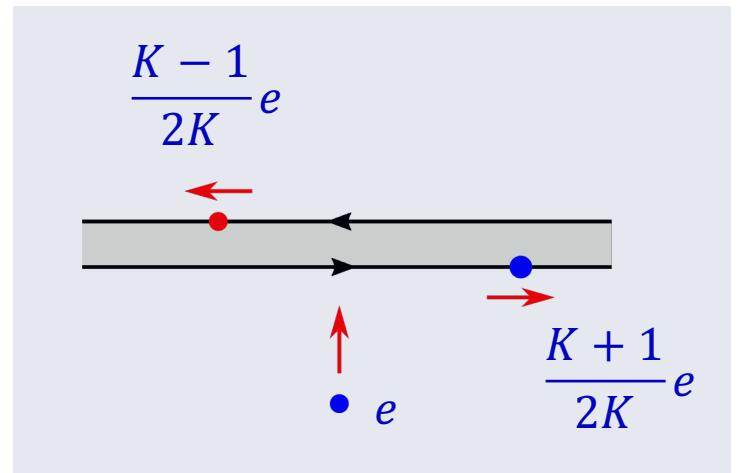
Factorization of a Luttinger Liquid

$$L = \frac{1}{2\pi K} \left(\frac{1}{v} (\partial_t \theta)^2 - v (\partial_x \theta)^2 \right) = L_R + L_L$$

$$L_R = \frac{1}{4\pi K} \partial_x \tilde{\phi}_R (\partial_t \tilde{\phi}_R - v_F \partial_x \tilde{\phi}_R) \quad L_L = \frac{1}{4\pi K} \partial_x \tilde{\phi}_L (-\partial_t \tilde{\phi}_L - v_F \partial_x \tilde{\phi}_L)$$

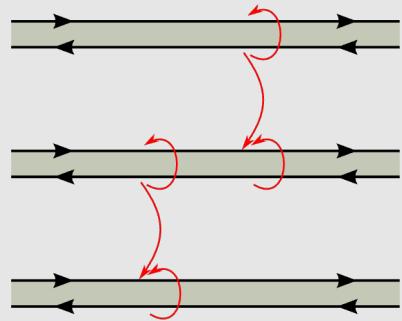
$$\tilde{\phi}_R = \frac{1+K}{2K} \phi_R + \frac{1-K}{2K} \phi_L \quad \tilde{\phi}_L = \frac{1-K}{2K} \phi_R + \frac{1+K}{2K} \phi_L$$

Electron operator : $\psi_R^+ \sim e^{i\phi_R} = e^{i\left(\frac{1+K}{2K}\tilde{\phi}_R + \frac{1-K}{2K}\tilde{\phi}_L\right)}$



Can the chiral modes of a Luttinger liquid be spatially separated ?

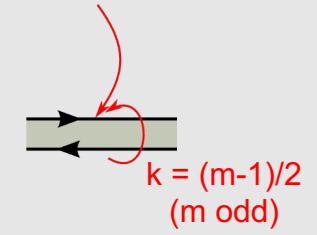
Wire construction revisited : Laughlin states at filling $\nu = 1/m$



Composite electron operator

$$\Psi_{j,R}^\dagger = \psi_{j,R}^\dagger \left(\psi_{j,R}^\dagger \psi_{j,L} \right)^{\frac{m-1}{2}}$$

$$H = H_0 + t \sum_j \left(\Psi_{j,R}^\dagger \Psi_{j+1,L} + h.c. \right)$$

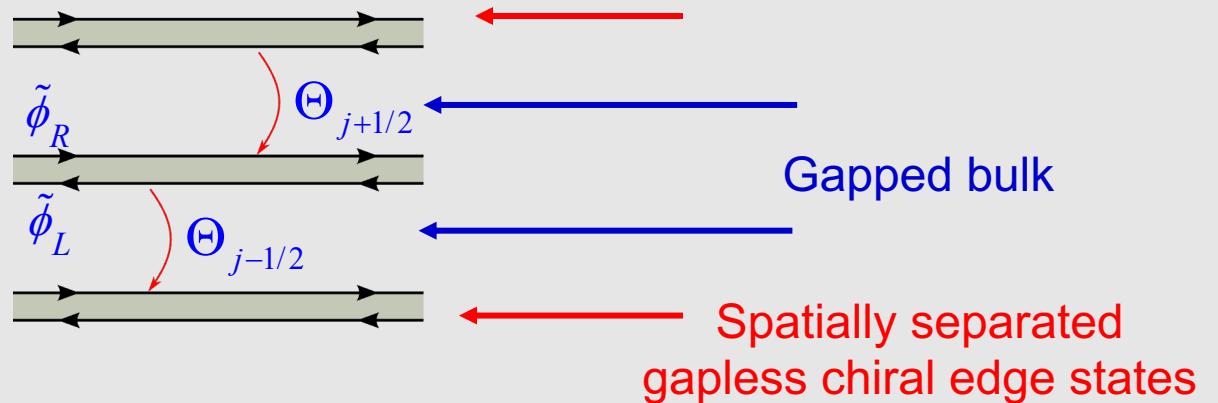


For $K = \frac{1}{m}$ the composite electron operator is purely chiral: $\Psi_R^\dagger \sim e^{i\left(\frac{1+m}{2}\phi_R + \frac{1-m}{2}\phi_L\right)} = e^{im\tilde{\phi}_R}$

Solvable wire model: $K = \frac{1}{m}$:

$$H = H_0 - t \sum_j \cos m\Theta_{j+1/2}$$

$$\left(\Theta_{j+1/2} = \tilde{\phi}_{j+1,R} - \tilde{\phi}_{j,L} \right)$$

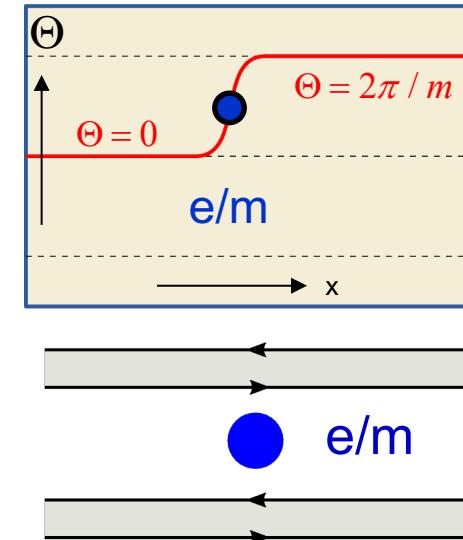


Fractional Charge

Fractionally charge quasiparticle excitations live on the links between wires

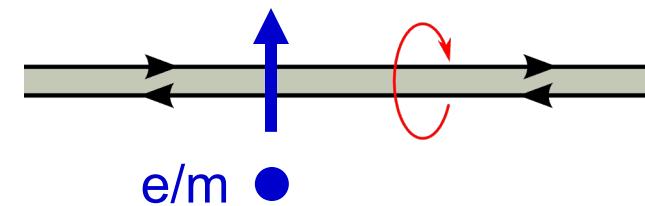
$t \cos m\Theta$ locks Θ , and supports solitons

$$Q = \frac{e}{2\pi} \int \partial_x \Theta = \frac{e}{m}$$

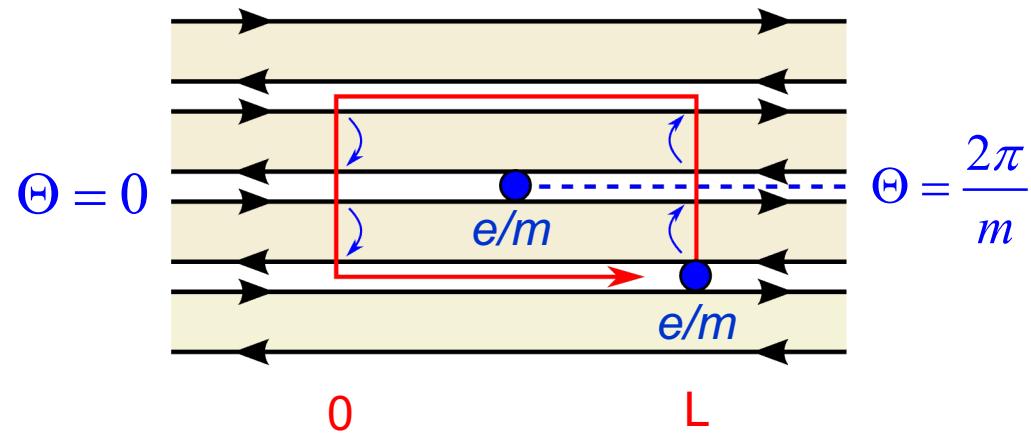


Quasiparticles can move along the links, and they can hop between links via the electron backscattering operator on a wire :

$$\psi_R^\dagger \psi_L \sim e^{i(\tilde{\phi}_R - \tilde{\phi}_L)}$$

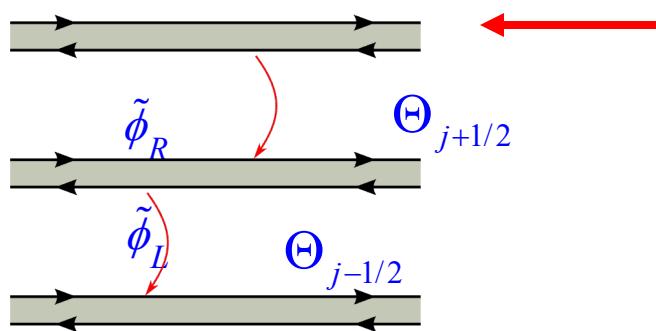


Fractional Statistics



$$\text{Fractional braiding phase : } e^{i(\Theta(L)-\Theta(0))} = e^{2\pi i/m}$$

Chiral Luttinger Liquid Edge States



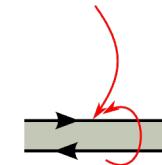
$$L_{edge} = \frac{m}{4\pi} \partial_x \tilde{\phi}_R (\partial_t \tilde{\phi}_R - v_F \partial_x \tilde{\phi}_R)$$

Bare electron operator :

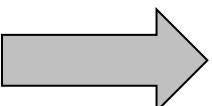
$$\psi_R^+ \sim e^{i\phi_R} = e^{i\left(\frac{1+K}{2K}\tilde{\phi}_R + \frac{1-K}{2K}\tilde{\phi}_L\right)} : \text{gapped}$$

Composite electron operator :

$$\Psi_R^\dagger = \psi_R^\dagger (\psi_R^\dagger \psi_L)^{\frac{m-1}{2}} \sim e^{i\left(\frac{1+m}{2}\phi_R + \frac{1-m}{2}\phi_L\right)} = e^{im\tilde{\phi}_R}$$



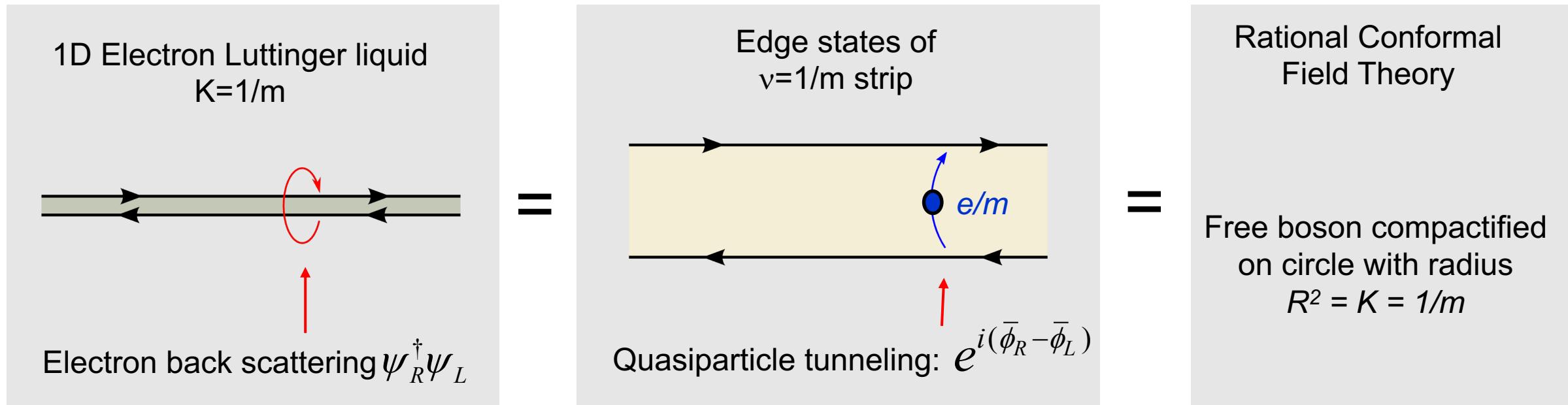
$$\langle \Psi_R^+(t) \Psi_R(0) \rangle \sim \frac{1}{t^m}$$



Power law suppression of
tunneling density of states :

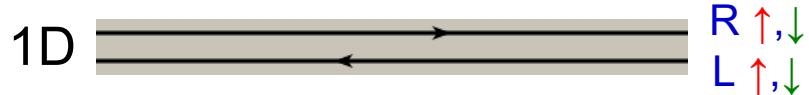
$$\frac{dI}{dV} \sim V^{m-1}$$

1+1D conformal field theory as building block for 2+1D topological phases



Are there further factorizations ?

1. Spin $\frac{1}{2}$ Fermions



For chiral mode with spin
(non-interacting) :

$$L_R = \frac{1}{4\pi} [\partial_x \phi_{R\uparrow} (\partial_t \phi_{R\uparrow} - v_F \partial_x \phi_{R\uparrow}) + \partial_x \phi_{R\downarrow} (\partial_t \phi_{R\downarrow} - v_F \partial_x \phi_{R\downarrow})]$$

Charge – Spin variables : $\phi_{R\uparrow} = \phi_{R\rho} + \phi_{R\sigma}$; $\phi_{R\downarrow} = \phi_{R\rho} - \phi_{R\sigma}$ $L = L_\rho + L_\sigma$

$$L_\rho = \frac{2}{4\pi} \partial_x \phi_{R\rho} (\partial_t \phi_{R\rho} - v_F \partial_x \phi_{R\rho}) \quad K = \frac{1}{2} \text{ L.L. aka } U(1)_2 \text{ Wess Zumino Witten (WZW) model}$$

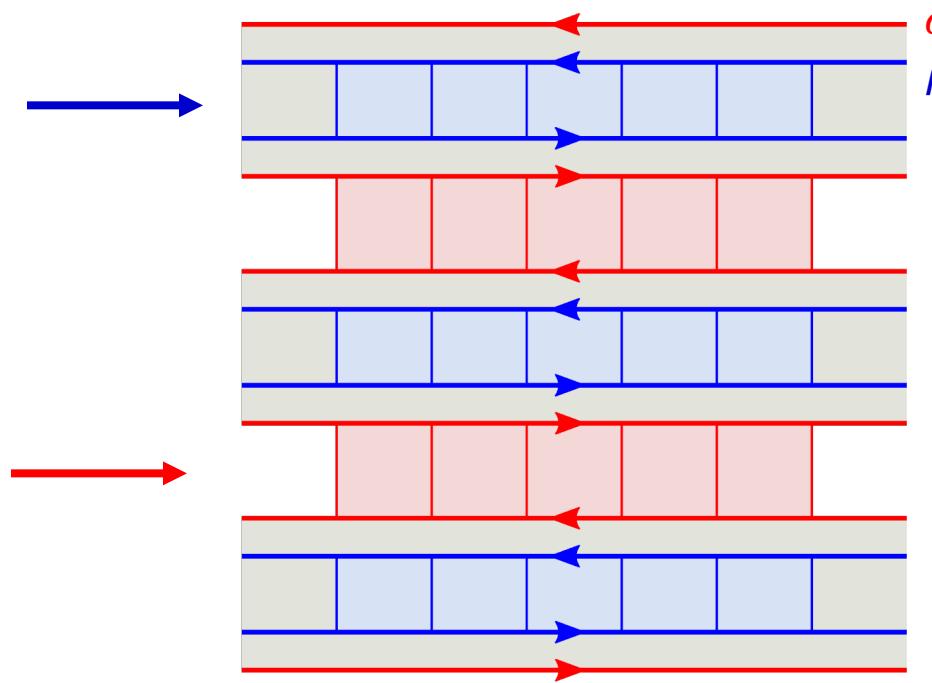
$$L_\sigma = \frac{2}{4\pi} \partial_x \phi_{R\sigma} (\partial_t \phi_{R\sigma} - v_F \partial_x \phi_{R\sigma}) \quad K = \frac{1}{2} \text{ L.L. aka } SU(2)_1 \text{ WZW theory}$$

$$S^z \sim \frac{\partial_x \phi_\sigma}{2\pi} ; \quad S^\pm \sim e^{\pm 2i \phi_\sigma} \text{ related by } SU(2)$$

Chiral Spin Liquid State

Kalmeyer and Laughlin, 1988
Wen, 1989

Charge is gapped
within each wire.



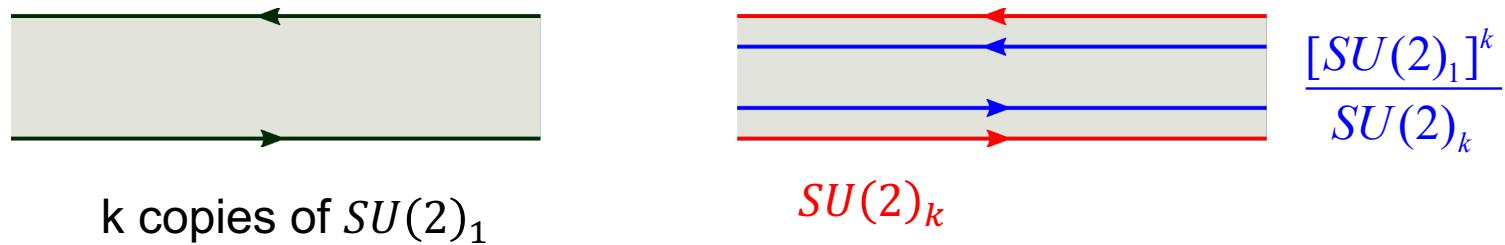
Spin is gapped
between wires.

Unpaired $SU(2)_1$
chiral spin mode

Chiral edge states of CSL resemble low energy excitations of 1D spin $\frac{1}{2}$ Heisenberg model

A class of non-Abelian topological phases

A further factorization of k copies of $SU(2)_1$: $[SU(2)_1]^k \sim SU(2)_k \times \frac{[SU(2)_1]^k}{SU(2)_k}$ “coset construction” in conformal field theory



Allows construction of Read Rezayi states, based on $SU(2)_k$

$k = 1$: Abelian

$k = 2$: Non-Abelian : supports ‘Ising anyons’ (similar to topological superconductor)

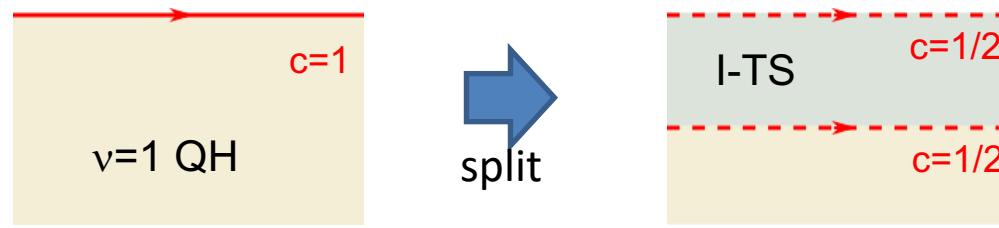
$k = 3$: Non-Abelian : supports ‘Fibonacci anyons’

Non – Abelian 2D topological superconducting phases

1. 2D Ising topological superconductor (I-TS): $1 = 1/2 + 1/2$

Read, Green 2001
Qi, Hughes, Zhang, 2010

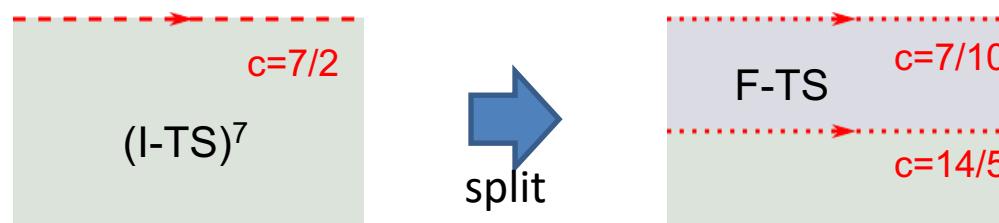
Split the chiral
Dirac fermion
 $c^\dagger = \gamma_1 + i\gamma_2$
Majorana fermions



2. Fibonacci topological superconductor (F-TS): $7/2 = 7/10 + 14/5$

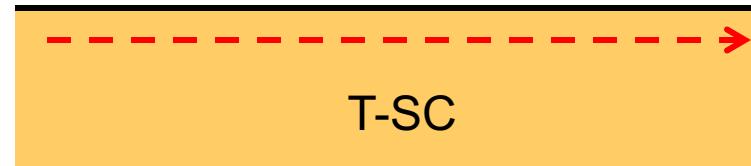
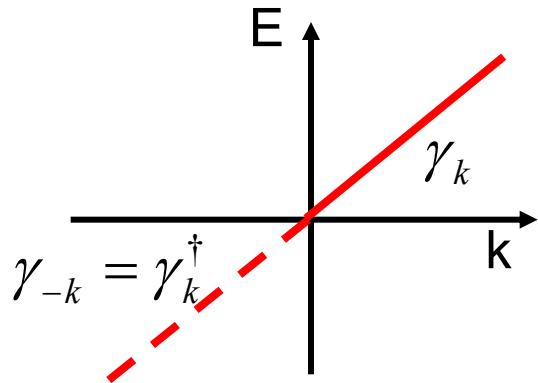
Mong et al 2014,
Yi, Kane 2018

Split the chiral
Majorana fermion
 $\gamma = \tau \cdot \varepsilon$
fibonacci anyons



2D \mathbb{Z}_2 topological superconductor (broken T symmetry)

Bulk-Boundary correspondence: $n = \#$ Chiral Majorana Fermion edge states



Examples

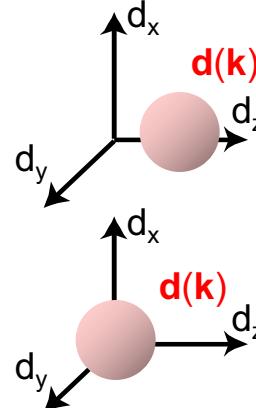
- Spinless $p_x + ip_y$ superconductor ($n=1$)
- Chiral triplet p wave superconductor
(eg Sr_2RuO_4) ($n=2$)

Read Green model : $H = \sum_{\mathbf{k}} \left(\frac{\mathbf{k}^2}{2m} - \mu \right) c_{\mathbf{k}}^\dagger c_{\mathbf{k}} + (\Delta(\mathbf{k}) c_{\mathbf{k}} c_{-\mathbf{k}} + c.c.)$ $\Delta(\mathbf{k}) = \Delta_0 (k_x + ik_y)$

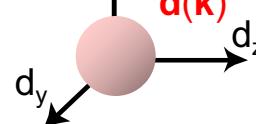
Lattice BdG model : $H_{BdG}(\mathbf{k}) = \tau_z (2t [\cos k_x + \cos k_y] - \mu) + \Delta (\tau_x \sin k_x + \tau_y \sin k_y) = \mathbf{d}(k) \cdot \vec{\tau}$

$|\mu| > 4t$: Strong pairing phase
trivial superconductor

$|\mu| < 4t$: Weak pairing phase
topological superconductor



Chern number 0



Chern number 1

2D topological superconductivity near a quantum Hall transition

Factorization of Chiral Dirac Fermion Edge states

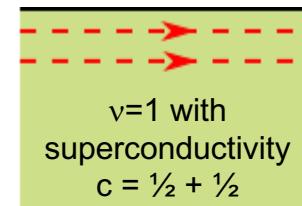
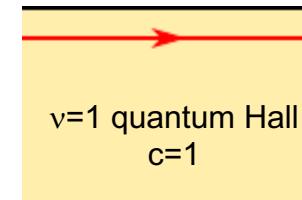
1 chiral Dirac mode = 2 chiral Majorana modes

$$\psi^\dagger = \gamma_1 + i\gamma_2$$

$$H = -iv\psi^\dagger\partial_x\psi = -iv\gamma_1\partial_x\gamma_1 - iv\gamma_2\partial_x\gamma_2$$

Chiral central charge: $c = 1 = \frac{1}{2} + \frac{1}{2}$

Thermal Hall conductance: $\kappa = c \frac{\pi^2}{3} \frac{k_B^2}{h} T$



In presence of superconductivity the quantum Hall transition splits

