# Aspect of Floquet physics in closed quantum systems

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## Outline

Prethermal Physics: emergent symmetry

Prethermal Hilbert space fragmentation

Arresting heating: a brief introduction

Two-rate periodic protocol

Future directions.

### Floquet version: ETH in a periodically driven system

Periodic drive: Stroboscopic dynamics is completely determined by the Floquet Hamiltonian:  $U(nT,0) = exp[-iH_F nT]$ For low drive frequencies,  $H_F$  can not be typically described by a short-range Hamiltonian

The system heats up to infinite temperature: rapid growth of entanglement entropy to its maximum value.

The Floquet eigenvalues are uniformly distributed over the first Floquet Brillouin zone [Rigol et al, PRX (2014)]

However, at high drive frequencies the thermalization timescale are exponentially large [T. Mori et al, PRL 2016]

This makes prethermal regime experimentally relevant.

These regimes show several phenomena which do not have equilibrium analogue.

Many of these phenomena can be understood to be the result of approximate emergent symmetries of driven systems.



Scar induced oscillations

Mukherjee et al PRB 2020

Prethermal phenomena

### Refael et al. Scipost Phys. 2018



**Dynamical Localization** 



Prethermal discrete time crystal

### Emergent symmetry

### Banerjee et al arXiv 2024

Most of these prethermal phenomenon can be understood as a consequence of an approximate emergent symmetry

The drive term is kept large and treated exactly:  $h_1 >> h_s$ , J

The rest of the terms are treated perturbatively

The first order Floquet Hamiltonian, computed commutes with some operator O at special drive frequencies which for the Zising model correspond to  $J_0(\mu)=0$ .

As long as the first order Floquet Hamiltonian controls the dynamics,  $\langle O \rangle$  is approximately conserved at these frequencies. This happens till a prethermal timescale ~ exp[ c  $\omega_d$  ] Example of driven Ising model.

$$H_{\text{Ising}} = -J \sum_{\langle \ell j \rangle} \sigma_{\ell}^{x} \sigma_{j}^{x} - h_{0} \sum_{j} \sigma_{j}^{z} \qquad h_{0}(t) = h_{s} + h_{1} \cos \omega_{D} t + i\gamma,$$

$$H = 2 \sum_{k \in BZ/2} \psi_k^{\dagger} H_k \psi_k$$
$$H_k = \tau_z (h_0(t) - \cos ka + i\gamma) + \tau_x \sin ka$$

Jordan Wigner

$$H_F^{(1)} = 2 \sum_{k \in BZ/2} \left[ \tau_z (h_s - \cos ka + i\gamma) + \tau_x J_0(\mu) \sin ka \right]$$

$$\mu = 4h_1/(\hbar\omega_d).$$

 $H_F^{(2)} = \sum_k \left[ -4\tau_z \sin^2 ka \sum_{n=0}^{\infty} \frac{J_0(\mu) J_{2n+1}(\mu)}{(2n+1)\hbar\omega_D} + \tau_x \sin ka (h_s - \cos ka + i\gamma) \sum_{n=0}^{\infty} \frac{4J_{2n+1}(\mu)}{(2n+1)\hbar\omega_D} \right]$ 

At special frequencies  $H_{F}^{(1)}$  commutes with the magnetization

$$M_z = \sum_j \sigma_j^z$$

A. Das, PRB 2010.

Dynamical freezing of magnetization for a long prethermal timescale

Strong Hilbert space fragmentation

### Hilbert space fragmentation: Introduction

Breakdown of the Hilbert space into an exponentially large number of dynamically disconnected sectors.

The fragmentation is usually observed in the computational basis; classical Fock states such as number basis states  $|n_1, n_2, ..., n_i ... n_l>$ 

Such a separation of Hilbert space in dynamically disconnected sectors is different from those due to global symmetries; in the latter case number of sectors scale algebraically with L.

For strong Hilbert space fragmentation (HSF), with n being the largest fragment and N being the total Hilbert space dimensions,  $n/N \sim e^{-L}$ 

Most of the model exhibiting strong HSF are 1D models; More recently a few higher dimensional models have been put forth ( see for example, Scipost Phys. 14, 146 (2023)).



Signatures of strong HSF

- 1. Memory retainment leading to finite value of the autocorrelation function at long times
- 2. Deviation of the entanglement entropy from its symmetry resolved Page value.



### Counting of fragmentation

A: Number of states in the largest symmetry sector:

We need to fill L sites with L/2 particles and L/4 bonds

To do this first fill L/2 sites with particles keeping L/2 bonds

Next we insert L/4 empty sites so as to break L/4 of these L/2 bonds. This can be done in  ${}^{L/2}C_{L/4}$  ways

Next, one needs to insert L/4 more empty sites without breaking any bonds. This can be done in  $^{L/2-1}C_{L/4}$  ways.

Finally one gets a factor of 2 due to particle-hole inversion

$$N_1 = 2^{L/2-1}C_{L/4}^{L/2}C_{L/4} = ({}^{L/2}C_{L/4})^2 \sim 2^{L}/L$$

**Example for L=8** 







### Dimension of the largest fragment

Start from an initial state for which  $N_b = L/4$  such that there are  $N_d = L/4$  particle and hole defects. This also leaves P = L/4-1 particle-hole pairs.

For half-filling one has  $P = L/2 - (N_d + 1)$ 

Starting from this initial state the action of H leads to diffusion of particle from R to the sequence of hole defects. Such diffusion needs an accompanying hole motion and thus leads to pair movement.

The number of possible configurations obtained by action of H is the number of ways P pairs can be distributed among  $2 N_d$  empty space between particle and hole defects.

Total number of such configurations is  $N_t = L N_0/2$ .

For large L and L/4 particle and hole defects, one has  $N_{+}/N_{1} \sim (0.8)^{L}$ 

$$\underbrace{(p, p, \dots, h, h, \dots, R, R, \dots)}_{N_d \text{ times } N_d \text{ times } P \text{ times}}$$

$$| \bullet \bullet \bullet \bullet \circ \circ \circ \circ \bullet \circ \bullet \circ \rangle \qquad L=12 \quad N_d=3 \quad P=2$$

$$|\bullet\bullet\bullet\bullet\circ\circ\circ\circ\bullet\circ\bullet\circ\rangle\to|\bullet\bullet\bullet\bullet\circ\circ\circ\bullet\circ\circ\circ\circ\rangle.$$



Prethermal signature of strong fragmentation

Driving a spinless fermion chain: Numerics

We start from a fermion chain and drive the interaction term

For a square pulse protocol  $[V(t) = -(+)V_1 \text{ for } t \le (>)T/2]$ 

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The time evolution operator

 $U^s(T,0) = e^{-iH_+T/2\hbar}e^{-iH_-T/2\hbar}$ 

.

Can be expressed in terms of eigenvalues and eigenfunctions of H<sub>+</sub> and H<sub>-</sub>

$$U^{s}(T,0) = \sum_{m,n} c_{mn}^{+-} e^{-i(\epsilon_{m}^{+} + \epsilon_{n}^{-})T/2\hbar} |\xi_{m}^{+}\rangle \langle\xi_{n}^{-}| \ c_{mn}^{+-} = \langle\xi_{m}^{+}|\xi_{n}^{-}\rangle.$$

$$H_{0}(t) = V(t) \sum_{j=1..L} \hat{n}_{j} \hat{n}_{j+1}$$

$$H_{1} = \sum_{j=1..L} -J(c_{j}^{\dagger}c_{j+1} + \text{H.c.}) + \hat{n}_{j}(V_{0}\hat{n}_{j+1} + V_{2}\hat{n}_{j+2})$$
For a continuous protocol
$$[V(t) = V_{1} \cos \omega_{D} t]$$

*The time evolution operator requires Suzuki-Trotter decomposition of U* 

 $U = \Pi_i \exp[-i H_i \Delta t/h] \Delta t = T/N$ 

U can be expressed in terms of eigenvalues and eigenfunctions of H<sub>j</sub>

$$U^{c}(T,0) = \prod_{j=1..N} \sum_{n} e^{-i\epsilon_{n}^{j}T/\hbar} |\xi_{n}^{j}\rangle \langle \xi_{n}^{j}|$$

This procedure allows one to numerically compute the exact Floquet Hamiltonian for the system  $U = \exp[-iH_FT/\hbar]$ 

### **Perturbative Analytics: Floquet perturbation theory**

We consider a Hamiltonian  $H(t) = H_0(t) + V(t)$  and construct the evolution operator  $U_0$  corresponding to the largest term of the Hamiltonian  $[H_0(t)]$ 

$$i\hbar \frac{\partial U_0(t,0)}{\partial t} = H_0(t)U_0(t,0).$$

*Next, we construct states in the interaction picture and construct the corresponding Schrodinger equation* 

$$\psi^{I}(t) = U_{0}(0,t)\psi(t). \quad i\hbar\frac{\partial\psi^{I}}{\partial t} = V^{I}(t)\psi^{I}(t),$$
$$V^{I}(t) = U_{0}(0,t)VU_{0}(t,0).$$

The evolution operator in the interaction picture reads

$$U^{I}(t,0) = \mathcal{T}e^{-(i/\hbar)\int_{0}^{t} dt' V^{I}(t')}, \qquad i\hbar \frac{\partial U^{I}(t,0)}{\partial t} = V^{I}(t)U^{I}(t,0).$$

The perturbative evolution operator is given by

 $U(t,0) = U_0(t,0)U^I(t,0).$ 

### The method reduces to the usual rotating wave approximation when the drive term is the one with largest amplitude

### U<sup>I</sup> has the solution

$$U^{I}(t,0) = I + \left(\frac{-i}{\hbar}\right) \int_{0}^{t} dt' V^{I}(t') \\ + \left(\frac{-i}{\hbar}\right)^{2} \int_{0}^{t} dt_{1} V^{I}(t_{1}) \int_{0}^{t_{1}} dt_{2} V^{I}(t_{2}) + \dots$$

### Driven Fermi chain

Consider a chain of spinless fermions with nearest neighbor hopping and density-density interactions



$$H = -J \sum_{j} \left( c_j^{\dagger} c_{j+1} + \text{h.c.} \right) + V_1 \sum_{j} \hat{n}_j \hat{n}_{j+1} + V_2 \sum_{j} \hat{n}_j \hat{n}_{j+2}$$

We drive the chain by making  $V_1 == V_1(t)$  a periodic function of time characterized by an amplitude V<sub>1</sub> and frequency  $\omega_{\rm D} = 2\pi/T$ , Where T is the time period of the drive



 $V_1(t) = V_0 + V_1 \cos \omega_0 t$  $V_1(t) = V_0 + (-) V_1$  for  $t \le (>) T/2$  for square pulse

for cosine drive

### In the high drive amplitude regime, $V_1 >> V_0$ , $V_2$ , J, one can obtain the Floquet Hamiltonian using FPT

$$H_{F}^{(1)} = \sum_{j=1..L} \hat{n}_{j} (V_{0} \hat{n}_{j+1} + V_{2} \hat{n}_{j+2})$$
  
-  $J \sum_{i=1} [(1 - \hat{A}_{j}^{2}) + f(\gamma_{0}) \hat{A}_{j}^{2}] c_{j}^{\dagger} c_{j+1} + \text{H.c.},$   
to energy cost

Energy cost V<sub>1</sub>

 $\hat{A}_{i} = (\hat{n}_{i+2} - \hat{n}_{i-1}), \ \gamma_{0} = V_{1}T/(4\hbar)$  $f(\gamma_0) = J_0[2\gamma_0/\pi]$  Cosine protocol  $f(\gamma_0) = \gamma_0^{-1} \sin \gamma_0 \exp[i\gamma_0 A_i]$ Square Pulse protocol Realization of a Hamiltonian hosting HSF within first order Floquet at frequencies for which f(T)=0



### Entanglement entropy of the driven chain: Square-pulse protocol



$$S_p = \ln D_{system} - 1/2$$

 $S_p^{f} = \ln D_{fragment} - 1/2$ 

(a bit more complicated for symmetry-resolved sectors) Entanglement entropy saturates to the Page value of the sector  $(S_p^f)$  instead of that of the system  $(S_p)$  at special drive frequencies for an exponentially large prethermal timescale

Entanglement entropy saturates to an Initial state dependent value.

Signature of prethermal HSF.





Near the threshold value, the extent of the prethermal regime grows exponentially with drive amplitude

Dynamics of the frozen state: Continuous protocol



FIG. 3: (a) Plot of  $\chi_1(nT)$  as a function of n for  $V_1 = 19$  at  $\omega_1^*$  (blue curve) and  $6\omega_1^*$  (red curve) starting from a random frozen state showing lack of ETH predicted thermalization at  $\omega_1^*$ . (b) Plot of  $\langle \chi_1 \rangle$  as a function of  $V_1$  at  $\omega_1^*$ ;  $\langle \chi_1 \rangle$  stays close to its initial value for large  $V_1$  which is consistent with prethermal HSF. (c) Same as in (a) but for initial  $|Z_2\rangle$  state showing slow oscillations at  $\omega_1^*$ . (d) Schematic diagram for the Floquet quasienergies showing doubly degenerate  $|Z_2\rangle$  and  $|\bar{Z}_2\rangle$  with  $N_d = 0$  and other states with  $N_d \neq 0$ . The arrows indicate transition to  $|\bar{Z}_2\rangle$  from  $|Z_2\rangle$  using intermediate states with  $N_d \neq 0$  leading to slow oscillations. For all plots  $V_0 = 10V_2 = 2$ , L = 14, and all energies are scaled in units of J.

## $\chi_j(nT) = \langle \psi_f(nT) | \hat{n}_j \hat{n}_{j+2} | \psi_f(nT) \rangle$

Oscillatory dynamics of frozen states due to residual terms in  $H_F$  beyond  $H_F^{(1)}$ 

This requires  $Z_2$  symmetry. Two states with  $N_d=0$  which are eigenstates of  $H_F^1$  with same quasienergy.

In addition, it requires fragmentation so that starting from the  $Z_2$  state (which correspond to  $N_d=0$ ), the system does not spread out in Hilbert space; the dynamics receives most significant contribution from states with  $N_d = 1$ .

Since  $\chi_1 = 0$  (1) for  $Z_2$  and  $Z'_2$ , the oscillations occur between 0 and 1.

The oscillation time scale is determined by higher-order terms in  $H_F$  and is the energy split between bonding and antibonding states due to tunneling to  $N_d = 1$  sector.

$$|\psi_{B,A}\rangle \equiv |\mathbb{Z}_2\rangle \pm |\mathbb{Z}_2\rangle. \quad H_F |\psi_{B,A}\rangle \approx \hbar(\alpha_s \pm \alpha_d) |\psi_{B,A}\rangle$$
  
$$\chi_1(nT) \approx \sin^2(\alpha_d nT)$$

This dynamics of frozen states has no analogue in standard HSF in equilibrium

Arresting heating and a two-rate protocol

Arresting heating during a periodic drive

The unbounded growth of entanglement in a driven system

The system reaches and infinite temperature steady state: "heat death"

Any information contained in an initial state gets completely scrambled due to rapid spread of the state in the Hilbert in the presence of the drive

Is it possible to arrest this growth?

Counter-diabatic driving

**Optimal protocol** 

Unfortunately both of these are difficult to implement experimentally for a generic ergodic quantum systems

Our suggestion: Use of a two-rate protocol which is experimentally more viable

A class of protocols and exact Floquet flat bands

Consider a generic non-integrable driven Hamiltonian characterized non-commuting operators O<sub>1</sub> and O<sub>2</sub>

$$H(t) = \sum_{i=1,2} \lambda_i(t) \hat{O}_i.$$
  $[\hat{O}_1, \hat{O}_2] \neq 0.$ 

We choose a class of two-rate drive protocols with time periods  $T_1$  and  $T_2$ 

 $T_i = 2\pi/\Omega_i$  with  $i = 1, 2, \Omega_2 = \nu\Omega_1$ 

Familiar examples of such class of protocols with v = 3

$$\lambda_1(t) = \lambda_0 \cos \Omega_1 t, \quad \lambda_2(t) = w_0 + w_1 \cos \nu \Omega_1 t$$

$$\lambda_1(t) = +(-)\lambda_0 \quad \text{for } t \le (>)T_1/2$$
  
$$\lambda_2(t) = w_0 - [+]w_1 \text{ for } \frac{(m-1)[m]T_1}{2\nu} \le t < \frac{m[(m+1)]T_1}{2\nu}$$





A simple example: Square pulse protocol with v = 2



The evolution operator can be written as

 $U(T,0) = e^{-iH_0[-1,-1]T_1/4} e^{-iH_0[-1,1]T_1/4} e^{-iH_0[1,-1]T_1/4} e^{-iH_0[1,1]T_1/4} = I$ 

For the square-pulse protocol, this work for any integer v; for the cosine protocol, this requires an odd integer v=2p+1The method works for any non-commuting operators  $O_1$  and  $O_2$  but requires two rates.

It constitutes exact stroboscopic dynamical localization/freezing in an otherwise ergodic many-body system.

### General drive protocols: Properties

They exhibit turning points at  $t_i = \beta_i T_1$ 

Between any two turning points  $\beta_j$  and  $\beta_{j+1}$ there exist a point  $\alpha_j = (\beta_j + \beta_{j+1})/2$  such that  $\lambda_i(\alpha_j T_1) = 0$  for i =1,2. This ensures

For any 
$$t_0 \le (\beta_{i+1} - \beta_{i+1})T_1/2$$
, H(t) satisfies

The evolution operators can therefore be written as, using Suzuki-Trotter decomposition

$$H(\alpha_j T_1) = 0.$$
  
$$H(\alpha_j T_1 + t_0) = -H(\alpha_j T_1 - t_0)$$



$$U(T_1,0) = \prod_{k=0...N_0} e^{-iH(t_k)\Delta t/\hbar} = \prod_k U(t_{k+1},t_k) = \prod_k U_k. \qquad \Delta t = T_1/(N_0+1)$$

### This product can be reorganized by grouping $U_k$ s between turning points

$$U(T_{1},0) = \prod_{\substack{j=j_{\max}\\ \times U(\alpha_{j}T_{1},\alpha_{j}T_{1}-\Delta t)\dots U(\beta_{j}T_{1}+2\Delta t,\beta_{j}T_{1}+\Delta t)}^{1} \prod_{\substack{j=j_{\max}\\ \times U(\alpha_{j}T_{1},\alpha_{j}T_{1}-\Delta t)\dots U(\beta_{j}T_{1}+2\Delta t,\beta_{j}T_{1}+\Delta t)U(\beta_{j}T_{1}+\Delta t,\beta_{j})} \prod_{\substack{j=j_{\max}\\ \alpha \in U(\alpha_{j}T_{1},\alpha_{j}T_{1}-\Delta t)\dots U(\beta_{j}T_{1}+2\Delta t,\beta_{j}T_{1}+\Delta t)U(\beta_{j}T_{1}+\Delta t,\beta_{j})}} \prod_{\substack{j=j_{\max}\\ \alpha \in U(\alpha_{j}T_{1},\alpha_{j}T_{1}-\Delta t)\dots U(\beta_{j}T_{1}+2\Delta t,\beta_{j}T_{1}+\Delta t)U(\beta_{j}T_{1}+\Delta t,\beta_{j})}} \prod_{\substack{j=j_{\max}\\ \alpha \in U(\alpha_{j}T_{1},\alpha_{j}T_{1}-\Delta t)\dots U(\beta_{j}T_{1}+2\Delta t,\beta_{j}T_{1}+\Delta t)U(\beta_{j}T_{1}+\Delta t,\beta_{j})}} \prod_{\substack{j=j_{\max}\\ \alpha \in U(\alpha_{j}T_{1},\alpha_{j}T_{1}-\Delta t)\dots U(\beta_{j}T_{1}+2\Delta t,\beta_{j}T_{1}+\Delta t)U(\beta_{j}T_{1}+\Delta t,\beta_{j})}} \prod_{\substack{j=j_{\max}\\ \alpha \in U(\alpha_{j}T_{1},\alpha_{j}T_{1}-\Delta t)\dots U(\beta_{j}T_{1}+2\Delta t,\beta_{j}T_{1}+\Delta t)U(\beta_{j}T_{1}+\Delta t,\beta_{j})}} \prod_{\substack{j=j_{\max}\\ \alpha \in U(\alpha_{j}T_{1},\alpha_{j}T_{1}-\Delta t)\dots U(\beta_{j}T_{1}+2\Delta t,\beta_{j}T_{1}+\Delta t)U(\beta_{j}T_{1}+\Delta t,\beta_{j})}} \prod_{\substack{j=j_{\max}\\ \alpha \in U(\alpha_{j}T_{1},\alpha_{j}T_{1}-\Delta t)\dots U(\beta_{j}T_{1}+2\Delta t,\beta_{j}T_{1}+\Delta t)U(\beta_{j}T_{1}+\Delta t,\beta_{j})}} \prod_{\substack{j=j_{\max}\\ \alpha \in U(\alpha_{j}T_{1},\alpha_{j}T_{1}-\Delta t)\dots U(\beta_{j}T_{1}+2\Delta t,\beta_{j}T_{1}+\Delta t)U(\beta_{j}T_{1}+\Delta t,\beta_{j})}} \prod_{\substack{j=j_{\max}\\ \alpha \in U(\alpha_{j}T_{1},\alpha_{j}T_{1}-\Delta t)\dots U(\beta_{j}T_{1}+2\Delta t,\beta_{j}T_{1}+\Delta t)U(\beta_{j}T_{1}+\Delta t,\beta_{j})}} \prod_{\substack{j=j_{\max}\\ \alpha \in U(\alpha_{j}T_{1},\alpha_{j}T_{1}-\Delta t)\dots U(\beta_{j}T_{1}+2\Delta t,\beta_{j}T_{1}+\Delta t)U(\beta_{j}T_{1}+\Delta t,\beta_{j})}} \prod_{\substack{j=j_{\max}\\ \alpha \in U(\alpha_{j}T_{1},\alpha_{j}T_{1}-\Delta t)\dots U(\beta_{j}T_{1}+\Delta t,\beta_{j})}} \prod_{\substack{j=j_{\max}\\ \alpha \in U(\alpha_{j}T_{1},\alpha_{j}T_{1}-\Delta t)\dots U(\beta_{j}T_{1}+\Delta t)U(\beta_{j}T_{1}+\Delta t,\beta_{j})}} \prod_{\substack{j=j_{\max}\\ \alpha \in U(\alpha_{j}T_{1},\alpha_{j}T_{1}-\Delta t)\dots U(\beta_{j}T_{1}+\Delta t,\beta_{j})}} \prod_{\substack{j=j_{\max}\\ \alpha \in U(\alpha_{j}T_{1},\alpha_{j}T_{1}-\Delta t)\dots U(\beta_{j}T_{1}+\Delta t,\beta_{j})}} \prod_{\substack{j=j_{\max}\\ \alpha \in U(\alpha_{j}T_{1},\alpha_{j})}} \prod_{\substack{j=j_{\max}\\ \alpha \in U(\alpha_{j}T_{1},\alpha_{j})}} \prod_{\substack{j=j_{\max}\\ \alpha \in U(\alpha_{j}T_{1},\alpha_{j})}} \prod_{\substack{j=j_{\max}\\ \alpha \in U(\alpha_{j},\alpha_{j})}} \prod_{\substack{j=j_{\max}\\ \alpha \in U(\alpha_{j$$

 $U(T_1,0)=I$  for such protocol leading to  $E_n^F(T_1)=0$  for all n

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Exact Floquet flat bands for all drive frequencies

Model for studying two-rate dynamics

### Rydberg atom arrays





### Effective low-energy description

$$\frac{\mathcal{H}}{\hbar} = \sum_{i} \frac{\Omega_i}{2} \sigma_x^i - \sum_{i} \Delta_i n_i + \sum_{i < j} V_{ij} n_i n_j,$$

 $n= (1+\sigma^z)/2$  $V_{ij}= V_0/|r_{ij}|^6$ 

*V*<sub>0</sub> can be tuned so that Rydberg excitations in neighbouring sites are forbidden.

*System of <sup>87</sup>Rb atoms controllably coupled to their Rydberg excited state.* 

The van dar Walls interaction between two atoms in their excited (Rydberg) states is denoted by V and is a tunable parameter.

One can vary the detuning parameter  $\Delta$  which allows one to preferentially put the atom in a Rydberg or ground state







These states are separated by an Ising transition

Similar to the transition found in tilted optical lattice

S. Sachdev et al, PRB 66, 075128 (2002), P Fendley et al PRB 69, 075106 (2004)

Mapping to a constrained model

$$\frac{\mathcal{H}}{\hbar} = \sum_{i} \frac{\Omega_i}{2} \sigma_x^i - \sum_{i} \Delta_i n_i + \sum_{i < j} V_{ij} n_i n_j,$$

*Two states per site: Natural spin ½ representation* 

 $\sigma_{\ell}^{z} = 2n_{\ell} - 1, \quad \sigma_{\ell}^{x(y)} = (i)(d_{\ell} + (-)d_{\ell}^{\dagger}).$ 

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Rydberg blockade on neighboring sites:  $V_{i,i+1} >> \Delta$ ,  $\Omega >> V_{i,i+2}$ 

$$P_{\ell} = (1 - \sigma_{\ell}^z)/2$$

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A up-spin (Rydberg excitation) can be created on a site if and only if there are no up-spins (excitations) on the neighboring sites

$$\frac{\mathcal{H}}{\hbar} = \sum_{i} \frac{\Omega_{i}}{2} \sigma_{x}^{i} - \sum_{i} \Delta_{i} n_{i} + \sum_{i < j} V_{ij} n_{i} n_{j},$$

$$H_{spin} = -w \sum_{\ell} P_{\ell-1} \sigma_{\ell}^{x} P_{\ell+1} + \lambda/2 \sum_{\ell} \sigma_{\ell}^{z}$$

$$= \sum_{\ell} (-w \tilde{\sigma}_{\ell}^{x} + \lambda/2 \sigma_{\ell}^{z})$$

$$\lambda(\mathbf{t}) = \mathbf{t}(-w \tilde{\sigma}_{\ell}^{x} + \lambda/2 \sigma_{\ell}^{z})$$
For square pulse w(t) = w\_{0} + (-) w\_{1}
For square pulse

Dynamics around exact flat bands

Floquet bands and the spectral form factor

We study the PXP Hamiltonian describing Rydberg atom array

$$H_R = \lambda_1(t) \sum_j \sigma_j^z + \lambda_2(t) \sum_j \tilde{\sigma}_j^z$$

Use exact diagonalization (ED) to find the eigenspectrum of U and hence  $H_{F}$ 



Plot of distribution, P, of the Floquet eigenenergies within the first Floquet Brillouin zone for  $\Omega_1 = w_1 = \lambda_1$ .

For w<sub>o</sub>=0, P is a delta function peaked at zero while Floquet ETH predicts a flat distribution

**Red dashed line**  $\rightarrow w_0=0$  where one has exact Floquet flat band Black dashed line  $\rightarrow$  single rate drive protocol with  $w_1=0$  and  $w_0=1$ 

The nature of the Floquet band is qualitatively different for a wide range of  $w_0$  around the exact flat band limit.

Strong deviation from prediction of Floquet ETH (realized for single rate drive protocol).

Non-perturbative frequency/amplitude regime:  $w_1 = \lambda_1 = \Omega_1$  and  $w_0 < w_1$ 

### Spectral form factor



SYK model for N=26 fermions

$$\mathcal{K}(nT_1) = \frac{1}{\mathcal{D}^2} \sum_{p,q=1}^{\mathcal{D}} e^{i(E_p^F - E_q^F)nT_1/\hbar}$$

L=16, two rate protocol



For the two-rate protocol, the dip time can be extended for small  $w_0$ 

The ramp region signifying thermalization always occur after the dip

This indicates a large prethermal timescale and slow thermalization at small  $w_0$ 

Numerically, we find  $1/t_{dip} \sim \Lambda_F$  and hence  $w_0$ :  $w_0$  provides a knob for slowing down thermalization

### Dependence of dip time on Floquet bandwidth



To obtain an estimate of the dip time we note the following:

1) At short times, the spectral form factor can be written as

 $\mathcal{K}(nT_1) \simeq 1 - (nT_1)^2 \sum_{p,q} (E_p^F - E_q^F)^2 / (2\hbar^2 \mathcal{D}^2).$ 

2) The sum over eigenstates can be converted to an integral over energy gaps using a density of states p

 $\int_{-\Lambda_F/2}^{\Lambda_F/2} \rho d\epsilon = \mathcal{D} = \sum_{m_{\star}} \check{}$ 

 $\rho = \rho_0 \Lambda_F^{-1} f(\epsilon/\Lambda_F) \qquad \rho_0 \sim \mathcal{D}$ We choose 3)

$$\begin{aligned} \mathcal{K}(nT_1) &\simeq 1 - \frac{(nT_1\Lambda_F)^2 \rho_0}{2\mathcal{D}\hbar^2} \int_{-1/2}^{1/2} dx f(x) x^2 \\ &= 1 - c_0 (nT_1\Lambda_F/\hbar)^2 \end{aligned}$$

### 5) This allows one to estimate the dip time

4) Using this DOS, one finds

$$t_{\rm dip} = n_d T_1$$
, where  $n_d \sim {\rm Int}[\hbar/(T_1 \Lambda_F)]$ 

Exact numerics confirms the linear dependence

The steps occur due to integer nature of  $n_d$ 



### **Correlation and entanglement**

Half chain entanglement Starting from the Rydberg vacuum ( all spin-down state)

### The entanglement and the correlation function stays at their initial value in the flat band limit: perfect freezing.

Both the entanglement and correlation shows a broad dip over a wide range of frequency for finite w<sub>0</sub>

For the single rate drive (black curve), both of these quantities obey the Floquet ETH predicted result. The correlation stays nears its diagonal ensemble predicted value while the entanglement saturates to its Page value

The half-chain entanglement, for two rate-protocol at finite w<sub>0</sub>, saturates to a much lower value signifying a lower spread of the initial state in the Hilbert space.



Rapid decay of fidelity and growth of entanglement for single rate drive protocol ( black-dashed line) In contrast, F(t) remains close to zero for two-rate drive protocols both zero and finite  $w_0$ The growth of entanglement is also much smaller for the two-rate protocol The spread of the driven state in the Hilbert space and hence heating is drastically reduced. For  $w_0=0$ , one has an exact symmetry in micromotion  $O(t) = O(T_1-t)$  for all t. The dynamics involves coherent reversal of excitations and is reminiscent of spin echoes. Analytical result at high drive amplitude

### Floquet Pertubation theory (single rate protocol for Rydberg model)

Uses  $w/\lambda$  as the small parameter: accurate for large drive amplitude and intermediate drive frequencies.

At the zeroth order, the evolution operator receives contribution from the  $\sigma^z$  term.

 $\begin{array}{rcl} U_0(t,0) &=& e^{i\lambda t\sum_j \sigma_j^z/2} & \text{for} & t \leq T/2, \\ &=& e^{i\lambda(T-t)\sum_j \sigma_z^j/2} & \text{for} & T/2 \leq t \leq T. \end{array} \end{array} \begin{array}{rcl} \langle m|U_0(t,0)|n\rangle &=& \delta_{mn}e^{im\lambda t/2} & \text{for} & t \leq T/2, \\ &=& \delta_{mn}e^{i\lambda(T-t)m/2} & \text{for} & T/2 \leq t \leq T, \end{array}$ 

Using standard perturbation theory, one obtains the first order contribution to  $H_F$  as follows

$$U_{1}(T,0) = -i \int_{0}^{T} dt H_{I}(t)$$

$$\langle m|U_{1}(T,0)|n\rangle = \delta_{m,n+s} \frac{2w}{\lambda s} \left(e^{i\lambda sT/2} - 1\right)$$

$$s = \pm 1.$$
Thus one can write
$$U_{1}(T,0) = \sum_{m} \sum_{j} \sum_{s_{j}=\pm 1} c_{s_{j}}^{(1)} |m\rangle \langle m + s_{j}|,$$

$$c_{s}^{(1)} = \frac{4iw}{\lambda} \sin(\lambda T/4) e^{i\lambda Ts/4},$$
Magnus in rotated frame
$$H_{F}^{(1)} = -w \frac{\sin(\gamma)}{\gamma} \sum_{j} [\cos(\gamma)\tilde{\sigma}_{j}^{x} + \sin(\gamma)\tilde{\sigma}_{j}^{y}],$$
Resummation of the standard Magnus expansion

#### Application to two-rate protocol

$$H_F^{(1)} = \frac{w_0 \sin(\lambda_0 T_1/2)}{\lambda_0 T_1/2} \sum_{j,s=\pm} \tilde{\sigma}_j^s e^{-i\lambda_0 T_1 s/2}$$

The drive amplitude  $\lambda_0 >> w_0 w_1$  for validity of the perturbation theory.

Floquet Hamiltonian vanishes at  $w_0=0$ ; consistent with exact flat band.

The presence of second order term is different from analogous expansion in single-rate protocol where only odd order terms are present.

This is due presence of chirality operator C<sub>0</sub> for single rate protocol satisfying

$$C_0 = \prod_j \sigma_j^z \qquad \{H_F, C_0\} = 0.$$

This property is absent for the two-rate protocol for finite  $w_1$  and  $w_0$ 

$$H_F^{(2)} = \frac{2w_0 w_1 C}{\lambda_0} \sum_j \left( \sigma_j^z + (\tilde{\sigma}_j^+ \tilde{\sigma}_{j+1}^- + \text{h.c.}) \right)$$
  

$$C = [2\sin(x/6) - 2\sin(x/3) + \sin(x/2)]/x - 1/6,$$
  

$$x = 2\lambda_0 T_1/\hbar.$$



FIG. 6. Plot of the eigenvalue distribution P within the first FBZ for  $\hbar\Omega_1/w_0 = 15$ ,  $w_1/w_0 = 0.1$ , and  $\lambda_0/w_0 = 20$ . The red crosses show results from second order FPT while the black open squares indicate those from exact numerics obtained using ED. For both plots L = 24,  $K_0 = 0$  and we have used square-pulse protocol with  $\nu = 3$ .



**Generic properties** 

At the initial time, C=0 and F=1.

As the operator spreads, F -> 1 and C->0

This spread of this correlation at initial times is linear and is bounded by the Lieb-Robinson velocity.

For ergodic systems, F shows a rapid convergence to its steady state value (F ~ 1).

For fragmented systems, the late time values of F depends on the initial condition.



Consider a generic state of quantum non-integrable many-body system



$$|\psi(t)\rangle = \sum_{m} C_m \mathrm{e}^{-iE_m t} |m\rangle,$$

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### The time evolution of a generic operator for this state is given by

$$O(t) \equiv \langle \psi(t) | \hat{O} | \psi(t) \rangle = \sum_{m,n} C_m^* C_n e^{i(E_m - E_n)t} O_{mn}$$
  
$$= \sum_m |C_m|^2 O_{mm} + \sum_{m,n \neq m} C_m^* C_n e^{i(E_m - E_n)t} O_{mn}$$
  
$$O_{mn} = \langle m | \hat{O} | n \rangle.$$

*Issues with long-time behavior:* 

- a) The steady state value of O(t) depends on the overlap coefficients: no thermalization (in the sense that the value does not agree with standard ME prediction)
- a) It takes an incredibly long time to reach the steady state (predicts a very large relaxation time).

Invoking random matrix theory remedies these problems since within RMT  $O_{mm} = O'$  and  $O_{mn} = 0$ . However it provides an energy independent answer which does not agree with standard numerical results.

### **Eigenstate Thermalization Hypothesis**

Generalization of the RMT result for the matrix elements of a "typical" operator

$$O_{mn} = O(\bar{E})\delta_{mn} + e^{-S(\bar{E})/2} f_O(\bar{E}, \omega) R_{mn}, \qquad \bar{E} \equiv (E_m + E_n)/2,$$

Both O and  $f_0$  are smooth functions of their arguments, S is the entropy, and R is a gaussian random number.

It states that for a large-enough system, the answer is nearly identical to that obtained using a microcanonical ensemble at the average energy.

$$\bar{O} \equiv \lim_{t_0 \to \infty} \frac{1}{t_0} \int_0^{t_0} dt O(t) = \sum_m |C_m|^2 O_{mm} = \text{Tr}[\hat{\rho}_{\text{DE}}\hat{O}], \qquad O_{\text{ME}} = \text{Tr}\left[\hat{\rho}_{\text{ME}}\hat{O}\right]$$
$$\bar{O} \simeq O(\langle E \rangle) \simeq O_{\text{ME}}.$$

This relies on the fact that energy fluctuations in a many-body system are subextensive.

$$O_{mm} \approx O(\langle E \rangle) + (E_m - \langle E \rangle) \frac{dO}{dE} \Big|_{\langle E \rangle} + \frac{1}{2} (E_m - \langle E \rangle)^2 \frac{d^2O}{dE^2} \Big|_{\langle E \rangle},$$
  
$$\overline{O} \approx O(\langle E \rangle) + \frac{1}{2} (\delta E)^2 O''(\langle E \rangle) \approx O_{\rm ME} + \frac{1}{2} \left[ (\delta E)^2 - (\delta E_{\rm ME})^2 \right] O''(\langle E \rangle),$$